

For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018

Name of foundation RALPH LANDAU FOUNDATION		A Employer identification number 13-2895717	
% LAURIE LANDEAU C/O LISTOWEL			
Number and street (or P O box number if mail is not delivered to street address) C/O LISTOWEL INC 3 PARK AVENUE Sui	Room/suite	B Telephone number (see instructions) (212) 683-8660	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10016		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 61,533,362	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) <u>(Part I, column (d) must be on cash basis)</u>	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ☒ If the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	6,058	6,058		
	4 Dividends and interest from securities	1,374,078	1,373,539		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,364,781			
	b Gross sales price for all assets on line 6a 4,132,986				
	7 Capital gain net income (from Part IV, line 2)		2,364,781		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 5,316	5,382		
	12 Total. Add lines 1 through 11	3,750,233	3,749,760		
	13 Compensation of officers, directors, trustees, etc	130,000	13,000		117,000
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	912			912
	b Accounting fees (attach schedule)	20,162			20,162
	c Other professional fees (attach schedule)	 79,407	79,407		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	 84,121	39,871		9,250
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	52,646			52,646
	21 Travel, conferences, and meetings	8,570			8,570
	22 Printing and publications				
	23 Other expenses (attach schedule)	 126,096	26,219		99,877
	24 Total operating and administrative expenses. Add lines 13 through 23	501,914	158,497		308,417
	25 Contributions, gifts, grants paid	3,259,033			3,259,033
	26 Total expenses and disbursements. Add lines 24 and 25	3,760,947	158,497		3,567,450
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-10,714			
	b Net investment income (if negative, enter -0-)		3,591,263		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	114,563	87,914	87,914
	2 Savings and temporary cash investments	239,376	458,018	458,018
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)	20,001 	0	0
	b Investments—corporate stock (attach schedule)	33,289,740 	33,104,710	56,243,080
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	4,827,359 	4,734,479	4,739,978
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)	 4,116 	4,372 	4,372	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	38,495,155	38,389,493	61,533,362	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	 0 	107	
	23 Total liabilities (add lines 17 through 22)	0	107	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	38,495,155	38,389,386	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	38,495,155	38,389,386	
	31 Total liabilities and net assets/fund balances (see instructions) .	38,495,155	38,389,493	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	38,495,155
2 Enter amount from Part I, line 27a	2	-10,714
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	38,484,441
5 Decreases not included in line 2 (itemize) ▶ _____ 	5	95,055
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	38,389,386

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a 20,000 NYC TRANSIT AUTH 5 4% 1/1/18	D	2008-12-31	2018-01-01
b 2,355 62 SH VANGUARD INSTL INDEX FD		2008-09-18	2018-03-09
c 5,691 06 SH VANGUARD INSTL INDEX FD	P	2008-09-18	2018-06-27
d 7,725 65 SH VANGUARD INSTL INDEX FD	P	2008-09-18	2018-12-17
e CAPITAL GAIN DIVIDENDS	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 20,000		20,000	
b 600,000		261,097	338,903
c 1,400,000		630,797	769,203
d 1,800,000		856,311	943,689
e			245,815

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			
b			338,903
c			769,203
d			943,689
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	2,364,781
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	3,393,215	65,692,095	0 051653
2016	3,123,559	59,203,769	0 052759
2015	3,094,050	61,771,496	0 050089
2014	2,845,945	62,367,346	0 045632
2013	3,123,617	58,450,483	0 05344

2 Total of line 1, column (d)	2	0 253573
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 050715
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	68,910,038
5 Multiply line 4 by line 3	5	3,494,773
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	35,913
7 Add lines 5 and 6	7	3,530,686
8 Enter qualifying distributions from Part XII, line 4	8	3,567,450

