Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**

▶ **Go to *www.irs.gov/Form990PF* for instructions and the latest information.**

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning , 2018, and ending , 20

Name of foundation

THE DAVID ROCKEFELLER FUND, INC.

A Employer identification number

13-3533359

Number and street (or P O box number if mail is not delivered to street address) | Room/suite

475 RIVERSIDE DRIVE | 900

B Telephone number (see instructions)

(212)812-4300

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK NY 10115

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 62,263,079.

J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify)

(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	28,211,814.			
	2 Check ▶ ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	37,057.	37,057.		
	4 Dividends and interest from securities Stmt 2A	1,327,592.	1,329,309.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	151,121.			
	b Gross sales price for all assets on line 6a 5,184,879.				
	7 Capital gain net income (from Part IV, line 2) Stmt 2		1,992,013.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) See Stmt 3	-382.	-16,305.		
	12 **Total.** Add lines 1 through 11	29,727,202.	3,342,074.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	200,962.	42,224.		158,738.
	14 Other employee salaries and wages	153,860.			139,297.
	15 Pension plans, employee benefits	133,497.	15,886.		91,701.
	16a Legal fees (attach schedule) L-16a Stmt 1	17,079.			10,803.
	b Accounting fees (attach schedule) L-16b Stmt 1	28,856.	13,328.		9,028.
	c Other professional fees (attach schedule) L-16c Stmt 1	118,711.	86,853.		15,907.
	17 Interest				
	18 Taxes (attach schedule) (see instructions) See Stmt 1	24,494.	25,539.		
	19 Depreciation (attach schedule) and depletion Stmt 4	3,051.			
	20 Occupancy	29,973.	1,586.		19,680.
	21 Travel, conferences, and meetings	50,838.	305.		48,490.
	22 Printing and publications	376.			126.
	23 Other expenses (attach schedule) See Stmt 1	202,334.	170,327.		48,250.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	964,031.	356,048.		542,020.
	25 Contributions, gifts, grants paid	2,083,671.			1,960,423.
	26 **Total expenses and disbursements.** Add lines 24 and 25	3,047,702.	356,048.		2,502,443.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	26,679,500.			
	b **Net investment income** (if negative, enter -0-)		2,986,026.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	232,144.	250,983.	250,983.
	2	Savings and temporary cash investments	2,091,461.	5,999,168.	5,999,168.
	3	Accounts receivable ▶ 127,974.			
		Less: allowance for doubtful accounts ▶	19,364.	127,974.	127,974.
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶ 0.	13,005,000.	0.	0.
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges Stmt 4A	6,640.	7,933.	7,933.
	10a	Investments—U.S. and state government obligations (attach schedule)	982,465.	14,034,810.	14,034,810.
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule) Stmt 4A	1,238,071.	5,312,254.	5,312,254.
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule) L-13 Stmt 1	21,640,941.	36,519,360.	36,519,360.
	14	Land, buildings, and equipment: basis ▶ 16,592.			
		Less: accumulated depreciation (attach schedule) ▶ Stmt 5 5,995.	981.	10,597.	10,597.
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	39,217,067.	62,263,079.	62,263,079.
Liabilities	17	Accounts payable and accrued expenses	119,381.	156,955.	
	18	Grants payable See Statement 9	306,000.	425,000.	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	425,381.	581,955.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26, and lines 30 and 31.**			
	24	Unrestricted	33,752,690.	56,719,925.	
	25	Temporarily restricted	0.	4,961,199.	
	26	Permanently restricted	5,038,996.		
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	38,791,686.	61,681,124.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	39,217,067.	62,263,079.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	38,791,686.
2	Enter amount from Part I, line 27a	2	26,679,500.
3	Other increases not included in line 2 (itemize) ▶ DEFERRED FEDERAL EXCISE TAX CREDIT	3	34,367.
4	Add lines 1, 2, and 3	4	65,505,553.
5	Decreases not included in line 2 (itemize) ▶ UNREALIZED DEPRECIATION ON INVESTMENTS	5	3,824,429.
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	61,681,124.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Statement 2			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	1,992,013.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	1,830,092.	12,552,957.	0.145790
2016	1,625,895.	5,718,685.	0.284313
2015	1,608,833.	6,442,245.	0.249732
2014	1,509,650.	6,222,196.	0.242623
2013	1,578,465.	5,592,605.	0.282241

2	**Total** of line 1, column (d)	2	1.204699
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.240940
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	39,621,828.
5	Multiply line 4 by line 3	5	9,546,483.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	29,860.
7	Add lines 5 and 6	7	9,576,343.
8	Enter qualifying distributions from Part XII, line 4	8	2,515,120.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	59,721.	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0.	
3	Add lines 1 and 2	3	59,721.	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	59,721.	
6	Credits/Payments:			
a	2018 estimated tax payments and 2017 overpayment credited to 2018 — 6a: 15,306.			
b	Exempt foreign organizations—tax withheld at source — 6b:			
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 16,250.			
d	Backup withholding erroneously withheld — 6d:			
7	Total credits and payments. Add lines 6a through 6d	7	31,556.	
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	0.	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	28,165.	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	0.	
11	Enter the amount of line 10 to be: **Credited to 2019 estimated tax** ▶ 0. **Refunded** ▶	11		

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b,** attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes Stmt 10	3	X	
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? See Statement 6	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G?* If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses See Statement 6A	10	X	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions See Statement 6	12	X	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ WWW.DRFund.org			
14	The books are in care of ▶ Lukas Haynes Telephone no. ▶ (212)812-4300			
	Located at ▶ 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK NY ZIP+4 ▶ 10115			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year, did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year, did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions See Statement 7A ☒ Yes ☐ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? See Statement 7A ☒ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? ☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 7				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Lexi Fisher 475 Riverside Dr Suite 900 New York NY 10115	Program Manager 40.00	64,350.	45,687.	429.

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
Rockefeller Capital Management 45 Rockefeller Plaza, Floor 5 New York NY 10111	Investment Management	207,738.

Total number of others receiving over $50,000 for professional services . . . . . ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 During 2018, 2 members of the staff of David Rockefeller Fund provided technical assistance to charitable organizations, governmental bodies and committees, and 1 member of the staff served on 1 charitable organization's board. 2 members of the staff served on total of 8 committees, public	83,097.
2 commissions,or task forces in order to provide technical assistance.	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0.
2	
All other program-related investments See instructions 3 NONE	0.
Total. Add lines 1 through 3 . . . . . ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	36,969,584.
b	Average of monthly cash balances	1b	3,255,622.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	40,225,206.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	40,225,206.
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	603,378.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	39,621,828.
6	**Minimum investment return.** Enter 5% of line 5	6	1,981,091.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	1,981,091.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	59,721.		
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	59,721.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	1,921,370.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	1,921,370.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	1,921,370.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	2,502,443.
b	Program-related investments—total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	12,677.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	2,515,120.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,515,120.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1	Distributable amount for 2018 from Part XI, line 7				1,921,370.
2	Undistributed income, if any, as of the end of 2018:				
a	Enter amount for 2017 only			0.	
b	Total for prior years: 20___, 20___, 20___				
3	Excess distributions carryover, if any, to 2018:				
a	From 2013 1,309,533.				
b	From 2014 1,207,059.				
c	From 2015 1,296,082.				
d	From 2016 1,355,799.				
e	From 2017 1,209,689.				
f	**Total** of lines 3a through e	6,378,162.			
4	Qualifying distributions for 2018 from Part XII, line 4: ▶ $ 2,515,120.				
a	Applied to 2017, but not more than line 2a			0.	
b	Applied to undistributed income of prior years (Election required—see instructions)		0.		
c	Treated as distributions out of corpus (Election required—see instructions)	0.			
d	Applied to 2018 distributable amount				1,921,370.
e	Remaining amount distributed out of corpus	593,750.			
5	Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	6,971,912.			
b	Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d	Subtract line 6c from line 6b. Taxable amount—see instructions		0.		
e	Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount—see instructions			0.	
f	Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				0.
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)	0.			
8	Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions)	1,309,533.			
9	**Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a	5,662,379.			
10	Analysis of line 9:				
a	Excess from 2014 1,207,059.				
b	Excess from 2015 1,296,082.				
c	Excess from 2016 1,355,799.				
d	Excess from 2017 1,209,689.				
e	Excess from 2018 593,750.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year (a) 2018	Prior 3 years (b) 2017	(c) 2016	(d) 2015	(e) Total
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

See Supplementary Information Statement 8

b The form in which applications should be submitted and information and materials they should include:

See Statement 8

c Any submission deadlines:

See Statement 8

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

See Statement 8

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Statement 9				
Total ▶ **3a**				1,960,423.
b *Approved for future payment*				
See Statement 9				
Total ▶ **3b**				429,248.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions.)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	37,057.	
4 Dividends and interest from securities	525990	118.	14	1,327,474.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	525990	141.	18	-523.	
8 Gain or (loss) from sales of assets other than inventory	525990	72.	18	151,049.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		331.		1,515,057.	
13 **Total.** Add line 12, columns (b), (d), and (e)				13	1,515,388.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)	X	
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
1a(1)	25,000.	Prctect Our Winters Action Fund	Grant payment for Mobilizing outdoor sports community on climate change
1a(1)	25,000.	Protect Our Winters Action Fund	Grant payment for Mobilizing outdoor sports community on climate change
1a(1)	100,000.	Sixteen Thirty Fund	Grant payment for climate and energy fund
1a(1)-	50,000.	Truman National Security Project	Grant payment for climate security forums

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 11/14/19 | Title Executive Director

May the IRS discuss this return with the preparer shown below? See instructions ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Joseph L. Ali	Joseph L. Ali	11/12/19		P02093808

Firm's name ▶ PKF O'CONNOR DAVIES | Firm's EIN ▶ 13-5565207

Firm's address ▶ 665 5TH AVENUE | Phone no (212) 758-9700

NEW YORK NY 10022

INDEX OF SCHEDULES

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Schedule of Other Income Part I, line 11 (a) & (b)	**Statement 3**
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Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to *www.irs.gov/Form990* for the latest information.

