

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Go to www.irs.gov/Form990PF for instructions and the latest information.**

OMB No 1545-0052
2018
Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018

Name of foundation THE THOMAS J EDELMAN FOUNDATION		A Employer identification number 13-3762804
Number and street (or P O box number if mail is not delivered to street address) THOMAS EDELMAN598 MADISON AVE3RD FL	Room/suite	B Telephone number (see instructions) (212) 371-1117
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10022		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <u>18,745,956</u>	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,721	1,721		
	4 Dividends and interest from securities	313,566	313,566		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	4,844,676			
	b Gross sales price for all assets on line 6a	14,402,115			
	7 Capital gain net income (from Part IV, line 2)		4,959,325		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	5,159,963	5,274,612			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	11,389	11,389		0
	c Other professional fees (attach schedule)	91,711	91,711		0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	16,253	845		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	1,128	90		1,038
	24 Total operating and administrative expenses. Add lines 13 through 23	120,481	104,035		1,038
	25 Contributions, gifts, grants paid	586,485			586,485
26 Total expenses and disbursements. Add lines 24 and 25	706,966	104,035		587,523	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	4,452,997				
b Net investment income (if negative, enter -0-)		5,170,577			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	2,338,517	854,729	854,729
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	15,730,716	21,667,501	17,891,227
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	18,069,233	22,522,230	18,745,956	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0	0	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	12,835,368	12,835,368	
29 Retained earnings, accumulated income, endowment, or other funds	5,233,865	9,686,862		
30 Total net assets or fund balances (see instructions)	18,069,233	22,522,230		
31 Total liabilities and net assets/fund balances (see instructions) .	18,069,233	22,522,230		

Part III Analysis of Changes in Net Assets or Fund Balances			
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)		1	18,069,233
2 Enter amount from Part I, line 27a		2	4,452,997
3 Other increases not included in line 2 (itemize) ▶ _____		3	0
4 Add lines 1, 2, and 3		4	22,522,230
5 Decreases not included in line 2 (itemize) ▶ _____		5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .		6	22,522,230

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	4,959,325
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	688,395	21,849,384	0.031506
2016	240,835	20,044,890	0.012015
2015	170,838	21,031,534	0.008123
2014	561,236	24,423,878	0.022979
2013	673,469	23,696,500	0.028421
2 Total of line 1, column (d)			0.103044
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			0.020609
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5			20,355,283
5 Multiply line 4 by line 3			419,502
6 Enter 1% of net investment income (1% of Part I, line 27b)			51,706
7 Add lines 5 and 6			471,208
8 Enter qualifying distributions from Part XII, line 4			587,523

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, subtitle A tax, and total tax due. Total tax due is 944.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, and state reporting. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of THOMAS J EDELMAN CO WHITE DEER E Telephone no (212) 371-1117

Located at 598 MADISON AVE 3RD FL NEW YORK NY ZIP+4 10022

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -check here and enter the amount of tax-exempt interest received or accrued during the year 15

16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions).
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	19,071,361
b	Average of monthly cash balances.	1b	1,593,901
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	20,665,262
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	20,665,262
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	309,979
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	20,355,283
6	Minimum investment return. Enter 5% of line 5.	6	1,017,764

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,017,764
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	51,706
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	51,706
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	966,058
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	966,058
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	966,058

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	587,523
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	587,523
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	51,706
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	535,817

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				966,058
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.			344,112	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2018				
a From 2013.				
b From 2014.				
c From 2015.				
d From 2016.				
e From 2017.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>587,523</u>				
a Applied to 2017, but not more than line 2a			344,112	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2018 distributable amount.				243,411
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				722,647
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2014.				
b Excess from 2015.				
c Excess from 2016.				
d Excess from 2017.				
e Excess from 2018.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total				▶ 3a
b <i>Approved for future payment</i>				
Total				▶ 3b