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	35,913
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	35,913
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	35,913
6	Credits/Payments		
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	37,278
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	10,000
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	47,278
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	11,365
11	Enter the amount of line 10 to be Credited to 2019 estimated tax ☐ 11,365 Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ DE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions 	11	Yes	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address  N/A	13	Yes	
14	The books are in care of  LAURIE LANDEAU CO LISTOWEL Telephone no  (212) 683-8660			
	Located at  3 PARK AVENUE 2701 NEW YORK NY ZIP+4  10016			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here  and enter the amount of tax-exempt interest received or accrued during the year  15			
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country 	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?  Yes  No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  Yes  No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  Yes  No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  Yes  No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  Yes  No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).  Yes  No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance check here. 	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If "Yes," list the years  20____, 20____, 20____, 20____  Yes  No			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here  20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  Yes  No			
b	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year did the foundation pay or incur any amount to		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No	
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	☐ Yes ☒ No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b
	Organizations relying on a current notice regarding disaster assistance check here. 	☐	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d)		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	☐ Yes ☒ No	6b
	If "Yes" to 6b, file Form 8870		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	☐ Yes ☒ No	7b
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☒ No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LAURIE J LANDEAU C/O LISTOWEL INC 3 PARK AVENUE NEW YORK, NY 10016	PRESIDENT 0 25	100,000	0	0
EDWARD ROVER C/O LISTOWEL INC 3 PARK AVENUE NEW YORK, NY 10016	SECRETARY & TREASURER 0 1	30,000	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000. 

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3

Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
LISTOWEL INC 3 PARK AVENUE SUITE 2701 NEW YORK, NY 10016	INVST & OFFICE SVCES	130,055
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	64,625,498
b	Average of monthly cash balances.	1b	416,631
c	Fair market value of all other assets (see instructions).	1c	4,917,300
d	Total (add lines 1a, b, and c).	1d	69,959,429
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	69,959,429
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,049,391
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	68,910,038
6	Minimum investment return. Enter 5% of line 5.	6	3,445,502

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	3,445,502
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	35,913
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	35,913
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	3,409,589
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	3,409,589
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	3,409,589

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	3,567,450
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	3,567,450
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	35,913
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	3,531,537

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				3,409,589
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.			0	
b Total for prior years 2016, 2015, 2014		0		
3 Excess distributions carryover, if any, to 2018				
a From 2013.				
b From 2014.				
c From 2015.				0
d From 2016.				12,442
e From 2017.				143,476
f Total of lines 3a through e.	155,918			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>3,567,450</u>				
a Applied to 2017, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2018 distributable amount.				3,409,589
e Remaining amount distributed out of corpus	157,861			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	313,779			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	313,779			
10 Analysis of line 9				
a Excess from 2014.				0
b Excess from 2015.				
c Excess from 2016.				12,442
d Excess from 2017.				143,476
e Excess from 2018.				157,861

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total 3a				
b <i>Approved for future payment</i> STONY BROOK FOUNDATION STATE UNIVERSITY OF NEW YORK AT STONY BROOK 230 ADMINISTRATION STONY BROOK, NY 117941188	N/A	PC	CHARITABLE - ESTABLISH THE SOMAS DEAN'S FUND FOR SUCCESS	1,100,000
Total 3b				

Enter gross amounts unless otherwise indicated

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue				
a _____				
b _____				
c _____				
d _____				
e _____				
f _____				
g Fees and contracts from government agencies				
2 Membership dues and assessments. . . .				
3 Interest on savings and temporary cash investments				
		14	6,058	
4 Dividends and interest from securities. . . .				
		14	1,374,078	
5 Net rental income or (loss) from real estate				
a Debt-financed property.				
b Not debt-financed property.				
6 Net rental income or (loss) from personal property				
7 Other investment income.				
		18	5,316	
8 Gain or (loss) from sales of assets other than inventory				
		18	2,364,781	
9 Net income or (loss) from special events				
10 Gross profit or (loss) from sales of inventory				
11 Other revenue a _____				
b _____				
c _____				
d _____				
e _____				
12 Subtotal Add columns (b), (d), and (e). .				
			3,750,233	
13 Total. Add line 12, columns (b), (d), and (e). 13 <u>3,750,233</u>				

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c)(3) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here	*****	2019-11-12	*****	May the IRS discuss this return with the preparer shown below? (see instr)? ☒ Yes ☐ No
	Signature of officer or trustee	Date	Title	

