EXTENDED TO NOVEMBER 15, 20
Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest Information.

For	ca	lend	dar year 2018 or tax year beginning			, and en	ding		
	Name of foundation THE <u>JOSE</u> PH LEROY AND ANN C.							A Employer identification	number
			R FUND, INC.					11-3426508	
			d street (or P O box number if mail is not delivered to	street ad	ldress)		Room/suite	B Telephone number	
8	35	BR	OAD STREET, 28TH FLOOR					(212) 619-2501	
	•		wn, state or province, country, and ZIP or fo	reign po	stal code			C If exemption application is pe	anding, check here
			ORK, NY 10004						
G	Ch	eck	all that apply: Initial return		Initial return of a fo	ormer public ci	narity	<b>D</b> 1. Foreign organizations	, cneck nere
			Final return		Amended return			Foreign organizations med check here and attach cor	eting the 85% test,
	<u>Ch</u>	ا ما م	Address change	0)/2) 0//	Name change empt private foundation				
_ n_			type of organization: X Section 501(in the section 501)  Section 4947(a)(1) nonexempt charitable trust		other taxable private foundation	non O	$\mathcal{A}$	E If private foundation state under section 507(b)(1):	
_ <u></u> _	Ear				ng method: Cash	X Accru	ıal	1,	• • •
			art II, col. (c), line 16)	_	her (specify)	Accir	ıaı	F If the foundation is in a ( under section 507(b)(1),	
	,1101 ▶\$				in (d) must be on cash basi	s.)			(D), Glieck liefe
	_		Analysis of Revenue and Expenses				actment.	(c) Adjusted net	(d) Disbursements
<u> </u>	- <u> </u>	÷ *;	(The total of amounts in columns (b), (c), and (d) may necessarily equal the amounts in column (a).)	y not	(a) Revenue and expenses per books	( <b>b</b> ) Net inv		income	for charitable purposes (cash basis only)
_	Т	1	Contributions, gifts, grants, etc., received			1000000		Z L ZN/A - Z Z Z Z	
`			Check X if the foundation is not required to attach S	ich B	TANKET PROMISE				
		3	Interest on savings and temporary cash investments		2,657.	DESCRIPTION AND ADDRESS OF THE PERSON ADDRESS OF THE PERSON AND ADDRESS OF THE PERSON ADDRESS OF THE PERSON AND ADDRESS OF THE PERSON ADDRESS OF T	2,657.		
	ı	4	Dividends and interest from securities	Ì	545,054.		545,054.		
6	1	5a	Gross rents	Ì	<u> </u>				
Õ	1		Net rental income or (loss)					A MININE POR SAN AND AND AND AND AND AND AND AND AND A	
SCANNED	1		Net gain or (loss) from sale of assets not on line 10		810,435.				
Ž	읡		Gross sales price for all assets on line 6a 3,532,0	53.					
$\square$	Revenue		Capital gain net income (from Part IV, line 2)	_			810,435.		
، ک	ڇ	8	Net short-term capital gain		PROPERTY	<b>FEETAL</b>		PECEIVEL	
AN	ı	9	Income modifications	[		THE STATE OF	正是建了	1110	20
	1	0a	Gross sales less returns and allowances					Progress and	
<b>5</b>		b	Less Cost of goods sold				連曳を		THE COMPANY OF THE CO
		C	Gross profit or (loss)						
3 3	1	1	Other income		36,716.		719	OGDEN. L	SPAPEMENT 11
· _		2	Total. Add lines 1 through 11		1,394,862.	1,	358,865	-	
	1	3	Compensation of officers, directors, trustees, etc		91,333.		18,267.		73,066.
•	1		Other employee salaries and wages		145,000.		29,000.		116,000.
			Pension plans, employee benefits	- 1	36,138.	ļ .	7,228.		28,910.
	S		Legal fees		04.000		6 000		10 000
	Den		Accounting fees STMT 2 Other professional fees STMT 3		24,000.		6,000.		18,000. 2,188.
ا و	Ě.		Other protocolonal tode		109,204.		107,016.		2,100.
06 Received in	.21		Interest Taxes STMT 4		30,000.	ļ <u>.</u>	0.	·	0.
ě.	Ħ.		Tunoo		1,227.		0.		
) <u>B</u>			Depreciation and depletion		13,508.		0.		13,508.
·5', .	틩;		Occupancy Tracel conferences and meetings		683.		0.		683.
			Travel, conferences, and meetings Printing and publications						
	@ _		Other expenses STMT 5		5,879.		0.		5,879.
	<u>=</u>		Total operating and administrative						
<b>)</b>	era,	7	expenses. Add lines 13 through 23		456,972.		167,511.		258,234.
	8,	25	Contributions, gifts, grants paid		598,000.				598,000.
, •			Total expenses and disbursements.					and any of the	_
_		-	Add lines 24 and 25		1,054,972.		167,511.		856,234.
	7	27	Subtract line 26 from line 12:				**************************************		
			Excess of revenue over expenses and disbursements	ș	339,890.		ne francoi francoi de la companya de		All a grand military and partitions between
			Net-investment income (if negative, enter -0-)				191,354.		
_			Adjusted net income (if negative, enter -0-)			沙門建筑	医医验验	N/A	THE TENTE OF THE SECOND

WARNER FUND, INC.

11-3426508

<u> </u>	Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End o	year	
	dr.Ç	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value	
	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments	673,107.	107,124.	107,124.	
	3	Accounts receivable	ATTENDED THE OWNER.			
		Less; allowance for doubtful accounts				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other				
		disqualified persons				
	7	Other notes and loans receivable			THE WAY TO THE	
		Less; allowance for doubtful accounts ▶				
ಭ	8	Inventories for sale or use .				
Assets	9	Prepaid expenses and deferred charges				
₹	10a	Investments - U.S. and state government obligations STMT 6	0.	1,248,234.	1,248,234.	
	. р	Investments - corporate stock STMT 7	10,143,151.	8,534,477.	<del></del>	
	C	Investments - corporate bonds STMT 8	6,618,668.	6,029,020.	6,029,020.	
	11	Investments - land, buildings, and equipment, basis				
		Less accumulated depreciation				
	12	Investments - mortgage loans				
	13	Investments - other	CONCEPTABLE THE PROPERTY OF TH	Test of entering the angles and the	bring called a fall community of the control	
	14	Land, buildings, and equipment basis   34,834.				
	1	Less accumulated depreciation 34,834.	1,227.	0.	0.	
		Other assets (describe	<del></del>	<del>-</del>		
	16	Total assets (to be completed by all filers - see the	17 426 152	1 15 010 055	15 010 055	
_	<del> </del>	instructions. Also, see page 1, item I)	17,436,153.	15,918,855.	15,918,855.	
	J	Accounts payable and accrued expenses	<del></del>			
	18	Grants payable Deferred revenue				
ies	19					
Liabilities	21	Loans from officers, directors, trustees, and other disqualified persons  Mortgages and other notes payable	<del></del> -	<u>.                                    </u>		
Ľ.		Other liabilities (describe   ·)				
		Caron national (accounts)				
	23	Total liabilities (add lines 17 through 22)	٥.	٥.		
_		Foundations that follow SFAS 117, check here			Vertical designation of the second	
		and complete lines 24 through 26, and lines 30 and 31.				
ės	24	Unrestricted	17,436,153.	15,918,855.		
auce	25	Temporarily restricted .				
Bal	26	Permanently restricted				
or Fund Bala	ŀ	Foundations that do not follow SFAS 117, check here				
Ĭ,		and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds				
Assets	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
t As	29	Retained earnings, accumulated income, endowment, or other funds				
Net	30	Total net assets or fund balances	17,436,153.	15,918,855.		
			17 426 152	15 010 055		
		Total liabilities and net assets/fund balances	17,436,153.	15,918,855.		
ξŖ,	art	Analysis of Changes in Net Assets or Fund B	alances			
1	Total	net assets or fund balances at beginning of year - Part II, column (a), line	30	<del></del>	<del></del>	
		st agree with end-of-year figure reported on prior year's return)	00	.   1	17,436,153.	
		amount from Part I, line 27a		2	339,890.	
		r increases not included in line 2 (itemize)		3	0.	
		lines 1, 2, and 3		4	17,776,043.	
		eases not included in line 2 (itemize) UNREALIZED DEPRECIATI	ON ON INVESTMENTS	5	1,857,188.	
		net assets or fund balances at end of year (line 4 minus line 5) - Part II, c	<del></del>	6	15,918,855.	
					Form <b>990-PF</b> (2018)	

