

EXTENDED TO NOVEMBER 15, 2019

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

Form 990-PF

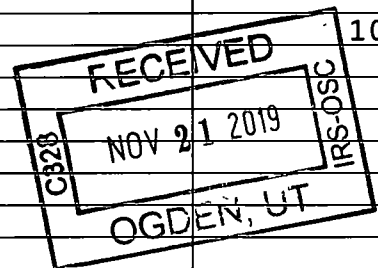
Department of the Treasury
Internal Revenue Service

For calendar year 2018 or tax year beginning

, and ending

Name of foundation THE MALCOLM HEWITT WIENER FOUNDATION (C/O) MILLBURN RIDGEFIELD CORPORATION		A Employer identification number 13-3250321
Number and street (or P.O. box number if mail is not delivered to street address) 55 WEST 46TH STREET, 31ST FLOOR		B Telephone number 212-332-7300
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10036		C If exemption application is pending, check here ☐ 6
G Check all that apply: ☐ Initial return ☐ Final return ☒ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 34,963,672.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		41.	41.		STATEMENT 2
4 Dividends and interest from securities		986,568.	986,568.		STATEMENT 3
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		1,568,992.			STATEMENT 1
b Gross sales price for all assets on line 6a		1,844,778.			
7 Capital gain net income (from Part IV, line 2)			1,552,471.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		<250,812.>	<223,734.>		STATEMENT 4
12 Total. Add lines 1 through 11		2,304,789.	2,315,346.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 5		108.	0.		108.
b Accounting fees					
c Other professional fees STMT 6		129,742.	129,742.		0.
17 Interest		151,501.	151,501.		0.
18 Taxes STMT 7		48,238.	17,238.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 8		479,337.	185,593.		293,289.
24 Total operating and administrative expenses. Add lines 13 through 23		808,926.	484,074.		293,397.
25 Contributions, gifts, grants paid		3,820,806.			3,820,806.
26 Total expenses and disbursements Add lines 24 and 25		4,629,732.	484,074.		4,114,203.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<2,324,943.>			
b Net investment income (if negative, enter -0-)			1,831,272.		
c Adjusted net income (if negative, enter -0-)				N/A	



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THE MALCOLM HEWITT WIENER FOUNDATION
C/O MILLBURN RIDGEFIELD CORPORATION

13-3250321

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	2,520,026.	2,084,976.	2,084,976.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 9	16,376,787.	14,451,704.	14,451,704.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 10		22,071,324.	17,426,992.	17,426,992.
14 Land, buildings, and equipment: basis ▶ 48,197.				
Less: accumulated depreciation STMT 11 ▶ 48,197.				
15 Other assets (describe ▶ STATEMENT 12)		1,000,000.	1,000,000.	1,000,000.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		41,968,137.	34,963,672.	34,963,672.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ X and complete lines 24 through 26, and lines 30 and 31.			
	24 Unrestricted	41,968,137.	34,963,672.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	41,968,137.	34,963,672.		
31 Total liabilities and net assets/fund balances	41,968,137.	34,963,672.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	41,968,137.
2 Enter amount from Part I, line 27a	2	<2,324,943.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	39,643,194.
5 Decreases not included in line 2 (itemize) ▶ UNREALIZED LOSSES	5	4,679,522.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	34,963,672.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e 1,844,778.		292,307.	1,552,471.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			1,552,471.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	1,552,471.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	4,806,620.	39,550,863.	.121530
2016	8,246,480.	41,337,702.	.199491
2015	4,813,013.	47,817,135.	.100655
2014	4,183,797.	50,911,463.	.082178
2013	3,914,718.	48,892,658.	.080068

2 Total of line 1, column (d)	2	.583922
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.116784
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	38,021,692.
5 Multiply line 4 by line 3	5	4,440,325.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	18,313.
7 Add lines 5 and 6	7	4,458,638.
8 Enter qualifying distributions from Part XII, line 4	8	4,114,203.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