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 SEE ATTACHED STATEMENT A	P		
1 SEE ATTACHED STATEMENT A	P		
10,000 CORCEPT THERAPEUTICS INC	P	2014-07-28	2018-01-23
556 849 HARBOR INTERNATIONAL FUND	P	2017-12-18	2018-07-19
230 408 HARBOR INTERNATIONAL FUND	P	2017-12-18	2018-07-19
14,859 892 HARBOR INTERNATIONAL FUND	P	2018-01-12	2018-07-19
200 ISHARES CORE S&P MIDCAP ETF	P	2018-01-12	2018-10-18
246 712 MORGAN STANLEY INST INTERNATIONAL	P	2018-07-19	2018-09-26
28,946 560 MORGAN STANLEY INST INTERNATIONAL	P	2018-07-19	2018-10-18
4,955 401 MORGAN STANLEY INST INTERNATIONAL	P	2018-07-19	2018-12-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
407,290		493,317	-86,027
39,012		32,692	6,320
255,031		23,885	231,146
37,181		37,376	-195
15,384		15,465	-81
992,195		1,050,000	-57,805
38,045		39,248	-1,203
5,783		6,054	-271
600,000		710,275	-110,275
100,000		121,588	-21,588

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-86,027
			6,320
			231,146
			-195
			-81
			-57,805
			-1,203
			-271
			-110,275
			-21,588

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
27,309 236 UBS ULTRA SHORT INCOME FUND CLASS	P	2018-12-11	2018-12-27
1 33 973 VANGUARD TAX-MANAGED SMALL CAP	P	2017-04-20	2018-02-08
542 403 VANGUARD TAX-MANAGED SMALL CAP	P	2018-01-12	2018-10-18
284 318 VIRTUS VONTOBEL EMERGING MARKETS OPP	P	2017-12-20	2018-10-18
56,451 613 VIRTUS VONTOBEL EMERGING MARKETS OPP	P	2018-01-12	2018-10-18
1,389 007 VIRTUS VONTOBEL EMERGING MARKETS OPP	P	2018-01-25	2018-10-18
264 20 BLACKROCK EQUITY DIVIDEND FUND INST	P	2011-08-12	2018-02-15
90 457 BLACKROCK EQUITY DIVIDEND FUND INST	P	2011-08-12	2018-03-22
2,233 140 BLACKROCK EQUITY DIVIDEND FUND INST	P	2011-08-12	2018-05-07
702 371 BLACKROCK EQUITY DIVIDEND FUND INST	P	2011-08-12	2018-05-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
272,000		272,000	0
2,000		1,881	119
34,784		34,532	252
2,892		3,383	-491
574,113		700,000	-125,887
14,126		17,974	-3,848
264		193	71
2,000		1,528	472
50,000		37,710	12,290
16,000		11,861	4,139

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			119
			252
			-491
			-125,887
			-3,848
			71
			472
			12,290
			4,139

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
869 187 BLACKROCK EQUITY DIVIDEND FUND INST	P	2011-08-12	2018-05-21
1 1,099 868 BLACKROCK EQUITY DIVIDEND FUND INST	P	2011-08-12	2018-06-01
10 364 BLACKROCK EQUITY DIVIDEND FUND INST	P	2011-08-12	2018-07-24
459 098 BLACKROCK EQUITY DIVIDEND FUND INST	P	2011-08-12	2018-09-27
52,931 681 BLACKROCK EQUITY DIVIDEND FUND INST	P	2011-08-12	2018-10-18
267 798 BLACKROCK EQUITY DIVIDEND FUND INST	P	2011-10-21	2018-10-18
25 622 BLACKROCK EQUITY DIVIDEND FUND INST	P	2011-12-09	2018-10-18
356 516 BLACKROCK EQUITY DIVIDEND FUND INST	P	2011-12-09	2018-10-18
281 331 BLACKROCK EQUITY DIVIDEND FUND INST	P	2012-04-18	2018-10-18
289 863 BLACKROCK EQUITY DIVIDEND FUND INST	P	2012-07-19	2018-10-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,000		14,678	5,322
25,000		18,573	6,427
241		175	66
11,000		7,753	3,247
1,215,841		893,838	322,003
6,151		4,675	1,476
589		454	135
8,189		6,314	1,875
6,462		5,453	1,009
6,658		5,651	1,007

