

For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018

Name of foundation EUGENE V AND CLARE E THAW CHARITABLE TRUST		A Employer identification number 13-3081491	
Number and street (or P O box number if mail is not delivered to street address) PO BOX 2422		Room/suite	B Telephone number (see instructions) (505) 982-7023
City or town, state or province, country, and ZIP or foreign postal code SANTA FE, NM 875042422		C If exemption application is pending, check here ▶ <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here ▶ <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation ▶ <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 60,191,803		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>	
J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis )			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	55,931,624			
	2 Check ▶ <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	3 Interest on savings and temporary cash investments	1,121	1,121		
	4 Dividends and interest from securities . . .	337,662	337,662		
	5a Gross rents . . . . .				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,839,826			
	b Gross sales price for all assets on line 6a 8,506,309				
	7 Capital gain net income (from Part IV, line 2) . . .		1,839,826		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 Total. Add lines 1 through 11 . . . . .	58,110,233	2,178,609		
	13 Compensation of officers, directors, trustees, etc	223,000	178,400		44,600
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .	19,800	15,840		3,960
	16a Legal fees (attach schedule) . . . . .	21,867	17,494		4,373
	b Accounting fees (attach schedule) . . . . .	18,607	14,886		3,721
	c Other professional fees (attach schedule) . . . . .	54,113	54,113		0
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions) . . .	31,449	9,191		2,257
	19 Depreciation (attach schedule) and depletion . . .	17,436	0		
	20 Occupancy . . . . .	4,571	3,657		914
	21 Travel, conferences, and meetings . . . . .	25,386	20,309		5,077
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	43,597	34,878		8,719
	24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .	459,826	348,768		73,621
	25 Contributions, gifts, grants paid . . . . .	10,055,371			10,055,371
	26 Total expenses and disbursements. Add lines 24 and 25	10,515,197	348,768		10,128,992
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	47,595,036			
	b Net investment income (if negative, enter -0-)		1,829,841		
c Adjusted net income (if negative, enter -0-) . . .					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash—non-interest-bearing . . . . .	42,891	480,766	480,766		
	2	Savings and temporary cash investments . . . . .	719,610	3,599,308	3,599,308		
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable . . . . .					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .					
	7	Other notes and loans receivable (attach schedule) ▶ <u>5,000,000</u> Less allowance for doubtful accounts ▶ <u>0</u>	0	5,000,000	5,000,000		
	8	Inventories for sale or use . . . . .					
	9	Prepaid expenses and deferred charges . . . . .	11,753	21,576	21,576		
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule) . . . . .	11,850,271	11,425,672	11,425,672		
	c	Investments—corporate bonds (attach schedule) . . . . .	1,184,422	1,531,825	1,531,825		
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans . . . . .					
	13	Investments—other (attach schedule) . . . . .					
	14	Land, buildings, and equipment basis ▶ <u>829,472</u> Less accumulated depreciation (attach schedule) ▶ <u>440,951</u>	405,957	388,521	388,521		
15	Other assets (describe ▶ _____)	2,114,561	37,744,135	37,744,135			
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	16,329,465	60,191,803	60,191,803			
Liabilities	17	Accounts payable and accrued expenses . . . . .	10,445	15,603			
	18	Grants payable . . . . .	2,000,000				
	19	Deferred revenue . . . . .					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule) . . . . .					
	22	Other liabilities (describe ▶ _____)					
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	2,010,445	15,603			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted . . . . .	14,319,020	60,176,200			
	25	Temporarily restricted . . . . .					
	26	Permanently restricted . . . . .					
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.						
	27	Capital stock, trust principal, or current funds . . . . .					
	28	Paid-in or capital surplus, or land, bldg , and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds					
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	14,319,020	60,176,200				
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) .	16,329,465	60,191,803				

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	14,319,020
2	Enter amount from Part I, line 27a . . . . .	2	47,595,036
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3 . . . . .	4	61,914,056
5	Decreases not included in line 2 (itemize) ▶ _____	5	1,737,856
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	60,176,200