THE MALCOLM HEWITT WIENER FOUNDATION
C/O MILLBURN RIDGEFIELD CORPORATION

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	36,625.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	36,625.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	36,625.
6 Credits/Payments:			
a 2018 estimated tax payments and 2017 overpayment credited to 2018	6a	46,126.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	45,000.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d	7	91,126.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	0.	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	54,501.	
11 Enter the amount of line 10 to be: Credited to 2019 estimated tax ☐ Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
4b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <u>NY, DE</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► GREGG BUCKBINDER Telephone no. ► 212-332-7300 Located at ► MILLBURN RIDGEFIELD CORP, 55 WEST 46TH STREET, 31 ZIP+4 ► 10036		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required		Yes	No
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a During the year, did the foundation (either directly or indirectly):			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ► ☐	1b		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If "Yes," list the years ► , ,	☐ Yes ☒ No		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► , ,			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)	N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

Organizations relying on a current notice regarding disaster assistance, check here

▶ ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, and foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors
 (continued)

3

Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
GABELLI & COMPANY INC ONE CORPORATE CENTER, RYE, NY 10580	INVESTMENT MANAGEMENT	129,742.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	1a	35,922,331.
b	Average of monthly cash balances	1b	2,678,372.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	38,600,703.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	38,600,703.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	579,011.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	38,021,692.
6	Minimum investment return. Enter 5% of line 5	6	1,901,085.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,901,085.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	36,625.
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b	1,213.
c	Add lines 2a and 2b	2c	37,838.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,863,247.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,863,247.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,863,247.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	4,114,203.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	4,114,203.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	4,114,203.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

THE MALCOLM HEWITT WIENER FOUNDATION

Form 990-PF (2018)

C/O MILLBURN RIDGEFIELD CORPORATION

13-3250321

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				1,863,247.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013	1,541,752.			
b From 2014	1,775,149.			
c From 2015	2,484,062.			
d From 2016	6,270,181.			
e From 2017	2,887,484.			
f Total of lines 3a through e	14,958,628.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ \$	4,114,203.			
a Applied to 2017, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				1,863,247.
e Remaining amount distributed out of corpus	2,250,956.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	17,209,584.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	1,541,752.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	15,667,832.			
10 Analysis of line 9:				
a Excess from 2014	1,775,149.			
b Excess from 2015	2,484,062.			
c Excess from 2016	6,270,181.			
d Excess from 2017	2,887,484.			
e Excess from 2018	2,250,956.			

Part-XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☒ 4942(1)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

- b 85% of line 2a**

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization

- (4) Gross investment income**

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

- ### 1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

MALCOLM H. WIENER

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CONTRIBUTIONS FROM PASS-THRU PARTNERSHIPS				48.
SEE ATTACHED SCHEDULE				3,820,758.
Total			3a	3,820,806.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	41.	
4 Dividends and interest from securities			14	986,568.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	525990	<27,285.>	14	<223,527.>	
8 Gain or (loss) from sales of assets other than inventory	525990	16,521.	18	1,552,471.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		<10,764.>		2,315,553.	0.
13 Total. Add line 12, columns (b), (d), and (e)				2,304,789.	

