

Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter social security numbers on this form as it may be made public.  
► Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No 1545-0052

**2018**

Open to Public Inspection

For calendar year 2018 or tax year beginning

, and ending

Name of foundation <b>CRAIG H. NEILSEN FOUNDATION</b>		A Employer identification number <b>06-1695275</b>
Number and street (or P O box number if mail is not delivered to street address) <b>16830 VENTURA BLVD STE 352</b>	Room/suite	B Telephone number <b>818-925-1245</b>
City or town, state or province, country, and ZIP or foreign postal code <b>ENCINO, CA 91436</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 405,058,532.</b>	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		131,876.	131,876.		STATEMENT 1
4 Dividends and interest from securities		7,556,417.	7,556,417.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		17,200,405.			
b Gross sales price for all assets on line 6a <b>124,985,810.</b>					
7 Capital gain net income (from Part IV, line 2)			17,200,405.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		1,288,583.	12,375.		STATEMENT 3
12 Total Add lines 1 through 11		26,177,281.	24,901,073.		
13 Compensation of officers, directors, trustees, etc		370,000.	115,000.		255,000.
14 Other employee salaries and wages		2,203,149.	248,736.		1,916,193.
15 Pension plans, employee benefits		590,757.	66,696.		513,812.
16a Legal fees STMT 4		10,922.	0.		10,197.
b Accounting fees STMT 5		39,914.	15,965.		24,044.
c Other professional fees STMT 6		914,196.	852,023.		62,665.
17 Interest		33,367.	33,367.		0.
18 Taxes STMT 7		325,102.	67,767.		385.
19 Depreciation and depletion		45,949.	6,339.		
20 Occupancy		205,502.	30,825.		194,323.
21 Travel, conferences, and meetings		192,647.	14,063.		187,234.
22 Printing and publications		4,959.	0.		1,001.
23 Other expenses STMT 8		422,895.	34,150.		400,204.
24 Total operating and administrative expenses. Add lines 13 through 23		5,359,359.	1,484,931.		3,565,058.
25 Contributions, gifts, grants paid		23,441,993.			37,459,641.
26 Total expenses and disbursements. Add lines 24 and 25		28,801,352.	1,484,931.		41,024,699.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-2,624,071.			
b Net investment income (if negative, enter -0-)			23,416,142.		
c Adjusted net income (if negative, enter -0-)				N/A	

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1	Cash - non-interest-bearing		1.	1.
	2	Savings and temporary cash investments	12,759,462.	25,249,007.	25,249,007.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	59,729.	59,893.	59,893.
	10a	Investments - U.S. and state government obligations STMT 11	79,335,229.	73,185,838.	73,185,838.
	b	Investments - corporate stock STMT 12	54,827,903.	39,088,580.	39,088,580.
	c	Investments - corporate bonds STMT 13	36,686,068.	25,702,972.	25,702,972.
<b>Liabilities</b>	11	Investments - land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 14	280,946,503.	241,557,636.	241,557,636.
	14	Land, buildings, and equipment: basis ▶ 394,338.			
		Less: accumulated depreciation STMT 15 ▶ 312,822.	109,172.	81,516.	81,516.
	15	Other assets (describe ▶ STATEMENT 16)	16,530.	133,089.	133,089.
	16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)	464,740,596.	405,058,532.	405,058,532.
	17	Accounts payable and accrued expenses	329,004.	353,613.	
	18	Grants payable	45,583,882.	31,224,895.	
<b>Net Assets or Fund Balances</b>	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶ STATEMENT 17)	833,132.	375,080.	
	23	<b>Total liabilities</b> (add lines 17 through 22)	46,746,018.	31,953,588.	
		<b>Foundations that follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 24 through 26, and lines 30 and 31.</b>			
	24	Unrestricted	417,994,578.	373,104,944.	
	25	Temporarily restricted			
	26	Permanently restricted			
		<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	<b>Total net assets or fund balances</b>	417,994,578.	373,104,944.	
	31	<b>Total liabilities and net assets/fund balances</b>	464,740,596.	405,058,532.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	417,994,578.
2	Enter amount from Part I, line 27a	2	-2,624,071.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 9	3	226,861.
4	Add lines 1, 2, and 3	4	415,597,368.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 10	5	42,492,424.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	373,104,944.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e 124,985,810.		107,785,405.	17,200,405.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			17,200,405.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	17,200,405.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	39,755,911.	457,167,144.	.086961
2016	30,513,776.	443,328,606.	.068829
2015	24,662,605.	472,815,664.	.052161
2014	22,957,842.	486,962,024.	.047145
2013	19,106,582.	463,465,856.	.041225

2 Total of line 1, column (d)	2	.296321
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.059264
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	447,854,550.
5 Multiply line 4 by line 3	5	26,541,652.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	234,161.
7 Add lines 5 and 6	7	26,775,813.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	41,045,166.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.

Date of ruling or determination letter: \_\_\_\_\_ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2018 estimated tax payments and 2017 overpayment credited to 2018

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be: Credited to 2019 estimated tax

91,766. Refunded

**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

Yes No

1a X

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

1b X

c Did the foundation file Form 1120-POL for this year?

1c X

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities.

2 X

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

3 X

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a X

b If "Yes," has it filed a tax return on Form 990-T for this year?

4b

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T

5 X

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

6 X

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

7 X

8a Enter the states to which the foundation reports or with which it is registered. See instructions.

CA

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

8b X

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV

9 X

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

10 X

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**Part VII-A Statements Regarding Activities** (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.CHNFOUNDATION.ORG</u>	X	
14 The books are in care of ► <u>THE FOUNDATION</u> Telephone no. ► <u>818-925-1245</u> Located at ► <u>16830 VENTURA BLVD STE 352, ENCINO, CA</u> ZIP+4 ► <u>91436</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year		
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

Organizations relying on a current notice regarding disaster assistance, check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 18		370,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KYMBERLY EISNER - 16830 VENTURA BLVD STE 352, ENCINO, CA 91436-1864	EXECUTIVE DIRECTOR	40.00 419,577.	16,500.	0.
NAOMI KLEITMAN - 16830 VENTURA BLVD STE 352, ENCINO, CA 91436-1864	VP SCIENTIFIC RESEARCH	40.00 297,662.	9,969.	0.
JASON TROY - 16830 VENTURA BLVD STE 352, ENCINO, CA 91436-1864	VP OF FINANCE	40.00 292,362.	13,900.	0.
TRACY WHEELER - 16830 VENTURA BLVD STE 352, ENCINO, CA 91436-1864	PROGRAM OFFICER	40.00 170,610.	6,189.	0.
JANE OTTO - 16830 VENTURA BLVD STE 352, ENCINO, CA 91436-1864	PROGRAM OFFICER	40.00 159,883.	8,601.	0.
Total number of other employees paid over \$50,000				7