OMB No 1545-0047

2018

Name of the organization
THE DAVID ROCKEFELLER FUND, INC.

Employer identification number
13-3533359

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33⅓% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . . ▶ $ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
THE DAVID ROCKEFELLER FUND, INC.	13-3533359

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Estate of David Rockefeller 10 Rockefeller Plaza 3rd FL New York NY 10020	$ 26,374,111.	☒ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
2	Rockefeller Philanthropy Advisors 10 Rockefeller Plaza 3rd FL New York NY 10020	$ 10,000.	☒ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
3	Barry Walker 10 Rockefeller Plaza 3rd FL New York NY 10020	$ 20,000.	☒ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
4	Clayton Rockefeller 10 Rockefeller Plaza 3rd FL New York NY 10020	$ 1,804,401.	☒ Person ☐ Payroll ☒ Noncash (Complete Part II for noncash contributions.)
5	Marianne Gershel 10 Rockefeller Plaza 3rd FL New York NY 10020	$ 10,000.	☒ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE DAVID ROCKEFELLER FUND, INC.	13-3533359

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
4	23,550 Exxon Mobil Corp	$ 1,804,401.	05/01/2018
		$	
		$	
		$	
		$	
		$	

Schedule of Interest & Dividends

Part I, line 3(a)(b)

Interest on savings and temporary cash investments	Column (a)	Column (b)
Cash	$ 36,274	$ 36,274
Self-Help Money Market	$ 783	$ 783
Interest on savings and temporary cash investments Part I, Line 3	**$ 37,057**	**$ 37,057**

Part I, line 4(a)(b)

Dividend & Interest from securitites	Column (a)	Column (b)
CRA Qualified Investment Fund	183,460	183,460
Leader Fund/Pax	187,004	187,004
Parnassus Fund	515,954	515,954
Vanguard Short-Term Bond	19,291	19,291
Rockefeller Access Fund	591	3,941
Rockefeller Alternative Strategies Fund	130	140
Libra Fossil Fuel Free, LP	259,708	258,065
Other Fixed Income	161,454	161,454
	$ 1,327,592	**$ 1,329,309**

Schedule of Capital Gains and Losses

Part I, line 6a

	Gain or (Loss)
Libra Fossil Fuel Free Fund	$ 149,299
Rock & Co. Access Fund	$ 1,822
Net Realized Gain per Books (Total Part I, line 6a,col.(a))	**$ 151,121**

Add: Actual Net Capital Gain/(Loss) per limited partnerships (Schedule K-1 basis):

Libra Fossil Fuel Free Fund	148,181	
Rock & Co. Alternative Strategies	4,073	
Rock & Co. Access Fund	46,359	
		198,613
Less: Gain/(loss) from Partnerships previously reflected in books, for which K-1 values are now reflected on Form 990PF:		
Libra Fossil Fuel Free Fund		(149,299)
Rock & Co. Access Fund		(1,822)

Stock Contribution

		Date Acquired by donor	Contribution Date Received	Contribution Date Sold	Gross Sales	Cost	
23,550 shares Exxon Mobile Corp	*	3/1/1963	5/1/2018	5/3/2018	1,796,703	3,303	1,793,400
				Total Part IV, line 2			**$ 1,992,013**

* It is the Fund's policy to sell all donated securities as soon as practicable following receipt so that proceeds can be used for charitable purposes.

Schedule of Other Income

Part I, line 11, col. a & b	Column (a) Other Income	Column (b) Other Income
Misc Income		
Libra Fossil Fuel Fund	$ (28,214)	$ -
Rockefeller Access Fund	$ (56)	$ -
Rockefeller Alternative Strategies	$ 4,315	$ -
Other Misc. Income	$ 23,573	$ 23,573
Other Income - (Schedule k-1 basis)		
Libra Fossil Fuel Fund		$ (43,284)
Rockefeller Access Fund		$ 3,406
Other Income - Total Part I, line 11,col (a) & (b)	**$ (382)**	**$ (16,305)**

Additional information from your Form 990-PF: Return of Private Foundation

Form 990-PF: Return of Private Foundation
Other Income **Continuation Statement**

Description	Revenue and Expense per Book	Net Investment Income	Adjusted Net Income
See Statement 3			
Other Income from Limited Partnerships	-382.	-16,305.	
Total	-382.	-16,305.	

Form 990-PF: Return of Private Foundation
Taxes **Continuation Statement**

Description	Revenue and Expense per Book	Net Investment Income	Adjusted Net Income	Disbursement for charitable purpose
FEDERAL EXCISE TAX	24,494.			
FOREIGN TAXES PAID-THROUGH LIMITED PARTNERSHIPS		25,539.		
Total	24,494.	25,539.		

Form 990-PF: Return of Private Foundation
Other Expenses **Continuation Statement**

Description	Revenue and Expense per Book	Net Investment Income	Adjusted Net Income	Disbursement for charitable purpose
Telephone & Internet	2,145.	113.		1,974.
Insurance	5,940.	314.		5,744.
Gen. Office Exp: Dues & Memberships	6,019.	289.		5,559.
Gen. Office Exp:Payroll Fees	8,469.	448.		8,469.
Gen. Office Exp: Maintenance & Repairs	7,945.	420.		15,313.
Office & Computer Equipment	2,166.	115.		1,791.
General Office Exp. - Others	12,468.	633.		9,400.
Rockefeller Capital Management: Partnership fees	157,182.	167,995.		
Total	202,334.	170,327.		48,250.

Form 990-PF: Return of Private Foundation
Part VII-A, line 12, Distribution to a Donor Advised Fund **Explanation Statement**

Donor Advised Fund
See Statement 6

Name	Employer Identification No
THE DAVID ROCKEFELLER FUND, INC.	13-3533359

Line 10a - Investments - US and State Government Obligations:	End of Year State and Local Obligations Book Value	End of Year State and Local Obligations FMV	End of Year US Government Obligations Book Value	End of Year US Government Obligations FMV
See Statement 4A				
Tot to Fm 990-PF, Pt II, Ln 10a				

Line 10b - Investments - Corporate Stock:	End of Year Book Value	End of Year Fair Market Value
Totals to Form 990-PF, Part II, Line 10b		

Line 10c - Investments - Corporate Bonds:	End of Year Book Value	End of Year Fair Market Value
See Statement 4A		
Totals to Form 990-PF, Part II, Line 10c		

Line 12 - Investments - Mortgage loans:	End of Year Book Value	End of Year Fair Market Value
Totals to Form 990-PF, Part II, Line 12		

Line 13 - Investments - Other:	End of Year Book Value	End of Year Fair Market Value
ROCKEFELLER ALTERNATIVE STRATEGIES FUND	5,644.	5,644.
ROCKEFELLER ACCESS FUND 06-1	313,090.	313,090.
ROCKEFELLER LIBRA FOSSIL FUEL FREE L.P.	16,234,460.	16,234,460.
See L-13 Stmt See Statement 1A	19,966,166.	19,966,166.
Totals to Form 990-PF, Part II, Line 13	36,519,360.	36,519,360.

Form 990-PF
Part I, Lines 16a - 16c

Legal and Professional Fees

2018 Statement 1

Name	Employer Identification No
THE DAVID ROCKEFELLER FUND, INC.	13-3533359

Line 16a - Legal Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Patterson, Belknap, Webb & Tyler	Legal Services	16,674.			10,398.
Milbank, Tweed, Hadley & McCloy	Legal Services	405.			405.
Total to Form 990-PF, Part I, Line 16a		17,079.			10,803.

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
KPMG LLP	TAX	12,326.	2,128.		3,698.
PKF O'CONNOR DAVIES LLP	AUDIT	16,000.	11,200.		4,800.
Grant Thornton	Audit Services of Non-Profits(k)plan	530.			530.
Total to Form 990-PF, Part I, Line 16b		28,856.	13,328.		9,028.

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Urban Youth Alliance International	Honorarium for speaker at Board meeting	1,000.			1,000.
Hunter Capital/Sageview Advisory Group	DRF Advisory Svc.401K Plan	164.			124.
Amy Solomon	Programs evaluation to refine strategy	2,000.			2,000.
CBIZ	HR Benefit services	1,512.			1,057.
Ventucom	IT services	8,327.			3,521.
GEMTrainers, LLC	Services to review grants	2,000.			2,000.
Valarie Van Slyck	Arts Program consulting	1,000.			1,000.
The Interchurch Center	medical services	75.			25.
Bethany Wall	30th Anniversary History Project	2,300.			2,300.
Rockefeller Capital Management	Investment Management	79,700.	79,700.		
See Statement 1A	See Line 16c - Other Professional Fees	20,633.	7,153.		2,880.
Total to Form 990-PF, Part I, Line 16c		118,711.	86,853.		15,907.