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a S/T GAIN/LOSS-FLOW THROUGH PARTNERSHIPS	P	VARIOUS	VARIOUS
b L/T GAIN/LOSS-FLOW THROUGH PARTNERSHIPS	P	VARIOUS	VARIOUS
c REDUCTION FOR INCOME SUBJECT TO UBTI	P	VARIOUS	VARIOUS
d REDUCTION FOR INCOME SUBJECT TO UBTI	P	VARIOUS	VARIOUS
e 1,000 BOYD GAMING CORP	P	12/18/08	01/05/18
f 200 CHURCHILL DOWNS INC	P	10/12/05	01/05/18
g 600 LIVE NATION	P	10/25/10	01/05/18
h 1,500 ROLLINS INC	P	11/08/99	01/05/18
i 200 CHURCHILL DOWNS INC	P	10/12/05	01/10/18
j 1,200 SCRIPPS NETWORKS INTERACTIVE	P	05/02/01	03/08/18
k 800 SCRIPPS NETWORKS INTERACTIVE	P	05/18/01	03/08/18
l 500 SNYDERS-LANCE INC	P	05/31/11	03/27/18
m 500 SNYDERS-LANCE INC	P	04/29/14	03/27/18
n 600 ASCENT MEDIA	P	10/21/08	04/09/18
o 57 COMMERCEHUB CLASS A	P	08/16/95	05/22/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 79,716.			79,716.
b 1,000,460.			1,000,460.
c		<91,188.>	91,188.
d		107,709.	<107,709.>
e 36,432.		5,078.	31,354.
f 47,361.		6,704.	40,657.
g 25,818.		5,790.	20,028.
h 70,498.		3,174.	67,324.
i 50,287.		6,704.	43,583.
j 108,001.		35,953.	72,048.
k 72,001.		24,892.	47,109.
l 25,000.		10,698.	14,302.
m 25,000.		13,430.	11,570.
n 2,154.		13,894.	<11,740.>
o 1,296.		21.	1,275.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			79,716.
b			1,000,460.
c			91,188.
d			<107,709.>
e			31,354.
f			40,657.
g			20,028.
h			67,324.
i			43,583.
j			72,048.
k			47,109.
l			14,302.
m			11,570.
n			<11,740.>
o			1,275.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 19.70 COMMERCEHUB CLASS A		P	08/16/95	05/22/18
b 81.30 COMMERCEHUB CLASS A		P	08/16/95	05/22/18
c 114 COMMERCEHUB CLASS C		P	08/16/95	05/22/18
d 39.40 COMMERCEHUB CLASS C		P	08/16/95	05/22/18
e 162.60 COMMERCEHUB CLASS C		P	08/16/95	05/22/18
f 534 TIME WARNER INC		P	01/13/97	06/14/18
g 666 TIME WARNER INC		P	12/05/02	06/14/18
h 0.40 AT&T		P	01/13/97	06/15/18
i 200 ILG INC		P	12/14/98	09/04/18
j 1 ICTC GROUP INC CLASS A		P	12/27/85	10/23/18
k 1 ICTC GROUP INC CLASS A		P	11/17/87	10/23/18
l 2 ICTC GROUP INC CLASS A		P	11/17/87	10/23/18
m 1,200 PINNACLE ENTERTAINMENT INC		P	07/01/16	10/17/18
n 0.334 RESIDEO TECHNOLOGIES		P	10/30/02	10/29/18
o 2,838 FEDERAL MOGUL		P	11/02/12	10/30/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 448.		58.	390.
b 1,850.		47.	1,803.
c 2,594.		43.	2,551.
d 896.		115.	781.
e 3,699.		94.	3,605.
f 28,703.			28,703.
g 35,798.			35,798.
h 13.		7.	6.
i 2,950.		2,389.	561.
j 65.			65.
k 65.			65.
l 23,751.			23,751.
m 24,000.			24,000.
n 8.		1.	7.
o 32,920.		24,031.	8,889.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			390.
b			1,803.
c			2,551.
d			781.
e			3,605.
f			28,703.
g			35,798.
h			6.
i			561.
j			65.
k			65.
l			23,751.
m			24,000.
n			7.
o			8,889.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	2,067 FEDERAL MOGUL	P	07/09/13	10/30/18
b	1,000 FEDERAL MOGUL	P	03/03/14	10/30/18
c	895 FEDERAL MOGUL	P	03/23/15	10/30/18
d	2,800 FEDERAL MOGUL	P	03/01/16	10/31/18
e	1,057.904 THIRD AVENUE FUND	P	VARIOUS	08/18/18
f	162.526 THIRD AVENUE FUND	P	VARIOUS	08/18/18
g	CAPITAL GAINS DIVIDENDS			
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 23,977.		20,215.	3,762.
b 11,600.		18,690.	<7,090.>
c 10,382.		11,769.	<1,387.>
d 32,480.		20,726.	11,754.
e 52,594.		42,843.	9,751.
f 8,080.		8,420.	<340.>
g 3,881.			3,881.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			3,762.
b			<7,090.>
c			<1,387.>
d			11,754.
e			9,751.
f			<340.>
g			3,881.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	1,552,471.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
S/T GAIN/LOSS-FLOW THROUGH PARTNERHSHIPS	PURCHASED		VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
79,716.	0.	0.	0.	79,716.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
L/T GAIN/LOSS-FLOW THROUGH PARTNERHSHIPS	PURCHASED		VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,000,460.	0.	0.	0.	1,000,460.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
REDUCTION FOR INCOME SUBJECT TO UBTI	PURCHASED		VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	0.

