

EXTENDED TO NOVEMBER 15, 2019

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2018

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2018 or tax year beginning

, and ending

Name of foundation BRENDA & DAVE RICKEY FAMILY FOUNDATION		A Employer identification number 11-6574096
Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 28939	Room/suite	B Telephone number (615) 377-4600
City or town, state or province, country, and ZIP or foreign postal code SAN DIEGO, CA 92198		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization. <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 9,727,793.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part II Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		2,172.	2,172.		STATEMENT 1
4 Dividends and interest from securities		231,307.	231,307.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		-21,170.			
b Gross sales price for all assets on line 6a		6,976,195.			
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		128,751.	128,751.		STATEMENT 3
12 Total. Add lines 1 through 11		341,060.	362,230.		
13 Compensation of officers, directors, trustees, etc		76,000.	0.		76,000.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 4		9,023.	0.		9,023.
b Accounting fees STMT 5		22,744.	11,372.		11,372.
c Other professional fees STMT 6		105,868.	105,868.		0.
17 Interest					
18 Taxes STMT 7		9,580.	9,410.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 8		21,627.	0.		21,627.
24 Total operating and administrative expenses. Add lines 13 through 23		244,842.	126,650.		118,022.
25 Contributions, gifts, grants paid		713,100.			713,100.
26 Total expenses and disbursements. Add lines 24 and 25		957,942.	126,650.		831,122.
27 Subtract line 26 from line 12		-616,882.			
a Excess of revenue over expenses and disbursements			235,580.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair-Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	335,323.	260,159.	260,159.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis			
Less: accumulated depreciation				
12 Investments - mortgage loans				
13 Investments - other	STMT 9	8,594,582.	8,214,540.	9,467,634.
14 Land, buildings, and equipment basis				
Less: accumulated depreciation				
15 Other assets (describe: <u>BANK OVERDRAFT</u>)		11.	0.	0.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		8,929,916.	8,474,699.	9,727,793.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe:)			
23 Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	8,929,916.	8,474,699.	
30 Total net assets or fund balances		8,929,916.	8,474,699.	
31 Total liabilities and net assets/fund balances		8,929,916.	8,474,699.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,929,916.
2 Enter amount from Part I, line 27a	2	-616,882.
3 Other increases not included in line 2 (itemize): <u>UNREALIZED GAINS / (LOSSES)</u>	3	161,665.
4 Add lines 1, 2, and 3	4	8,474,699.
5 Decreases not included in line 2 (itemize):	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8,474,699.

Part IV Capital Gains and Losses for Tax on Investment Income SEE ATTACHED STATEMENTS

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e	6,976,195.	6,997,365.	-21,170.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			-21,170.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-21,170.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	}	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	1,186,161.	11,151,556.	.106367
2016	895,645.	10,949,536.	.081798
2015	804,941.	12,089,431.	.066582
2014	717,758.	12,775,156.	.056184
2013	645,952.	12,075,385.	.053493

2 Total of line 1, column (d)	2	.364424
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.072885
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	10,940,068.
5 Multiply line 4 by line 3	5	797,367.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,356.
7 Add lines 5 and 6	7	799,723.
8 Enter qualifying distributions from Part XII, line 4	8	831,122.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FROM ADVANCED EQUITIES - EASIC II	P		
b	FROM FRANCISCO PARTNERS III	P		
c	FROM FRANCISCO PARTNERS III CAYMAN	P		
d	FROM FRANCISCO PARTNERS III DOMESTIC	P		
e	FROM FRANCISCO PARTNERS IV, LP	P		
f	FROM FRANCISCO PARTNERS IV, LP	P		
g	FROM IVP EASIC, LLC	P		
h	FROM MPLX	P		
i	FROM US VENTURE PARTNERS XI, LP	P		
j	FROM US VENTURE PARTNERS XI, LP	P		
k	MADOFF VICTIM FUND SETTLEMENT	P		
l	MERRILL LYNCH 6YJ-04050	P		
m	MERRILL LYNCH 6YJ-04050	P		
n	MERRILL LYNCH 6YJ-04323	P		
o	MERRILL LYNCH 6YJ-04323	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		40,656.	-40,656.
b	792.		792.
c		33.	-33.
d	10,003.		10,003.
e	41.		41.
f	33,553.		33,553.
g	5,066.		5,066.
h	44.		44.
i	9,166.		9,166.
j	8,714.		8,714.
k	32,632.		32,632.
l	2,433.	350,089.	-347,656.
m	391,864.	394,000.	-2,136.
n	43,071.	81,189.	-38,118.
o	63,213.	37,135.	26,078.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-40,656.
b			792.
c			-33.
d			10,003.
e			41.
f			33,553.
g			5,066.
h			44.
i			9,166.
j			8,714.
k			32,632.
l			-347,656.
m			-2,136.
n			-38,118.
o			26,078.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