Form 990-PF (2018) WARNE	R FUND	LEROY AND ANN C. , INC. Sses for Tax on Inv	estment	Income					11-34265	08	Page 3
(a) List and describe the kind(s) of property sold (for example, real estate, (b) How acquired (c)							acquired ay, yr.)	(d) Date s (mo., day,			
1a PUBLICLY TRADED SECURI	ITIES		-				P				
b											
6					<u>L</u>						
<u>d</u>					_						
<u>e</u>					<u>L</u>						
(e) Gross sales price	(f) D	Depreciation allowed (or allowable)		st or other basis expense of sale					ain or (loss) s (f) minus (		
a 3,532,053.				2,721,6	18.					810	,435.
b	_										
С				· · · · · · · · · · · · · · · · · · ·							
d			-								
<u>e</u>				10.10.10.00	_						
Complete only for assets showing	g gain in d	column (h) and owned by the	ne foundation	on 12/31/69.					ol. (h) gain		
(i) FMV as of 12/31/69	(	j) Adjusted basis as of 12/31/69		cess of col. (i) col. (j), if any			COI. (		not less thar (from col. (l		
a				·····						810	,435.
b										·	
С											
d					_		_				
e										<del></del> .	
2 Capital gain net income or (net cap	pıtal loss)		ın Part I, line ın Part I, line	7 7	}	2				810	,435.
Net short-term capital gain or (los	s) as defir	ned in sections 1222(5) and	d (6):		٦						
If gain, also enter in Part I, line 8,	column (c	:).			$\rightarrow$				/.		
If (loss), enter -0- in Part I, line 8 Part V Qualification U	nder Se	action 4940(a) for I	Deduced	Tay on Net	ار	3 etm	ent Incor	<u></u>	N/A		
							ent mooi	116		<del></del>	
(For optional use by domestic private	toungatio	ns subject to the section 45	94U(a) tax on	net investment in	come.	-)					
f section 4940(d)(2) applies, leave th	ıs part bla	ınk.									
Was the foundation liable for the secti	ion 4042 t	tay on the distributable amo	ount of any vo	ar in the bace per	ro43					Yes 2	□ No
f "Yes," the foundation doesn't qualify					iou•					163 [-	
1 Enter the appropriate amount in e					ntries.						
(a)		(b)		<u> </u>	(c)			T		(d) ution ratio	
Base periód years Calendar year (or tax year beginnin	na in)	Adjusted qualifying disti	ributions	Net value of no			use assets		Distrib col. (b) div)	ution ratio ided by col. (c	))
2017	<u> </u>		881,934.	1		16	,546,394.				53301
2016			894,972.			15	,932,225.			.0	56174
2015		1,	233,466.			17	,794,072.			.0	69319
2014		1,	439,087.			19	,071,665.			.0	75457
2013		_ 1,	365,021.			18	,720,166.			. 0	72917
2 Total of line 1, column (d)								2		. 3	27168
3 Average distribution ratio for the 5	-vear has	e neriod - divide the total o	n line 2 by 5.0	), or by the number	er of v	ears.					
the foundation has been in existen	•	•		,,	,	,		3		.0	65434
		- Jours	•	•							
4 Enter the net value of noncharitable	le-use ass	ets for 2018 from Part X, II	ne 5					4	· · · · <del>-</del> · · ·	16,753	,288.
5 Multiply line 4 by line 3								5		1,096	,235.
5 Enter 1% of net investment incom	e (1% of F	Part I, line 27b)						6		11	,914.
7 Add lines 5 and 6								7		1,108	,149.
B Enter qualifying distributions from	Part XII	line 4						8		856	,234.
If line 8 is equal to or greater than			1b. and comp	lete that part usin	a a 19	% tax r	ate.			<del> </del>	
	,		,	F							

Form **990-PF** (2018)

See the Part VI instructions.

	THE COSET BEROI AND ANN C.						•	•
	990-PF (2018) WARNER FUND, INC.	\ 44	040(b) 4040(a) an 40		3426508		Page 4	
4	Excise Tax Based on Investment Income (Section 4940(a)			740 - 7272	see instru	CUON	S)	
1a	Exempt operating foundations described in section 4940(d)(2), check here and enter		l l			71.2		
	Date of ruling or determination letter:(attach copy of letter if necess		· I	See L		144.7	927	
þ	Domestic foundations that meet the section 4940(e) requirements in Part V, check here		and enter 1%			23	827.	
	of Part I, line 27b	Dank	Line 10 cal (h)					
	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of					24027	0	
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others	s, ent	er -u-j	2		23	827.	
3	Add lines 1 and 2  Subtitle A (neams) tay (demostra section 4047(a)(1) trusts and tayable foundations only other		tor O	3 4			0.	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, other Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5, 611	ner -0-)	5		23	827.	
	Credits/Payments;			3	Water Tolk		atten	
۰	1	6a	28,462.					
		6b	0.					
		6c	0.					
	· · · · · · · · · · · · · · · · · · ·	6d	0.	透電				
7	Total credits and payments. Add lines 6a through 6d	-00		7		28	462.	
8	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attache	he		8			0.	
. 9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		•	9				
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		<b>&gt;</b>	10		4	635.	
11	Enter the amount of line 10 to be; Credited to 2019 estimated tax		4,635. Refunded ▶	11			0.	
:Ŗā	TVIEA: Statements Regarding Activities							
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation	on or	did it participate or intervene	ın	10000	Yes	No	
	any political campaign?				<u>1a</u>	1	х	
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes?	? See	the instructions for the defin	ition	15	<u> </u>	х	
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of air	ny m	aterials published or					
	distributed by the foundation in connection with the activities.							
C	Did the foundation file Form 1120-POL for this year?				1c	S Mina	X max # 556	
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year							
	(1) On the foundation. ► \$ 0. (2) On foundation managers. ►			•				
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure	e tax	imposed on foundation			3.5		
	managers. ▶ \$0.							
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?				2 2505-6	CONTRACT.	X Message	
	If "Yes," attach a detailed description of the activities.		-4416	_	# 1 m			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instr	rume	nt, articles of incorporation, o	r			X	
4.	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes			•	3	+	X	
	Did the foundation have unrelated business gross income of \$1,000 or more during the year?  If "Yes," has it filed a tax return on Form 990-T for this year?			Ŋ	/A 4a 4b	+-	<del>-</del>	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?				5	†	x	
3	If "Yes," attach the statement required by General Instruction T					THE SAME	2.4	
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either	r•						
·	By language in the governing instrument, or	•						
	By state legislation that effectively amends the governing instrument so that no mandatory displays the state legislation.	rectio	ons that conflict with the state	law				
	remain in the governing instrument?				6	3111.50	X	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete	Part	t II, col. (c), and Part XV		7	х	Ī,	
8a	Enter the states to which the foundation reports or with which it is registered. See instructions.	$\blacktriangleright$						
	NY							
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attor	ney (	General (or designate)				3	
	of each state as required by General Instruction G2 If "No," attach explanation		•		8b_	x	/	7
9	Is the foundation claiming status as a private operating foundation within the meaning of sectio	n 49	42(j)(3) or 4942(j)(5) for cale	ndar		* ***		(
	year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complet	te Pa	rt XIV		. 9	<u> </u>	х	_
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule lis	sting t	heir names and addresses		10	<u> </u>	х	