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	454,674,670.
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	454,674,670.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	454,674,670.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	6,820,120.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	447,854,550.
6	Minimum investment return. Enter 5% of line 5	6	22,392,728.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	22,392,728.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	234,161.
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	234,161.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	22,158,567.
4	Recoveries of amounts treated as qualifying distributions	4	392,699.
5	Add lines 3 and 4	5	22,551,266.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	22,551,266.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	41,024,699.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	20,467.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	41,045,166.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	234,161.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	40,811,005.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
<b>1</b> Distributable amount for 2018 from Part XI, line 7				22,551,266.
<b>2</b> Undistributed income, if any, as of the end of 2018				
<b>a</b> Enter amount for 2017 only			0.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2018:				
<b>a</b> From 2013				
<b>b</b> From 2014				
<b>c</b> From 2015	794,394.			
<b>d</b> From 2016	8,261,370.			
<b>e</b> From 2017	17,076,291.			
<b>f</b> Total of lines 3a through e	26,132,055.			
<b>4</b> Qualifying distributions for 2018 from Part XII, line 4: ► \$ 41,045,166.				
<b>a</b> Applied to 2017, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2018 distributable amount				22,551,266.
<b>e</b> Remaining amount distributed out of corpus	18,493,900.			
<b>5</b> Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	44,625,955.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
<b>8</b> Excess distributions carryover from 2013 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	44,625,955.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2014				
<b>b</b> Excess from 2015	794,394.			
<b>c</b> Excess from 2016	8,261,370.			
<b>d</b> Excess from 2017	17,076,291.			
<b>e</b> Excess from 2018	18,493,900.			



**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>  SEE SCHEDULE A ATTACHED ADDL RECORDS AT: 16830 VENTURA BLVD STE 352 ENCINO, CA 91436	SEE SCHEDULE A ATTACHED	SEE SCHEDULE A ATTACHED	SEE SCHEDULE A ATTACHED	37,459,641.
<b>Total</b>	<b>3a</b>			37,459,641.
<b>b Approved for future payment</b>  SEE SCHEDULE B ATTACHED ADDL RECORDS AT: 16830 VENTURA BLVD STE 352 ENCINO, CA 91436	SEE SCHEDULE B ATTACHED	SEE SCHEDULE B ATTACHED	SEE SCHEDULE B ATTACHED	31,393,378.
<b>Total</b>	<b>3b</b>			31,393,378.

Form 990-PF (2018)

Schedule A

Grants and Contributions Paid During the Year

37,459,641

Recipient Name	Recipient Address	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose	Amount
ABILITY KC	3011 Baltimore Ave Kansas City, MO 64108	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	88,378
ABLE THRIVE, INC.	1448 Pine St. Unit 206 San Francisco, CA 94109	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	37,100
ACADEMY OF SPINAL CORD INJURY PROFESSIONALS	206 South Sixth Street Springfield, IL 62701	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	25,000
ADAPTIVE SPORTS CENTER OF CRESTED BUTTE, INC.	10 Crested Butte Way, Treasury Center, Mt Crested Butte, CO 81225	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	50,000
ADAPTIVE SPORTS PROGRAM OF OHIO	100 Kurzen Rd N, Ste B, Dalton, OH 44618	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	62,460
AMERICAN SPINAL INJURY ASSOCIATION	2209 Dickens Rd Richmond, VA 23230	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	77,500
ARISE CHILD AND FAMILY SERVICE, INC	635 James Street Syracuse, NY 13203	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	50,000
ARKANSAS SPINAL CORD FOUNDATION	1501 N University, Ste 470 Little Rock, AR 72207	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000
ASSOCIATION OF ACADEMIC PHYSIATRISTS	10461 Mill Run Circle, Ste 730 Owing Mills, Md 21117	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	70,000
AXIS DANCE COMPANY	1428 Alice St #200, Oakland CA 94612	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	80,425
BAY AREA OUTREACH & RECREATION PROGRAM	3075 Adeline St Suite 200 Berkeley, CA 94703	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	55,000
BAYLOR HEALTH CARE SYSTEM FOUNDATION	3600 Gaston Ave Barnet Tower, Ste 100 Dallas, TX 75246	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	175,000

BLAZESPORTS AMERICA, INC.	1670 Oakbrook Dr. Norcross, GA 30093	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	86,985
BOARD OF TRUSTEES UNIV ALABAMA	Box 870104 Tuscaloosa, AL 35487-0104	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	344,141
BOARD OF TRUSTEES UNIV ILLINOIS	South Research Park, MC-685 1901 South First Street, Suite A Champaign, IL 61820	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	396,398
BRECKENRIDGE OUTDOOR EDUCATION CENTER	524 Wellington Road Breckenridge, Colorado 80424	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	69,768
BRONX VETERAN HOSPITAL	130 West Kingsbridge Road Bronx, NY 10468	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	172,564
CASE WESTERN RESERVE UNIVERSITY	10900 Euclid Avenue Cleveland, OH 44106-4919	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	496,845
CENTRE INTEGRE UNIV DE SANTE	525 Blvd. Hamel Quebec, Quebec G1M 2S8	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	145,371
CHALLENGE ALASKA	3350 Commercial Dr. Suite 208, Anchorage, AK 995010	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	84,733
CHALLENGE ASPEN	Snowmass Village Mall #309, Snowmass Village, CO 81615	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,260
CHILDREN'S HOSPITAL CORPORATION	300 Longwood Avenue Boston, MA 2115	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	89,210
COMMUNITY FDN OF SONOMA COUNTY	120 Stony Point Road Ste 220 Santa Rosa, CA 95401	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000
CRAIG HOSPITAL FOUNDATION	3425 South Clarkson Street Englewood, CO 80113	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	160,000
CSULA AUXILIARY SERVICES, INC	5151 State University Dr. GE 314, Los Angeles, CA 90032	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	49,837
DANCE SERVICE NYC	218 E. 18th Street New York, NY 10003	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	85,000
DISABLED SPORTS EASTERN SIERRA	1 Minaret Rd Mammoth Lakes, CA 93546	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	62,800