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
	MARK C PELTZ		2019-11-12		P00631557
	Firm's name ▶ MAZARS USA LLP				Firm's EIN ▶
Firm's address ▶ 135 WEST 50TH STREET NEW YORK, NY 10020					Phone no (212) 812-7000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COLD SPRING HARBOR FISH HATCHERY & AQUARIUM 1660 ROUTE 25A COLD SPRING HARBOR, NY 11724	N/A	PC	CHARITABLE - ANNUAL GIVING	8,000
METROPOLITAN OPERA LINCOLN CENTER NEW YORK, NY 10023	N/A	PC	CHARITABLE - SUPPORT THE ARTS	50,000
CORNELL UNIVERSITY COLLEGE OF VETERINARY MEDICINE ITHICA, NY 148536401	N/A	PC	CHARITABLE - SUPPORT THE ANNUAL FUND FOR VETERINARY COLLEGE (AQUAVET PROGRAM)	87,500
Total ► 3a				3,259,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF PENNSYLVANIA 2929 WALNUT STREET SUITE 300 PHILADELPHIA, PA 191045099	N/A	PC	CHARITABLE - SUPPORT THE TRUSTEES' COUNCIL OF PENN WOMEN FUND	2,500
AMERICAN FARMLAND TRUST 1150 CONNECTICUT AVENUE NW SUITE 600 WASHINGTON, DC 20036	N/A	PC	CHARITABLE - ANNUAL GIVING TO SUPPORT THE PRESERVATION OF FARM AND RANCH LANDS	10,000
SCIENCE HISTORY INSTITUTE 315 CHESTNUT STREET PHILADELPHIA, PA 191062702	N/A	PC	CHARITABLE - OFFSET STRATEGIC CONSULTANT CHARGES	2,000
Total ▶ 3a				3,259,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PRINCETON UNIVERSITY DILLON COURT WEST PRINCETON, NJ 08544	N/A	PC	CHARITABLE - SUPPORT FRIENDS OF OUTDOOR ACTION	2,500
SCIENCE HISTORY INSTITUTE 315 CHESTNUT STREET PHILADELPHIA, PA 191062702	N/A	PC	CHARITABLE - ANNUAL GIVING	10,000
VALLEY CONSERVATION COUNCIL 17 BARRISTERS ROW STAUNTON, VA 24401	N/A	PC	CHARITABLE - SUPPORT TO PRESERVE THE NATURAL AND CULTURAL RESOURCES OF THE SHENANDOAH VALLEY	2,500
Total ▶ 3a				3,259,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN FARMLAND TRUST 1150 CONNECTICUT AVENUE NW SUITE 600 WASHINGTON, DC 20036	N/A	PC	CHARITABLE - SUPPORT THE FARMLAND FOR A NEW GENERATION OF FARMERS IN NEW YORK INITIATIVE	50,000
CARING HOUSE INC 2625 PICKETT ROAD DURHAM, NC 27705	N/A	PC	CHARITABLE - SUPPORT OUTPATIENT TREATMENT AT THE DUKE CANCER INSTITUTE	15,000
COLD SPRING HARBOR LABORATORY ONE BUNGTOWN ROAD COLD SPRING HARBOR, NY 11724	N/A	PC	CHARITABLE - TO ESTABLISH A DNA LEARNING CENTER IN NEW YORK CITY	700,000
Total ► 3a				3,259,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF PENNSYLVANIA 2929 WALNUT STREET SUITE 300 PHILADELPHIA, PA 191045099	N/A	PC	CHARITABLE - SUPPORT VETERINARY STUDENT SCHOLARSHIP FUND	12,000
UNIVERSITY OF PENNSYLVANIA 2929 WALNUT STREET SUITE 300 PHILADELPHIA, PA 191045099	N/A	PC	CHARITABLE - SUPPORT ENGINEERING ANNUAL GIVING FUND	7,500
HORSES IN THE HOODPO BOX 573249 TARZANA, CA 913573249	N/A	PC	CHARITABLE - SUPPORT INNER-CITY YOUTH PROGRAM	2,500
Total ► 3a				3,259,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MARINE BIOLOGICAL LABORATORY 7 MBL STREET WOODS HOLE, MA 02543	N/A	PC	CHARITABLE - ANNUAL FUND	2,500
COLD SPRING HARBOR LABORATORY ONE BUNGTOWN ROAD COLD SPRING HARBOR, NY 11724	N/A	PC	CHARITABLE - SUPPORT ANNUAL FUND TO FUND RESEARCH PROJECTS TO FIGHT VARIOUS DISEASES	10,000