Additional information from your 2018 Federal Exempt Tax Return

Form 990-PF Part I Line 16a-c Legal and Professional Fees

Line 16c - Other Professional Fees — **Continuation Statement**

Line 16c Name	Line 16c Type Svc	Line 16c Book amount	Line 16c Net invest inc	Line 16c Adjusted net inc	Line 16c Chrtbl dsbrsmnts
JP MORGAN CHASE	CUSTODY FEES	5,778.	5,778.		
Everette Hamlette	Filming & editing videos	250.			250.
The Chronicle/Idealist.org	Ad for recruitment	465.			465.
FMI Corp.	Compensation review	11,400.			800.
L.F. Stephens	Employment suitability Inquiry	365.			365.
Roy Waterman	Honorarium for speaking at Board Meeting	1,000.			1,000.
State Street	CUSTODY FEES	1,375.	1,375.		
	Total	20,633.	7,153.		2,880.

Form 990-PF Part II Line 10, 12 and 13 Investments

L-13 Stmt — **Continuation Statement**

Line 13 Description	Line 13 Book	Line 13 FMV
FIXED INCOME - CRA QUALIFIED INVESTMENT FUND	8,235,344.	8,235,344.
PARNASSUS FUND INSTITUTIONAL SHARES	6,756,061.	6,756,061.
PAX GLOBAL ENVIRONMENTAL MARKETS FUND	4,974,761.	4,974,761.
Total	19,966,166.	19,966,166.

SCHEDULE OF DEPRECIATION

Part I, line 19

	ASSETS					ACCUMULATED DEPRECIATION		
Description	Beginning of Year	P/Y Adj.	2018 Acquisitions	2018 Dispositions	End of Year	Reserve, beginning of Year	**2018 Depreciation (Part 1, line 19)**	Reserve, end of Year
Office Equipment	0		10,238		10,238	0	**1,463**	1,463
Computer Equipment & Software	3,925		2,429		6,354	2,944	**1,588**	4,532
	3,925	-	12,667	-	16,592	2,944	**3,051**	5,995

DAVID ROCKEFELLER FUND, INC.
13-3533359
475 Riverside Drive, Suite 900
New York, New York 10115

SCHEDULE 4 A
FORM 990-PF
YEAR 2018

Schedule of Investments

Part II, lines 10 (a,b,c), col. (b) & (c)

As of Date	Security Name	Shares/Par	Price	line 10a U S & State Gov't Obligations Cost	line 10a U S & State Gov't Obligations Market Value	line 10b Corporate Stock	line 10c Corporate Bonds Cost	line 10c Corporate Bonds Market Value
12/31/2018	Atlanta Georgia Development Authonty 1 871% Due 12/01/2020	75,000 00	98 40	73,351	73,800	-	-	-
12/31/2018	Cedar Rapids Iowa 2 00% Due 06/01/2019	350,000 00	99 72	349,059	349,017	-	-	-
12/31/2018	Contra Costa California Cmnty Clg Dist BAB 6 504% Due 08/01/2034	25,000 00	127 06	31,589	31,764	-	-	-
12/31/2018	Durham County North Carolina 5 034% Due 11/01/28 Preref Call @ 100 11/01/2020	150,000 00	104 41	155,722	156,612	-	-	-
12/31/2018	Federal Home Loan Bank 2 95% Due 09/17/2021 Call @ 100 12/17/2018	360,000 00	100 00	358,908	360,014	-	-	-
12/31/2018	Metropolitan Govt Nashville & Davidson 2 64% Due 10/01/2027	250,000 00	95 81	234,450	239,515	-	-	-
12/31/2018	Miami-Dade Cnty Florida Aviation Ref Txb Ref Ser B 2 604% Due 10/01/2025	45,000 00	96 60	43,231	43,468	-	-	-
12/31/2018	New York State Energy Research 3 406% Due 07/01/2023	150,000 00	102 73	151,559	154,089	-	-	-
12/31/2018	New York State Envmmntl Facilities Corp 1 885% Due 01/15/2020	125,000 00	99 08	123,994	123,845	-	-	-
12/31/2018	Oregon State 2 65% Due 01/01/2021	105,000 00	99 84	104,147	104,831	-	-	-
12/31/2018	Oregon State 2 873% Due 05/01/2021	75,000 00	100 53	75,000	75,400	-	-	-
12/31/2018	Port Auth Of New York & New Jersey Txbl 4 823% Due 06/01/2045 Call @ 100 6/1/2025	100,000 00	104 99	105,789	104,989	-	-	-
12/31/2018	Rhode Island State Hsg & Mtge Finance 2 952% Due 10/01/2020	100,000 00	99 90	99,916	99,895	-	-	-
12/31/2018	Salt Lake Cnty Utah Sales Tax Rev Build America Bonds 3 50% Due 11/01/2021 Call @100 05/01/2021	100,000 00	101 87	103,286	101,867	-	-	-
12/31/2018	San Diego California Regl Arpt Auth BAB Txbl Ser C 6 628% Due 07/01/2040 Callable 07/01/20 @100	70,000 00	105 31	73,389	73,717	-	-	-
12/31/2018	Texas St Txbl Ref 1.695% Due 10/01/2019	50,000 00	99 28	49,813	49,639	-	-	-
12/31/2018	Texas State A & M Univensty 3.66% Due 07/01/2047 Call @ 100 07/01/2027	50,000 00	95 98	48,020	47,990	-	-	-
12/31/2018	Treasury Bill 0% 01/29/19	504 000 00	99 82	503,043	503,086	-	-	-
12/31/2018	Treasury Bill 0% 02/28/19	567,000 00	99 62	564,796	564,862	-	-	-
12/31/2018	Treasury Bill 0% 02/28/19	339,000 00	99 62	337,702	337,722	-	-	-
12/31/2018	Treasury Bill 0% 06/20/19	571,000 00	98 85	564,419	564,453	-	-	-
12/31/2018	Treasury Bill 0% 06/20/19	341,000 00	98 85	337,071	337,090	-	-	-
12/31/2018	Treasury Bill 0% 09/12/19	575,000 00	98 23	564,660	564,842	-	-	-
12/31/2018	Treasury Bill 0% 09/12/19	342,000 00	98 23	335,886	335,958	-	-	-
12/31/2018	Treasury N/B 1.875% 12/31/19	564,000 00	99 27	559,299	559,902	-	-	-
12/31/2018	Treasury N/B 1 875% 12/31/19	338,000 00	99 27	335,148	335,544	-	-	-
12/31/2018	Treasury N/B 2 25% 03/31/20	565,000 00	99 59	561,326	562,682	-	-	-
12/31/2018	Treasury N/B 2 25% 03/31/20	337,000 00	99 59	334,862	335,618	-	-	-
12/31/2018	Treasury N/B 2 375% 12/31/20	562,000 00	99 78	558,408	560,748	-	-	-
12/31/2018	Treasury N/B 2 375% 12/31/20	337,000 00	99 78	334,734	336,250	-	-	-
12/31/2018	Treasury N/B 2 50% 06/30/20	561,000 00	99 92	558,377	560,562	-	-	-
12/31/2018	Treasury N/B 2 50% 06/30/20	334,000 00	99 92	332,626	333,739	-	-	-
12/31/2018	Treasury N/B 2 75% 09/30/20	562,000 00	100 38	561,125	564,108	-	-	-
12/31/2018	Treasury N/B 2 75% 09/30/20	334,000 00	100 38	333,814	335,253	-	-	-
12/31/2018	Treasury Bill 0 00% Due 01/08/2019	327,000 00	99 96	326,831	326,877	-	-	-
12/31/2018	Treasury Bill 0 00% Due 01/10/2019	360,000 00	99 95	359,792	359,820	-	-	-
12/31/2018	Treasury Bill 0 00% Due 06/20/2019	130,000 00	98 85	128,578	128,509	-	-	-
12/31/2018	University Of California CA Regents Med 1 62% Due 05/15/2021	70,000 00	97 11	67,715	67,975	-	-	-
12/31/2018	US Treasury N/B 1 25% Due 04/30/2019	452,000 00	99 59	450,345	450,146	-	-	-
12/31/2018	US Treasury N/B 1 375% Due 09/15/2020	623,000 00	98 11	608,876	611,222	-	-	-
12/31/2018	US Treasury N/B 1 50% Due 07/15/2020	722,000 00	98 43	708,345	710,691	-	-	-

DAVID ROCKEFELLER FUND, INC.
13-3533359
475 Riverside Drive, Suite 900
New York, New York 10115

SCHEDULE 4 A
FORM 990-PF
YEAR 2018

Schedule of Investments

Part II, lines 10 (a,b,c), col. (b) & (c)