DISABLED SPORTS USA	451 Hungerford Dr Suite 608, Rockville, MD 20850	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000
DREXEL UNIVERSITY	3201 Arch Street, Suite 100 Philadelphia, PA 19104-2875	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	204,127
EAST CAROLINA UNIVERSITY	2200 S. Charles Blvd Ste 2900 Greenville Centre, NC 27858-4353	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
EMORY UNIVERSITY	1599 Clifton Road NE, 4th Floor Mailstop 1599-001-18A Atlanta, GA 30322	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	275,000
EMPOWER TENNESSEE	955 Woodland Street Nashville, TN 37206	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	45,111
FIRST STAR, INC.	2049 Century Park East #4320 Los Angeles, CA 90067	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000
FLORIDA INTERNATIONAL UNIVERSITY FOUNDATION, INC.	11200 SW 8th St , Marc 515 Miami, FL 33199	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	112,086
FOUNDATION FOR REHAB PSYCH	480 Medical Center Dr Columbus, OH 43210	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	20,000
GEORGIA TECH RESEARCH CORPORATION	505 10th St NW Atlanta, GA 30318	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	233,799
GOVERNOR'S UNIVERSITY OF ALBERTA	222 Campus Tower 8625-112 St Edmonton, Alberta Canada T6G 2E1	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	187,000
GREAT LAKES ADAPTIVE SPORTS ASSOCIATION	27864 Irma Lee Circle #101 Lake Forest, IL 60045	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
HEALTH RESEARCH, INC.	150 Broadway, Suite 560 Menands, NY 12204	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000
HIGHER GROUND SUN VALLEY, INC	160 7th St. W #2, Ketchum, ID 83340	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	68,500
HINDS COMMUNITY COLLEGE FOUNDATION	P O Box 1100 Raymond, MS 39154-1100	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	19,920
ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI	One Gustave L. Levy Place Box 1075 New York, NY 10029	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	317,328

ICD INTERNATIONAL CENTER FOR THE DISABLED, INC.	123 William St. 5th Floor, New York, NY 10038	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	71,000
INDIANA UNIVERSITY	980 Indiana Avenue, Room 2232 Indianapolis, IN 46202-2915	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	323,374
INTERNATIONAL SYMPOSIUM ON NEURAL REGENERATION, INC	1540 Ridgeview Rd Columbus, OH 43221	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	15,000
JOSEPHINE KERNES MEMORIAL POOL	15 Portola Ave Monterey, CA 93940	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	48,750
KEEP MEMORY ALIVE	888 W Bonneville Ave Las Vegas, NV 89106	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	25,000
KESSLER FOUNDATION, INC.	120 Eagle Rock Avenue, Ste 100 East Hanover, NJ 07936	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	495,877
LAKESHORE FOUNDATION	4000 Ridgeway Dr Birmingham, AL 35209	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	216,619
LATSKY DANCE, INC.	322 East 11th Street #11, New York, NY 10003	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	35,000
LONDON HEALTH SCIENCES CENTRE RESEARCH, INC.	750 Base Line Road East London, Ontario Canada N6C 2R5	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	217,756
MAGEE REHABILITATION HOSPITAL	1513 Race Street Philadelphia, PA 19102	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	40,000
MARQUETTE UNIVERSITY	1324 W Wisconsin Ave Milwaukee, WI 53233-2210	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	4,495
MARY FREE BED HOSPITAL & REHABILITATION CENTE	235 Wealthy Street, SE Grand Rapids, MI 49301	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	129,976
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	77 Massachusetts Ave NE-901 Cambridge, MA 02139-4307	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	75,000
MEDSTAR HEALTH RESEARCH INSTITUTE	6525 Belcrest Rd Ste 700 Hyattsville MD, 20782	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	222,972
MEMORIAL HERMANN FOUNDATION	929 Gessner, Suite 2650, Houston, TX 77024	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	168,114

MISSISSIPPI YOUTH WHEELCHAIR LEAGUE, INC.	1300 Beverly Dr Clinton, MS 39056	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	62,020
NATIONAL COUNCIL ON INDEPENDENT LIVING	2013 H Street NW Washington, DC 20006	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000
NATIONAL SPINAL CORD INJURY ASSOCIATION HOUSTON	4106 Austin Street, Houston TX 77004	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	44,130
NEIL SQUIRE SOCIETY	400-3999 Henning Drive, Burnaby, BC V5C 6P9	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	73,600
NEURO ASSISTANCE FOUNDATION	2320 Bridgewood Dr Keller, TX 76262	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	95,000
NINDS	6001 Executive Blvd Room 3280, Rockville, MD 20852	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000
NORTHWESTERN UNIVERSITY	750N Lake Shore Drive, Rubloff 7th Floor Chicago, IL 60611-4579	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	35,715
NW WHEELCHAIR TENNIS ASSOCIATION	18775 Old River Dr West Linn, OR 97068	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	35,200
OHIO STATE UNIVERSITY	1960 Kenny Road Columbus, OH 43210	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	499,353
OREGON ADAPTIVE SPORTS	63025 O B Riley Rd #12, Bend, OR 97701	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	33,130
OREGON SPINAL CORD INJURY CONNECTION	8502 N Hamlin Ave Portland, OR 97217	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	49,500
OUTDOORS FOR ALL FOUNDATION	6344 NE 74th Street, Suite 102, Seattle, WA 98115	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	49,000
PARALYZED VETERANS OF AMERICA	801 18th St N W Washington, D.C. 20006	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000
PORTLAND COMMUNITY COLLEGE FOUNDATION	12000 SW 49th Avenue Portland, OR 97219	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	34,631
PRESIDENT AND FELLOWS OF HARVARD COLLEGE	6404 North 70th Plaza Omaha, NE 68104	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	120,000