10 X Form **990-PF** (2018)

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arm	'990-PF (2018) WARNER FUND, INC.	11-3426	508		Page 5
	rt VII-A Statements Regarding Activities (continued)				. ago o
11,111	(continued)			Yes	No
	At any time during the year did the foundation, directly or indirectly own a controlled only within the meaning of		25.25.32	.00	
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of		44		x
	section 512(b)(13)? If "Yes," attach schedule. See instructions	dunant ariulagaa?	11		
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had a	avisory privileges?			х
	If "Yes," attach statement, See instructions		12	x	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		13		
	Website address Www.warnerfund.org	- \ /212\ 6	0 250		
14		hone no. (212) 6:		L	
	Located at ▶ 85 BROAD STREET, 28TH FL., NEW YORK, NY	ZIP+4 ▶ <u>1</u>	1004		$\overline{}$
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here			▶	۱
	and enter the amount of tax-exempt interest received or accrued during the year	<b>►</b> 15	N	_	
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bar	nk,		Yes	No
	securities, or other financial account in a foreign country?		16	#W035777	X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the				
	foreign country 🕨		建建		
Pξ	irt:VII=B: Statements Regarding Activities for Which Form 4720 May Be Required		Te w		
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	•	7525	Yes	No
1a	During the year, did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	Yes X No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)				
	a disqualified person?	Yes X No			3
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	Yes X No		热率	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	X Yes No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available				
	for the benefit or use of a disqualified person)?	Yes X No		138	1706
	(6) Agree to pay money or property to a government official? (Exception. Check "No"				
	if the foundation agreed to make a grant to or to employ the official for a period after				
	termination of government service, if terminating within 90 days.)	Yes X No			36.7
	of fany answer is "Yes" to 1a(1)-(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations				
			1b	STATISTICS	X
	section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	▶□	12 TER	TO THE	
_	Organizations relying on a current notice regarding disaster assistance, check here		3.2		
•	: Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corr	ecten	<u> </u>	MESSES S	X
	before the first day of the tax year beginning in 2018?	undation	1c	JEST	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating for	unuation .			
	defined in section 4942(j)(3) or 4942(j)(5)):	\ <b>h</b>			
ε	a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s	) beginning			
	before 2018?	Yes X No			
	If "Yes," list the years	<del>_</del> . •		置	
t	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to				
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No"				
	statement - see instructions.)	N/A	2b	/F#6707	10000000
(	: If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here.			<b>XX</b>	
	<b>)</b>				
38	a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time				
	during the year?	Yes X No		( )	
t	olf "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified p		数落		
	May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(or				
	of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Sch	nedule C,	92.7		
	Form 4720, to determine if the foundation had excess business holdings in 2018.)	N/A	3b	<u> </u>	ļ
48	a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		x
	b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitab	le purpose that			<b>建</b>
	had not been removed from jeopardy before the first day of the tax year beginning in 2018?		4b	<u>L</u>	Х

Form 990-PF (2018) WARNER FUND, INC.			11-3426508	∜Page 6
Part VII B Statements Regarding Activities for Which F	orm 4720 May Be Re	equired (continu	ued)	
• 5a During the year, did the foundation pay or incur any amount to:			<u> </u>	Yes No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section	4945(e))?	Ye	s X No	
(2) Influence the outcome of any specific public election (see section 4955); or	to carry on, directly or indire	ctly,	25.2	
any voter registration drive?		Ye	s 🗓 No 🚉	
(3) Provide a grant to an individual for travel, study, or other similar purposes?	>	Ye	s 🗴 No 🧏	
(4) Provide a grant to an organization other than a charitable, etc., organization			20	
4945(d)(4)(A)? See instructions			s 🗓 No 🚆	
(5) Provide for any purpose other than religious, charitable, scientific, literary,	or educational purposes, or fo	<del></del>		
the prevention of cruelty to children or animals?	, <b> </b>		s X No	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und	ter the exceptions described in	<del></del>		
section 53.4945 or in a current notice regarding disaster assistance? See instru			N/A	5b
Organizations relying on a current notice regarding disaster assistance, check h		•	▶□	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption fr		ned		
expenditure responsibility for the grant?	N,		s No	
If "Yes," attach the statement required by Regulations section 53.4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to provide the foundation of the second control of the foundation of the second control of th	nav nremilime on		3	
'a personal benefit contract?	pay promisins on		es 🗵 No 🖔	
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a p	erconal hanefit contract?			6b X
	ersonar penent contract.	•	₹	STREE SES
If "Yes" to 6b, file Form 8870.	holter transaction?		ıs 🗓 No 👸	
7a At any time during the tax year, was the foundation a party to a prohibited tax s				7b
b If "Yes," did the foundation receive any proceeds or have any net income attribu				FOR FIRST STATE
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$	1,000,000 in remuneration or		es 🗓 No	
excess parachute payment(s) during the year?  Part VIII Information About Officers, Directors, Truste	os Foundation Man		S A NO S	Post Leading arthress
Paid Employees, and Contractors	es, roundation Mai	agers, nigiliy		
List all officers, directors, trustees, and foundation managers and tr	eir compensation	_ <del>.</del>		<del></del>
List all Officers, directors, addices, and foundation managers and a	(b) Title, and average	(c) Compensation	(d) Contributions to	(e) Expense
(a) Name and address	hours per week devoted	(If not paid,	(d) Contributions to employee benefit plans and deferred	account, other
TO 1101 MEDITATION	to position PRESIDENT & TREASUR	enter -0-)	compensation	allowances
	PRESIDENI & IREASON			
85 BROAD STREET, 28TH FLOOR	20.00	91,333.	16,440.	0.
NEW YORK, NY 10004	20.00 SECRETARY	91,333.	10,440.	
	BECKETAKI			
85 BROAD STREET, 28TH FLOOR		٥.	_	^
NEW YORK, NY 10004	3.00		0.	0.
RACHEL FERDINAND	DIRECTOR			
85 BROAD STREET, 28TH FLOOR		_		•
NEW YORK, NY 10004	3.00	0.	0.	0.
				~
			l	
2 Compensation of five highest-paid employees (other than those inc		nter "NONE."	(d) Contributions to	(e) Expense
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week	(c) Compensation	employee benefit plans and deferred	account, other
	devoted to position		compensation	allowances
JOSEPH MADONIA - 85 BROAD STREET,	EXECUTIVE DIRECTOR	,		
28TH FLOOR, NEW YORK, NY 10004	40.00	145,000.	0.	0.
				<u> </u>
	<u> </u>			
	]			
		1	L	<u> </u>
Total number of other employees paid over \$50,000				0
			Form	990-PF (2018)

Form 990-PF (2018) WARNER FUND, INC.

Page 7

Part VIII | Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none,	enter "NONE."	<del></del>
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
CARRET ASSET MANAGEMENT LLC - 320 PARK		
AVENUE, 18TH FLOOR, NEW YORK, NY 10022	INVESTMENT MGMT.	107,016.
Total number of others receiving over \$50,000 for professional services		• 0
Part X-A Summary of Direct Charitable Activities		<del></del>
List the foundation's four largest direct charitable activities during the tax year. Include relevan number of organizations and other beneficiaries served, conferences convened, research paper 1 N/A	nt statistical information such as the rs produced, etc.	Expenses
2		
3		
4		
(Doit IV B. C		
Part IX-B: Summary of Program-Related Investments  Describe the two largest program-related investments made by the foundation during the tax y	year on lines 1 and 2	Amount
1 N/A	our offilios Fund 2.	- Allount
2		
All other program-related investments. See instructions.		
3	-	
		_
Total. Add lines 1 through 3		0.