**Part IV Capital Gains and Losses for Tax on Investment Income**

<b>(a)</b> List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo , day, yr )	<b>(d)</b> Date sold (mo , day, yr )
<b>1a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>(i)</b> F M V as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col (i) over col (j), if any	
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss) <div style="float: right; font-size: small;">           { If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div>	<b>2</b>	1,839,826
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	1,840,405	12,944,102	0 142181
2016	1,937,730	12,151,052	0 159470
2015	1,692,345	8,441,345	0 200483
2014	2,032,172	10,517,068	0 193226
2013	2,475,853	12,570,325	0 196960
<b>2</b> Total of line 1, column (d)			<b>2</b> 0 892320
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0 178464
<b>4</b> Enter the net value of noncharitable-use assets for 2018 from Part X, line 5			<b>4</b> 18,625,088
<b>5</b> Multiply line 4 by line 3			<b>5</b> 3,323,908
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 18,298
<b>7</b> Add lines 5 and 6			<b>7</b> 3,342,206
<b>8</b> Enter qualifying distributions from Part XII, line 4			<b>8</b> 10,128,992

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	18,298
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	18,298
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	18,298
<b>6</b>	Credits/Payments		
<b>a</b>	2018 estimated tax payments and 2017 overpayment credited to 2018	<b>6a</b>	41,784
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	0
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	0
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	41,784
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	<b>8</b>	254
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	23,232
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2019 estimated tax</b> 23,232 <b>Refunded</b>	<b>11</b>	0

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation  \$ 0 (2) On foundation managers  \$ 0		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers  \$ 0		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T</i>	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) NM		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	<b>10</b>	Yes

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions. . . . .	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>►</b> N/A	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of <b>►</b> RICCI COMPANY LLC Telephone no <b>►</b> (505) 338-0800			

Located at **►** 6200 UPTOWN BLVD NE SUITE 400 ALBUQUERQUE NM ZIP+4 **►** 87110

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . <b>►</b> <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year . . . . . <b>►</b> <b>15</b>			
<b>16</b>	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	<b>16</b>	<b>Yes</b>	<b>No</b>
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country <b>►</b>			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly)		<b>Yes</b>	<b>No</b>
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. . . . . <input type="checkbox"/>	<b>1b</b>		<b>No</b>
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? . . . . . <input type="checkbox"/>	<b>1c</b>		<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	If "Yes," list the years <b>►</b> 20____, 20____, 20____, 20____			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions). . . . .	<b>2b</b>		
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here <b>►</b> 20____, 20____, 20____, 20____			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018). . . . .	<b>3b</b>		
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	<b>4b</b>		<b>No</b>

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

<b>5a</b>	During the year did the foundation pay or incur any amount to		<b>Yes</b>	<b>No</b>
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		<b>5b</b>	
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	<input type="checkbox"/> Yes <input type="checkbox"/> No		
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>6b</b>	<b>No</b>
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870			
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>7b</b>	
<b>b</b>	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

<b>1 List all officers, directors, trustees, foundation managers and their compensation. See instructions</b>				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KATHLEEN FLANAGAN PO BOX 2422 SANTA FE, NM 87501	TRUSTEE & EXECUTIVE DIRECTOR 50 00	223,000	19,800	0
BRIAN O'DONOGHUE PO BOX 2422 SANTA FE, NM 87501	TRUSTEE 1 00	0	0	0
MICHAELA O'DONOGHUE PO BOX 2422 SANTA FE, NM 87501	TRUSTEE 1 00	0	0	0
EUGENE V THAW PO BOX 2422 SANTA FE, NM 87501	OUTGOING TRUSTEE (DECEASED IN 2018) 0 10	0	0	0
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
<b>Total number of other employees paid over \$50,000.</b>				<b>0</b>

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ►		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ►	0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	15,160,026
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	3,748,693
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	18,908,719
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	18,908,719
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	283,631
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	18,625,088
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	931,254

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	931,254
<b>2a</b>	Tax on investment income for 2018 from Part VI, line 5.	<b>2a</b>	18,298
<b>b</b>	Income tax for 2018 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	18,298
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	912,956
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	0
<b>5</b>	Add lines 3 and 4.	<b>5</b>	912,956
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	912,956

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	10,128,992
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	10,128,992
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	<b>5</b>	18,298
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	10,110,694