PROVIDENCE HEALTH CARE FOUNDATION EASTERN WASHINGTON	101 West 8th Ave Spokane, WA 99204	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000
REGENTS OF THE UNIVERSITY OF CALIF - IRVINE	5751 California Ave Ste 150 Irvine, CA 92697-7600	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	270,000
REGENTS OF THE UNIVERSITY OF CALIF - RIVERSIDE	3720 S Flower St Los Angeles, CA 90007-4318	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	40,000
REGENTS OF THE UNIVERSITY OF CALIF - SAN DIEGO	9500 Gilman Dr Dept 0934 La Jolla, CA 92093-0934	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	170,000
REGENTS OF THE UNIVERSITY OF CALIF - UCSF	550 16th St 6th Fl San Francisco, CA 94143	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	320,000
REGENTS OF THE UNIVERSITY OF CALIFORNIA	10889 Wilshire Blvd Suite 700 Los Angeles, CA 90095	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	63,524
REGENTS OF THE UNIVERSITY OF CALIFORNIA, DAVIS	One Shields Ave Davis, CA 95616	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	311,000
REHABILITATION INSTITUTE OF CHICAGO	345 East Superior Street Chicago, IL 60611	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	385,307
RENSSELAER POLYTECHNIC INSTITUTE	110 Eighth St Troy, NY 12180-3590	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	60,000
RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK	230W 41st Street, 7th Floor New York, NY 10036	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	276,092
ROWAN UNIVERSITY	201 Mullica Hill Road, Glassboro NJ 08028	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	148,661
ROYAL INSTITUTION FOR THE ADVANCEMENT OF LEARNING - MCGILL UNIVERSITY	845 Sherbrooke St West 2nd Floor Montreal, Quebec Canada H3A 0G4	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	198,501
SALK INSTITUTION FOR BIOLOGICAL STUDIES	10010 North Torrey Pines Road La Jolla, CA 92037-1002	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
SCHWAB REHAB HOSPITAL & CARE NETWORK	1500 South Fairfield Ave F-100 Chicago, IL 60608	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	396,601
SHASTA REGIONAL COMMUNITY FOUNDATION	1335 Arboretum Dr Ste B, Redding, CA 96003	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000

SHEPHERD CENTER, INC.	2020 Peachtree Rd NW Atlanta, GA 30309	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	56,294
SHRINERS HOSPITALS FOR CHILDREN	2211 North Oak Park Ave Chicago, IL 60707	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,210
SIMON FRASER UNIVERSITY	8888 University Dr Burnaby, BC V5A 1S6 Canada	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	76,296
SOUTHERN CALIFORNIA GRANTMAKERS	1000 N Alameda St Suite 230 Los Angeles, CA 90012	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000
SPAULDING REHAB HOSPITAL	399 Revolution Drive Suite 745 Somerville, MA 02145	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	226,951
SPORTABLE RICHMOND ADAPTIVE SPORTS AND RECREATION, INC.	1365 Overbrook Road, Suite 2 Richmond, VA 23220	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	75,000
ST LUKE'S MAGIC VALLEY HEALTH FDN, INC.	P O Box AK Twin Falls, ID 83303	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000
STEAMBOAT TRAINING ADAPTIVE RECREATIONAL SPORTS	2200 Village Inn Court, Steamboat Springs, CO 80487	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	48,000
TAMPA VA RESEARCH & EDUCATION FOUNDATION	5620 E Fowler Ave Ste B Temple Terrace, FL 33617	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	102,175
TEAM RUBICON	6171 West Century Blvd Ste 310, Los Angeles, CA 90045	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	200,000
TEMPLE UNIVERSITY	1801 North Broad Street, Philadelphia PA 19122-6003	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	93,596
TETRA SOCIETY OF NORTH AMERICA	318-425 Carrall St Vancouver, BC Canada V6B 6E3	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	39,200
TEXAS A&M UNIVERSITY	400 Harvey Mitchell Parkway South, Ste 300 College Station, TX 77845-4375	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	149,549
THE CENTER FOR CULTURAL INNOVATION	244 S San Pedro St #401 Los Angeles, CA 90012	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000
THE CHILDREN'S CENTER, INC	6800 N W 39th Expressway Bethany, OK 73008	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	35,634

THE COLLEGE OF IDAHO, INC.	2112 Cleveland Blvd Caldwell, ID 83605-4432	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	80,000
THE CURATORS OF THE UNIVERSITY OF MISSOURI	115 Business Loop 70W, Mizzou North, Room 501 Columbia, MO 65211-0001	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
THE GOVERNING COUNCIL OF THE UNIVERSITY OF TORONTO	12 Queen's Park Cres West, 3rd Fl McMurrich Bldg Toronto, ON M5S 1S8	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	87,428
THE GOVERNORS OF THE UNIVERSITY OF CALGARY	2500 University Dr NW Calgary, AB Canada T2N1N4	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	108,133
THE GREATER ROCHESTER AREA CHAPTER OF THE NSCIA	PO Box 664, 601 Elmwood Ave Rochester, NY 14642	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	57,400
THE MAYOR'S FUND TO ADVANCE NEW YORK CITY	253 Broadway 6th Floor New York, NY 10007	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	123,522
THE MEDICAL COLLEGE OF WISCONSIN, INC	8701 Watertown Plank Road Milwaukee, WI 53226	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	299,900
THE METHODIST HOSPITAL RESEARCH INSTITUTION	6670 Bertner Ave Houston, TX 77030	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	119,974
THE METROHEALTH SYSTEM	2500 MetroHealth Drive Cleveland, OH 44109	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	336,148
THE NATIONAL NEUROTRAUMA SOCIETY	9037 Ron Den Lane, Windermere, FL 34786	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	15,000
THE PENNSYLVANIA STATE UNIVERSITY	408 Old Main University Park, PA 16802	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	174,127
THE RANKEN-JORDAN HOME FOR CONVALESCENT CRIPPLED CHILDREN	11365 Dorsett Road Maryland Heights, MO 63043	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	31,880
THE REGENTS OF THE UNIVERSITY OF MICHIGAN	3003 S State Street Ann Arbor, MI 48109-1274	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	1,288,872
THE UNIVERSITY CORPORATION	18111 Nordhoff St Northridge, CA 91330-8232	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	72,951
THOMAS JEFFERSON UNIVERSITY	1 Olympic Plaza Colorado Springs, CO 80909	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	418,151