The Malcolm Hewitt Wiener Foundation
EIN# 13-3250321
Form 990-PF, PART IV

Partnership Capital Gains

<u>Partnership Name</u>	<u>S/T Capital Gain (Loss)</u>	<u>L/T Capital Gain (Loss)</u>
Millburn Equity Partners LLC	(35,329)	303,404
ShareVest Partners LP	-	185,715
Millburn Select Strategies LP	99,432	320,762
Millburn MCO Partners LP	15,613	190,579
	<u>79,716</u>	<u>1,000,460</u>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
REDUCTION FOR INCOME SUBJECT TO UBTI	PURCHASED		VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
1,000 BOYD GAMING CORP	PURCHASED		12/18/08	01/05/18
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
36,432.	5,078.	0.	0.	31,354.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
200 CHURCHILL DOWNS INC	PURCHASED		10/12/05	01/05/18
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
47,361.	6,704.	0.	0.	40,657.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
600 LIVE NATION	PURCHASED		10/25/10	01/05/18
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
25,818.	5,790.	0.	0.	20,028.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,500 ROLLINS INC	70,498.	3,174.	0.	0.	67,324.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
200 CHURCHILL DOWNS INC	50,287.	6,704.	0.	0.	43,583.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,200 SCRIPPS NETWORKS INTERACTIVE	108,001.	35,953.	0.	0.	72,048.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
800 SCRIPPS NETWORKS INTERACTIVE	72,001.	24,892.	0.	0.	47,109.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
500 SNYDERS-LANCE INC	25,000.	10,698.	0.	0.	14,302.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
500 SNYDERS-LANCE INC	25,000.	13,430.	0.	0.	11,570.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
600 ASCENT MEDIA	2,154.	13,894.	0.	0.	<11,740.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
57 COMMERCEHUB CLASS A	1,296.	21.	0.	0.	1,275.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
19.70 COMMERCEHUB CLASS A	448.	58.	0.	0.	390.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
81.30 COMMERCEHUB CLASS A	1,850.	47.	0.	0.	1,803.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
114 COMMERCEHUB CLASS C	2,594.	43.	0.	0.	2,551.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
39.40 COMMERCEHUB CLASS C	896.	115.	0.	0.	781.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
162.60 COMMERCEHUB CLASS C	3,699.	94.	0.	0.	3,605.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
534 TIME WARNER INC	28,703.	0.	0.	0.	28,703.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
666 TIME WARNER INC	35,798.	0.	0.	0.	35,798.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.40 AT&T	13.	7.	0.	0.	6.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
200 ILG INC	2,950.	2,389.	0.	0.	561.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1 ICTC GROUP INC CLASS A	65.	0.	0.	0.	65.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1 ICTC GROUP INC CLASS A	65.	0.	0.	0.	65.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2 ICTC GROUP INC CLASS A	23,751.	0.	0.	0.	23,751.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,200 PINNACLE ENTERTAINMENT INC	24,000.	0.	0.	0.	24,000.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.334 RESIDEO TECHNOLOGIES	8.	1.	0.	0.	7.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,838 FEDERAL MOGUL	32,920.	24,031.	0.	0.	8,889.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,067 FEDERAL MOGUL	23,977.	20,215.	0.	0.	3,762.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,000 FEDERAL MOGUL	11,600.	18,690.	0.	0.	<7,090.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
895 FEDERAL MOGUL	10,382.	11,769.	0.	0.	<1,387.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,800 FEDERAL MOGUL	32,480.	20,726.	0.	0.	11,754.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,057.904 THIRD AVENUE FUND	52,594.	42,843.	0.	0.	9,751.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
162.526 THIRD AVENUE FUND	8,080.	8,420.	0.	0.	<340.>