11-3426508

·Ρ	art X Minimum Investment Return (All domestic foundations must complete this part. Foreign four	ndations, see	instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	¥ .	
a	Average monthly fair market value of securities	1a	16,294,025.
b	Average of monthly cash balances	1b	714,389.
C	Fair market value of all other assets .	1c	
đ	Total (add lines 1a, b, and c)	_1d	17,008,414.
е	Reduction claimed for blockage or other factors reported on lines 1a and	4.4	
	1c (attach detailed explanation) 1e 0.	1.5	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	17,008,414.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	255,126.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	16,753,288.
6	Minimum investment return. Enter 5% of line 5	6	837,664.
<u>:</u> P	art:X[*] Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations are foreign organizations, check here  and do not complete this part.)	nd certain	
1	Minimum investment return from Part X, line 6	1	837,664.
2a	Tax on investment income for 2018 from Part VI, line 5	- 1	
b	Income tax for 2018. (This does not include the tax from Part VI.)	79.	
C	Add lines 2a and 2b	2c	23,827.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	813,837.
4	Recoveries of amounts treated as qualifying distributions	4	35,997.
5	Add lines 3 and 4	5	849,834.
6	Deduction from distributable amount (see instructions)	6	0.
7_	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	849,834.
P	art XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	e ",	
a	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	856,234.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
а	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	856,234.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	856,234.
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation (	qualifies for the	e section

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### Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount fo	or 2018 from Part XI,				
line 7					849,834.
2 Undistributed income, if any	, as of the end of 2018				
a Enter amount for 2017	only		<b>《新教》的《教教》</b>	0.	
<b>b</b> Total for prior years:			0.		
3 Excess distributions ca	rryover, if any, to 2018:				
a From 2013	454,455.				to the opinion of the contract to the
<b>b</b> From 2014	518,114.				
c From 2015	346,121.				
d From 2016	103,699.				
e From 2017	40,205.				
f Total of lines 3a through	jh e	1,462,594.	MERCHANICAL	が開発を発表	
4 Qualifying distributions	for 2018 from				
Part XII, line 4: ► \$	856,234.				
a Applied to 2017, but no	t more than line 2a			0.	
<b>b</b> Applied to undistribute	d income of prior				
years (Election require	d - see instructions)		0.		
c Treated as distributions	out of corpus				
(Election required - see	instructions)	0.			
d Applied to 2018 distrib	utable amount				849,834.
e Remaining amount dis	tributed out of corpus	6,400.			
5 Excess distributions carryo (if an amount appears in col must be shown in column (a	umn (d), the same amount	0.			0.
6 Enter the net total of e indicated below:	ach column as			Carlos de de la carlos de la carlo dela carlo de la carlo dela carlo dela carlo de la carlo de l	de la companya de la
a Corpus Add lines 3f, 4c, ar	d 4e Subtract line 5	1,468,994.			
b Prior years' undistribut	ed income. Subtract				
line 4b from line 2b			0.		
c Enter the amount of pr undistributed income f	or which a notice of				
deficiency has been iss the section 4942(a) tax assessed			0.		
d Subtract line 6c from la	ne 6b. Taxable				Total Control
amount - see instruction	1		0.		
e Undistributed income f	or 2017. Subtract line		**************************************		
4a from line 2a. Taxabl	e amount - see instr.			0,	
f Undistributed income f	or 2018. Subtract				
lines 4d and 5 from line	e 1. This amount must				
be distributed in 2019					0.
7 Amounts treated as dis	tributions out of				
corpus to satisfy requir					
section 170(b)(1)(F) or	4942(g)(3) (Election				
may be required - see i	•	0.			
8 Excess distributions ca not applied on line 5 or		454,455.			
9 Excess distributions ca					
Subtract lines 7 and 8 from line 6a		1,014,539.			
10 Analysis of line 9:					
a Excess from 2014	518,114		TAXAA MARAA MA		
b Excess from 2015	346,121.		ar supposing the constraint of		in the state of th
c Excess from 2016	103,699.				
d Excess from 2017	40,205.				of Mary apple of Language
e Excess from 2018	6,400.				
823581 12-11-18					Form <b>990-PF</b> (2018)

Form 990-PF (2018) WARNER FUNI	INC.	•		11-34	126508 Page 10
Part XIV Private Operating Fo		structions and Part V	II-A, question 9)	N/A	
1 a If the foundation has received a ruling of	determination letter tha	it it is a private operating			
foundation, and the ruling is effective for	2018, enter the date of	the ruling	▶ ∟		
b Check box to indicate whether the found	ation is a private operati	ng foundation described		4942(j)(3) or	4942(j)(5)
2 a Enter the lesser of the adjusted net	Tax year		Prior 3 years		<b> </b>
income from Part I or the minimum	(a) 2018	<b>(b)</b> 2017	(c) 2016	(d) 2015	(e) Total
investment return from Part X for					
each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII,					
line 4 for each year listed					
d Amounts included in line 2c not			1		
used directly for active conduct of					
exempt activities		1			
e Qualifying distributions made directly					
for active conduct of exempt activities.					
Subtract line 2d from line 2c			1		
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:			1		
(1) Value of all assets					
(2) Value of assets qualifying					
under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter					
2/3 of minimum investment return shown in Part X, line 6 for each year					
listed					
c "Support" alternative test - enter:					
(1) Total support other than gross					
investment income (interest					
dividends, rents, payments on securities loans (section					
512(a)(5)), or royalties)					
(2) Support from general public					
and 5 or more exempt organizations as provided in					
section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from					
an exempt organization					
(4) Gross investment income					
Part XV. Supplementary Info			if the foundation	n had \$5,000 or m	ore in assets
at any time during t	he year-see inst	ructions.)			
1 Information Regarding Foundation	n Managers:				
a List any managers of the foundation wh	o have contributed more	than 2% of the total cor	tributions received by th	e foundation before the cl	ose of any tax
year (but only if they have contributed r	nore than \$5,000). (See	section 507(d)(2).)			
NONE					
b List any managers of the foundation wh			(or an equally large port	tion of the ownership of a	partnership or
other entity) of which the foundation ha	s a 10% or greater inter	est.			
NONE					
2 Information Regarding Contribut	ion, Grant, Gift, Loar	, Scholarship, etc., P	rograms:		
Check here 🕨 🔲 if the foundation of					quests for funds. If
the foundation makes gifts, grants, etc.	to individuals or organi	zations under other cond	itions, complete items 2a	a, b, c, and d.	
a The name, address, and telephone num	ber or email address of	the person to whom appl	cations should be addre	ssed:	
JO ANN FERDINAND, (212) 619-2	501				
85 BROAD STREET, 28TH FLOOR, 1	NEW YORK, NY 100	04			
b The form in which applications should l	e submitted and inform	ation and materials they	should include:		
SEE STATEMENT 9					
c Any submission deadlines:					
SEE STATEMENT 9					
d Any restrictions or limitations on award	s, such as by geographi	cal areas, charitable field	s, kınds of ınstitutions, o	r other factors:	
SEE STATEMENT 9			·		

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WARNER FUND, INC.

Part XV Supplementary Information (continued) 3 Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, show any relationship to Recipient Foundation Purpose of grant or **Amount** any foundation manager or substantial contributor status of contribution Name and address (home or business) recipient a Paid during the year PC PROGRAM SUPPORT ALI FORNEY CENTER N/A 224 WEST 35 STREET 35,000. NEW YORK, NY 10001 BROOKLYN ACADEMY OF MUSIC N/A PC PROGRAM SUPPORT 30 LAFAYETTE AVENUE 70,000. BROOKLYN, NY 11217 PC PROGRAM SUPPORT BROOKLYN CONSERVATORY OF MUSIC, INC. N/A 58 SEVENTH AVENUE 20,000. BROOKLYN, NY 11217 N/A PC PROGRAM SUPPORT CITIES OF PEACE, INC. 104 WEST 27TH STREET 25,000. NEW YORK, NY 10001 DANCING DREAMS N/A PC PROGRAM SUPPORT 23-91 BELL BLVD 25,000. BAYSIDE, NY 11360 SEE CONTINUATION SHEET(S) **▶** 3a 598,000. Total b Approved for future payment NONE ٥. ▶ 3b Total

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WARNER FUND, INC.