UNITE 2 FIGHT PARALYSIS	4000 Ridgeway Dr Birmingham, AL 35209	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	15,000
UNITED STATES ROWING ASSOCIATION	2 Wall Street Princeton, NJ 08540- 1513	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000
UNIVERSITE DE MONTREAL	3744 Jean-Brillant, Montreal QC H3T 1P1, Canada	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	147,916
UNIVERSITY COLLEGE LONDON	149 Tottenham Court Road London, W1T 7NF United Kingdom	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	85,000
UNIVERSITY HEALTH NETWORK	550 University Ave Toronto, ON M5G2A2	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	526,784
UNIVERSITY OF ARIZONA FOUNDATION	1111 N Cherry Avenue Tucson, AZ 85721-0109	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	309,715
UNIVERSITY OF BRITISH COLUMBIA	102-6190 Agronomy Road, Vancouver, B C, Canada V6T1Z3	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	713,553
UNIVERSITY OF DELAWARE	341 McDowell Hall Newark, DE 19716	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	170,747
UNIVERSITY OF FLORIDA	219 Grinter Hall Gainesville, FL 32611	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	333,999
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION	500 South Limestone 109 Kinkad Hall Lexington, KY 40526-0001	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	319,837
UNIVERSITY OF LOUISVILLE RESEARCH FOUNDATION	Med Centerone, 501 E Broadway, Suite 200 Louisville, KY 40202	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	694,936
UNIVERSITY OF MIAMI	1320 S Dixie Highway, Gables one Tower, Coral Gables, Florida, 33146	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	582,470
UNIVERSITY OF MICHIGAN	3003 S State Street Ann Arbor, MI 48109-1274	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
UNIVERSITY OF MINNESOTA FOUNDATION	200 Oak St SE Suite 500 Minneapolis, MN 55455	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	15,000
UNIVERSITY OF NEVADA, LAS VEGAS FOUNDATION	4505 S Maryland Parkway Las Vegas, NV 89154-1006	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	84,686

UNIVERSITY OF PITTSBURGH	University Club 123 University Place Pittsburgh, PA 15213	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	305,315
UNIVERSITY OF PITTSBURGH PHYSICIANS	3600 Forbes at Meyran Ave Ste 8084 Pittsburgh, PA 15213	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	92,760
UNIVERSITY OF RHODE ISLAND	70 Lower College Road Kingston, RI 02881-1967	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	79,404
UNIVERSITY OF ROCHESTER	518 Hylan Bldg Box 270140 Rochester, NY 14627-0140	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	200,000
UNIVERSITY OF TEXAS AT ARLINGTON	701 SOUTH NEDDERMAN DRIVE, SUITE 421 ARLINGTON, TX 76019	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	45,459
UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER	Post Office Box 20036 Houston, TX 77225-0036	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	382,166
UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON	301 University Blvd Galveston, TX 77555-0156	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	166,261
UNIVERSITY OF UTAH	75 S 2000 E Rm 111 Salt Lake City, UT 84112	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	14,732,573
UNIVERSITY OF WASHINGTON	4333 Brooklyn Ave NE Box 359472 Seattle, WA 98195	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	490,014
USA BOCCIA, INC.	1398 Penataquit Ave Bay Shore, NY 11706	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
VARIETY THE CHILDREN'S CHARITY OF ST LOUIS	11840 Westline Industrial Dr Ste 220 St Louis, MO 63146	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	25,000
VERMONT STUDIO CENTER	PO Box 613 Johnson, VT 05656	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	85,800
VIRGINIA COMMONWEALTH UNIVERSITY	800 East Leigh St Ste 3200 Richmond, VA 23298-0568	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	132,600
VMC FOUNDATION	2400 Moorpark Ave Suite 207 San Jose, CA 95128	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000
WASATCH ADAPTIVE SPORTS, INC	9385 S Snowbird Center Dr Snowbird, UT 84092	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	48,373

WASHINGTON STATE UNIVERSITY	P O Box 643140, Room 423, Pullman, WA 99164-3140	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	12,434
WASHINGTON UNIVERSITY	660 S Euclid Street St Louis, MO 63110	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	328,338
WINIFRED MASTERSON BURKE	785 Mamaroneck Ave White Plains, NY 10605	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	180,000
WOOD RIVER ABILITY PROGRAM, INC	2005 Warm Spring Road #2 Ketchum, ID 83340	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	33,975
YORK UNIVERSITY	4700 Keele St Toronto, ON M3J 1P3 Canada	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	5,404
FIFTH AVENUE PRIVATE EQUITY 11, LLC C/O BESSEMER TRUST COMPANY, N A	630 FIFTH AVENUE, NEW YORK, NY 10111	NA	PASS- THROUGH ENTITY	PASS-THROUGH DEDUCTION	1
FIFTH AVENUE PRIVATE EQUITY 12, LLC C/O BESSEMER TRUST COMPANY, N A	630 FIFTH AVENUE, NEW YORK, NY 10111	NA	PASS- THROUGH ENTITY	PASS-THROUGH DEDUCTION	10
FIFTH AVENUE PRIVATE EQUITY 13, LLC C/O BESSEMER TRUST COMPANY, N A	630 FIFTH AVENUE, NEW YORK, NY 10111	NA	PASS- THROUGH ENTITY	PASS-THROUGH DEDUCTION	85
FIFTH AVENUE PRIVATE EQUITY 14, LLC C/O BESSEMER TRUST COMPANY, N A	630 FIFTH AVENUE, NEW YORK, NY 10111	NA	PASS- THROUGH ENTITY	PASS-THROUGH DEDUCTION	91