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,322
			6,427
			66
			3,247
			322,003
			1,476
			135
			1,875
			1,009
			1,007

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
310 769 BLACKROCK EQUITY DIVIDEND FUND INST	P	2012-10-18	2018-10-18
1 16 579 BLACKROCK EQUITY DIVIDEND FUND INST	P	2012-12-12	2018-10-18
392 998 BLACKROCK EQUITY DIVIDEND FUND INST	P	2012-12-12	2018-10-18
280 893 BLACKROCK EQUITY DIVIDEND FUND INST	P	2013-04-17	2018-10-18
239 247 BLACKROCK EQUITY DIVIDEND FUND INST	P	2013-07-18	2018-10-18
306 554 BLACKROCK EQUITY DIVIDEND FUND INST	P	2013-10-17	2018-10-18
270 359 BLACKROCK EQUITY DIVIDEND FUND INST	P	2013-12-13	2018-10-18
58 660 BLACKROCK EQUITY DIVIDEND FUND INST	P	2013-12-13	2018-10-18
292 651 BLACKROCK EQUITY DIVIDEND FUND INST	P	2014-04-16	2018-10-18
274 009 BLACKROCK EQUITY DIVIDEND FUND INST	P	2014-07-17	2018-10-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,139		6,355	784
381		332	49
9,027		7,866	1,161
6,452		5,971	481
5,495		5,426	69
7,042		7,024	18
6,210		6,210	0
1,347		1,347	0
6,722		6,722	0
6,294		6,294	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			784
			49
			1,161
			481
			69
			18
			0
			0
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
3,000 BRISTOL MYERS SQUIBB	P	2004-01-06	2018-01-11
1 12,000 BRISTOL MYERS SQUIBB	P	2007-02-16	2018-01-11
582 459 CALAMOS GROWTH FUND & INCOME FUND CLASS	P	2011-08-12	2018-04-24
141 598 CALAMOS GROWTH FUND & INCOME FUND CLASS	P	2011-08-12	2018-05-16
2,000 DEVON ENERGY CORP NEW	P	2005-08-11	2018-01-11
10,778 275 HARBOR INTERNATIONAL FUND	P	2011-08-12	2018-07-19
235 406 HARBOR INTERNATIONAL FUND	P	2011-12-16	2018-07-19
190 663 HARBOR INTERNATIONAL FUND	P	2012-12-17	2018-07-19
209 683 HARBOR INTERNATIONAL FUND	P	2013-12-17	2018-07-19
246 020 HARBOR INTERNATIONAL FUND	P	2014-12-18	2018-07-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
186,275		87,750	98,525
745,569		332,195	413,374
18,004		17,381	623
4,500		4,225	275
86,451		768	85,683
719,665		608,161	111,504
15,718		11,963	3,755
12,731		11,710	1,021
14,000		14,209	-209
16,427		16,190	237

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			98,525
			413,374
			623
			275
			85,683
			111,504
			3,755
			1,021
			-209
			237

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
564 043 HARBOR INTERNATIONAL FUND	P	2015-12-17	2018-07-19
1 244 278 HARBOR INTERNATIONAL FUND	P	2016-12-19	2018-07-19
3,000 ISHARES CORE S&P MIDCAP ETF	P	2017-04-20	2018-10-18
3,000 JP MORGAN CHASE & CO	P	2004-01-06	2018-01-11
2,000 JP MORGAN CHASE & CO	P	2005-03-08	2018-01-11
5,000 JP MORGAN CHASE & CO	P	2005-08-30	2018-01-11
10,000 JP MORGAN CHASE & CO	P	2006-04-19	2018-01-11
2,000 JOHNSON & JOHNSON COM	P	2004-01-06	2018-01-11
4,000 JOHNSON & JOHNSON COM	P	2005-08-30	2018-01-11
4,000 JOHNSON & JOHNSON COM	P	2006-04-19	2018-01-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
37,661		33,273	4,388
16,310		14,217	2,093
570,674		510,387	60,287
330,742		112,470	218,272
220,495		74,180	146,315
551,237		167,700	383,537
1,102,913		427,737	675,176
287,674		103,980	183,694
575,337		249,746	325,591
575,307		232,026	343,281