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BRENDA & DAVE RICKEY FAMILY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MERRILL LYNCH 6YJ-04324	P		
b	MERRILL LYNCH 6YJ-04324	P		
c	MERRILL LYNCH 6YJ-04330	P		
d	MERRILL LYNCH 6YJ-04330	P		
e	PTP - ENTERPRISE PRODUCTS PARTNERS, LP - 174 UNIT	P		
f	PTP - ENTERPRISE PRODUCTS PARTNERS, LP - 36 UNITS	P		
g	PTP - ENTERPRISE PRODUCTS PARTNERS, LP - 80 UNITS	P		
h	PTP - ENTERPRISE PRODUCTS PARTNERS, LP - 88 UNITS	P		
i	PTP - MPLX, LP - 127 UNITS	P		
j	PTP - MPLX, LP - 27 UNITS	P		
k	PTP - MPLX, LP - 58 UNITS	P		
l	PTP - MPLX, LP - 63 UNITS	P		
m	PTP - MPLX, LP - 90 UNITS	P		
n	CAPITAL GAINS DIVIDENDS			
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 642,335.		667,557.	-25,222.
b 615,105.		394,211.	220,894.
c 3,577,008.		3,778,587.	-201,579.
d 1,511,760.		1,238,213.	273,547.
e 4,970.		1,755.	3,215.
f 2,596.		845.	1,751.
g 2,356.		768.	1,588.
h 967.		314.	653.
i 4,391.		4,176.	215.
j 973.		955.	18.
k 2,185.		1,880.	305.
l 2,266.		2,060.	206.
m 3,097.		2,942.	155.
n 5,594.			5,594.
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-25,222.
b			220,894.
c			-201,579.
d			273,547.
e			3,215.
f			1,751.
g			1,588.
h			653.
i			215.
j			18.
k			305.
l			206.
m			155.
n			5,594.
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-21,170.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here- ☐ and enter "N/A" on line 1.
Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b **2,356.**

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) **0.**

3 Add lines 1 and 2 **2,356.**

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) **0.**

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- **2,356.**

6 Credits/Payments

6a	2018 estimated tax payments and 2017 overpayment credited to 2018	9,130.
6b	Exempt foreign organizations - tax withheld at source	0.
6c	Tax paid with application for extension of time to file (Form 8868)	0.
6d	Backup withholding erroneously withheld	0.

7 Total credits and payments. Add lines 6a through 6d **9,130.**

8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached **0.**

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed **0.**

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid **6,774.**

11 Enter the amount of line 10 to be Credited to 2019 estimated tax **6,774.** Refunded **0.**

Part VII A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► LBMC PC Telephone no. ► (615) 377-4600 Located at ► PO BOX 1869 201 FRANKLIN ROAD, BRENTWOOD, TN ZIP+4 ► 37024-1869		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here		
and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

Organizations relying on a current notice regarding disaster assistance, check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No

	Yes	No
5a		
5b		
6a		
6b		X
7a		
7b		
8		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DAVID M. RICKEY	PRESIDENT/DIRECTOR			
P.O. BOX 28939				
SAN DIEGO, CA 92198	5.00	41,000.	0.	0.
BRENDA RICKEY	DIRECTOR			
P.O. BOX 28939				
SAN DIEGO, CA 92198	5.00	35,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*
3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