**Schedule B**

**Grants and Contributions Approved for  
Future Payment**

Recipient Name	Recipient Address	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose	Amount
AMERICAN SPINAL INJURY ASSOCIATION	2020 Peachtree Road NW Atlanta, GA 30309	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	110,000
BAYLOR HEALTH CARE SYSTEM FOUNDATION	3600 Gaston Ave Bamert Tower, Ste 100 Dallas, TX 75246	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000
BLAZESPORTS AMERICA, INC	1670 Oakbrook Dr Norcross, GA 30093	N/A	Public Entity	programs/services for rehabilitation and support for persons living with spinal cord injury	36,491
BOARD OF TRUSTEES UNIV ALABAMA	Box 870104 Tuscaloosa, AL 35487-0104	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	407,169
BOARD OF TRUSTEES UNIV ILLINOIS	South Research Park, MC-685 1901 South First Street, Suite A Champaign, IL 61820	N/A	Public Entity	programs/services for rehabilitation and support for persons living with spinal cord injury	847,401
BRONX VETERANS MEDICAL RESEARCH FOUNDATION	525 Blvd Hamel Quebec, Quebec G1M 2S8	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	90,000
CASE WESTERN RESERVE UNIVERSITY	10900 Euclid Avenue, Adelbert Hall 329 Cleveland, OH 44106	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	362,489
CENTRE INTEGRE UNIV DE SANTE ET DE SERVICES SOCIAUX DE LA CAPITALE-NATIONALE	525 Blvd Hamel Quebec, Quebec G1M 2S8	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	260,155
CHILDREN'S HOSPITAL	300 Longwood Avenue Boston, MA 2115	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	74,518
GEORGIA TECH FOUNDATION	505 10th St NW Atlanta, GA 30318	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	225,243
GOVERNORS UNIVERSITY OF ALBERTA	GOVERNOR'S UNIVERSITY OF ALBERTA	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
HINDS COMMUNITY COLLEGE	P O Box 1100 Raymond, MS 39154-1100	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	4,916
LONDON HEALTH SCIENCES CENTRE RESEARCH, INC	750 Base Line Road East London, Ontario Canada N6C 2R5	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	370,700
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	77 Massachusetts Ave NE-901 Cambdrg, MA 02139-4307	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	75,000
PORTLAND COMMUNITY COLLEGE	12000 SW 49th Avenue Portland, OR 97219	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	19,438
RESEARCH FOUNDATION OF THE CITY OF NEW YORK	230W 41st Street, 7th Floor New York, NY 10036	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	400,000
SCHWAB REHAB HOSPITAL	1500 South Fairfield Ave F-100 Chicago, IL 60608	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	313,399
SHRINERS HOSPITAL FOR CHILDREN	2211 North Oak Park Ave Chicago, IL 60707	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	265,708
THE MEDICAL COLLEGE OF WISCONSIN	8701 Watertown Plank Road Milwaukee, WI 53226	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	299,986
THE PENNSYLVANIA STATE UNIVERSITY	THE PENNSYLVANIA STATE UNIVERSITY	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	295,929
THE REGENTS UNIVERSITY OF CALIFORNIA	10889 Wilshire Blvd Suite 700 Los Angeles, CA 90095	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
THE REGENTS UNIVERSITY OF MICHIGAN	3003 S State Street Ann Arbor, MI 48109-1274	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	943,384
UNIVERSITY DE MONTREAL	3744 Jean-Brunant, Montreal QC H3T 1P1, Canada	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	147,916
UNIVERSITY OF MIAMI	C/O Suzanne Liv Page 1320 S Dixie Highway, Gables one Tower, Coral Gables, FL 33134	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	265,767
UNIVERSITY OF NEVADA LAS VEGAS	4505 S Maryland Parkway Las Vegas, NV 89154-1006	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	175,794

Recipient Name	Recipient Address	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose	Amount
UNIVERSITY OF PITTSBURGH	University City Center University Hall Pittsburgh, PA 15213	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	291,686
UNIVERSITY OF RHODE ISLAND	70 Lower College Road Kingston, RI 02881-1967	N/A	Public Entity	programs/services for rehabilitation and support for persons living with spinal cord injury	20,000
UNIVERSITY OF ROCHESTER	14627-0140	N/A	Public Entity	programs/services for rehabilitation and support for persons living with spinal cord injury	200,000
UNIVERSITY OF TEXAS AT ARLINGTON	701 SOUTH NEDDERMAN DRIVE, SUITE 421 ARLINGTON, TX 76019	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	58,879
UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER	Post Office Box 20036 Houston, TX 77225-0036	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	460,000
UNIVERSITY OF UTAH	201 Presidents Circle, Room 203 Salt Lake City, Utah 84112	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	14,426,360
UNIVERSITY OF WASHINGTON	4333 Brooklyn Ave NE Seattle, WA 98195-9472	N/A	Public Entity	programs/services for rehabilitation and support for persons living with spinal cord injury	427,665
VERMONT STUDIO CENTER	PO Box 613 Johnson, VT 05656	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	91,500
VIRGINIA COMMONWEALTH UNIVERSITY	800 East Leigh St Ste 3200 Richmond, VA 23298-0568	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	132,601
WASHINGTON UNIVERSITY	PO Box 8018 660 S Euclid Street St Louis, MO 63110	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	301,592
WINIFRED MASTERSON BURKE	783 Wamaroneck Ave White Plains, NY 10605	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
YALE UNIVERSITY	47 College Street, Suite 203 New Haven, CT 06510-8047	N/A	Public Entity	programs/services for rehabilitation and support for persons living with spinal cord injury	30,000

Total Grants and Contributions Approved for Future Payment 31,393,378







CRAIG H. NEILSEN FOUNDATION

06-1695275

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**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5 MILLION US TREASURY NOTE			
b	10 MILLION US TREASURY NOTE			
c	12 MILLION US TREASURY NOTE			
d	BESSEMER TRUST - 8H7328			
e	13776.788 SHS FPA CRESCENT FUND			
f	BESSEMER TRUST - 8P1512			
g	BESSEMER TRUST - 8P1512			
h	4278 VANGUARD FTSE ALL			
i	BESSEMER TRUST - 8M3417			
j	BESSEMER TRUST - 8M3417			
k	BESSEMER TRUST - 8G8400			
l	BESSEMER TRUST - 8G8400			
m	5TH AVE PRIVATE EQUITY 11 LLC			
n	5TH AVE PRIVATE EQUITY 11 LLC			
o	5TH AVE PRIVATE EQUITY 12 LLC			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	5,000,000.	5,000,000.	0.
b	10,000,000.	10,000,000.	0.
c	12,000,000.	12,000,000.	0.
d	12,928,724.	9,878,655.	3,050,069.
e	495,000.	453,807.	41,193.
f	1,485,298.	1,594,026.	-108,728.
g	1,706,272.	1,645,882.	60,390.
h	538,086.	407,402.	130,684.
i	18,985,327.	19,304,456.	-319,129.
j	19,968,781.	20,354,342.	-385,561.
k	3,843,160.	3,871,439.	-28,279.
l	29,383,479.	23,275,229.	6,108,250.
m	557.		557.
n	87,517.		87,517.
o	3,170.		3,170.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			0.
c			0.
d			3,050,069.
e			41,193.
f			-108,728.
g			60,390.
h			130,684.
i			-319,129.
j			-385,561.
k			-28,279.
l			6,108,250.
m			557.
n			87,517.
o			3,170.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