Part XVI-A Analysis of In	come-Producing Activities
---------------------------	---------------------------

nter gross amounts unless otherwise indicated.	Unrelate	ed business income		ded by section 512, 513, or 514	(e)
	(a) Business	(b) Amount	(c) Exclu- sion	(d) Amount	Related or exempt function income
1 Program service revenue:	code		code	741100	
a	-	<u>.</u>	+		
b	_	,	╁		
c	_	<del></del>	+-		
d	_		+		
e	-		╁		<del></del>
f	_		-	<u></u>	
g Fees and contracts from government agencies			+		
2 Membership dues and assessments			<del> </del>	<u> </u>	
3 Interest on savings and temporary cash	1		۱.,	0.657	
investments			14	2,657.	
4 Dividends and interest from securities			14	545,054.	
5 Net rental income or (loss) from real estate:				5.7	, , , , , , , , , , , , , , , , , , , ,
a Debt-financed property	-		+		
b Not debt-financed property			_		
6 Net rental income or (loss) from personal property					<u> </u>
7 Other investment income					
8 Gain or (loss) from sales of assets other					
than inventory			18	810,435.	
9 Net income or (loss) from special events					· · · · · ·
O Gross profit or (loss) from sales of inventory					
1 Other revenue:			1		
a RETURNED GRANTS					35,997.
b MISCELLANEOUS INCOME	_		14	719.	
c					<u> </u>
d					
e					
2 Subtotal. Add columns (b), (d), and (e)	******	0.	· <u> </u>	1,358,865.	
13 Total. Add line 12, columns (b), (d), and (e)				13 _	1,394,862.
13 Total. Add line 12, columns (b), (d), and (e)	١			13 _	1,39

### Relationship of Activities to the Accomplishment of Exempt Purposes Párt XVI-B

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
11A	RETURNED GRANTS USED IN FURTHERANCE OF THE FOUNDATION'S EXEMPT PURPOSE
-	
-	
	- 000 PE 1000

Form **990-PF** (2018)

823621 12-11-18

	, Ind oosi	SEE DEROY AND	mii C.							
Form 990-PF (		FUND, INC.						11-3426508		age 13
Part XVII	<u>-</u> 1		isfers to ar	nd Transac	tions and	d Relation	ships With No	oncharitable	Э	
	Exempt Organ	izations								
1 Did the o	rganization directly or indi	rectly engage in any	of the following	g with any othe	rorganization	i described in s	ection 501(c)	ľ.	Yes	No
	an section 501(c)(3) organ							الم ا		
•	s from the reporting founds	•						[-,	~   : .	-
(1) Cash	• •							1a	1)	x
(2) Othe								1a		x
	nsactions:									
		bla avamet areasur	ation.					1b		X X
` '	s of assets to a noncharita	• -								+ <del>-</del> -
• •	chases of assets from a no		organization		٠			1b		<u>x</u>
• •	tal of facilities, equipment,							1 <u>b</u>		+
(4) Rein	nbursement arrangements							<u>1b</u>	-	X
(5) Loar	ns or Ioan guarantees							<u>1b</u>	(5)	X
(6) Perf	ormance of services or me	mbership or fundra	using solicitation	ns				<u>1b</u>	(6)	<u> </u>
c Sharing	of facilities, equipment, ma	uling lists, other ass	ets, or paid em	ployees				1	<u>c</u>	Х
d If the ans	swer to any of the above is	"Yes," complete the	following sche	dule. Column (I	) should alw	ays show the fa	air market value of t	the goods, other	assets,	
or servic	es given by the reporting fo	oundation. If the fol	undation receive	d less than fair	market value	in any transac	tion or sharing arra	ngement, show i	1	
column (	(d) the value of the goods,	other assets, or ser	vices received.							
(a) Line no	(b) Amount involved	(c) Name	of noncharitable	exempt organi	zation	(d) Descri	otion of transfers, trans	actions, and sharing	errangeme	ants
			N/A							
						1				
<del></del>		<del> </del>				<del> </del>	·			
+			<del></del>			<del></del>				
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	undation directly or indirec			or more tax-ex	empi diyaniz	ations describe	u		'aa [¥	No
	n 501(c) (other than section		section 5277					ا لـــا	es 🔼	
h If "Yes,"	complete the following sch			(1) Town -4 -			(-) Decouption	of valotionabia		
	(a) Name of org	ganization		(b) Type of o	ganization	_	(c) Description	or relationship		
	N/A									
		_								
Und	er penalties of perjury, I declare	that I have examined th	is return, including	accompanying sc	hedules and sta	tements, and to th	e best of my knowledg	e May the I	RS discuss	this
Sign and	belief, it is true, correct, and con	nalete Declaration of p	reparer (other than	taxpayer) is base	on all ufformati	on of which prepa	rer has any knowledge	return wit	h the prepa low? See a	ar er
Here	Jolenn 7	erduna	nor	-11/	12/191	PRESID	ENT		es [	No
Si	gnature of officer or trustee	9		Date	<del>-//+</del>	Title	<del></del>			
	Print/Type preparer's na		Preparer's s		<del>'/ </del>	Date	Check	ıf PTIN		
	. Time 13 po properor 3 in	<b></b>	1(","	1////	/NOV	0 5 2019		L		
Paid	TANGO T DETTI	. <del>.</del>	\ '	/	/ INUY	O J LUIS	, c cp.oyu	P001837	69	
Preparer	JAMES J. REILLY						1			
		ON O MEARA MO	GINTY & DC	MERTA T	•		Firm's EIN	► 13-36282	23	
Use Only				1 //						
	Firm's address > ONE	E BATTERY PAR	K PLAZA, 7	TH FL.						

Form **990-PF** (2018)

Phone no. 212-661-7777

NEW YORK, NY 10004

3 Grants and Contributions Paid During the	Year (Continuation)			
Recipient	If recipient is an individual, show any relationship to	Foundation	Purpose of grant or	Amount
Name and address (home or business)	any foundation manager or substantial contributor	status of recipient	contribution	, anodit
HOOKED ON FISHING CHARTERS, INC.	N/A	PC	PROGRAM SUPPORT	
102 DEGRAW STREET				
BROOKLYN, NY 11231				30,00
INCARNATION CHILDRENS CENTER	N/A	PC	PROGRAM SUPPORT	
142 AUDUBON AVE				
NEW YORK, NY 10032				20,00
INTREPID MUSEUM FOUNDATION	N/A	PC	PROGRAM SUPPORT	
W. 46TH STREET AND 12TH AVENUE				
NEW YORK, NY 10036				35,00
JCCA	N/A	PC	PROGRAM SUPPORT	
120 WALL STREET				25.00
NEW YORK, NY 10005				25,00
KAISERS ROOM	N/A	PC	PROGRAM SUPPORT	
101 WEST 23 STREET		1		
NEW YORK, NY 10011				10,00
LGBT COMMUNITY CENTER	N/A	PC	PROGRAM SUPPORT	
208 WEST 13 STREET ASBURY PARK, NJ 10011				20,00
MERCY CENTER	N/A	PC	PROGRAM SUPPORT	
1106 MAIN STREET				1
NEW YORK, NY 07712		<del>- </del>		35,00
NA CONSTRUE TRANSFER	7/3	PC	PROGRAM SUPPORT	
NY COMMUNITY TRUST 909 THIRD AVE	N/A	•	EROGRAM SOFFORT	
NEW YORK, NY 10022				75,00
PROMISE PROJECT	N/A	PC	PROGRAM SUPPORT	
121 AVENUE OF AMERICAS				
NEW YORK, NY 10013				20,00
CAPE HODITONG	N / A	PC	PROGRAM SUPPORT	
SAFE HORIZONS 2 LAFAYETTE STREET 3RD FLOOR )	N/A		INOGRAM SOFFORT	
NEW YORK, NY 10007			<u> </u>	10,00
Total from continuation sheets				423,00