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			4,388
			2,093
			60,287
			218,272
			146,315
			383,537
			675,176
			183,694
			325,591
			343,281

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
3,000 MICROSOFT CORP	P	2004-04-05	2018-01-11
1 10,000 MICROSOFT CORP	P	2005-08-30	2018-01-11
7,000 MICROSOFT CORP	P	2007-02-16	2018-01-11
10,322 496 VANGUARD TAX-MANAGED SMAL CAP	P	2017-04-20	2018-10-18
23 324 VANGUARD TAX-MANAGED SMAL CAP	P	2017-06-22	2018-10-18
27 105 VANGUARD TAX-MANAGED SMAL CAP	P	2017-09-21	2018-10-18
135 VANGUARD RUSSELL 1000 GROWTH ETF	P	2017-04-20	2018-06-27
1,183 658 VIRTUS VONTOBEL EMERGING MARKETS OPP	P	2011-08-12	2018-07-25
1,288 707 VIRTUS VONTOBEL EMERGING MARKETS OPP	P	2011-08-12	2018-08-20
65,068 408 VIRTUS VONTOBEL EMERGING MARKETS OPP	P	2011-08-12	2018-10-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
262,344		77,580	184,764
874,405		270,666	603,739
611,942		201,670	410,272
661,982		571,519	90,463
1,496		1,297	199
1,738		1,550	188
20,080		15,725	4,355
13,801		10,658	3,143
14,150		11,604	2,546
661,746		585,899	75,847

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			184,764
			603,739
			410,272
			90,463
			199
			188
			4,355
			3,143
			2,546
			75,847

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
666 574 VIRTUS VONTOBEL EMERGING MARKETS OPP	P	2011-12-21	2018-10-18
1 418 545 VIRTUS VONTOBEL EMERGING MARKETS OPP	P	2012-06-21	2018-10-18
237 711 VIRTUS VONTOBEL EMERGING MARKETS OPP	P	2012-12-20	2018-10-18
105 596 VIRTUS VONTOBEL EMERGING MARKETS OPP	P	2013-06-20	2018-10-18
506 682 VIRTUS VONTOBEL EMERGING MARKETS OPP	P	2013-12-20	2018-10-18
788 231 VIRTUS VONTOBEL EMERGING MARKETS OPP	P	2014-06-20	2018-10-18
490 712 VIRTUS VONTOBEL EMERGING MARKETS OPP	P	2014-12-22	2018-10-18
665 555 VIRTUS VONTOBEL EMERGING MARKETS OPP	P	2015-12-22	2018-10-18
320 991 VIRTUS VONTOBEL EMERGING MARKETS OPP	P	2016-12-21	2018-10-18
245 380 VIRTUS VONTOBEL EMERGING MARKETS OPP	P	2017-06-22	2018-10-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,779		5,789	990
4,257		3,801	456
2,418		2,445	-27
1,074		996	78
5,153		4,772	381
8,016		8,107	-91
4,991		4,847	144
6,769		5,942	827
3,264		2,857	407
2,496		2,725	-229

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			990
			456
			-27
			78
			381
			-91
			144
			827
			407
			-229

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
331,184			331,184

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			331,184

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
VANGUARD CHARITABLE ENDOWMENT PROGRAM PO BOX 3075 SOUTHEASTERN, PA 19398	NONE	PC	TO HELP ACTIVITIES OF VAR PUBLIC CHAR INST WHICH ARE EXEMPT UNDER 501 (C)(3)	200,000
WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BLVD BRONX, NY 10460	NONE	PC	TO HELP ACTIVITIES OF VAR PUBLIC CHAR INST WHICH ARE EXEMPT UNDER 501 (C)(3)	100,000
GEORGETOWN UNIVERSITY 37TH AND O STREETS WASHINGTON, DC 20057	NONE	PC	TO HELP ACTIVITIES OF VAR PUBLIC CHAR INST WHICH ARE EXEMPT UNDER 501 (C)(3)	100,000
Total				586,485