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CRAIG H. NEILSEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5TH AVE PRIVATE EQUITY 12 LLC			
b	5TH AVE PRIVATE EQUITY 13 LLC			
c	5TH AVE PRIVATE EQUITY 13 LLC			
d	BESSEMER TRUST - 8G8400 - WASH SALE			
e	BESSEMER TRUST - 8G8400 - CLASS ACTION SETTLEMENT			
f	BESSEMER TRUST - 8P1512 - WASH SALE			
g	5TH AVE PRIVATE EQUITY 14 LLC			
h	5TH AVE PRIVATE EQUITY 14 LLC			
i	CAPITAL GAINS DIVIDENDS			
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	160,169.		160,169.
b	199.		199.
c	274,461.		274,461.
d	4,396.		4,396.
e	3,842.		3,842.
f	4,092.		4,092.
g		167.	-167.
h	191.		191.
i	8,113,089.		8,113,089.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			160,169.
b			199.
c			274,461.
d			4,396.
e			3,842.
f			4,092.
g			-167.
h			191.
i			8,113,089.
j			
k			
l			
m			
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	17,200,405.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BESSEMER TR - PER 1099 - 8G8400	20,254.	20,254.	
BESSEMER TR - PER 1099 - 8H3083	105,241.	105,241.	
BESSEMER TR - PER 1099 - 8H7328	1,023.	1,023.	
BESSEMER TR - PER 1099 - 8H7329	23.	23.	
BESSEMER TR - PER 1099 - 8M3417	5,261.	5,261.	
BESSEMER TR - PER 1099 - 8M9222	74.	74.	
TOTAL TO PART I, LINE 3	131,876.	131,876.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
5TH AVE PRIVATE EQUITY 11 LLC	1,495.	0.	1,495.	1,495.	
5TH AVE PRIVATE EQUITY 12 LLC	7,363.	0.	7,363.	7,363.	
5TH AVE PRIVATE EQUITY 13 LLC	14,458.	0.	14,458.	14,458.	
5TH AVE PRIVATE EQUITY 14 LLC	1,530.	0.	1,530.	1,530.	
BESSEMER TR - LESS: PRIOR YEAR ACCRUED INTEREST	-536,821.	0.	-536,821.	-536,821.	
BESSEMER TR - PER 1099 - 8G8400	9,808,882.	6,680,024.	3,128,858.	3,128,858.	
BESSEMER TR - PER 1099 - 8H3083	616,547.	0.	616,547.	616,547.	
BESSEMER TR - PER 1099 - 8H3083 - ACCRUED INTEREST	-36,111.	0.	-36,111.	-36,111.	
BESSEMER TR - PER 1099 - 8H3083 - MARKET DISCOUNT	107,539.	0.	107,539.	107,539.	
BESSEMER TR - PER 1099 - 8H7328	1,162,518.	41,289.	1,121,229.	1,121,229.	

BESSEMER TR. - PER				
1099 - 8H7329	50,483.	0.	50,483.	50,483.
BESSEMER TR - PER				
1099 - 8M3417	1,822,252.	0.	1,822,252.	1,822,252.
BESSEMER TR - PER				
1099 - 8M3417 -				
ACCRUED INTEREST	-121,429.	0.	-121,429.	-121,429.
BESSEMER TR - PER				
1099 - 8M3417 -				
BOND PREMIUM	-133,502.	0.	-133,502.	-133,502.
BESSEMER TR - PER				
1099 - 8M3417 -				
MARKET DISCOUNT	7,455.	0.	7,455.	7,455.
BESSEMER TR - PER				
1099 - 8M3417 -				
OID	97,598.	0.	97,598.	97,598.
BESSEMER TR - PER				
1099 - 8M9222	1,499,847.	1,379,606.	120,241.	120,241.
BESSEMER TR - PER				
1099 - 8P1512	92,852.	0.	92,852.	92,852.
BESSEMER TR -				
PLUS: CURRENT YEAR				
ACCRUED INTEREST	655,784.	0.	655,784.	655,784.
GMO BENCHMARK-FREE				
ALLOC III 8F0580	550,766.	12,170.	538,596.	538,596.
TO PART I, LINE 4	15,669,506.	8,113,089.	7,556,417.	7,556,417.

FORM 990-PF	OTHER INCOME	STATEMENT	3
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
5TH AVE PRIVATE EQUITY 11 LLC	1,550.	1,550.	
5TH AVE PRIVATE EQUITY 12 LLC	2,385.	2,385.	
5TH AVE PRIVATE EQUITY 12 LLC	42.	42.	
5TH AVE PRIVATE EQUITY 13 LLC	7,364.	7,364.	
5TH AVE PRIVATE EQUITY 13 LLC	-4,842.	-4,842.	
5TH AVE PRIVATE EQUITY 14 LLC	329.	329.	
5TH AVE PRIVATE EQUITY 11 LLC	-1,918.	-1,918.	
5TH AVE PRIVATE EQUITY 12 LLC	-7,933.	-7,933.	
GRANT REFUNDS	392,699.	0.	
GRANT ACCRUAL ADJUSTMENTS	456,509.	0.	
5TH AVE PRIVATE EQUITY 13 LLC	15,041.	15,041.	
5TH AVE PRIVATE EQUITY 14 LLC	357.	357.	
DEFERRED EXCISE TAX EXPENSE			
REVERSAL	427,000.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,288,583.	12,375.	

FORM 990-PF	LEGAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
LEGAL	10,922.	0.		10,197.	
TO FM 990-PF, PG 1, LN 16A	10,922.	0.		10,197.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING	39,914.	15,965.		24,044.	
TO FORM 990-PF, PG 1, LN 16B	39,914.	15,965.		24,044.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PAYROLL ADMINISTRATION	26,981.	3,046.		23,467.	
CONSULTING	106,789.	68,551.		39,198.	
INVESTMENT MANAGEMENT FEES	340,127.	340,127.		0.	
5TH AVE PRIVATE EQUITY 11 LLC	11,819.	11,819.		0.	
5TH AVE PRIVATE EQUITY 12 LLC	53,696.	53,696.		0.	
5TH AVE PRIVATE EQUITY 13 LLC	247,038.	247,038.		0.	
5TH AVE PRIVATE EQUITY 14 LLC	127,746.	127,746.		0.	
TO FORM 990-PF, PG 1, LN 16C	914,196.	852,023.		62,665.	