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
<b>1</b> Distributable amount for 2018 from Part XI, line 7				912,956
<b>2</b> Undistributed income, if any, as of the end of 2018				
<b>a</b> Enter amount for 2017 only. . . . .			0	
<b>b</b> Total for prior years 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2018				
<b>a</b> From 2013. . . . .	1,858,555			
<b>b</b> From 2014. . . . .	1,516,422			
<b>c</b> From 2015. . . . .	1,340,462			
<b>d</b> From 2016. . . . .	1,394,378			
<b>e</b> From 2017. . . . .	1,212,457			
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	7,322,274			
<b>4</b> Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>10,128,992</u>				
<b>a</b> Applied to 2017, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2018 distributable amount. . . . .				912,956
<b>e</b> Remaining amount distributed out of corpus	9,216,036			
<b>5</b> Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a) )	0			0
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	16,538,310			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions). . . . .	1,858,555			
<b>9</b> <b>Excess distributions carryover to 2019.</b> Subtract lines 7 and 8 from line 6a . . . . .	14,679,755			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2014. . . . .	1,516,422			
<b>b</b> Excess from 2015. . . . .	1,340,462			
<b>c</b> Excess from 2016. . . . .	1,394,378			
<b>d</b> Excess from 2017. . . . .	1,212,457			
<b>e</b> Excess from 2018. . . . .	9,216,036			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶					
<b>b</b> Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
(1) Value of all assets . . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
<b>c</b> "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
(3) Largest amount of support from an exempt organization . . . . .					
(4) Gross investment income . . . . .					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

<b>1 Information Regarding Foundation Managers:</b>	
<b>a</b> List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) ) EUGENE V THAW	
<b>b</b> List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
<b>2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:</b>	
Check here <input checked="" type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.	
<b>a</b> The name, address, and telephone number or email address of the person to whom applications should be addressed	
<b>b</b> The form in which applications should be submitted and information and materials they should include	
<b>c</b> Any submission deadlines	
<b>d</b> Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors	

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b>			<b>▶ 3a</b>	
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>			<b>▶ 3b</b>	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .			14	1,121	
<b>4</b> Dividends and interest from securities. . . .			14	337,662	
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .			18	1,839,826	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue <b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal Add columns (b), (d), and (e). .		0		2,178,609	0
<b>13 Total.</b> Add line 12, columns (b), (d), and (e). . . . . (See worksheet in line 13 instructions to verify calculations )			<b>13</b>	2,178,609	2,178,609

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		<b>Yes</b>	<b>No</b>
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of			
<b>(1)</b> Cash.	<b>1a(1)</b>		<b>No</b>
<b>(2)</b> Other assets.	<b>1a(2)</b>		<b>No</b>
<b>b</b> Other transactions			
<b>(1)</b> Sales of assets to a noncharitable exempt organization.	<b>1b(1)</b>		<b>No</b>
<b>(2)</b> Purchases of assets from a noncharitable exempt organization.	<b>1b(2)</b>		<b>No</b>
<b>(3)</b> Rental of facilities, equipment, or other assets.	<b>1b(3)</b>		<b>No</b>
<b>(4)</b> Reimbursement arrangements.	<b>1b(4)</b>		<b>No</b>
<b>(5)</b> Loans or loan guarantees.	<b>1b(5)</b>		<b>No</b>
<b>(6)</b> Performance of services or membership or fundraising solicitations.	<b>1b(6)</b>		<b>No</b>
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	<b>1c</b>		<b>No</b>
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received.			

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>	*****	2019-11-07	*****	May the IRS discuss this return with the preparer shown below? (see instr )? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	Signature of officer or trustee	Date	Title	

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	MARIA MATONTI				P01790899
	Firm's name ▶ RICCI & COMPANY LLC				Firm's EIN ▶ 20-5949532
Firm's address ▶ 6200 UPTOWN BLVD NE STE 400 ALBUQUERQUE, NM 87110					Phone no (505) 338-0800