As of Date	Security Name	Shares/Par	Price	line 10a U.S & State Gov't Obligations Cost	line 10a U.S & State Gov't Obligations Market Value	line 10b Corporate Stock	line 10c Corporate Bonds Cost	line 10c Corporate Bonds Market Value
12/31/2018	US Treasury N/B 1 625% Due 08/31/2022	118,000 00	97 00	114,995	114,460	-	-	-
12/31/2018	US Treasury N/B 2 50% Due 02/15/2045	115,000 00	90 63	101,942	104,219	-	-	-
12/31/2018	US Treasury N/B 2 625% Due 03/31/2025	299,000 00	100 30	292,589	299,888	-	-	-
12/31/2018	US Treasury N/B 2 75% Due 02/15/2028	139,000 00	100 52	136,594	139,717	-	-	-
12/31/2018	US Treasury N/B 2 75% Due 09/15/2021	359,000 00	100 70	357,411	361,496	-	-	-
12/31/2018	US Treasury N/B 2 875% Due 09/30/2023	91,000 00	101 63	90,413	92,479	-	-	-
12/31/2018	Will County Il School Dist #122 6 30% Due 10/01/2026 Preref Call @ 100 10/01/2019	375,000 00	102 52	384,512	384,443	-	-	-
12/31/2018	Air Products & Chemicals 4 375% Due 8/21/2019	140,000 00	100 83	-	-	-	141,440	141,166
12/31/2018	American Express 4 05% Due 12/03/2042	133,000 00	94 23	-	-	-	130,145	125,322
12/31/2018	Asian Development Bank 1 00% Due 8/16/2019	358,000 00	99 03	-	-	-	354,325	354,540
12/31/2018	Bank of Americ Corporation 2 151% Due 11/09/2020 Call @100 11/09/2019	359,000 00	98 21	-	-	-	352,630	352,560
12/31/2018	BB&T Corporation 2 75% Due 04/01/2022 Call @ 100 03/01/2022	147,000 00	98 31	-	-	-	146,558	144,510
12/31/2018	Cisco Systems Inc 4 45% Due 01/15/2020	147,000 00	101.55	-	-	-	149,330	149,282
12/31/2018	Comcast Corp 5 70% Due 07/01/2019	131,000 00	101 18	-	-	-	132,857	132,543
12/31/2018	CVS Health Corp 2 80% Due 07/20/2020 Call @ 100 06/20/2020	177,000 00	99 10	-	-	-	175,622	175,403
12/31/2018	CVS Health Corp 3 35% Due 03/09/2021	137,000 00	99 71	-	-	-	137,156	136,609
12/31/2018	Export Development Canada 1 625% Due 6/1/2020	145,000 00	98 60	-	-	-	142,525	142,969
12/31/2018	Ford Motor Credit Co LLC Float% Due 8/12/2019	285,000 00	99 62	-	-	-	284,014	283,909
12/31/2018	Goldman Sachs Group Inc 3 50% Due 01/23/2025 Call @ 100 10/23/2024	125,000 00	94 79	-	-	-	124,701	118,489
12/31/2018	Home Depot Inc 1 80% Due 06/05/2020	144,000 00	98 57	-	-	-	141,863	141,940
12/31/2018	Home Depot Inc 5 875% Due 12/16/2036	125,000 00	120 15	-	-	-	152,602	150,182
12/31/2018	KFW 1 75% Due 10/15/2019	185,000 00	99 31	-	-	-	183,693	183,726
12/31/2018	Kroger Company 7 50% Due 04/01/31	113,000 00	123 17	-	-	-	142,366	139,185
12/31/2018	Microsoft Corp 1 85% Due 02/06/2020	180,000 00	99 27	-	-	-	178,297	178,694
12/31/2018	Nike Inc Corp 3 625% Due 05/01/2043	150,000 00	92 38	-	-	-	141,509	138,564
12/31/2018	NVR Inc Corp 3 95% Due 09/15/2022	91,000 00	98 32	-	-	-	93,101	89,473
12/31/2018	Praxair Inc 2 25% Due 09/24/2020	197,000 00	98 65	-	-	-	194,607	194,348
12/31/2018	Simon Property Group LP 3 375% Due 06/15/2027 Call @ 100 03/15/2027	118,000 00	95 58	-	-	-	113,661	112,780
12/31/2018	Southern Power Co 1 95% Due 12/15/2019	145,000 00	98 49	-	-	-	143,540	142,812
12/31/2018	The Reinvestment Fund 3 477000% Due 2/15/2023	175,000 00	100 54	-	-	-	175,000	175,937
12/31/2018	The Walt Disney Company 2 15% Due 9/17/2020	149,000 00	98 8	-	-	-	146,982	147,217
12/31/2018	Thermo Fisher Scientific 4 50% Due 3/1/2021	154,000 00	102 20	-	-	-	157,463	157,394
12/31/2018	Toronto-Dominion Bank 1 85% Due 9/11/2020	128,000 00	98 17	-	-	-	125,563	125,658
12/31/2018	United Parcel Service 3 125% Due on 1/15/2021	142,000 00	100 82	-	-	-	142,124	143,164
12/31/2018	Vanguard Mortgage Backed Securities ETF	16,195 00	51 49	-	-	-	844,114	833,881
	Total line 10			13,987,456	14,034,810	-	5,347,789	5,312,254

SCHEDULE OF LAND, BUILDINGS & EQUIPMENT

Part II, line 14, col. (b) & (c)

Description	Cost	Accumulated Depreciation	Net Basis
Computer Equipment & Software	6,354	4,532	1,822
Office Equipment	10,238	1,463	8,775
	16,592	5,995	10,597
		Total line 14	**$10,597**

STATEMENT REGARDING ACTIVITIES

Part VII-A, line 4b

2018 Tax Return on Form 990-T

DRF will file a Form 990-T to report pass through income from the Fund's partnership investments.

Part VII-A, line 12

2018 Distribution to Donor Advised Funds

Under the terms of donor advised fund ("DAF"), all amounts distributed to the DAF must be granted to one or more organizations qualifying under IRS Section 501(c)(3), for charitable purposes, so the recipient(s) of all such funds will qualify as organizations described in IRC Section 170(c)(2)(B) under the terms of the DAF.

Donor Advised Funds	Distribution Amount		Date
Rockefeller Philanthropy Advisors:			
The David Rockefeller Fund Trustee Discretionary Giving - 4th & 5th Generations	$ 100,000.00	(1)	7/5/2018

(1) This amount was treated as a qualifying distribution by the David Rockefeller Fund in 2018.

Schedule of substantial contributors during the tax year

Part VII, line 10

Clayton Rockefeller
10 Rockefeller Plaza 3rd FL
New York, NY 10020

DAVID ROCKEFELLER FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2018

1. Name and Address of Recipient:
   Protect Our Winters Action Fund
   Attn: Mario Molina
   Executive Director
   4676 Broadway
   Boulder, CO 80304

2. Date and Amount of Grants:
   4/2/18 $25,000
   7/3/18 $25,000

3. Purpose of Grants:
   This grant supported efforts to make action on climate change a top priority for the outdoor sports community. This grant supported purely charitable activities related to advancing climate policies and raising the visibility of climate change as an issue.

4. Amount Expended by Grantee:
   The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.

5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
   No.

6. Date of Reports received from Grantee:
   On 04/16/19 and 06/01/2019, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):
   Not required.

DAVID ROCKEFELLER FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2018

1. Name and Address of Recipient:
SIXTEEN THIRTY FUND
Attn: Eric Kessler
1201 Connecticut Ave NW, Suite 300
Washington, DC 20036

2. Date and Amount of Grant:
7/3/18 $100,000

3. Purpose of Grant:
This grant supported the Sixteen Thirty Fund's Climate and Energy Fund project, which supports organizations working to advance climate change and clean energy solutions. This grant supported purely charitable activities related to advancing climate policies and raising the visibility of climate change as an issue.

4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.

5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.

6. Date of Reports received from Grantee:
On 02/28/19, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):
Not required.

DAVID ROCKEFELLER FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2018

1. Name and Address of Recipient:
   Truman National Security Project
   Attn: Mike Breen
   President & CEO
   1250 I Street NW, Suite 500
   Washington, Dc 20005

2. Date and Amount of Grant:
   7/3/18 $50,000

3. Purpose of Grant:
   This grant supported efforts to mobilize veterans and other grassroots constituencies concerned about climate change, especially the impact on homeland and national security. This grant supported purely charitable activities related to advancing climate policies and raising the visibility of climate change as an issue.

4. Amount Expended by Grantee:
   The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.

5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
   No.

6. Date of Reports received from Grantee:
   On 04/18/19, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):
   Not required.

DAVID ROCKEFELLER FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2018

1. Name and Address of Recipient:
   SDK Foundation for Human Dignity
   Attn: Ashley Tucker
   49 Old Woods Road
   Saddle River, NJ 07458-3208

2. Date and Amount of Grant:
   12/17/18 $6,500

3. Purpose of Grant:
   This grant supported the Artistic Freedom Initiative's artistic safe haven hosting guide.

4. Amount Expended by Grantee:
   None.

5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
   No.

6. Date of Reports received from Grantee:
   On 02/28/19, Grantor received from Grantee narrative and financial reports certifying that none of the funds had been spent at that time but that the budget and project scope remained unchanged. Grantee anticipated that all funds would be expended for the purposes for which the grant was made by the next reporting deadline.