Supplementary Information 3 Grants and Contributions Paid During the Year (Continuation) Recipient If recipient is an individual, show any relationship to Foundation Purpose of grant or Amount any foundation manager status of contribution Name and address (home or business) or substantial contributor recipient TECH KIDS UNLIMITED N/A PROGRAM SUPPORT 2 METROTECH CENTER BROOKLYN, NY 11201 15,000. THE FELIX ORGANIZATION N/A PC PROGRAM SUPPORT 43 OYSTER BAY ROAD LOCUST VALLEY, NY 11560 10,000. THE STUTTERING ASSOCIATION FOR THE PC PROGRAM SUPPORT N/A YOUNG, SAY 55 WEST 39TH STREET, SUITE 1001 NEW YORK, NY 10001 40,000. PC UNION OF ORTHODOX JEWISH N/A PROGRAM SUPPORT CONGREGATIONS OF AMERICA 11 BROADWAY, 13TH FLOOR NEW YORK, NY 10004 15,000. WEST END RESIDENCES HDFC, INC. N/A PC PROGRAM SUPPORT 475 RIVERSIDE DRIVE, SUITE 740 NEW YORK, NY 10115 10,000. WESTCHESTER JEWISH COMMUNITY SERVICES PC PROGRAM SUPPORT 845 NORTH BROADWAY 8,000. WHITE PLAINS, NY 10603 WORKFORCE PROFESSIONALS TRAINING N/A PC PROGRAM SUPPORT INSTITUTE 11 PARK PLACE NEW YORK, NY 10007 20,000. YES SHE CAN PC PROGRAM SUPPORT N/A 35 HUBBARD DRIVE WHITE PLAINS, NY 10605 10,000. YOUTH COMMUNICATION N/A PC PROGRAM SUPPORT 242 WEST 38TH STREET, 6TH FLOOR 15,000. NEW YORK, NY 10018 Total from continuation sheets

FÒRM 990-PF	OTHER I	NCOME	<u> </u>	STATEMENT 1
DESCRIPTION	:	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
RETURNED GRANTS MISCELLANEOUS INCOME		35,997. 719.	0. 719.	
TOTAL TO FORM 990-PF, PART I,	LINE 11	36,716.	719.	
FORM 990-PF	ACCOUNTI	NG FEES		STATEMENT 2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME		(D) CHARITABLE PURPOSES
RSM US LLP	24,000.	6,000	•	18,000
TO FORM 990-PF, PG 1, LN 16B	24,000.	6,000	•	18,000
FORM 990-PF C	THER PROFES	SIONAL FEES	<u> </u>	STATEMENT 3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLI PURPOSES
CARRET ASSET MANAGEMENT LLC - INV. MGMT. FEES WEBSITE DESIGN PAYROLL PROCESSING FEES INFORMATION TECHNOLOGY	107,016. 228. 1,760. 200.	0 0	· ·	0 228 1,760 200
TO FORM 990-PF, PG 1, LN 16C =	109,204.	107,016	•	2,188
FORM 990-PF	TAX	ES	5	STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME		(D) CHARITABLE PURPOSES
				- <del> </del>
FEDERAL EXCISE TAXES	30,000.	0		0

FÖRM 990-PF	OTHER E	XPENSES	S	TATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TELEPHONE	691.	0.		691.
COMPUTERS/OFFICE EQUIPMENT	683.	0.		683.
DUES	2,075.	0.		2,075.
OFFICE SUPPLIES	232.	0.		232.
POSTAGE	88.	0.		88.
MISCELLANEOUS EXPENSE	2,110.	0.		2,110.
TO FORM 990-PF, PG 1, LN 23	5,879.	0.		5,879.

FORM 990-PF U	J.S. AND STATE/CITY (	GOVERNMENT	OBLIGA	TIONS	STATEMENT 6
DESCRIPTION	U.S GOV		BOOK	VALUE	FAIR MARKET VALUE
U.S. TREASURIES	x			1,248,234.	1,248,234
TOTAL U.S. GOVERNMEN	T OBLIGATIONS		<u></u>	1,248,234.	1,248,234
TOTAL STATE AND MUNI	CIPAL GOVERNMENT OB	LIGATIONS			
TOTAL TO FORM 990-PI	F, PART II, LINE 10A			1,248,234.	1,248,234
TOTAL TO FORM 990-PI	F, PART II, LINE 10A			1,248,234.	1,248,234
TOTAL TO FORM 990-PI	·	TE STOCK		1,248,234.	1,248,234 STATEMENT 7
	·	TE STOCK	BOOK	1,248,234. VALUE	
FORM 990-PF  DESCRIPTION	CORPORA	TE STOCK	BOOK		STATEMENT 7 FAIR MARKET
FORM 990-PF  DESCRIPTION  EQUITIES (SEE STATES)  EXCHANGE TRADED FUNI	CORPORA' MENT 7A.1) DS (SEE STATEMENT 7A	.2)	воок	VALUE 7,419,909. 1,010,453.	STATEMENT 7  FAIR MARKET VALUE  7,419,909 1,010,453
FORM 990-PF  DESCRIPTION  EQUITIES (SEE STATES EXCHANGE TRADED FUNI	CORPORA!	.2)	BOOK	VALUE 7,419,909.	STATEMENT 7 FAIR MARKET VALUE



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# TO THE TAX THE PARTY OF THE PAR **Investment Detail - Equities**

Equities Market Price	Quantity	Market Price	Market Value	% of Account Assets
AT&TINC	4,000.0000	28.54000	114,160.00	<b>~1</b> %
SYMBOL: 1				
ABBOTT LABORATORIES	1,000.0000	72 33000	72,330.00	<1%
SYMBOL: ABT				
ABBVIE INC	1,000.0000	92.19000	92,190.00	<1%
SYMBOL: ABBV				



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## Investment Detail - Equities (continued)

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Equities (continued)	Quantity	Market Price	Market Value	% of Account Assets
L	99	133.66000	80,196.00	%F>
ALLSTATE CORP SYMBOL: ALL	. 500.0000	82.63000	41,315.00	<b>~1</b> %
ALPHABET INC. CLASS A SYMBOL: GOOGL	87.0000	1,044 96000	90,911.52	.t>
ALPHABET INC. CLASS C SYMBOL: GOOG	261.0000	1,035.61000	270,294.21	5%
AMAZON.COM INC SYMBOL: AMZN	100.0000	1,501.97000	150,197.00	% %
Ť	1,5	95.32000	: 0	%L>
AMGEN INC. SYMBOL: AMGN	450.0000	194.67000	87,601.50	%L>
ANALOG DEVICES INC SYMBOL: ADI	1,200.0000	85.83000	102,996.00	%L>
'LE INC 1BOL: AAPL	3,000.0000	157.74000	473,220 00	%6
AXA SA F SPONSORED ADR 1 ADR REPS 1 ORD SHS SYMBOL: AXAHY	4,500.0000	21.39000	96,255.00	% <b>\</b>



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## Investment Detail - Equities (continued)

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	Quantity	Market Price	Market Value % o	% of Account Assets
BAIDU COM INC F SPONSORED ADR 1 ADR REPS 0.1 ORD SHS SYMBOL: BIDU	450.0000	158.60000	71,370.00	% !>
BANK OF AMERICA CORP SYMBOL: BAC	7,000 0000	24 64000	172,480.00	1%
BERKSHIRE HATHAWAY CLASS B SYMBOL. BRKB	1,000.0000	204.18000	204,180.00	1%
CANADIAN NATL RAILWY F SYMBOL: CNI	1,000.0000	74 11000	74,110.00	×1×
CARNIVAL CORP F SYMBOL: CCL	2,000 0000	49.30000	98,600.00	<b>~1</b> %
CELGENE CORP SYMBOL: CELG	900.0000	64.09000	57,681.00	V
CHEVRON CORP SYMBOL: CVX	1,000 0000	108 79000	108,790.00	V+>
CISCO SYSTEMS INC SYMBOL: CSCO	3,500.0000	43.33000	151,655.00	V1%
CITIGROUP INC SYMBOL: C	2,500.0000	52.06000	130,150.00	<
COMCAST CORP CLASS A SYMBOL: CMCSA	2,000.0000	34.05000	68,100.00	%T>

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Statement Period December 1-31, 2018 ALTERNATION OF THE WASTERNATION OF THE MANAGEMENT OF THE MANAGEMEN