▶ **3a**

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE HOTCHKISS SCHOOL PO BOX 800 LAKEVILLE, CT 06039	NONE	PC	TO HELP ACTIVITIES OF VAR PUBLIC CHAR INST WHICH ARE EXEMPT UNDER 501 (C)(3)	69,935
SARAH LAWRENCE COLLEGE 1 MEAD WAY BRONXVILLE, NY 10708	NONE	PC	TO HELP ACTIVITIES OF VAR PUBLIC CHAR INST WHICH ARE EXEMPT UNDER 501 (C)(3)	25,000
CONGREGATION EMANUEL 1 EAST 65TH STREET NEW YORK, NY 10021	NONE	PC	TO HELP ACTIVITIES OF VAR PUBLIC CHAR INST WHICH ARE EXEMPT UNDER 501 (C)(3)	18,650
Total				586,485


3a

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PRINCETON PROSPECT FOUNDATION 22 CHAMBERS STREET PRINCETON, NJ 08542	NONE	PC	TO HELP ACTIVITIES OF VAR PUBLIC CHAR INST WHICH ARE EXEMPT UNDER 501 (C)(3)	12,500
LENOX HILL NEIGHBORHOOD HOUSE 331 E 70TH STREET NEW YORK, NY 10021	NONE	PC	TO HELP ACTIVITIES OF VAR PUBLIC CHAR INST WHICH ARE EXEMPT UNDER 501 (C)(3)	10,000
PRINCETON UNIVERSITY 22 CHAMBERS STREET PRINCETON, NJ 08542	NONE	PC	TO HELP ACTIVITIES OF VAR PUBLIC CHAR INST WHICH ARE EXEMPT UNDER 501 (C)(3)	10,000
Total ▶ 3a				586,485

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TEACHING MATTERS 475 RIVERSIDE DRIVE SUITE 1270 NEW YORK, NY 10115	NONE	PC	TO HELP ACTIVITIES OF VAR PUBLIC CHAR INST WHICH ARE EXEMPT UNDER 501 (C)(3)	10,000
CAPITAL LEARNING ACADEMY 1413 K ST NW 3RD FL WASHINGTON, DC 20005	NONE	PC	TO HELP ACTIVITIES OF VAR PUBLIC CHAR INST WHICH ARE EXEMPT UNDER 501 (C)(3)	5,000
THE ALZHEIMER'S ASSOCIATION 225 N MICHIGAN AVE 17TH FL CHICAGO, IL 60601	NONE	PC	TO HELP ACTIVITIES OF VAR PUBLIC CHAR INST WHICH ARE EXEMPT UNDER 501 (C)(3)	5,000
Total ▶ 3a				586,485

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE ASPEN INSTITUTE ONE DUPONT CIRCLE NW SUITE 700 WASHINGTON, DC 20036	NONE	PC	TO HELP ACTIVITIES OF VAR PUBLIC CHAR INST WHICH ARE EXEMPT UNDER 501 (C)(3)	5,000
PESTALOZZI US CHILDREN'S CHARITY INC 565 FIFTH AVE 22ND FLOOR NEW YORK, NY 10017	NONE	PC	TO HELP ACTIVITIES OF VAR PUBLIC CHAR INST WHICH ARE EXEMPT UNDER 501 (C)(3)	5,000
SECOND STAGE THEATER 1501 BROADWAY SUTIE 518 NEW YORK, NY 10036	NONE	PC	TO HELP ACTIVITIES OF VAR PUBLIC CHAR INST WHICH ARE EXEMPT UNDER 501 (C)(3)	3,000
Total				586,485