CAPITAL GAINS DIVIDENDS FROM PART IV	3,881.
TOTAL TO FORM 990-PF, PART I, LINE 6A	1,568,992.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
AMERIPRISE	41.	41.	
TOTAL TO PART I, LINE 3	41.	41.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
AMERIPRISE	73,825.	0.	73,825.	73,825.	
FIRST REPUBLIC BANK	28,753.	0.	28,753.	28,753.	
FROM PARTNERSHIPS	389,679.	0.	389,679.	389,679.	
GABELLI & COMPANY	494,245.	0.	494,245.	494,245.	
THIRD AVENUE FUND	3,947.	3,881.	66.	66.	
TO PART I, LINE 4	990,449.	3,881.	986,568.	986,568.	

FORM 990-PF	OTHER INCOME	STATEMENT	4
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ORDINARY INCOME/(LOSS) FROM PARTNERSHIPS	<251,516.>	<251,516.>	
LESS: UBTI FROM PARTNERSHIPS	0.	27,285.	
NET ROYALTIES FROM PARTNERSHIPS	7.	7.	
OTHER MISCELLANEOUS INCOME	697.	490.	
TOTAL TO FORM 990-PF, PART I, LINE 11	<250,812.>	<223,734.>	

FORM 990-PF	LEGAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	108.	0.		108.
TO FM 990-PF, PG 1, LN 16A	108.	0.		108.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	129,742.	129,742.		0.
TO FORM 990-PF, PG 1, LN 16C	129,742.	129,742.		0.

FORM 990-PF	TAXES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	17,238.	17,238.		0.
EXCISE TAXES	25,000.	0.		0.
UBT TAXES	6,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	48,238.	17,238.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	8
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEES	1,133.	0.		1,133.
POSTAGE AND DELIVERY	987.	0.		987.
PORTFOLIO DEDUCTIONS FROM INVESTMENT PARTNERSHIPS	185,593.	185,593.		0.
INSURANCE	4,701.	0.		4,701.
ALLOCATED PAYROLL EXPENSES	235,657.	0.		235,657.
ALLOCATED EMPLOYEE BENEFITS	50,651.	0.		50,651.
DUES & SUBSCRIPTIONS	160.	0.		160.
MISCELLANEOUS EXPENSES	455.	0.		0.
TO FORM 990-PF, PG 1, LN 23	479,337.	185,593.		293,289.

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
AMERIPRISE	2,665,613.	2,665,613.
GABELLI & COMPANY	11,778,510.	11,778,510.
TUDOR BVI FUND LIMITED	7,581.	7,581.
TOTAL TO FORM 990-PF, PART II, LINE 10B	14,451,704.	14,451,704.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MILLBURN EQUITY PARTNERS	FMV	2,010,205.	2,010,205.
MILLBURN MCO PARTNERS LP	FMV	3,783,629.	3,783,629.
MILLBURN SELECT STRATEGIES LP	FMV	8,210,429.	8,210,429.
SHAREVEST PARTNERS LP	FMV	3,422,729.	3,422,729.
TOTAL TO FORM 990-PF, PART II, LINE 13		17,426,992.	17,426,992.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT 11
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER EQUIPMENT	23,207.	23,207.	0.
FURNITURE & FIXTURES	24,226.	24,226.	0.
OFFICE EQUIPMENT	764.	764.	0.
TOTAL TO FM 990-PF, PART II, LN 14	48,197.	48,197.	0.