COLD SPRING HARBOR LABORATORY ONE BUNGTOWN ROAD COLD SPRING HARBOR, NY 11724	N/A	PC	CHARITABLE - SUPPORT DOLAN DNA LEARNING CENTER	20,000
Total ► 3a				3,259,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE AMERICAN DRIVING SOCIETY INC PO BOX 278 CROSS PLAINS, WI 53528	N/A	PC	CHARITABLE - GENERAL SUPPORT	1,000
NORTH SHORE LAND ALLIANCE PO BOX 658 1395 PLANTING FIELDS ROAD OYSTER BAY, NY 11771	N/A	PC	CHARITABLE - GENERAL SUPPORT	5,000
BOXERWOOD EDUCATION ASSOCIATION 963 ROSS ROAD LEXINGTON, VA 24450	N/A	PC	CHARITABLE - SUPPORT THE BOXERWOOD NATURE CENTER & WOODLAND GARDEN	10,000
Total ▶ 3a				3,259,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THEODORE ROOSEVELT SANCTUARY & AUDOBON CENTER 2 THIRD STREET SUITE 480 TROY, NY 12180	N/A	PC	CHARITABLE - SUPPORT ONGOING EDUCATION AND CONSERVATION WORK	500
EQUINE LAND CONSERVATION RESOURCE 4037 IRON WORKS PARKWAY SUITE 120 LEXINGTON, KY 40511	N/A	PC	CHARITABLE - SUPPORT CONSERVATION OF LAND FOR HORSES	750
HUNTINGTON-OYSTER BAY AUDUBON SOCIETY PO BOX 735 HUNTINGTON, NY 11743	N/A	PC	CHARITABLE - GENERAL SUPPORT	250
Total ► 3a				3,259,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ATLANTIC MARINE CONSERVATION SOCIETY PO BOX 932 HAMPTON BAYS, NY 11946	N/A	PC	CHARITABLE - SUPPORT MARINE CONSERVATION	12,000
COLD SPRING HARBOR FISH HATCHERY & AQUARIUM 1660 ROUTE 25A COLD SPRING HARBOR, NY 11724	N/A	PC	CHARITABLE - PERFORMANCE BONUS FOR DIRECTOR	700
NASSAU-SUFFOLK HORSEMAN'S ASSOCIATION PO BOX 569 RIDGE, NY 11961	N/A	PC	CHARITABLE - GENERAL SUPPORT	300
Total ▶ 3a				3,259,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNITED STATES DRIVING FOR THE DISABLED INC 620 MATILJA LANE ARROYO GRANDE, CA 93420	N/A	PC	CHARITABLE - SYBIL DUKEHART ENDOWMENT FUND	250
NATIONAL MUSEUM OF AMERICANS IN WARTIME 8500 EXECUTIVE PARK AVE SUITE 412 FAIRFAX, VA 22031	N/A	PC	CHARITABLE - SUPPORT THE VOICES OF FREEDOM PROJECT	147,470
THE NRA FOUNDATION INC 11250 WAPLES MILL ROAD FAIRFAX, VA 22030	N/A	PC	CHARITABLE - SUPPORT THE NRA WOMEN'S LEADERSHIP FORUM ENDOWMENT	25,000
Total ▶ 3a				3,259,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PRINCETON UNIVERSITY ANNUAL GIVING COMMITTEE BOX 46 PRINCETON, NJ 085430046	N/A	PC	CHARITABLE - ANNUAL GIVING	5,000
THOROUGHBRED RETIREMENT FOUNDATION PO BOX 834 SARATOGA SPRINGS, NY 12866	N/A	PC	CHARITABLE - SECOND CHANCES EXPANSION CAMPAIGN - CARE & KEEPING OF RETIRED RACEHORSES	10,000
THE PHILADELPHIA ZOO 3400 WEST GIRARD AVENUE PHILADELPHIA, PA 19104	N/A	PC	CHARITABLE - SUPPORT THE ZOO360 TRAILSYSTEM ANIMAL BEHAVIOR IMPACT STUDY	7,500
Total ▶ 3a				3,259,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PRINCETON PROSPECT FOUNDATION PO BOX 15 PRINCETON, NJ 085420015	N/A	PC	CHARITABLE - GENERAL SUPPORT	3,500
STONY BROOK FOUNDATION STATE UNIVERSITY OF NEW YORK AT STO 230 ADMINISTRATION STONY BROOK, NY 117941188	N/A	PC	CHARITABLE - ESTABLISH THE MINGHUA ZHANG EARLY CAREER FACULTY INNOVATION FUND FOR THE BENEFIT OF THE SCHOOL OF MARINE & ATMOSPHERIC SCIENCES AND FUND PHASE II OF THE SHINNECOCK BAY RESTORATION PROGRAM	600,000