## Investment Detail - Equities (continued)

Equities (continued)	Quantity	Market Price	Market Value	% of Account Assets
CVS HEALTH CORP SYMBOL: CVS	2,000 0000		131,040.00	%L>
D R HORTON CO SYMBOL: DHI	2,000.0000	34.66000	69,320.00	V1%
DELTA AIR LINES INC SYMBOL: DAL	1,100.0000	49.90000	54,890.00	<b>~1</b> %
DOLLAR GENERAL CORP SYMBOL. DG	800.0000	108.08000	86,464.00	<1% 
DOWDUPONT INC SYMBOL: DWDP	2,700 0000	53.48000	144,396.00	V1%
EASTMAN CHEMICAL CO SYMBOL: EMN	2,000.0000	73.11000	146,220.00 Accrue	<1% Accrued Dividend: 1,054.00
EATON CORP PLC F SYMBOL: ETN	600.0000	68.66000	41,196.00	<b>%</b>
EXXON MOBIL CORP SYMBOL: XOM	1,200.0000	68.19000	81,828.00	%t>
FACEBOOK INC CLASS A SYMBOL. FB	1,566.0000	131.09000	205,286.94	1%
FEDEX CORP SYMBOL: FDX	1,000.0000	161.33000	161,330.00 Accru	1% Accrued Dividend: 650.00
FIFTH THIRD BANCORP SYMBOL: FITB	2,000.0000	23 53000	47,060.00	<b>~1</b> %



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## Investment Detail - Equities (continued)

AND AND THE PROPERTY OF THE PR

Equities (continued)	Quantity	Market Price	Market Value	% of Account Assets
HARTFORD FINL SVC GP	1,500 0000	44.45000	66,675 00	
HONEYWELL INTL INC SYMBOL, HON	1,093.0000	132.12000	144,407.16	<1%
IBM CORP SYMBOL IBM	1,000.0000	113.67000	113,670.00	<1%
INTEL CORP SYMBOL: INTC	3,500.0000	46.93000	164,255 00	1%
INTERNTNL PAPER SYMBOL: IP	2,000.0000	40.36000	80,720.00	~!-\ %!>
INVESCO LTD F SYMBOL: IVZ	3,000.0000	16 74000	50,220.00	%t>
J P MORGAN CHASE & CO SYMBOL: JPM	1,500.0000	97.62000	146,430.00	%t>
JOHNSON & JOHNSON SYMBOL: JNJ	700.0000	129 05000	90,335.00	~!~ ~!~
MERCK & CO. INC. SYMBOL: MRK	2,000.0000	76.41000	152,820.00 Ac	<1% Accrued Dividend: 1,100.00
METLIFE INC SYMBOL: MET	2,500.0000	41 06000	102,650.00	%1>
MICROSOFT CORP SYMBOL: MSFT	1,600.0000	101.57000	162,512.00	. 1%



Statement Period December 1-31, 2018 the contract of the contract o

## Investment Detail - Equities (continued)

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	Quantity	Market Price	Market Value	% of Account Assets
MORGAN STANLEY SYMBOL: MS	1,000 0000	39.65000	39,650 00	%;V
NESTLE S A F SPONSORED ADR 1 ADR REPS 1 ORD SHS SYMBOL: NSRGY	1,400.0000	80.96000	113,344 00	%I>
NOVARTIS AG F SPONSORED ADR 1 ADR REPS 1 ORD SHS SYMBOL: NVS	1,500.0000	85.81000	128,715.00	%;V
OCCIDENTAL PETROL CO SYMBOL: OXY	1,000.0000	61.38000	61,380.00 A	<1% Accrued Dividend: 780.00
ORACLE CORP SYMBOL ORCL	3,000.0000	45 15000	135,450 00	<1%
PFIZER INC SYMBOL. PFE	4,000.0000	43.65000	174,600.00	1%
PNC FINL SERVICES SYMBOL: PNC	1,000.0000	116.91000	116,910.00	<1%
ROYAL DUTCH SHELL F SPONSORED ADR 1 ADR REPS 2 ORD SHS SYMBOL: RDSB	1,000 0000	59 94000	59,940.00	



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### Investment Detail - Equities (continued)

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Equities (continued)	Quantity	Market Price	Market Value	% of Account Assets
SAP SE F SPONSORED ADR 1 ADR REPS 1 ORD SHS SYMBOL: SAP	1,000.0000	99 55000	99,550.00	<b>%</b>
SCHLUMBERGER LTD F SYMBOL: SLB	2,000.0000	36 08000	72,160.00 <b>Acci</b>	<1% Accrued Dividend: 1,000.00
STANLEY BLACK & DECK SYMBOL: SWK	800.0000	119.74000	95,792.00	<1%
TARGET CORP SYMBOL: TGT	2,000.0000	00060 99	132,180.00	<b>~1</b> %
THERMO FISHER SCNTFC SYMBOL: TMO	500.0000	223.79000	111,895.00 A	<1% Accrued Dividend: 85.00
UNILEVER PLC F SPONSORED ADR 1 ADR REPS 1 ORD SHS SYMBOL: UL	1,500.0000	52.25000	78,375.00	% %
UNUM GROUP SYMBOL: UNM	2,000.0000	29 38000	58,760.00	%t> ~!-
VERIZON COMMUNICATN SYMBOL: VZ	3,300.0000	56 22000	185,526.00	1%
WAL-MART STORES SYMBOL: WMT	600.0000	93.15000	55,890.00 Ac	<1% Accrued Dividend: 312.00
WALGREENS BOOTS ALLI SYMBOL: WBA	1,500.0000	68.33000	102,495.00	



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### Investment Detail - Equities (continued)

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inued) ·	Quantity	Market Price	Market Value % of Account Assets	Assets
WALT DISNEY CO SYMBOL: DIS	· . o		109,650.00 Accrued Di	<1% vidend: 880.00
WELLS FARGO BK N A SYMBOL. WFC	2,000.0000	46.08000	92,160.00	×1×
Total Equities 2007/0000	109,007,0000	LAND THE STATEMENT TO A STATE OF THE STATE O	7,419,909,33	47%

Total Accrued Dividend for Equities: 6,311.00

## Investment Detail - Exchange Traded Funds

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Exchange Traded Funds	Quantity	Market Price	Market Value % of	% of Account Assets
	6,000.0000	7.67000	46,020.00	<b>%</b> I>
SYMBOL: MLPA	The strength of the strength o			1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
INVESCO S&P 500 EQUAL	4,000.0000	91.40000	365,600.00	2%
WEIGHT ETF				
SYMBOL: RSP	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1			
SPDR S&P 500 ETF @	2,000.0000	249.92000	499,840.00	,3%
SYMBOL: SPY			Accrued	Accrued Dividend: 2,870.86
VANGUARD SMALL CAP ETF	750.0000	131,99000	98,992 50	<1%
SYMBOL: VB	``			
Total Exchange Traded Funds 12,750	7. 12,750,000 CT	THE STATEMEN	17A2 10101452:50	

Total Accrued Dividend for Exchange Traded Funds: 2,870.86





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### Investment Detail - Other Assets

Quantity Market Price % of Account Assets 1500 0000 69 41000 69 41000	1500:0000
Other Assets Well TOWER INC.	Total Other Assets

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FÖRM 990-PF CORPORATE BONDS		STATEMENT 8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS (SEE STATEMENT 8A)	6,029,020.	6,029,020.
TOTAL TO FORM 990-PF, PART II, LINE 10C	6,029,020.	6,029,020.