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d				
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1	250000 SHRS BNY MELLON NA INSTL CTF DEP PROGRAMS INS TL CTF DEP 1 100%		2017-11-14	2018-02-21
1	250000 SHRS BANK BARODA NEW YORK BRH CTF DEP ACT/365 1 400%		2017-11-13	2018-05-22
	250000 SHRS BANK EAST ASUA LTD NEW YORK NY CTF DEP A CT/365 1 100%		2017-11-13	2018-01-26
	250000 SHRS COMPASS BANK BIRMINGHAM ALA CTF DEP ACT/365 AT MATURITY 1 350		2017-07-11	2018-04-16
	248000 SHRS DISCOVER BANK GREENWOOD DEL CTF DEP ACT/365 SEMI ANNUALLY 1 6		2017-08-11	2018-07-16
	250000 SHRS FIRST FINL BANK CINCINATI OH CTF DEP ACT/365 AT MATURITY		2017-07-11	2018-04-23
	250000 SHRS MORGAN STANLEY BANK NA SALT LAKE CITY UTAH CTF DEP ACT/365		2017-07-11	2018-04-20
	150000 SHRS SALLIE MAE BANK SALT LAKE CITY UT CTF DEP ACT/365 SEMI-ANNUAL		2017-11-13	2018-10-30
	250000 SHRS SYNCHRONY BANK RETAIL CTF DEP PROGRAM BOOK ENTRY FIDELITY INST		2017-08-11	2018-02-20
	199000 SHRS WELLS FARGO BANK NA SIOUX FALLS SD CTF DEO ACT/365 MONTHLY		2017-11-13	2018-10-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
250,000		250,000	0
250,000		250,000	0
250,000		250,000	0
250,000		250,000	0
248,000		248,000	0
250,000		250,000	0
250,000		250,000	0
150,000		150,000	0
250,000		250,005	-5
199,000		199,000	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			0
			0
			0
			0
			-5
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
200000 SHRS AMERICAN EXPRESS CENTURION BANK CTF		2017-07-11	2018-12-10
1 250000 SHRS BROOKLINE BANK MASS CTF DEP ACT/365 MONTHLY 1 400%		2017-08-11	2018-12-14
248000 SHRS COMENITY CAP BK SALT LAKE CITY UTAH CTF		2017-07-11	2018-10-22
250000 SHRS DISCOVER BANK GREENWOOD DEL CTF		2017-08-11	2018-08-16
199000 SHRS GOLDMAN SACHS BANK USA - ISSUES ASSUMED FROM GE CAP CK		2017-07-11	2018-11-14
250000 SHRS GOLDMAN SACHS BK USA NEW YORK CTF DEP ACT		2017-08-11	2018-08-16
250000 SHRS WELLS FARGO BK NA SIOUX FALLS SD CTF		2017-07-11	2018-08-20
400 SHRS AMERICAN WTR WKS CO INC		2018-01-05	2018-10-23
0 500 SHRS GARRETT MOTION INC		2018-01-03	2018-10-03
800 SHRS INTERCONTINENTAL ECHANGE INC		2018-04-30	2018-11-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
200,000		200,000	0
250,000		250,000	0
248,000		248,000	0
250,000		250,000	0
199,000		199,000	0
250,000		250,000	0
250,000		250,000	0
36,226		34,812	1,414
9		8	1
61,272		58,031	3,241

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			0
			0
			0
			0
			1,414
			1
			3,241

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
500 SHRS MSA SAFETY INC		2017-09-15	2018-01-05
1 300 SHRS MASIMO CORP COM		2018-01-05	2018-05-15
300 SHRS ORBITAL ATK INC		2017-09-15	2018-06-08
157 498 SHRS RESIDEO TECHNOLOGIES INC		2018-01-03	2018-11-09
200 SHRS ACCENTURE PLC IRELAND CLASS SHS		2016-08-11	2018-09-27
1500 SHRS AMERICAN WTR WKS CO INC		2018-01-03	2018-10-23
600 SHRS BERKSHIRE HATHAWAY INC		2018-01-03	2018-11-13
200 SHRS BROADRIDGE FINL SOLUTIONS INC		2016-01-11	2018-09-27
2175 SHRS CHURCH & DWIGHT CO INC			2018-07-10
1000 SHRS CHURCH & DWIGHT CO INC		2018-01-03	2018-11-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
39,073		37,157	1,916
28,722		26,684	2,038
40,350		32,898	7,452
3,415		4,166	-751
34,196		22,785	11,411
135,848		133,005	2,843
130,812		119,100	11,712
26,236		10,004	16,232
117,465		108,621	8,844
64,287		49,225	15,062