STONY BROOK FOUNDATION STATE UNIVERSITY OF NEW YORK AT STO 230 ADMINISTRATION STONY BROOK, NY 117941188	N/A	PC	CHARITABLE - SUPPORT THE WOMEN'S LEADERSHIP COUNCIL FUND	10,000
Total ► 3a				3,259,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SMITHTOWN HUNT INC 18 MARKET STREET CENTEREACH, NY 11720	N/A	PC	CHARITABLE - GENERAL SUPPORT	2,800
PACT FOR ANIMALSPO BOX 590 GLADWYNE, PA 19035	N/A	PC	CHARITABLE - GENERAL SUPPORT	1,000
STONY BROOK FOUNDATION STATE UNIVERSITY OF NEW YORK AT STO 230 ADMINISTRATION STONY BROOK, NY 117941188	N/A	PC	CHARITABLE - ESTABLISH THE RANOMAFANA VILLAGER'S FUND FOR THE CENTRE VALBIO HEALTH TEAM IN MADAGASCAR	40,000
Total ▶ 3a				3,259,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
STANFORD UNIVERSITY 366 GALVEZ STREET STANFORD, CA 943056105	N/A	PC	CHARITABLE - SUPPORT THE STANFORD INSTITUTE FOR ECONOMIC POLICY RESEARCH	10,000
MARINE BIOLOGICAL LABORATOY 7 MBL STREET WOODS HOLE, MA 02543	N/A	PC	CHARITABLE - SUPPORT THE 2018 CORNELL AQUAVET PROGRAM	1,486
COLD SPRING HARBOR LABORATORY ONE BUNGTOWN ROAD COLD SPRING HARBOR, NY 11724	N/A	PC	CHARITABLE - SUPPORT FOR A FUTURE PROFESSORSHIP IN HONOR OF JAMES WATSON	10,000
Total ▶ 3a				3,259,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COLD SPRING HARBOR LABORATORY ONE BUNGTOWN ROAD COLD SPRING HARBOR, NY 11724	N/A	PC	CHARITABLE - SUPPORT THE DOUBLE HELIX MEDALS DINNER IN SUPPORT OF RESEARCH INITIATIVES	30,000
STONY BROOK FOUNDATION STATE UNIVERSITY OF NEW YORK AT STO 230 ADMINISTRATION STONY BROOK, NY 117941188	N/A	PC	CHARITABLE - ESTABLISH THE SOMAS DEAN'S FUND FOR SUCCESS	900,000
STONY BROOK FOUNDATION STATE UNIVERSITY OF NEW YORK AT STO 230 ADMINISTRATION STONY BROOK, NY 117941188	N/A	PC	CHARITABLE - SUPPORT THE MAZE-LANDEAU FELLOWSHIP FUND AT SOMAS	350,000
Total ► 3a				3,259,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
STONY BROOK FOUNDATION STATE UNIVERSITY OF NEW YORK AT STO 230 ADMINISTRATION STONY BROOK, NY 117941188	N/A	PC	CHARITABLE - SUPPORT THE STARS OF STONY BROOK GALA	2,250
RIVERHEAD FDN FOR MARINE RESEARCH & PRESERVATION 467 EAST MAIN STREET RIVERHEAD, NY 11901	N/A	PC	CHARITABLE - SUPPORT THE AQUATIC ANIMAL HEALTH & CONSERVATION PROGRAM	10,000
VOLUNTEERS FOR WILDLIFE 194-A BAYVILLE ROAD LOCUST VALLEY, NY 11560	N/A	PC	CHARITABLE - GENERAL SUPPORT	100
Total ▶ 3a				3,259,033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WILDLIFE FOREVER 5350 HWY 6 N SUITE 7 WHITE BEAR LAKE, MN 55110	N/A	PC	CHARITABLE - GENERAL SUPPORT	100
CORNELL UNIVERSITY COLLEGE OF VETERINARY MEDICINE ITHICA, NY 14853	N/A	PC	CHARITABLE - SUPPORT THE 2017 AQUAVET	59,027
THE UNIVERSITY OF GEORGIA COLLEGE OF VETERINARY MEDICINE 2200 COLLEGE STATION ROAD ATHENS, GA 30602	N/A	PC	CHARITABLE - SUPPORT VETERINARY MEDICINE COMPARTAIVE RESEARCH (AQUAVET PROGRAM)	8,550
Total ► 3a				3,259,033