7. Dates and Results of the Verification of Grantee's Report(s):
   Not required.

FORM 990PF, PART VIII, Line 1 - LIST OF OFFICERS, DIRECTORS , AND TRUSTEES

NAME AND ADDRESS	TITLE	Average Hours per Week Devoted to Position	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
PEGGY DULANY 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK , NY 10115	(1) TRUSTEE	1	NONE	NONE	NONE
NEVA GOODWIN 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK , NY 10115	TRUSTEE	1	NONE	NONE	NONE
DANIEL GROWALD 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK , NY 10115	TRUSTEE	1	NONE	NONE	NONE
EILEEN GROWALD 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK , NY 10115	TRUSTEE	1.5	NONE	NONE	NONE
PAUL GROWALD 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK , NY 10115	(1) TRUSTEE	1	NONE	NONE	NONE
STEPHEN HEINTZ 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK , NY 10115	TRUSTEE	1.5	NONE	NONE	NONE

FORM 990PF, PART VIII, Line 1 - LIST OF OFFICERS, DIRECTORS , AND TRUSTEES

NAME AND ADDRESS		TITLE	Average Hours per Week Devoted to Position	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
DAVID KAISER 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK , NY 10115	(1)	TRUSTEE	2	NONE	NONE	NONE
MIRANDA KAISER 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK , NY 10115		TRUSTEE/SECRETARY	2	NONE	NONE	NONE
REBECCA LAMBERT 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK , NY 10115	(1)	TRUSTEE	1.5	NONE	NONE	NONE
ROBERT PARTON 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK , NY 10115		TRUSTEE	1	NONE	NONE	NONE
MICHAEL QUATTRONE 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK , NY 10115		TRUSTEE/CHAIR	5	NONE	NONE	715
CAMILLA ROCKEFELLER 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK , NY 10115	(2)	TRUSTEE/CHAIR	3	NONE	NONE	NONE

FORM 990PF, PART VIII, Line 1 - LIST OF OFFICERS, DIRECTORS , AND TRUSTEES

NAME AND ADDRESS	TITLE	Average Hours per Week Devoted to Position	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
CLAY ROCKEFELLER 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK , NY 10115	TRUSTEE	1.5	NONE	NONE	NONE
SUSAN ROCKEFELLER 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK , NY 10115	TRUSTEE	1	NONE	NONE	NONE
MANYA RUBINSTEIN 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK , NY 10115	(1) TRUSTEE	1.5	NONE	NONE	NONE
JAMES SLIGAR 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK , NY 10115	TRUSTEE/TREASURER	1.5	NONE	NONE	NONE
LUKAS HAYNES 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK , NY 10115	EXECUTIVE DIRECTOR	40	200,962	62,384	3,871

Note: Rosemary Corbett, Adam Growald, Chris Lindstrom, Ariana Rockefeller, and David Rockefeller Jr.'s trustee term expired 12/31/2017.

(1) Effective January 1, 2018.

(2) Chair transition effective August 2018. Michael Quattrone was chair until July 2018.

FORM 990-PF, PART XV - SUPPLEMENTARY INFORMATION

LINE 2 - Information Regarding Contributions, Grant, Gift, Loan, Scholarship, etc, Programs

The David Rockefeller Fund currently has three primary program areas: Arts, Criminal Justice, and Environment.

The Arts Program focuses on cultivating, showcasing and disseminating the stories of innovative art for social change; Criminal Justice supports bold new ideas and policy initiatives for transforming U.S. detention and incarceration policy; and Environment supports new ideas, initiatives and policy breakthroughs in support of bipartisan U.S climate leadership.

In addition to the program areas outlined above, the Fund underwrote a number of initiatives designed to encourage trustees' individual charitable giving.

Form 990-PF: Return of Private Foundation

Part XV, Line 2: Supplementary Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc. Continuation Statement

Name and Address Information	Form Information	Submission Information	Restrictions
Lexi Fisher, Program Manager David Rockefeller Fund 475 Riverside Drive Suite 900 New York, NY 10115	DR Fund website states 1-2 page Letter of Intent should be submitted to loi@drfund.org	DR Fund accepts unsolicited requests on a rolling basis.	DR Fund only accepts unsolicited requests that fit its three key program areas (Arts, Criminal Justice and Environment)

GRANTS APPROVED AND PAID 2018 (approval date is any date between 1/1/2018 and 12/31/2018)

Organization	Approved Amount	Req/Granted	Paid Amount	Description	Future Grants Approved	Foundation Status
A Blade of Grass Deborah Fisher Tel: 646-757-4199 dfisher@abladeofgrass org 81 Prospect Street Brooklyn, NY 11201		Grant. 150,000 00 Paid· 150,000 00 Bal. 0.00 Term: 36 Months	50,000 00	For general support	0 00	PC - Public Charity
Fountain House Kenneth J Dudek 425 West 47th Street New York, NY 10036-2304		Grant: 50,000 00 Paid. 50,000.00 Bal. 0 00 Term 24 Months	25,000 00	For Studio program	0 00	PC - Public Charity
Girl Be Heard Jessica Greer Morris Tel: (718) 222-4475 jessica@girlbeheard org 20 Jay Street , #210B Brooklyn, NY 11201		Grant 60,000 00 Paid: 60,000 Bal. 0 00 Term: 24 Months	31,000 00	For general support	0 00	PC - Public Charity
The Listen Charity USA Inc Anthony Hollingsworth Tel: +442089396464 tonyh@listencampaign com c/o David Ulich Sheppard Mullin 333 Hope Street Los Angeles, CA 90071		Grant· 50,000 00 Paid 50,000.00 Bal 0 00 Term· 24 Months	25,000 00	For general support	0 00	PC - Public Charity
Pros and Cons c/o John Howard Society Chris Brown hchrisbrown@gmail com c/o Catherine Latimer 809 Blackburn Mews Kingston, ON K7P 2N6		Grant 50,000 00 Paid· 50,000 00 Bal 0 00 Term: 24 Months	25,000 00	Pros & Cons for general support	0 00	PC - Public Charity
A Blade of Grass Deborah Fisher Tel: 646-757-4199 dfisher@abladeofgrass org 81 Prospect Street Brooklyn, NY 11201	25,000 00	Grant. 25,000 00 Paid· 25,000 00 Bal 0 00 Term: 12 Months	25,000 00	For Content production	0.00	PC - Public Charity
The Amplifier Foundation Aaron Huey Tel. (206) 909-4727 huey@amplifier.org 3518 S Edmunds Street Seattle, WA 98118-1727	25,000 00	Grant: 25,000 00 Paid. 25,000.00 Bal 0 00 Term 12 Months	25,000 00	For general support	0 00	PC - Public Charity
Brave New Films Jim Miller Tel. (310) 204-0448 jim@bravenewfilms org 10510 Culver Boulevard, Culver City, CA, 90232	25,000 00	Grant 25,000.00 Paid 25,000.00 Bal 0 00 Term 12 Months	25,000 00	For general support	0.00	PC - Public Charity

GRANTS APPROVED AND PAID 2018 (approval date is any date between 1/1/2018 and 12/31/2018)

Organization	Approved Amount	Req/Granted	Paid Amount	Description	Future Grants Approved	Foundation Status
chashama Anita Durst Tel· (917) 723-8608 anita@durst org 675 Third Ave NY, NY 10017	50,000 00	Grant: 50,000 00 Paid. 25,000.00 Bal· 25,000 00 Term: 24 Months	25,000 00	For Bronx Community Artist Initiative	25,000 00	PC - Public Charity
Gibney Dance Gina Gibney Tel. 212 677 8560 gina@gibneydance org 890 Broadway, Fifth Floor, New York, NY 10003	50,000 00	Grant. 50,000 00 Paid. 25,000.00 Bal 25,000 00 Term. 24 Months	25,000 00	For general support	25,000 00	PC - Public Charity
Grantmakers in the Arts Eddie Torres Tel: (929) 452-3740 Fax· (206) 624-5568 eddie@giarts org 522 Courtlandt Avenue, 1st Floor Bronx, NY 10451-5008	1,000 00	Grant. 1,000 00 Paid: 1,000 00 Bal. 0 00 Term· 12 Months	1,000 00	For general support	0 00	PC - Public Charity
Hip Hop Caucus Lennox Yearwood Jr Tel· (202) 293-5902 rev@hiphopcaucus org 1638 R St. NW, Suite 120, Washington, DC 20009	20,000 00	Grant· 20,000 00 Paid: 20,000.00 Bal. 0 00 Term· 12 Months	20,000 00	For general support	0 00	PC - Public Charity
Just Detention International Lovisa Stanow Tel: (213) 384-1400 Fax. (213) 384-1411 info@spr.org 3325 Wilshire Boulevard Suite 340 Los Angeles, CA 90010	25,000 00	Grant. 25,000 00 Paid. 25,000.00 Bal. 0 00 Term: 12 Months	25,000.00	For general support	0 00	PC - Public Charity
The Public Theater Alex Tonetta Tel: (212) 539-8505 atonetta@publictheater org 425 Lafayette St New York, NY 10003	20,000 00	Grant. 20,000 00 Paid: 20,000.00 Bal: 0 00 Term 12 Months	20,000 00	For Public Works program	0 00	PC - Public Charity
Theatre of the Oppressed NYC Katy Rubin Tel (646) 504-4582 katy@tonyc nyc 68 Jay Street, Suite 220, New York, NY 11201	20,000.00	Grant: 20,000 00 Paid· 20,000 00 Bal. 0 00 Term. 12 Months	20,000 00	For general support	0 00	PC - Public Charity

GRANTS APPROVED AND PAID 2018 (approval date is any date between 1/1/2018 and 12/31/2018)