Form 990-PF (2018)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	10,890,685.
b	Average of monthly cash balances	1b	215,983.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	11,106,668.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	11,106,668.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	166,600.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	10,940,068.
6	Minimum investment return. Enter 5% of line 5	6	547,003.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	547,003.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	2,356.
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,356.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	544,647.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	544,647.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	544,647.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	831,122.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	831,122.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,356.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	828,766.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				544,647.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013	56,890.			
b From 2014	97,066.			
c From 2015	204,363.			
d From 2016	359,646.			
e From 2017	646,951.			
f Total of lines 3a through e	1,364,916.			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$	831,122.			
a Applied to 2017, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				544,647.
e Remaining amount distributed out of corpus	286,475.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below				
a Corpus Add lines 3f, 4c and 4e Subtract line 5	1,651,391.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	56,890.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	1,594,501.			
10 Analysis of line 9				
a Excess from 2014	97,066.			
b Excess from 2015	204,363.			
c Excess from 2016	359,646.			
d Excess from 2017	646,951.			
e Excess from 2018	286,475.			

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- b 85% of line 2a**

- c Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities.

- 3 Subtract line 2d from line 2c
Complete 3a, b, or c for the
alternative test relied upon:

- a "Assets" alternative test - enter:
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization

- (4) Gross investment income

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or email address of the person to whom applications should be addressed

- b The form in which applications should be submitted and information and materials they should include

- c Any submission deadlines?**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ALPHA PROJECT 3737 FIFTH AVE, STE 203 SAN DIEGO, CA 92103	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	100.
AMERICAN CANCER SOCIETY P.O. BOX 22718 OKLAHOMA CITY, OK 73123-1718	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	200.
AMERICAN CORPORATION OF THE ARTS 6233 HOLLYWOOD BOULEVARD LOS ANGELES, CA 900285310	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	15,000.
AMERICAN DIABETES ASSOCIATION 225 BROADWAY SAN DIEGO, CA 92101	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	200.
AYN RAND INSTITUTE 6 HUTTON CENTRE DRIVE, STE 600 SANTA ANA, CA 92707	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	100.
Total	SEE CONTINUATION SHEET(S)			713,100.
b Approved for future payment				
NONE				
Total				0.

Part XV Supplementary Information**3** Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BOYS & GIRLS CLUB OF GREATER SAN DIEGO PO BOX 178569 SAN DIEGO, CA 92177	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	3,750.
BOYS & GIRLS CLUB OF VISTA INC 410 W CALIFORNIA AVE VISTA, CA 92083	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	4,250.
CHALLENGED ATHLETES FOUNDATION P.O. BOX 910769 SAN DIEGO, CA 92121	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	500.
CHILD ABUSE PREVENTION FOUNDATION 9440 RUFFIN COURT 2 SAN DIEGO, CA 92123	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	6,500.
COLUMBIA UNIVERSITY 475 RIVERSIDE DRIVE, 19TH FLOOR NEW YORK, NY 10115	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	125,000.
DOCTORS WITHOUT BORDERS 333 7TH AVENUE, 2ND FLOOR NEW YORK, NY 100015004	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	1,000.
FINGER LAKES HEALTH FOUNDATION LINCOLN HILL ROAD CANANDAIGUA, NY 14424	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	150,000.
GENEVA ATHLETIC BOOSTER C/O GENEVA HIGH SCHOOL, CARTER ROAD GENEVA, NY 14456	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	2,200.
GENEVA BOYS AND GIRLS CLUB ONE GOODMAN STREET GENEVA, NY 14456	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	107,500.
GENEVA COMMUNITY CENTER 160 CARTER ROAD GENEVA, NY 14456	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	1,000.
Total from continuation sheets				697,500.