TY 2018 All Other Program Related Investments Schedule

Name: RALPH LANDAU FOUNDATION
EIN: 13-2895717

Category	Amount
NONE	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2018 Depreciation Schedule

Name: RALPH LANDAU FOUNDATION

EIN: 13-2895717

TY 2018 Investments Corporate Stock Schedule**Name:** RALPH LANDAU FOUNDATION**EIN:** 13-2895717**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
VANGUARD EMGING MKTS STCK INDX	5,602,064	6,530,926
VANGUARD EUROPEAN STOCK INDEX	4,099,514	3,955,916
VANGUARD INSTITUTIONAL INDEX	14,655,526	29,208,232
VANGUARD PACIFIC STOCK INDEX	1,804,583	2,046,224
VANGUARD SMALL CAP INDEX	5,304,989	11,760,172
SPDR TRUST SERIES 1	271,973	761,256
VANGUARD WINDSOR FD ADMIRAL SH	1,366,061	1,980,354

TY 2018 Investments Government Obligations Schedule**Name:** RALPH LANDAU FOUNDATION**EIN:** 13-2895717**US Government Securities - End
of Year Book Value:****US Government Securities - End
of Year Fair Market Value:****State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2018 Investments - Other Schedule

Name: RALPH LANDAU FOUNDATION

EIN: 13-2895717

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ABERDEEN VENTURE PTNRS III, LP	AT COST	1,468	1,898
ABERDEEN VENTURE PTNRS IV, LP	AT COST	12,718	13,697
ABERDEEN VENTURE PTNRS V, LP	AT COST	67,232	68,460
ABERDEEN VENTURE PTNRS VI, LP	AT COST	88,865	89,226
ABERDEEN US PRIVATE EQUITY, LP	AT COST	4,927	5,477
ABERDEEN US PRIVATE EQU II, LP	AT COST	7,863	8,514
ABERDEEN US PRIVATE EQU III LP	AT COST	44,525	44,790
ABERDEEN INT'L PARTNERS, LP	AT COST	58,505	58,818
AMBERBROOK IV LLC	AT COST	5,830	6,552
MOLPUS WOODLANDS FUND III, LP	AT COST	1,792,548	1,792,548
MOLPUS WOODLANDS FUND IV, LP	AT COST	2,649,874	2,649,874
BIALYSTOCK & BLOOM LP	AT COST	124	124