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,916
			2,038
			7,452
			-751
			11,411
			2,843
			11,712
			16,232
			8,844
			15,062



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
200 SHRS COSTCO WHOLESALE CORP		2016-01-15	2018-09-27
1 1500 SHRS CROWN CASTLE INTL CORP			2018-02-23
1500 SHRS CROWN CASTLE INTL CORP		2018-01-03	2018-04-27
200 SHRS DANAHER CORP COM		2016-09-06	2018-09-27
300 SHRS DIGITAL RLTY TR INC		2016-12-08	2018-09-27
560 SHRS DR PEPPER SNAPPLE GROUP INC		2016-08-12	2018-01-29
1510 SHRS EXPEDITORS INTL WASH INC		2018-01-03	2018-11-09
280 SHRS HARRIS CORP DEL		2017-06-15	2018-09-27
325 SHRS MOHAWK INDUSTRIES INC		2018-01-03	2018-07-26
1500 SHRS PARSLEY ENERGY INC CL A		2017-03-28	2018-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
47,045		29,868	17,177
163,470		142,762	20,708
101,096		108,429	-7,333
21,620		15,988	5,632
33,439		28,008	5,431
66,527		54,367	12,160
108,459		98,233	10,226
46,640		30,563	16,077
57,750		89,557	-31,807
23,498		46,472	-22,974

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			17,177
			20,708
			-7,333
			5,632
			5,431
			12,160
			10,226
			16,077
			-31,807
			-22,974

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
800 SHRS REALTY INCOME CORP		2017-06-15	2018-10-23
1 3552 SHRS SELECT SECTOR SPDR TR FINANCIAL			2018-09-27
200 SHRS SHERWIN WILLIAMS CO		2017-09-05	2018-09-27
300 SHRS UNITED HEALTH GROUP INC		2018-01-03	2018-11-09
2000 SHRS VERIZON COMMUNICATIONS INC		2018-01-03	2018-11-01
200 SHRS BERKSHIRE HATHAWAY INC DEL CL B		2018-01-03	2018-09-27
200 SHRS BERKSHIRE HATHAWAY INC DEL CL B		2018-01-03	2018-11-13
500 SHRS CHURCH & DWIGHT CO INC		2016-07-06	2018-01-05
SALE OF ALBERT BIERSTADT PAINTING	D	2017-07-05	2018-11-16
SALE OF GEORGE PYNE PAINTING	D	2017-05-19	2018-10-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
46,088		44,854	1,234
99,426		55,821	43,605
91,371		69,099	22,272
82,776		66,650	16,126
112,513		105,520	6,993
43,390		39,700	3,690
43,600		39,700	3,900
25,026		25,391	-365
6,650		22,000	-15,350
7,000		23,000	-16,000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,234
			43,605
			22,272
			16,126
			6,993
			3,690
			3,900
			-365
			-15,350
			-16,000

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co )	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo , day, yr )	<b>(d)</b> Date sold (mo , day, yr )
SALE OF GEORGIA O'KEEFFE PAINTING	D	2014-03-03	2018-11-20
1 CAPITAL GAINS DIVIDENDS	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	Depreciation allowed <b>(f)</b> (or allowable)	Cost or other basis <b>(g)</b> plus expense of sale	Gain or (loss) <b>(h)</b> (e) plus (f) minus (g)
2,550,000		900,000	1,650,000
17,014			17,014

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>(i)</b> F M V as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col (i) over col (j), if any	
			1,650,000
			17,014

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
AMERICAN MUSEUM OF NATURAL HISTORY CENTRAL PARK WEST AT 79TH STREET NEW YORK, NY 100245192	N/A	PUBLIC CHARITY	TOWARDS RENOVATION OF THE HALL OF NORTHWEST COAST INDIANS	1,000,000
CLARK ART INSTITUTE225 SOUTH ST WILLIAMSTOWN, MA 01267	N/A	PUBLIC CHARITY	TOWARDS THE "EUGENE V THAW GALLERY FOR WORKS ON PAPER" AT THE MANTON RESEARCH CENTER, "DRAWN TO GREATNESS" EXHIBITION	1,165,000
COOPER HEWITT SMITHSONIAN MUSEUM 2 EAST 91ST STREET NEW YORK, NY 10128	N/A	PUBLIC CHARITY	FOR THE EXHIBITION BOOKLET "MADE TO SCALE STAIRCASE MODELS"	7,000
<b>Total . . . . . ► 3a</b>				10,055,371