Part XV Supplementary Information**3** Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GENEVA MUSIC FESTIVAL 124 N. MAIN STREET GENEVA, NY 14456	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	10,000.
GENEVA READS 244 MAIN STREET GENEVA, NY 14456	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	1,000.
GENEVA ROTARY CLUB 803 SOUTH MAIN ST GENEVA, NY 14456	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	6,000.
IN HOUSE GRAPHIC DESIGN INC 68 CASTLE STREET, STE 201 GENEVA, NY 14456	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	2,750.
MARIETTA COLLEGE 215 FIFTH STREET MARIETTA, OH 45750	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	178,000.
NATIONAL PARK FOUNDATION 1110 VERMONT AVE NW, STE 200 WASHINGTON, DC 20005	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	1,000.
NATIONAL SEPTEMBER 11TH MEMORIAL MUSEUM 180 GREENWICH STREET NEW YORK, NY 10007	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	1,000.
POWAY HIGH SCHOOL CHORAL BOOSTERS 15500 ESPOLA ROAD POWAY, CA 92064	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	8,700.
SALVATION ARMY 615 SLATERS LANE, PO BOX 269 ALEXANDRIA, VA 22313	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	5,000.
SAN DIEGO COUNCIL ON LITERACY 2515 CAMINO DEL RIO SOUTH SAN DIEGO, CA 92108	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	11,050.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SDSU AZTEE PARENTS ANNUAL FUND 5500 CAMPANILE DRIVE SAN DIEGO, CA 92182	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	25,000.
SMITH CENTER FOR THE ARTS 82 SENECA ST GENEVA, NY 14456	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	20,000.
SPIRIT OF THE FOURTH INC P.O. BOX 27036 SAN DIEGO, CA 92198	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	1,000.
THE GENEVA FAMILY YMCA 399 WILLIAM ST. GENEVA, NY 14456	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	5,000.
THE LEUKEMIA & LYMPHOMA SOCIETY 1311 MAMARONECK AVENUE ROOM/SUITE 310 WHITE PLAINS, NY 10605	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	300.
YMCA 101 NORTH WACKER DRIVE CHICAGO, IL 60606	NONE	501(C)(3)	FUNDS FOR DISCRETIONARY USE	20,000.
Total from continuation sheets				

Part XVI-A

Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	2,172.		
4 Dividends and interest from securities			14	231,307.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income			14	128,751.		
8 Gain or (loss) from sales of assets other than inventory			18	-21,170.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a MISCELLANEOUS INCOME			14			
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		341,060.		0.
13 Total. Add line 12, columns (b), (d), and (e)						

(See worksheet in line 13 instructions to verify calculations.)

13 341,060.

Part XVI-B

Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
FROM FRANCISCO PARTNERS III (DOMESTIC AIV), LP K-1	29.	29.	
FROM FRANCISCO PARTNERS IV, LP K-1	504.	504.	
FROM FRANCISCO PARTNERS V, LP	110.	110.	
FROM IVP CALIENT, LLC	1.	1.	
FROM MPLX K-1	9.	9.	
FROM US VENTURE PARTNERS K-1	160.	160.	
MERRILL LYNCH (6YJ-04050)	83.	83.	
MERRILL LYNCH (6YJ-04323)	366.	366.	
MERRILL LYNCH (6YJ-04324)	505.	505.	
MERRILL LYNCH (6YJ-04330)	405.	405.	
TOTAL TO PART I, LINE 3	2,172.	2,172.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FROM FRANCISCO PARTNERS III CAYMAN, L.P.	10,011.	0.	10,011.	10,011.	
FROM FRANCISCO PARTNERS IV LP K-1	1,734.	0.	1,734.	1,734.	
FROM MPLX K-1	233.	0.	233.	233.	
MERRILL LYNCH (6YJ-04050)	10,312.	123.	10,189.	10,189.	
MERRILL LYNCH (6YJ-04323)	1,880.	0.	1,880.	1,880.	
MERRILL LYNCH (6YJ-04324)	95,048.	5,471.	89,577.	89,577.	
MERRILL LYNCH (6YJ-04330)	117,683.	0.	117,683.	117,683.	
TO PART I, LINE 4	236,901.	5,594.	231,307.	231,307.	