▶ **3a**

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE COMMON GOOD 1 METROTECH SUITE 1703 BROOKLYN, NY 11201	NONE	PC	TO HELP ACTIVITIES OF VAR PUBLIC CHAR INST WHICH ARE EXEMPT UNDER 501 (C)(3)	2,300
ANIMAL RESCUE FUND OF THE HAMPTONS 90 DANIELS HOLE RD EAST HAMPTON, NY 11937	NONE	PC	TO HELP ACTIVITIES OF VAR PUBLIC CHAR INST WHICH ARE EXEMPT UNDER 501 (C)(3)	1,000
HARVARD BUSINESS SCHOOL SOLDIERS FIELD BOSTON, MA 02163	NONE	PC	TO HELP ACTIVITIES OF VAR PUBLIC CHAR INST WHICH ARE EXEMPT UNDER 501 (C)(3)	1,000
Total ▶ 3a				586,485

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CENTRAL PARK CONSERVANCY 14 EAST 60TH STREET NEW YORK, NY 10022	NONE	PC	TO HELP ACTIVITIES OF VAR PUBLIC CHAR INST WHICH ARE EXEMPT UNDER 501 (C)(3)	1,000
ON-COURSE FOUNDATION 6649 WESTWOOD BLVD SUITE 500 ORLANDO, FL 32821	NONE	PC	TO HELP ACTIVITIES OF VAR PUBLIC CHAR INST WHICH ARE EXEMPT UNDER 501 (C)(3)	600
EAST HAMPTON HISTORICAL SOCIETY 101 MAIN STREET EAST HAMPTON, NY 11937	NONE	PC	TO HELP ACTIVITIES OF VAR PUBLIC CHAR INST WHICH ARE EXEMPT UNDER 501 (C)(3)	500
Total				586,485

▶ **3a**

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE VILLAGE PRESERVATION SOCIETY 117 WEST MAIN STREET BABYLON, NY 11702	NONE	PC	TO HELP ACTIVITIES OF VAR PUBLIC CHAR INST WHICH ARE EXEMPT UNDER 501 (C)(3)	500
PIONEER WORKS ART FOUNDATION 159 PIONEER STREET BROOKLYN, NY 11231	NONE	PC	TO HELP ACTIVITIES OF VAR PUBLIC CHAR INST WHICH ARE EXEMPT UNDER 501 (C)(3)	300
AMERICAN MUSEUM OF NATURAL HISTORY CENTRAL PARK WEST AT 79TH ST NEW YORK, NY 10024	NONE	PC	TO HELP ACTIVITIES OF VAR PUBLIC CHAR INST WHICH ARE EXEMPT UNDER 501 (C)(3)	200
Total ▶ 3a				586,485

TY 2018 Accounting Fees Schedule**Name:** THE THOMAS J EDELMAN FOUNDATION**EIN:** 13-3762804

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	11,389	11,389		0

TY 2018 Investments Corporate Stock Schedule

Name: THE THOMAS J EDELMAN FOUNDATION

EIN: 13-3762804

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
11,177.229 SHS VANGUARD TAX-MANAGED SMALL CAP ADM	714,308	622,572
200,000 SHS CAPSTONE MINING CORP.	305,144	89,860
115,000 SHS NOBLE ENERGY INC	3,181,790	2,157,400
15,000 SHS STRATUS PROPERTIES INC NEW	141,168	359,700
52,866.905 SHS CALAMOS GROWTH & INCOME FUND CLASS A	1,634,618	1,436,922
3,300 SHS ISHARES CORE S&P MIDCAP ETF	647,589	547,998
20,000 CORCEPT THERAPEUTICS INC	47,771	267,200
30,000 SHS LUNDIN MINING CORP	141,162	123,339
40,000 SHS FORTUNA SILVER MINES INC COM	191,371	145,600
60,000 SHS RANGE RESOURCES	2,608,188	574,200
14840 SHS VANGUARD RUSSELL 1000 GROWTH	1,933,957	1,995,832
137173.106 SHS BLACKROCK EQUITY DIVIDEND FUND INSTL	3,129,110	2,565,137
OTHER INVESTMENTS - FIERA CAPITAL INC	1,523,213	1,715,639
42,930.013 SHS MORGAN STANLEY INST INTERNATIONAL OPP FUND CLASS I	1,053,621	848,726
5,000 SHS AGNICO EAGLE MINES LTD COM	175,389	202,000
425,612.625 SHS UBS ULTRA SHORT INCOME	4,239,102	4,239,102