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,	Par	Market Price	Market Value	% of Account Assets
TNL, 3' &P. BBI	300,000 0000	99.98380		29, srued Interest: 3,000.00
BB&T CORP 6.85%19 DUE 04/30/19 CUSIP: 05531FAB9 MOODY'S: A2 S&P: A-	300,000.0000	101.22810	303,684.30	2% Accrued Interest: 3,482.08
<b>THE ALLSTATE COR 7.45%19</b> DUE 05/16/19 CUSIP: 020002AX9 MOODY'S: A3 S&P A-	250,000.0000	101 59660	253,991.50 A	2% Accrued Interest: 2,328.13
GENERAL ELECTRIC CO 6%19 DUE 08/07/19 CUSIP: 36962G4D3	250,000.0000	101 11770	252,794 25	5%
MOODY'S: Baa1 S&P. BBB+			ď	Accrued Interest: 6,000.00

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# THE PROPERTY OF THE PROPERTY O Investment Detail - Fixed Income (continued)

Corporate Bonds (continued)		Market Price	Market Value	% of Account Assets
· · · · · · · · · · · · · · · · · · ·	250,000.0000	100 97530	252,438.25	2% Accried Interest: 4.911.11
INTERNTNL BUSIN 8.375%19  DUE 11/01/19  SYMBOL: IBO.F  MODDY:S: A1 S&P: A	250,000.0000	104.57470	261,436.75	2% Accrued Interest: 3,489.58
E. I. DU PONT D 4.625%20 DUE 01/15/20 CUSIP: 263534BZ1 MOODY'S: A3 S&P: A-	300,000,0000	101.53810	304,614.30	2% Accrued Interest: 6,397.92
PNC FUNDING COR 5.125%20  DUE 02/08/20  CUSIP: 693476BJ1  MOODY'S: A3 S&P: A-	250,000.0000	102.10360	255,259.00	2% Accrued Interest: 5,089.41
JPMORGAN CHASE & 4.95%20 DUE 03/25/20 CUSIP: 46625HHQ6 MOODY'S: A2 S&P: A-	250,000.0000	102.18730	255,468 25	2% Accrued Interest: 3,300.00
THE HARTFORD FINL 5.5%20 DUE 03/30/20 CUSIP: 416515AZ7 MOODY'S: Baa1 S&P: BBB+	250,000 0000	102.24540	255,613.50	2% Accrued Interest: 3,475.69



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## Investment Detail - Fixed Income (continued)

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Corporate Bonds (continued)	Par	Market Price	Market Value	% of Account Assets
<b>THE GOLDMAN SACHS G 6%20</b> DUE 06/15/20 CUSIP: 38141EA66	250,000.0000	103.50760	258,769.00	5%
MOODY'S: A3 S&P: BBB+	7. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4. 4.		1 th and a 2 th and a	Accrued Interest: 666.67
MCDONALD'S CORP 3.5%20  DUE 07/15/20  CUSIP: 58013MEJ9  MOODY'S: Baaf S&P: BBB+	250,000.0000	100.68330	251,708.25	2% Accrued Interest: 4,034.72
PFIZER INC. 5.2%20 DUE 08/12/20 CUSIP: 717081DR1 MOODYS: A1 S&P: AA	250,000.0000	103.61770	259,044.25	2% Accrued Interest: 5.019.44
		ATT THE PARTY OF T		
CARNIVAL CORP 3.95%20F  DUE 10/15/20  CUSIP: 143658BA9  MOODY'S: A3 S&P: A-	250,000.0000	101 18220	252,955.50	2% Accrued Interest: 2,084.72
BANK OF AMERICA 2.625%20 DUE 10/19/20	250,000.0000	98.84790	247,119.75	. 5%
MOODY'S: A3 S&P: A-		и применяния и ма	A	Accrued Interest: 1,312.50
CITIGROUP INC. 2.65%20  DUE 10/26/20  CUSIP: 172967KB6  MOONY'S: Bas1 9.8.P. RRR.	250,000.0000	98.66050	246,651.25	2%
MOODIS. Dagi Sar. DDD+			ť	colucu intelest: 1,150.10



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## Investment Detail - Fixed Income (continued)

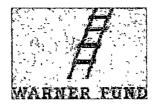
Corporate Bonds (continued)  EASTMAN CHEMICAL 4.5%21  DUE 01/15/21  CALLABLE 10/15/20 AT 100.00000  CUSIP: 277432AK6  MOODY'S: Baa2 S&P: BBB  VODAFONE GROUP 4.375%21F  DUE 03/16/21  CUSIP: 92857WAV2	Par 17,000.0000	Market Price 101 12350 102.19950	Market Value 17,191.00 255,498.75	% of Account Assets <1% Accrued Interest: 352.75 2%
MOODY'S: Baa1 S&P: BBB+  VERIZON COMMUNICA 4.6%21  DUE 04/01/21  CUSIP: 92343VAX2  MOODY'S: Baa1 S&P: BBB+	250,000 0000	103 07210	• 1	Accrued Interest: 3, 190.10 2% Accrued Interest: 2,875.00
WELLS FARGO & CO 4.6%21  DUE 04/01/21  CUSIP: 94974BEV8  MOODY'S: A2 S&P: A-	250,000.0000	102.37860	255,946.50 Acc	2% Accrued Interest: 2,875.00
<b>CVS HEALTH CORP 4.125%21</b> DUE 05/15/21 CALLABLE 02/15/21 AT 100.00000 CUSIP: 126650BW9 MOODY'S: Baa2 S&P: BBB	250,000 0000	100.81750	252,043.75	2% Accrued Interest: 1,317.71
MORGAN STANLEY 5.5%21  DUE 07/28/21  CUSIP: 61747WAL3  'MOODY'S: A3 S&P: BBB+	250,000 0000	104.85420	262,135 50	2% Accrued Interest: 5,843.75

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## Investment Detail - Fixed Income (continued)

STATES AND STATES AND

Corporate Bonds (continued)	Par	Market Price	Market Value	% of Account Assets
OHIO POWER CO 5.375%21		105 74940	264,373.50	
DUE 10/01/21				
CUSIP: 677415CP4				
MOODY'S: A2 S&P: A-			Accrued	Accrued Interest: 3,359.38
EXELON GENERATIO 4.25%22	250,000.0000	101.06050	252,6	
DUE 06/15/22		;		
CALLABLE 03/15/22 AT 100.00000		•		
CUSIP: 30161MAL7	•			
MOODY'S: Baa2 S&P: BBB				Accrued Interest: 472.22
Total Corporate Bonds St.	5,917,000:0000	Total	Total Accrued Interest for Corporate Bonds: 76,07	Total Accrued Interest for Corporate Bonds: 76,074.06



www.warnerfund.org

### **SUPPORTED PROGRAMS**

The Warner Fund carefully reviews, selects and nurtures programs that meaningfully serve children with disabilities and children in foster care.

### **HOW TO APPLY**

Through grants to eligible organizations, The Joseph LeRoy and Ann C. Warner Fund Inc. (the "Warner Fund") seeks to provide support for programs designed improve the lives of children in foster care and children with disabilities. The Warner Fund gives preference to innovative projects with measurable results and the likelihood of replication.

The Warner Fund primarily makes grants in amounts between \$2,500 and \$75,000, and may be project or general support grants. The Warner Fund is interested in new projects or organizations that address issues, within the general purposes of the Warner Fund, for which government sources of support may be unavailable. Funding will only be considered after a comprehensive sustainability plan with a timeline of events has been developed and submitted for review. Applications will be reviewed on an ongoing basis.

### THE PROCESS

### **STEP ONE: LETTER OF INQUIRY:**

Prior to submitting a request for a grant, it is advisable to submit to the Warner Fund a letter of inquiry outlining the purpose for which the funds are sought. The letter of inquiry should be limited to no more than four pages. It should include:

- BACKGROUND: A summary of the background and purposes of the organization requesting the funds.
- INITIATIVE: A description of the project for which the funds are being requested along with a preliminary budget for the project and the source of other funds, if any, which will support the project.
- CHALLENGES & SOLUTIONS: A statement of the issues the project addresses and how the project will meet these issues.
- BUDGET: The estimated yearly overall budget for the project.
- TIMEFRAME: The project's anticipated results and time frame.
- QUALIFICATIONS: Credentials of those who will be engaged in the project.

- BENCHMARKS: Methods for evaluating the results.
- FINANCIALS: Although we do not require financial information in the first step of the application process, we ask that you gather your organization's last two tax returns (990 Form) so that they can be submitted with your completed application, should your initial letter of inquiry be accepted.

### STEP TWO: INITIAL REVIEW

The Warner Fund will evaluate your Letter of Inquiry. If your Letter meets our criteria, we will contact your organization and request a formal proposal. Guidance for the formal proposal process will be sent upon acceptance of your Letter of Inquiry. Applications will be accepted on an ongoing basis.