FORM 990-PF

OTHER INCOME

STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FROM MPLX	-10,338.	-10,338.	
FROM CALIENT HOLDINGS, LLC	-4,242.	-4,242.	
FROM - INTUITIVE INCODEMA3D, LLC	-156.	-156.	
FROM IVP-EASIC, LLC	-125.	-125.	
FROM INTUITIVE PEERNOVA	-90.	-90.	
FROM INTUITIVE CALIENT II, LLC	-82.	-82.	
FROM IVP CALIENT, LLC	-22.	-22.	
FROM FRANCISCO III, L.P	2,389.	2,389.	
FROM FRANCISCO V, L.P	2,507.	2,507.	
PTP - MPLX	3,042.	3,042.	
FROM ENTERPRISE PRODUCTS PARTNERS K-1	3,840.	3,840.	
PTP - ENTERPRISE PRODUCTS PARTNERS, LP	4,881.	4,881.	
FROM FRANCISCO III CAYMAN	9,938.	9,938.	
FROM FRANCISCO PARTNERS III (DOMESTIC AIV), LP	10,119.	10,119.	
FROM US VENTURE PARTNERS XI, LP	37,504.	37,504.	
FROM FRANCISCO IV, L.P	71,963.	71,963.	
FROM ADVANCED EQUITIES - EASIC II	-2,377.	-2,377.	
TOTAL TO FORM 990-PF, PART I, LINE 11	128,751.	128,751.	

FORM 990-PF

LEGAL FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	9,023.	0.		9,023.
TO FM 990-PF, PG 1, LN 16A	9,023.	0.		9,023.

FORM 990-PF

ACCOUNTING FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	22,744.	11,372.		11,372.
TO FORM 990-PF, PG 1, LN 16B	22,744.	11,372.		11,372.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	105,868.	105,868.		0.
TO FORM 990-PF, PG 1, LN 16C	105,868.	105,868.		0.

FORM 990-PF	TAXES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FRANCHISE TAX	170.	0.		0.
FOREIGN TAX PAID	9,410.	9,410.		0.
TO FORM 990-PF, PG 1, LN 18	9,580.	9,410.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS	21,627.	0.		21,627.
TO FORM 990-PF, PG 1, LN 23	21,627.	0.		21,627.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MERRILL LYNCH SECURITIES	FMV	6,264,344.	7,517,438.
ADVANCED EQUITIES INVESTMENTS	FMV	52,489.	52,489.
IVP EASIC, LLC	FMV	202.	202.
IVP CALIENT, LLC	FMV	15,837.	15,837.
FRANCISCO-PARTNERS-INVESTMENTS	FMV	638,123.	638,123.
INTUITIVE CALIENT II, LLC	FMV	94,215.	94,215.
CYTORI OFFERING	FMV	72,300.	72,300.
INVESTMENT - NEUROTROPE BIO SCIENCE INC	COST	108,000.	108,000.
INVESTMENT - ESKSO BIONICS	COST	40,000.	40,000.
INVESTMENT - NEXTLEVEL SECURITY SYSTEMS	COST	25,000.	25,000.
INVESTMENT - PIERIS PHARMACEUTICALS INC	COST	15,000.	15,000.
INVESTMENT - CUR	COST	20,000.	20,000.
INVESTMENT - ENUMERAL EDI	COST	25,000.	25,000.
US VENTURE PARTNERS XI	COST	203,512.	203,512.
INTUITIVE PEERNOVA	COST	91,134.	91,134.
MEDIA	COST	5,000.	5,000.
HISTOGEN INC	COST	35,000.	35,000.
WILSON SONSINI CALIENT	COST	417,219.	417,219.
INTUITIVE INCODEMA 3D	COST	49,740.	49,740.
SPRUCE DIRECT	COST	5,000.	5,000.
ORPHEUS BIOSCIENCE INC	COST	10,000.	10,000.
ICHOH HOLDINGS	COST	-6,330.	-6,330.
ANGION BIMEDICA CORP	COST	25,000.	25,000.
INNOVATE BIOPHARMACEUTICALS	FMV	8,755.	8,755.
TOTAL TO FORM 990-PF, PART II, LINE 13		8,214,540.	9,467,634.