FORM 990-PF	OTHER ASSETS	STATEMENT 12
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
PROGRAM RELATED INVESTMENT	1,000,000.	1,000,000.	1,000,000.
TO FORM 990-PF, PART II, LINE 15	1,000,000.	1,000,000.	1,000,000.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 13

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MALCOLM H. WIENER C/O MILLBURN RIDGEFIELD CORP, 55 WEST 46TH STREET, 31ST FLOOR NEW YORK, NY 10036	PRESIDENT & TREASURER 3.00	0.	0.	0.
HARVEY BEKER C/O MILLBURN RIDGEFIELD CORP, 55 WEST 46TH STREET, 31ST FLOOR NEW YORK, NY 10036	VP & ASSISTANT SECRETARY 0.25	0.	0.	0.
GEORGE E. CRAPPLE C/O MILLBURN RIDGEFIELD CORP, 55 WEST 46TH STREET, 31ST FLOOR NEW YORK, NY 10036	SECRETARY 0.25	0.	0.	0.
GREGG BUCKBINDER C/O MILLBURN RIDGEFIELD CORP, 55 WEST 46TH STREET, 31ST FLOOR NEW YORK, NY 10036	ASSISTANT SECRETARY & ASSI 0.25	0.	0.	0.
CAROLYN S WIENER C/O MILLBURN RIDGEFIELD CORP, 55 WEST 46TH STREET, 31ST FLOOR NEW YORK, NY 10036	DIRECTOR 0.25	0.	0.	0.
PHILIP BETANCOURT C/O MILLBURN RIDGEFIELD CORP, 55 WEST 46TH STREET, 31ST FLOOR NEW YORK, NY 10036	DIRECTOR 0.25	0.	0.	0.
HENRY DAVIS C/O MILLBURN RIDGEFIELD CORP, 55 WEST 46TH STREET, 31ST FLOOR NEW YORK, NY 10036	DIRECTOR 0.25	0.	0.	0.
DAVID ELLWOOD C/O MILLBURN RIDGEFIELD CORP, 55 WEST 46TH STREET, 31ST FLOOR NEW YORK, NY 10036	DIRECTOR 0.25	0.	0.	0.
GRAHAM ALLISON C/O MILLBURN RIDGEFIELD CORP, 55 WEST 46TH STREET, 31ST FLOOR NEW YORK, NY 10036	DIRECTOR 0.25	0.	0.	0.

THE MALCOLM HEWITT WIENER FOUNDATION C/O

13-3250321

· ADELAIDE LEWIS DIRECTOR
C/O MILLBURN RIDGEFIELD CORP, 55
WEST 46TH STREET, 31ST FLOOR 0.25
NEW YORK, NY 10036

BEVIS LONGSTRETH DIRECTOR
C/O MILLBURN RIDGEFIELD CORP, 55
WEST 46TH STREET, 31ST FLOOR 0.25
NEW YORK, NY 10036

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

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0. 0. 0.

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FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	C o n v	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction in Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
1	COMPUTER EQUIPMENT	06/15/99	200DB	5.00	HY17	HY17	23,207.				23,207.	23,207.		0.	23,207.
2	FURNITURE & FIXTURES	04/15/99	200DB	7.00	HY17	HY17	24,226.				24,226.	24,226.		0.	24,226.
3	OFFICE EQUIPMENT	05/15/99	200DB	5.00	HY17	HY17	764.				764.	764.		0.	764.
	* TOTAL 990-PF PG 1 DEPR						48,197.				48,197.	48,197.		0.	48,197.