Organization	Approved Amount	Req/Granted	Paid Amount	Description	Future Grants Approved	Foundation Status
United Workers Association Todd Cherkis Tel· (410) 340-2838 tcherkis@hotmail com PO Box 41547 Baltimore, MD 21203	20,000 00	Grant 20,000 00 Paid 20,000.00 Bal 0 00 Term· 12 Months	20,000 00	For general support	0 00	PC - Public Charity
Victory Gardens Theater Erica Daniels Tel: (773) 328-2152 Fax 17735492779 rdaniels@victorygardens.org 2433 N Lincoln Ave Chicago, IL 60614	28,000 00	Grant: 28,000 00 Paid. 28,000 00 Bal· 0 00 Term· 12 Months	28,000 00	For general support	0 00	PC - Public Charity
YEARS Project c/o Tides Foundation Becca Shepherd bshepherd@tides.org Tides Foundation 1012 Torney Avenue San Francisco CA, 94129-1755	25,000.00	Grant: 25,000.00 Paid. 25,000 00 Bal: 0 00 Term: 12 Months	25,000.00	For general support	0 00	PC - Public Charity
Campaign for the Fair Sentencing of Youth Jody Kent Lavy Tel· (202) 289-4677 ext 14 jkent@fairsentencingofyouth org 1319 F STREET NW SUITE 303 Washington, DC 20004		Grant· 100,000 00 Paid 100,000 00 Bal· 0.00 Term. 24 Months	50,000 00	For general support	0 00	PC - Public Charity
Center for Constitutional Rights Vincent Warren Tel 212 614 6468 Vwarren@ccrjustice org 666 Broadway 7th Floor New York, NY 10012		Grant 150,000 00 Paid· 150,000 00 Bal. 0 00 Term· 36 Months	50,000 00	For general support	0 00	PC - Public Charity
Abolitionist Law Center Dustin McDaniel Tel. (412) 654-9070 dsm@abolitionistlawcenter org PO Box 8654 Pittsburgh, PA 15221	50,000 00	Grant. 50,000 00 Paid: 25,000.00 Bal. 25,000 00 Term 24 Months	25,000 00	For general support	25,000 00	PC - Public Charity
Campaign for Youth Justice Marcy Mistrett Tel (202) 558-3580 ext 1607 mmistrett@cfyj org 1220 L St NW, Suite 605 Washington, DC 20005	35,000.00	Grant· 35,000 00 Paid 35,000.00 Bal· 0 00 Term 12 Months	35,000 00	For general support	0 00	PC - Public Charity

GRANTS APPROVED AND PAID 2018 (approval date is any date between 1/1/2018 and 12/31/2018)

Organization	Approved Amount	Req/Granted	Paid Amount	Description	Future Grants Approved	Foundation Status
Center for Court Innovation c/o Fund for the City of New York Greg Berman Tel. (212) 397-3050 ext. 8097 Fax: (212) 397-0985 bermang@courtinnovation org Attn: Development Department 520 Eighth Avenue, 18th Floor, New York NY 10018	29,000 00	Grant 29,000 00 Paid: 29,000 00 Bal: 0 00 Term: 12 Months	29,000 00	Center for Court Innovation for general support	0.00	PC - Public Charity
Center for Popular Democracy Kimihel Tseggai Tel: (347) 985-2220 449 Troutman Street, Suite A Brooklyn, NY 11237	19,000 00	Grant: 19,000 00 Paid 19,000.00 Bal. 0 00 Term 12 Months	19,000 00	For general support	0 00	PC - Public Charity
Community Justice Reform Coalition c/o New Venture Fund Amber Goodwin Tel: (512) 820-8613 amber@cjrcoalition org 1201 Connecticut Avenue NW Washington DC, 20036	30,000.00	Grant: 30,000 00 Paid: 30,000 00 Bal. 0 00 Term. 12 Months	30,000 00	Community Justice Reform Coalition for general support	0.00	PC - Public Charity
Detention Watch Network c/o Tides Center Shah Silky Tel. 520-240-3726 sshah@detentionwatchnetwork org Yvette Diaz - Senior Advisor c/o Tides Center 55 Exchange Place, Suite 402, NY 10005	25,000 00	Grant 25,000 00 Paid: 25,000 00 Bal 0 00 Term 12 Months	25,000 00	Detention Watch Network for general support	0 00	PC - Public Charity
Grassroots Leadership Robert Libal Tel: (512) 499-8111 blibal@grassrootsleadership org 2301 E Cesar Chavez Austin, TX 78702	40,000 00	Grant: 40,000 00 Paid 40,000 00 Bal: 0 00 Term: 12 Months	40,000 00	For general support	0.00	PC - Public Charity
KIND Wendy Young Tel: (202) 824-8680 info@supportkind org 1300 L Street NW Suite 1100 Washington, DC 20005	20,000 00	Grant. 20,000 00 Paid: 20,000 00 Bal: 0 00 Term: 12 Months	20,000 00	For general support	0 00	PC - Public Charity

GRANTS APPROVED AND PAID 2018 (approval date is any date between 1/1/2018 and 12/31/2018)

Organization	Approved Amount	Req/Granted	Paid Amount	Description	Future Grants Approved	Foundation Status
New York Youth Justice Initiative c/o FJC Penny Fujiko Wilgerodt Tel: 212-370-1165 ext 401 pwillgerodt@prospect-hill org c/o FJC Fiscal Sponsorship Program 520 Eighth Avenue, 20th Floor New York, NY 10018	5,000 00	Grant: 5,000 00 Paid. 5,000 00 Bal· 0 00 Term· 12 Months	5,000 00	For New York Youth Justice Initiative for general support	0 00	PC - Public Charity
Prisoner Reentry Institute c/o John Jay College of Criminal Justice Ann Jacobs Tel: (646) 557-4532 ajacobs@jjay cuny edu 524 West 59th Street, BMW 609 New York, NY 10019	50,000.00	Grant: 50,000 00 Paid: 50,000 00 Bal: 0 00 Term 12 Months	50,000 00	For Fellowship program	0.00	PC - Public Charity
Project On Government Oversight Danielle Brian Tel· (202) 347-1122 Fax: (202) 347-1116 dbrian@pogo org 1100 G Street NW Suite #500 Washington, DC 20005	25,000 00	Grant 25,000 00 Paid: 25,000.00 Bal: 0.00 Term· 12 Months	25,000 00	For general support	0 00	PC - Public Charity
Safe Passage Project Rich Leimsider Tel: (212) 324-6558 rleimsider@safepassageproject org New York Law School 185 West Broadway New York NY, 10013	5,000 00	Grant 5,000.00 Paid. 5,000 00 Bal· 0 00 Term: 12 Months	5,000 00	For general support	0 00	PC - Public Charity
Youth First! Initiative c/o New Venture Fund Lee Bodner Tel: (202) 595-1061 lee bodner@newventurefund org 1201 Connecticut Avenue NW, Suite 300, Washington, DC 20036	30,000 00	Grant: 30,000 00 Paid: 30,000 00 Bal: 0 00 Term· 12 Months	30,000 00	Youth First Initiative for general support	0 00	PC - Public Charity
Center for Climate and Security c/o Sustainable Markets Foundation Francesco Femia ffemia@climateandsecurity.org c/o Jay Halfon 45 West 36th Street, Floor 6 New York, NY 10018		Grant: 150,000 00 Paid: 150,000 00 Bal· 0 00 Term. 36 Months	50,000 00	Center for Climate & Security for general support	0 00	PC - Public Charity

GRANTS APPROVED AND PAID 2018 (approval date is any date between 1/1/2018 and 12/31/2018)

Organization	Approved Amount	Req/Granted	Paid Amount	Description	Future Grants Approved	Foundation Status
Center for Climate and Security c/o The Council on Strategic Risks Francesco Femia 1025 Connecticut Avenue NW, Suite 1000 Washington, DC 20036	50,000 00	Grant: 50,000 00 Paid 50,000 00 Bal. 0 00 Term: 12 Months	50,000 00	Center for Climate & Security for general support	0 00	PC - Public Charity
Confluence Philanthropy Dana Lanza Tel. (212) 812-4367 dana@confluencephilanthropy.org 475 Riverside Drive, Suite 900 New York, NY 10115	1,500 00	Grant. 1,500 00 Paid 1,500 00 Bal· 0 00 Term· 12 Months	1,500 00	For general support	0 00	PC - Public Charity
Consultative Group on Biological Diversity Paige Brown Tel: (415) 561-6575 ext 304 PO Box 29361 San Francisco, CA 94129-0361	5,000.00	Grant: 5,000 00 Paid: 5,000 00 Bal: 0.00 Term. 12 Months	5,000 00	For Climate & Energy Funder's Group	0 00	PC - Public Charity
Environmental and Energy Study Institute Carol Werner Tel: (202) 662-1881 Fax. (202) 204-5244 cwerner@eesi org 1020 19th St NW, Ste 650 Washington, DC 20036	25,000 00	Grant 25,000 00 Paid 25,000.00 Bal. 0.00 Term. 12 Months	25,000 00	For general support	0 00	PC - Public Charity
Environmental Grantmakers Association Rachel Leon rleon@ega org 475 Riverside Drive Suite 900 New York, NY 10115	4,000 00	Grant 4,000 00 Paid: 4,000 00 Bal 0 00 Term· 12 Months	4,000.00	For general support	0 00	PC - Public Charity
Peace and Security Funders Group c/o The Fund for Constitutional Government Alexandra Toma atoma@peaceandsecurity org Attn· Kathryn Saunders 122 Maryland Avenue, NE Washington, DC 20002	3,500.00	Grant 3,500 00 Paid· 3,500 00 Bal· 0.00 Term· 12 Months	3,500.00	For Peace and Security Funders Group for general support	0 00	PC - Public Charity
Protect Our Winters Action Fund Mario Molina 311 Mapleton Avenue Boulder, CO 80304	25,000 00	Grant: 25,000 00 Paid. 25,000.00 Bal: 0 00 Term 12 Months	25,000 00	For Mobilizing outdoor sports community on climate change	0 00	NC - Organization not otherwise classified
Protect Our Winters Action Fund Mario Molina 311 Mapleton Avenue Boulder, CO 80304	25,000.00	Grant· 25,000 00 Paid 25,000 00 Bal 0 00 Term 12 Months	25,000 00	For Mobilizing outdoor sports community on climate change	0 00	NC - Organization not otherwise classified