TY 2018 Other Expenses Schedule**Name:** THE THOMAS J EDELMAN FOUNDATION**EIN:** 13-3762804**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
REPRESENTATION FEES	288	0		288
NYS ANNUAL FILING FEES	750	0		750
BANK FEES	90	90		0

TY 2018 Other Professional Fees Schedule**Name:** THE THOMAS J EDELMAN FOUNDATION**EIN:** 13-3762804

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADVISORY FEES	91,711	91,711		0

TY 2018 Taxes Schedule**Name:** THE THOMAS J EDELMAN FOUNDATION**EIN:** 13-3762804

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	845	845		0
FEDERAL TAXES	15,408	0		0

WILKINSON GLOBAL ASSET MANAGEMENT LLC
 REALIZED GAINS AND LOSSES
THE THOMAS J. EDELMAN FOUNDATION
EDELFN

ATTACHMENT A

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From 01-01-18 Through 12-31-18

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
01-12-18	03-20-18	25	AMAZON COM INC COM	32,343.41	39,210.56	6,867.15	
01-12-18	12-06-18	215	APTIV PLC	19,914.96	14,949.87	-4,965.09	
01-02-18	12-06-18	108	APTIV PLC	9,310.16	7,509.71	-1,800.45	
01-02-18	12-06-18	72	APTIV PLC	6,188.62	5,006.47	-1,182.15	
09-07-17	12-06-18	110	APTIV PLC	8,895.67	7,648.77		-1,246.90
01-12-18	03-20-18	75	CELGENE CORPORATION	7,848.92	6,535.70	-1,313.22	
11-14-17	03-20-18	15	CELGENE CORPORATION	1,494.49	1,307.14	-187.35	
11-14-17	03-20-18	60	CELGENE CORPORATION	5,960.39	5,228.56	-731.83	
05-12-16	01-02-18	14	CHUBB LIMITED	1,756.45	2,005.80		249.35
05-12-16	01-02-18	35	CHUBB LIMITED	4,391.14	4,986.90		595.76
10-21-16	01-02-18	11	CHUBB LIMITED	1,341.32	1,567.31		225.99
01-12-18	02-28-18	270	CITIGROUP INC COM NEW	20,572.41	20,648.63	76.22	
05-10-18	12-26-18	99	CONCHO RESOURCES INC COM	15,438.24	9,964.68	-5,473.56	
05-10-18	12-26-18	31	CONCHO RESOURCES INC COM	4,830.42	3,120.26	-1,710.16	
04-11-18	12-26-18	155	CONCHO RESOURCES INC COM	22,647.13	15,601.27	-7,045.86	
09-12-18	12-26-18	50	CONCHO RESOURCES INC COM	7,092.45	5,032.67	-2,059.78	
01-12-18	08-27-18	42	DELPHI TECHNOLOGIES PLC	2,478.09	1,559.64	-918.45	
01-12-18	08-27-18	302	DELPHI TECHNOLOGIES PLC	17,818.63	11,211.96	-6,606.67	
01-03-18	08-27-18	241	DELPHI TECHNOLOGIES PLC	13,397.20	8,947.30	-4,449.90	
01-03-18	08-27-18	71	DELPHI TECHNOLOGIES PLC	3,922.89	2,635.92	-1,286.97	
01-02-18	08-27-18	118	DELPHI TECHNOLOGIES PLC	6,425.99	4,380.83	-2,045.16	
09-07-17	08-27-18	36	DELPHI TECHNOLOGIES PLC	1,719.32	1,336.53	-382.79	
09-26-18	12-28-18	29	FERRARI NV	4,119.57	2,873.65	-1,245.92	
09-26-18	12-28-18	15	FERRARI NV	2,118.38	1,486.37	-632.01	
09-26-18	12-28-18	39	FERRARI NV	5,487.38	3,864.57	-1,622.81	
09-25-18	12-28-18	72	FERRARI NV	10,005.54	7,134.58	-2,870.96	
03-20-18	12-28-18	43	FERRARI NV	5,258.04	4,260.94	-997.10	
03-20-18	12-28-18	23	FERRARI NV	2,808.74	2,279.10	-529.64	
03-20-18	12-31-18	119	FERRARI NV	14,532.16	11,759.01	-2,773.15	
05-16-18	12-27-18	16	GW PHARMACEUTICALS PLC	2,402.02	1,490.58	-911.44	
05-16-18	12-27-18	98	GW PHARMACEUTICALS PLC	14,708.99	9,129.81	-5,579.18	
05-15-18	12-27-18	41	GW PHARMACEUTICALS PLC	6,018.75	3,819.62	-2,199.13	
01-12-18	09-11-18	27	HDFC BANK LTD ADR REPS 3 SHS	2,750.24	2,542.15	-208.09	
01-12-18	09-11-18	218	HDFC BANK LTD ADR REPS 3 SHS	22,205.61	20,594.08	-1,611.53	