TY 2018 Other Assets Schedule

Name: RALPH LANDAU FOUNDATION

EIN: 13-2895717

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DIVIDEND & INTEREST RECEIVABLE	4,116	4,372	4,372

TY 2018 Other Decreases Schedule

Name: RALPH LANDAU FOUNDATION

EIN: 13-2895717

Description	Amount
UNREALIZED LOSSES/TIMING DIFF-THRU K-1'S	95,055

TY 2018 Other Expenses Schedule**Name:** RALPH LANDAU FOUNDATION**EIN:** 13-2895717**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OFFICE SERVICES	79,730			79,730
DELAWARE FILING FEES	62			62
CUSTODY FEES	26,219	26,219		
OFFICE & MISC EXPENSES	3,050			3,050
TELEPHONE	1,560			1,560
GRANT ADMINISTRATION EXPENSE	15,475			15,475

TY 2018 Other Income Schedule

Name: RALPH LANDAU FOUNDATION

EIN: 13-2895717

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
BIALYSTOCK & BLOOM LP - K-1	49	49	
ABERDEEN VENTURE PARTNERS III LP - K-1	-36	-48	
ABERDEEN VENTURE PARTNERS IV LP - K-1	-112	-112	
ABERDEEN VENTURE PARTNERS V LP - K-1	-1,236	-1,233	
ABERDEEN VENTURE PARTNERS VI LP - K-1	-1,469	-1,430	
ABERDEEN U S PRIVATE EQUITY LP - K-1	-132	-132	
ABERDEEN U S PRIVATE EQUITY II LP-K-1	-128	-122	
ABERDEEN U S PRIVATE EQUITY III LP-K-1	-575	-552	
ABERDEEN INTERNATIONAL PARTNERS LP - K-1	-673	-673	
AMBERBROOK IV LLC - K-1	-44	-37	
MOLPUS WOODLANDS FUND III, LP - K-1	54,127	54,127	
MOLPUS WOODLANDS FUND IV, LP - K-1	-45,351	-45,351	
965(A) INCLUSION FROM PASS-THROUGHS	896	896	
Section 965	896		

TY 2018 Other Liabilities Schedule**Name:** RALPH LANDAU FOUNDATION**EIN:** 13-2895717

Description	Beginning of Year - Book Value	End of Year - Book Value
CREDIT CARD PAYABLE	0	107

TY 2018 Other Professional Fees Schedule**Name:** RALPH LANDAU FOUNDATION**EIN:** 13-2895717

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT SERVICES	34,850	34,850		
PORTFOLIO MANAGEMENT FEES	44,557	44,557		

TY 2018 Taxes Schedule**Name:** RALPH LANDAU FOUNDATION**EIN:** 13-2895717

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	35,000			
FICA EXPENSE	9,945	995		8,950
FOREIGN TAXES PAID	37,117	37,117		
STATE TAXES WITHHELD FROM LP'S	20	20		
DELAWARE FRANCHISE TAX	300			300
FOREIGN TAXES-AVP V, LP K-1	22	22		
FOREIGN TAXES-AVP VI, LP K-1	13	13		
FOREIGN TAXES-APE, LP K-1	1	1		
FOREIGN TAXES-APE II, LP K-1	1	1		
FOREIGN TAXES-APE III, LP K-1	747	747		
FOREIGN TAXES-AIP, LP K-1	954	954		
FOREIGN TAXES-AMBRBRK IV K-1	1	1		

TY 2018 TransfersToControlledEntities**Name:** RALPH LANDAU FOUNDATION**EIN:** 13-2895717

Name	US / Foreign Address	EIN	Description	Amount
THE LAURIE LANDEAU FOUNDATION LLC	3 PARK AVENUE SUITE 2701 NEW YORK, NY 10016	26-3258535	TO FUND NUMEROUS CONTRIBUTIONS AND PROVIDE CASH FOR CHARITABLE EXPENDITURES	3,106,050
Total				3,106,050