GRANTS APPROVED AND PAID 2018 (approval date is any date between 1/1/2018 and 12/31/2018)

Organization	Approved Amount	Req/Granted	Paid Amount	Description	Future Grants Approved	Foundation Status
republicEn c/o George Mason University Foundation Susan Van Leunen Tel: (703) 993-8850 mvanleun@gmu edu 4400 University Drive MS 1A3 Fairfax Virginia 22030	40,000 00	Grant 40,000 00 Paid: 40,000.00 Bal: 0 00 Term· 12 Months	40,000.00	For republicEn for general support	0 00	PC - Public Charity
Sixteen Thirty Fund Eric Kessler Tel: (202) 664-8762 Fax: (202) 833-5540 info@sixteenthirtyfund.org 1201 Connecticut Ave NW Suite 300 Washington, DC 20036	100,000 00	Grant. 100,000 00 Paid· 100,000 00 Bal 0 00 Term· 12 Months	100,000 00	For Climate & Energy Fund	0 00	NC - Organization not otherwise classified
Sustainable Markets Foundation Jay Halfon Attn: Jay Halfon 45 West 36th Street, Floor 6, NY, NY 10018	36,000 00	Grant· 36,000 00 Paid: 36,000.00 Bal· 0 00 Term 12 Months	36,000 00	For Climate security analysis	0 00	PC - Public Charity
Truman National Security Project Mike Breen Tel (202) 216-9723 mbreen@trumancnp org 1250 I Street NW Suite 500 Washington DC 20005	50,000 00	Grant 50,000 00 Paid 50,000.00 Bal 0.00 Term. 12 Months	50,000 00	For Climate security forums	0 00	NC - Organization not otherwise classified
YEARS Project c/o Tides Foundation Becca Shepherd bshepherd@tides org Tides Foundation 1012 Torney Avenue San Francisco CA 94129-1755	25,000.00	Grant: 25,000 00 Paid: 25,000 00 Bal. 0 00 Term. 12 Months	25,000 00	For general support	0 00	PC - Public Charity
Center for Climate and Security c/o The Council on Strategic Risks Francesco Femia 1025 Connecticut Avenue NW, Suite 1000 Washington, DC 20036	50,000.00	Grant 50,000 00 Paid: 50,000.00 Bal 0 00 Term: 12 Months	50,000 00	For Japan climate security project	0 00	PC - Public Charity
AgitArte Jorge Diaz Ortiz 678 Massachusetts Ave Suite 504 Cambridge, MA 02139	6,500 00	Grant. 6,500 00 Paid: 6,500.00 Bal 0 00 Term. 6 Months	6,500 00	For Art installation in Puerto Rico	0 00	PC - Public Charity

GRANTS APPROVED AND PAID 2018 (approval date is any date between 1/1/2018 and 12/31/2018)

Organization	Approved Amount	Req/Granted	Paid Amount	Description	Future Grants Approved	Foundation Status
Artistic Freedom Initiative c/o SDK Foundation for Human Dignity Ashely Tucker 49 Old Woods Road Saddle River, NJ 07458-3208	6,500 00	Grant: 6,500 00 Paid. 6,500.00 Bal. 0 00 Term 6 Months	6,500 00	For Artist safe haven guide	0 00	PF - Private Foundation
Beyond the Box c/o Urban Youth Alliance International Wendy Calderon-Payne 432 East 149th Street, 2nd Floor Bronx, NY 10455	6,500 00	Grant: 6,500 00 Paid. 6,500 00 Bal 0 00 Term 6 Months	6,500 00	For Funder convening on criminal justice reform	0 00	PC - Public Charity
Gulf of Maine Research Institute Donald W. Perkins Tel: (207) 288-1634 Fax (207) 772-6855 don@gmri org 350 Commercial Street Portland, ME 04101	5,000.00	Grant. 5,000.00 Paid 5,000 00 Bal· 0 00 Term· 12 Months	5,000 00	For Symposium on climate change	0 00	PC - Public Charity
Just Vision Suhad Babaa suhad@justvision org 1616 P Street NW Ste 340 Washington, DC 20036	5,000 00	Grant 5,000 00 Paid: 5,000 00 Bal 0 00 Term· 6 Months	5,000.00	For Film launch & outreach	0 00	PC - Public Charity
No Longer Empty Carol Stakenas 122 West 27th Street Floor 10 New York, NY 10001	4,000 00	Grant 4,000.00 Paid. 4,000.00 Bal: 0 00 Term: 12 Months	4,000 00	For Art exhibit	0 00	PC - Public Charity
Power Shift Network Lydia Avila 1875 Connecticut Avenue NW, 10th Floor Washington, DC 20009	6,500.00	Grant. 6,500.00 Paid. 6,500 00 Bal. 0 00 Term: 12 Months	6,500 00	For Frontlines to Power training program	0 00	PC - Public Charity
Bedford 2020 Coalition Ellen Rouse Conrad PO Box 812 Bedford Hills, NY 10507	1,500 00	Grant: 1,500 00 Paid 1,500 00 Bal 0 00 Term 12 Months	1,500 00	For Climate action summit	0 00	PC - Public Charity
#cut50 c/o Dream Corps Sandha Kripalani sandhya@dreamcorps us 436 14th Street Suite 920 Oakland , NY 94612	25,000 00	Grant. 25,000 00 Paid. 25,000 00 Bal. 0 00 Term: 12 Months	25,000 00	Criminal justice-#cut50 for general support	0 00	PC - Public Charity

GRANTS APPROVED AND PAID 2018 (approval date is any date between 1/1/2018 and 12/31/2018)

Organization	Approved Amount	Req/Granted	Paid Amount	Description	Future Grants Approved	Foundation Status
Confluence Philanthropy Dana Lanza Tel· (212) 812-4367 dana@confluencephilanthropy.org 475 Riverside Drive, Suite 900 New York, NY 10115	1,500 00	Grant. 1,500 00 Paid. 1,500 00 Bal. 0 00 Term· 12 Months	1,500 00	Environment-for general support	0 00	PC - Public Charity
Independent Diplomat Sherrie Strect Ager 45 East 20th Street, 6th Floor New York, NY 10003	25,000.00	Grant 25,000 00 Paid: 25,000 00 Bal· 0 00 Term: 12 Months	25,000 00	Peace and security-for general support	0 00	PC - Public Charity
The Jed Foundation John MacPhee Tel: (212) 647-7544 6 East 39th Street, Suite 1204 New York, NY 10016	1,000 00	Grant 1,000.00 Paid 1,000 00 Bal. 0 00 Term: 12 Months	1,000 00	Health & wellness-for general support	0 00	PC - Public Charity
National Center for Family Philanthropy Virginia Esposito Tel. (202) 293-3424 Fax. (202) 293-3395 1101 Connecticut Avenue, NW, Suite 220 Washington, DC 20036	2,000 00	Grant: 2,000 00 Paid· 2,000 00 Bal· 0 00 Term. 12 Months	2,000 00	Philanthropy-for general support	0 00	PC - Public Charity
Pachamama Alliance PO Box 29191 San Francisco CA 94129	5,050.00	Grant: 5,050.00 Paid 5,050.00 Bal. 0 00 Term: 12 Months	5,050 00	Environment-for general support	0 00	PC - Public Charity
Philanthropy New York Ronna Brown Tel· (212) 714-0699 Fax: (212)239-2075 320 East 43rd Street New York, NY 10017	1,950.00	Grant: 1,950 00 Paid: 1,950 00 Bal. 0 00 Term· 12 Months	1,950 00	Philanthropy-for general support	0 00	PC - Public Charity
Pros and Cons c/o John Howard Society Chris Brown hchrisbrown@gmail com c/o Catherine Latimer 809 Blackburn Mews Kingston, ON K7P 2N6	20,000 00	Grant· 20,000 00 Paid. 20,000.00 Bal. 0 00 Term· 12 Months	20,000 00	Arts-Pros & Cons for general support	0 00	PC - Public Charity
Protect Our Winters Christopher Steinkamp 311 Mapleton Avenue Boulder, CO 80304	10,000 00	Grant. 10,000 00 Paid 10,000.00 Bal: 0 00 Term 12 months	10,000 00	Environment-for general support	0 00	PC - Public Charity

GRANTS APPROVED AND PAID 2018 (approval date is any date between 1/1/2018 and 12/31/2018)