WILKINSON GLOBAL ASSET MANAGEMENT LLC

REALIZED GAINS AND LOSSES

**THE THOMAS J. EDELMAN FOUNDATION
EDELFN**

From 01-01-18 Through 12-31-18

ATTACHMENT A

2 of 2

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss	
						Short Term	Long Term
08-27-18	12-12-18	63	INOGEN INC	15,681.64	8,620.89	-7,060.75	
08-28-18	12-12-18	8	INOGEN INC	1,988.27	1,094.71	-893.56	
08-27-18	12-12-18	24	INOGEN INC	5,961.72	3,284.15	-2,677.57	
11-07-18	12-19-18	95	INOGEN INC	14,784.60	11,923.04	-2,861.56	
01-03-18	09-10-18	140	INTEL CORP COM	6,407.48	6,488.98	81.50	
01-12-18	09-10-18	265	INTEL CORP COM	11,474.26	12,282.72	808.46	
01-12-18	04-11-18	17	INTUIT INC	2,776.81	2,930.54	153.73	
01-12-18	04-11-18	53	INTUIT INC	8,657.12	9,131.74	474.62	
01-12-18	05-09-18	190	JOHNSON & JOHNSON COM	27,751.04	23,366.84	-4,384.20	
06-28-17	05-09-18	80	JOHNSON & JOHNSON COM	10,811.97	9,838.67	-973.30	
08-16-17	05-09-18	160	JOHNSON & JOHNSON COM	21,471.87	19,677.33	-1,794.54	
01-12-18	03-20-18	50	MICROSOFT CORP COM	4,445.95	4,666.73	220.78	
01-12-18	09-06-18	125	MICROSOFT CORP COM	11,114.87	13,459.88	2,345.00	
01-15-18	03-21-18	400	TENCENT HLDGS LIMITED	22,843.31	23,904.13	1,060.82	
01-12-18	04-11-18	40	TEXAS INSTRUMENTS INCORPORATED	4,457.04	4,095.18	-361.86	
01-15-18	09-11-18	600	YASKAWA ELECTRIC CORP	30,869.99	17,102.30	-13,767.69	2,345.45
06-02-17	09-11-18	300	YASKAWA ELECTRIC CORP	6,205.70	8,551.15		4,150.14
03-31-17	09-11-18	500	YASKAWA ELECTRIC CORP	10,101.77	14,251.91		
TOTAL GAINS						12,088.29	7,566.68
TOTAL LOSSES						-98,115.38	-1,246.90
TOTAL REALIZED GAIN/LOSS					446,301.83	-86,027.09	6,319.78