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
FENIMORE ART MUSEUMPO BOX 800 COOPERSTOWN, NY 13326	N/A	PUBLIC CHARITY	ENDOWMENT OF THE CURATOR OF THE THAW COLLECTION, "THOMAS COLE GARDEN OF EDEN" EXHIBITION AND CATALOGUE, UPGRADE OF THE MUSEUM COLLECTION DATABASE, ALFRED J MILLER PAINTING, AND (5) AM THEOREM PAINTINGS	4,111,100
FRIENDS OF GANONDAGAN 61 W MAIN ST VICTOR, NY 14564	N/A	PUBLIC CHARITY	AWARDS FOR THE ANNUAL JURIED ART SHOW AT THE SENECA ART & CULTURE CENTER	10,000
GLIMMERGLASS FESTIVALPO BOX 191 COOPERSTOWN, NY 13326	N/A	PUBLIC CHARITY	GENERAL SUPPORT OF THE 2018 OPERA FESTIVAL	100,000
<b>Total . . . . . ▶ 3a</b>				10,055,371

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div>	<div>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</div>	<div>Foundation status of recipient</div>	<div>Purpose of grant or contribution</div>	<div>Amount</div>
<div>Name and address (home or business)</div>				
<b>a</b> <i>Paid during the year</i>				
METROPOLITAN MUSEUM OF ART 1000 FIFTH AVENUE NEW YORK, NY 10028	N/A	PUBLIC CHARITY	FOR THE "DELACROIX" EXHIBITION, PAINTINGS BY CANALETTO, DEGAS, GERTNER, LANDSEER, & MOREAU, AND JOINT GIFT OF (6) OIL SKETCHES BY VARIOUS ARTISTS	2,397,000
MORGAN LIBRARY & MUSEUM 225 MADISEN AVE NEW YORK, NY 10016	N/A	PUBLIC CHARITY	ANNUAL EXHIBIT FROM THE PERMANENT COLLECTION AND ACCOMPANYING PUBLICATION, JOINT GIFT OF (6) OIL SKETCHES BY VARIOUS ARTISTS, PAINTING BY GORKY, PAINTING BY WYETH, AND GOLD PLATED BROOCH	178,971
MUNSON-WILLIAMS-PROCTOR ART INSTITUTE 310 GENESEE STREET UTICA NEW YORK, NY 13502	N/A	PUBLIC CHARITY	FOR "AMERICAN INDIAN ART FROM FENIMORE ART MUSEUM" EXHIBIT	40,000
<b>Total . . . . . ► 3a</b>				10,055,371

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NATIONAL GALLERY OF ART 6TH CONSTITUTION AVE NW WASHINGTON, DC 20565	N/A	PUBLIC CHARITY	FOR THE 2018 "COLLOQUIUM ON CONNOISSEURSHIP"	32,000
NM SCHOOL FOR THE ARTS - ART INSTITUTE 275 E ALAMEDA ST SANTA FE, NM 87501	N/A	PUBLIC CHARITY	TOWARDS THE CONSTUCTION COST OF A NEW CAMPUS	1,000,000
SANTA FE ANIMAL SHELTER 100 CAJA DEL RIO RD SANTA FE, NM 87507	N/A	PUBLIC CHARITY	EMERGENCY VETERINARY FUND AT THE CLARE EDDY THAW ANIMAL HOSPITAL	14,300
<b>Total . . . . .</b> ► <b>3a</b>				10,055,371