Organization	Approved Amount	Req/Granted	Paid Amount	Description	Future Grants Approved	Foundation Status
Victory Gardens Theater Erica Daniels Tel (773) 328-2152 Fax 17735492779 rdaniels@victorygardens.org 2433 N Lincoln Ave Chicago, IL 60614	2,000.00	Grant 2,000 00 Paid 2,000 00 Bal 0.00 Term: 12 Months	2,000 00	Arts-for general support	0 00	PC - Public Charity
Asia Society Andrea Frodema Tel. (212) 327-9269 Fax (212) 517-8315 AFrodema@asiasociety org 725 Park Avenue New York, NY 10021-5088	25,000.00	Grant. 25,000 00 Paid: 25,000 00 Bal. 0.00 Term: 12 Months	25,000 00	For Arts and environment exhibit	0 00	PC - Public Charity
The Carter Burden Center William J Dionne Tel (212) 879-7400 415 East 73rd Street New York, NY 10021	23,573.00	Grant: 23,573 00 Paid 23,573.00 Bal 0.00 Term: 12 Months	23,573 00	Health & wellness-for general support	0 00	PC - Public Charity
Men's Story Project c/o Fractured Atlas Theresa Hubbard 248 West 35th Street, 10th Floor New York, NY 10001	25,000 00	Grant. 25,000 00 Paid: 25,000.00 Bal 0 00 Term 12 Months	25,000 00	For Arts-for general support	0.00	PC - Public Charity
Pachamama Alliance Bill Twist PO Box 29191 San Francisco, CA 94129	15,000 00	Grant. 15,000 00 Paid: 15,000.00 Bal: 0 00 Term 12 Months	15,000.00	Environment-for general support	0 00	PC - Public Charity
Victory Gardens Theater Erica Daniels Tel (773) 328-2152 Fax: 17735492779 rdaniels@victorygardens.org 2433 N Lincoln Ave Chicago, IL 60614	10,000.00	Grant: 10,000 00 Paid: 10,000 00 Bal 0.00 Term. 12 months	10,000 00	Arts-for general support	0.00	PC - Public Charity
AS220 Lucie Searle Tel: (401) 831-9327 info@as220 org 115 Empire Street Providence, RI 02903	25,000.00	Grant. 25,000 00 Paid: 25,000.00 Bal: 0 00 Term 12 Months	25,000 00	For Arts-capital campaign	0 00	PC - Public Charity
Fashion Revolution USA Lauren B Fay lauren@fashionrevolutionusa org 21 S William Street Apt 5D New York, NY 10004	5,000.00	Grant. 5,000 00 Paid: 5,000 00 Bal: 0 00 Term: 12 Months	5,000 00	Environment-for general support	0.00	PC - Public Charity

GRANTS APPROVED AND PAID 2018 (approval date is any date between 1/1/2018 and 12/31/2018)

Organization	Approved Amount	Req/Granted	Paid Amount	Description	Future Grants Approved	Foundation Status
Multidisciplinary Association for Psychedelic Studies (MAPS) Rick Doblin Tel 617-276-7806 rick@maps org 1115 Mission Street Santa Cruz, CA 95060	50,000.00	Grant 50,000 00 Paid. 50,000.00 Bal 0 00 Term. 12 Months	50,000.00	For Health & wellness-Phase III trials	0 00	PC - Public Charity
The Canary Project c/o Media Collective Inc Edward Morris Tel 646-226-6616 edward@canary-project.org 115 West 29th St., Suite 1101 New York, NY 10001	30,000.00	Grant· 30,000.00 Paid· 30,000.00 Bal· 0 00 Term. 12 Months	30,000.00	Arts-Canary Project for general support	0.00	PC - Public Charity
ProBAR Children's Project c/o American Bar Association Fund for Justice and Education Jackie Casey Tel· (312) 988-5404 Jackie Casey@americanbar org 321 North Clark Street Chicago , IL 60654	25,000 00	Grant· 25,000 00 Paid· 25,000 00 Bal· 0.00 Term. 12 Months	25,000 00	For Criminal justice-ProBAR Children's Project for general support	0 00	PC - Public Charity
Southside Community Land Trust Margaret Devos Tel: (401) 273-9419 109 Somerset Street Providence, RI 02907	25,000.00	Grant 25,000 00 Paid. 25,000 00 Bal. 0 00 Term. 12 Months	25,000 00	For Environment-capital campaign	0.00	PC - Public Charity
Global Alliance for the Future of Food c/o New Venture Fund Eric Kessler 1201 Connecticut Ave NW Suite 300 Washington, DC 20036	250,000 00	Grant. 250,000 00 Paid· 250,000 00 Bal· 250,000 00 Term 12 Months	0 00	For Environment-convening	250,000.00	PC - Public Charity
Our Children's Trust Julia Olson PO Box 5181 Eugene OR 97405	25,000 00	Grant 25,000 00 Paid. 25,000 00 Bal: 0 00 Term. 12 Months	25,000.00	Environment-for general support	0.00	PC - Public Charity
Piper Fund c/o Proteus Fund Paul Di Donato 15 Research Drive, Suite B Amherst, MA 01002	25,000.00	Grant. 25,000.00 Paid: 25,000 00 Bal: 0 00 Term 12 Months	25,000 00	Environment-for general support	0 00	PC - Public Charity
Salzburg Global Seminar Stephen Salyer 1250 H Street NW, Suite 1150 Washington DC 20005	100,000.00	Grant· 100,000 00 Paid: 100,000 00 Bal 100,000 00 Term· 12 Months	0 00	For Environment-convening	100,000 00	PC - Public Charity

GRANTS APPROVED AND PAID 2018 (approval date is any date between 1/1/2018 and 12/31/2018)

Organization	Approved Amount	Req/Granted	Paid Amount	Description	Future Grants Approved	Foundation Status
Pollinator Partnership Kelly Rourke 423 Washington Street, 5th Floor San Francisco, CA 94111	48,000 00	Grant: 48,000 00 Paid 48,000 00 Bal: 0.00 Term. 12 Months	48,000 00	DR Pollinator Education Initiative	0.00	PC - Public Charity
Rockefeller Philanthropy Advisors Melissa Berman 6 West 48th Street, Floor 10 New York, NY 10036-1802	100,000.00	Grant: 100,000.00 Paid: 100,000 00 Bal: 0 00 Term 12 Months	100,000 00	To administer trustee matching & discretionary grants	0 00	PC - Public Charity
Total:	**2,078,573.00**		**1,959,573.00**		**425,000.00**	

STAFF MATCHING GRANTS

Americans for Oxford Neil Simpkins 500 5th Avenue, 32nd Floor New York, NY 10110	240.00	Grant: 240.00 Paid: 240 00 Bal: 0.00 Term. 12 Months	0.00		240 00	PC - Public Charity
Families for Excellent Schools Katie Cunningham 80 Pine Street, 32nd Floor New York, NY 10005	600 00	Grant: 600.00 Paid: 600 00 Bal: 0 00 Term 12 Months	600 00		0 00	PC - Public Charity
Friends of the Brooklyn Latin School Gina Mautschke-Mitchell 223 Graham Avenue Brooklyn, NY 11206	300 00	Grant: 300 00 Paid: 300.00 Bal: 0.00 Term: 12 Months	0.00		300 00	PC - Public Charity
JED Foundation Heather Poole 6 East 39th Street, Suite 1204 New York, NY 10016	250 00	Grant: 250.00 Paid: 250 00 Bal. 0 00 Term 12 Months	250 00		0 00	PC - Public Charity
JED Foundation Heather Poole 6 East 39th Street, Suite 1204 New York, NY 10016	258 00	Grant 258 00 Paid: 258.00 Bal: 0 00 Term 12 Months	0 00		258 00	PC - Public Charity
International Rescue Committee Jessica Fisher 122 East 42nd Street New York, NY 10168	250 00	Grant: 250 00 Paid. 250 00 Bal 0.00 Term: 12 Months	0.00		250 00	PC - Public Charity
Montclair Early Childhood Corp. Molly Brennan 49 Orange Road Montclair, NJ 07042	200 00	Grant. 200 00 Paid: 200.00 Bal 0 00 Term: 12 Months	0 00		200 00	PC - Public Charity

GRANTS APPROVED AND PAID 2018 (approval date is any date between 1/1/2018 and 12/31/2018)

Organization	Approved Amount	Req/Granted	Paid Amount	Description	Future Grants Approved	Foundation Status
Montclair Early Childhood Corp Molly Brennan 49 Orange Road Montclair, NJ 07042	3,000 00	Grant· 3000 00 Paid· 3000 00 Bal. 0 00 Term· 12 Months	0 00		3,000 00	PC - Public Charity
Total:	**5,098.00**		**850.00**		**4,248.00**	
GRAND TOTAL	**2,083,671.00**		**1,960,423.00**		**429,248.00**	
2018 Accrued Employee Matching Gifts			**4,248.00**		**(4,248.00)**	
Total Per GL	**2,083,671.00**		**1,964,671.00**		**425,000.00**	

Conformed Copy of Amendment of Bylaws

Part VII-A, line 3

I certify that the attached Amendment of Bylaws revised
on August 10, 2018 is complete and accurate.
I further certify that I am duly authorized to sign this statement
on behalf of the Board of Trustees of the David Rockefeller Fund, Inc.

Signature: 

Name: Lukas Haynes

Title: Executive Director