**TY 2018 Accounting Fees Schedule**

**Name:** EUGENE V AND CLARE E THAW  
CHARITABLE TRUST

**EIN:** 13-3081491

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	18,607	14,886		3,721



## TY 2018 Investments Corporate Bonds Schedule

**Name:** EUGENE V AND CLARE E THAW  
CHARITABLE TRUST

**EIN:** 13-3081491

### Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
AMGEN INC	101,969	101,969
AT&T INC	98,276	98,276
AUTODESK INC	50,522	50,522
BECTON DICKINSON & CO	96,600	96,600
BROADRIDGE FINL SOL INC	47,571	47,571
CONSTELLATION BRANDS INC	101,099	101,099
CROWN CASTLE INTL CORP	97,397	97,397
DIGITAL RLTY TR LP	25,506	25,506
DIGITAL RLTY TR LP GTD FIXED RT	77,447	77,447
FISERV INC	99,311	99,311
GOLDMAN SACHS BANK SEMI-ANNUAL	95,762	95,762
HOSPITALITY PPTYS TR	50,426	50,426
JP MORGAN CHASE & CO	97,568	97,568
KRAFT HEINZ FOOD CO	96,776	96,776
ROPER TECHNOLOGIES INC	99,350	99,350
SHERWIN-WILLIAMS CO	95,484	95,484
SMUCKER J M CO	100,170	100,170
WELLS FARGO & CO	100,591	100,591

**TY 2018 Investments Corporate Stock Schedule**

**Name:** EUGENE V AND CLARE E THAW  
CHARITABLE TRUST  
**EIN:** 13-3081491

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABBVI INC COM	184,380	184,380
ACCENTURE PLC IRELAND	183,313	183,313
AMGEN INC	175,203	175,203
ANSYS INC	98,629	98,629
APPLE INC	170,359	170,359
BANK OF NEW YORK MELLON CORP	120,970	120,970
BIOGEN IDEC INC	60,184	60,184
BROADCOM INC	43,228	43,228
BROADRIDGE FINL SOL INC	192,500	192,500
CHURCH & DWIGHT CO INC	65,760	65,760
CISCO SYSTEMS INC	108,325	108,325
CLOROX CO	94,025	94,025
CONCHO RES INC COM	143,906	143,906
COSTCO WHOLESALE CORP	162,968	162,968
DANAHER CORP	185,616	185,616
DIGITAL RLTY TR INC	159,825	159,825
EOG RES INC	65,408	65,408
EQUINIX INC	70,512	70,512
FISERV INC	174,171	174,171
FORTIVE CORP	89,650	89,650
GARRETT MOTION INC	1,160	1,160
HARRIS CORP	201,975	201,975
HENRY JACK & ASSOC INC	94,890	94,890
HONEYWELL INTL INC	124,853	124,853
IDEXX LABS INC	130,214	130,214
ISHARES TR US OIL & GAS EXPL & PRODUCTION	51,630	51,630
JOHNSON & JOHNSON	64,525	64,525
JP MORGAN CHASE & CO	146,430	146,430
MICROSOFT CORP	246,815	246,815
MSCI INC	73,715	73,715

## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
NASDAQ INC	65,256	65,256
NASDAQ INTERNET	220,758	220,758
PARSLEY ENERGY INC CL A	23,970	23,970
PAYCHEX INC COM	162,875	162,875
ROCKWELL AUTOMATION INC FORMERLY ROCKWELL INTL CORP	63,954	63,954
ROLLINS INC	97,470	97,470
ROPER TECHNOLOGIES INC	53,304	53,304
S&P SMALLCAP HEALTH CARE	107,530	107,530
SHERWIN-WILLIAMS CO	157,384	157,384
SMITH A O CORP	128,100	128,100
SPDR SER S&P AEROSPACE	118,380	118,380
TE CONNECTIVITY LTD	151,260	151,260
UNILEVER PLC SPON ADR NEW	104,500	104,500
UNION PAC CORP	103,673	103,673
UNITED HEALTH GROUP INC	99,648	99,648
UNIVERSAL INS HLDGS INC	113,760	113,760
VANGUARD BALANCED INX FD	1,191,925	1,191,925
VANGUARD CONSUMER DISCRETIONARY	109,127	109,127
VANGUARD GLOBAL MIN VOL FD	541,327	541,327
VANGUARD INDEX FDS GROWTH	402,990	402,990
VANGUARD HIGH YIELD CORP BOND FD	391,966	391,966
VANGUARD S/T CORP BOND INX FD	506,592	506,592
VANGUARD SMALL CAP INX FD	310,327	310,327
VANGUARD SPECIALIZED DIVIDEND APPRECIATION	97,950	97,950
VANGUARD TOTAL BOND MARKET INX FD	906,277	906,277
VANGUARD TOTAL STOCK MKT INX FD	1,070,253	1,070,253
VISA INC	197,910	197,910
WASTE CONNECTIONS INC COM	137,273	137,273
3M CO	104,794	104,794

**TY 2018 Legal Fees Schedule**

**Name:** EUGENE V AND CLARE E THAW  
CHARITABLE TRUST

**EIN:** 13-3081491

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	21,867	17,494		4,373

**TY 2018 Other Assets Schedule**

**Name:** EUGENE V AND CLARE E THAW  
CHARITABLE TRUST

**EIN:** 13-3081491

**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ARTWORK	16,300	15,000	15,000
DONATED ARTWORK	2,098,261	37,729,135	37,729,135

**TY 2018 Other Decreases Schedule**

**Name:** EUGENE V AND CLARE E THAW  
CHARITABLE TRUST

**EIN:** 13-3081491

Description	Amount
CHANGE IN UNREALIZED GAINS & LOSSES	687,856
BOOK/TAX CAPITAL GAIN DIFFERENCE	1,050,000

**TY 2018 Other Expenses Schedule**

**Name:** EUGENE V AND CLARE E THAW  
CHARITABLE TRUST

**EIN:** 13-3081491

**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	37,740	30,192		7,548
OFFICE SUPPLIES	3,101	2,481		620
DUES AND SUBSCRIPTIONS	1,000	800		200
POSTAGE	964	771		193
REPAIRS	792	634		158

**TY 2018 Other Professional Fees Schedule**

**Name:** EUGENE V AND CLARE E THAW  
CHARITABLE TRUST

**EIN:** 13-3081491

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	54,113	54,113		0



**TY 2018 Substantial Contributors  
Schedule**

**Name:** EUGENE V AND CLARE E THAW  
CHARITABLE TRUST

**EIN:** 13-3081491

Name	Address
EUGENE V THAW REVOCABLE TRUST	PO BOX 2422 SANTA FE, NM 87504

**TY 2018 Taxes Schedule**

**Name:** EUGENE V AND CLARE E THAW  
CHARITABLE TRUST

**EIN:** 13-3081491

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	11,287	9,030		2,257
EXCISE TAX	20,001	0		0
FOREIGN TAX PAID ON INVSTMT INCOME	161	161		0

<b>Schedule B</b> (Form 990, 990-EZ, or 990-PF) <small>Department of the Treasury Internal Revenue Service</small>	<b>Schedule of Contributors</b>  ▶ <b>Attach to Form 990, 990-EZ, or 990-PF</b> ▶ Go to <u><a href="http://www.irs.gov/Form990">www.irs.gov/Form990</a></u> for the latest information	OMB No 1545-0047
		<b>2018</b>
<b>Name of the organization</b> EUGENE V AND CLARE E THAW CHARITABLE TRUST		<b>Employer identification number</b> 13-3081491

Organization type (check one)

<b>Filers of:</b>	<b>Section:</b>
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)( ) (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

<b>Name of organization</b> EUGENE V AND CLARE E THAW CHARITABLE TRUST	<b>Employer identification number</b> 13-3081491
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**Part I** **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	EUGENE V THAW REVOCABLE TRUST PO BOX 2422 SANTA FE, NM 87504	\$ 55,931,624	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )

<b>Name of organization</b> EUGENE V AND CLARE E THAW CHARITABLE TRUST	<b>Employer identification number</b>  13-3081491
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<b>Part II</b>	<b>Noncash Property</b>
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(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	\$4,633,679 IN PUBLICLY-TRADED STOCK AND \$40,657,945 IN ARTWORK	\$ 45,291 624	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
_____	_____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
_____	_____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
_____	_____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
_____	_____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
_____	_____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
_____	_____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
_____	_____	\$ _____	_____

**Name of organization**EUGENE V AND CLARE E THAW  
CHARITABLE TRUST**Employer identification number**

13-3081491

<b>Part III</b>	<b>Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____</b> Use duplicate copies of Part III if additional space is needed
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	