FORM 990-PF	TAXES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
TAXES - FOREIGN WITHHELD FROM DIVIDENDS	67,759.	67,759.		0.	
TAXES - CALIFORNIA FILING FEES	235.	0.		235.	
TAXES - FEDERAL EXCISE TAX ON NET INVESTMENT INCOME	239,000.	0.		0.	
TAXES - BUSINESS PROPERTY	158.	8.		150.	
TAXES - EXCISE TAX ON UBI	17,950.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	325,102.	67,767.		385.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
COMMUNICATION/TELEPHONE INSURANCE	81,359.	12,204.		78,665.	
GRANT REVIEW FEES	39,424.	19,712.		19,958.	
MEMBERSHIPS AND SUBSCRIPTIONS	182,375.	0.		182,375.	
OFFICE SUPPLIES AND EXPENSE	98,780.	0.		99,421.	
OTHER PROGRAM ADMINISTRATION	14,896.	2,234.		13,724.	
TO FORM 990-PF, PG 1, LN 23	6,061.	0.		6,061.	
	422,895.	34,150.		400,204.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	9
DESCRIPTION	AMOUNT		
DIFFERENCE IN INTEREST PER RETURN AND INTEREST PER BOOKS K-1 - 5TH AVENUE PRIVATE EQUITY 14 LLC - K-1 LOSS NOT ON BOOKS	3,767.		
DIFFERENCE IN BOND DISCOUNT/PREMIUM PER RETURN AND BOOKS	146,290.		
DIFFERENCE IN BROKERAGE INVESTMENT FEES PER RETURN AND BOOKS	72,831.		
TOTAL TO FORM 990-PF, PART III, LINE 3	3,973.		
	226,861.		



FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 10
DESCRIPTION		AMOUNT
CURRENT YEAR'S NET UNREALIZED GAIN ON INVESTMENTS		42,249,037.
REALIZED GAIN ON RETURN - CLASS ACTION SETTLEMENT - NOT ON BOOKS		3,843.
K-1 - 5TH AVENUE PRIVATE EQUITY 11 LLC - K-1 INCOME NOT ON BOOKS		76,934.
K-1 - 5TH AVENUE PRIVATE EQUITY 12 LLC - K-1 INCOME NOT ON BOOKS		108,038.
K-1 - 5TH AVENUE PRIVATE EQUITY 13 LLC - K-1 INCOME NOT ON BOOKS		50,116.
DIFFERENCE IN FOREIGN TAXES PER RETURN AND PER BOOKS		143.
DIFFERENCE IN DIVIDENDS PER RETURN AND DIVIDENDS PER BOOKS		4,313.
TOTAL TO FORM 990-PF, PART III, LINE 5		42,492,424.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT 11
DESCRIPTION	U.S. GOV'T    OTHER GOV'T    BOOK VALUE	FAIR MARKET VALUE
U.S. GOVERNMENT OBLIGATIONS	X	73,185,838.
TOTAL U.S. GOVERNMENT OBLIGATIONS		73,185,838.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS		
TOTAL TO FORM 990-PF, PART II, LINE 10A		73,185,838.

FORM 990-PF	CORPORATE STOCK	STATEMENT 12
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	39,088,580.	39,088,580.
TOTAL TO FORM 990-PF, PART II, LINE 10B	39,088,580.	39,088,580.

FORM 990-PF	CORPORATE BONDS	STATEMENT 13
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	22,818,128.	22,818,128.
INTERNATIONAL BONDS	2,884,844.	2,884,844.
TOTAL TO FORM 990-PF, PART II, LINE 10C	25,702,972.	25,702,972.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 14	
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ACCRUED INVESTMENT INCOME	FMV	655,784.	655,784.
MUTUAL FUNDS	FMV	230,276,892.	230,276,892.
ALTERNATIVE INVESTMENTS - PRIVATE EQUITY	FMV	10,624,960.	10,624,960.
TOTAL TO FORM 990-PF, PART II, LINE 13		241,557,636.	241,557,636.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	15
DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
LEASEHOLD IMPROVEMENTS	8,127.	1,756.	6,371.
TELECOMMUNICATION EQUIPMENT	4,373.	4,373.	0.
COMPUTER EQUIPMENT	63,457.	63,457.	0.
FURNITURE AND FIXTURES	103,744.	81,516.	22,228.
LEASEHOLD IMPROVEMENTS	14,758.	1,749.	13,009.
FURNITURE AND FIXTURES	653.	419.	234.
COMPUTER EQUIPMENT	21,487.	19,337.	2,150.
LEASEHOLD IMPROVEMENTS SUITE EXPANSION	74,113.	5,779.	68,334.
COMPUTER EQUIPMENT	2,491.	1,681.	810.
COMPUTER EQUIPMENT	5,479.	3,425.	2,054.
MOBILE PEDESTAL W CASTER	1,027.	533.	494.
OFFICE FURNITURE - KC	471.	243.	228.
FURNITURE FOR STE 364 EXPANSION	24,592.	1,919.	22,673.
OFFICE CHAIRS FOR STE 364 EXPANSION	8,384.	3,744.	4,640.
INSULATION DRYWALL ELECT OUTLET	1,535.	112.	1,423.

MS SOFTWARE UPGRADE	9,166.	4,583.	4,583.
BW - NEW COMPUTERS FOR KIM & NAOMI	3,927.	1,963.	1,964.
BW - NEW COMPUTER FOR JOY	1,865.	933.	932.
REPAIRS ON FURNITURE FOR EXPANSION	259.	130.	129.
CHAIRS FOR COMMON AREA SUITE 364	1,815.	908.	907.
ARENSON OFFICE FURNITURE BUILT IN SUITE 364	3,645.	1,823.	1,822.
POWEREDGE R530 SERVER	8,729.	2,837.	5,892.
DELL COMPUTER FOR JULEA	1,948.	439.	1,509.
SERVER NETWORK REPLACEMENT PROJECT	10,000.	2,250.	7,750.
PHONE EQUIPMENT FOR TW-POLYCOM VVX350	518.	52.	466.
COMPUTER EQUIPMENT	17,244.	1,724.	15,520.
OFFICE FURNITURE - JJ	530.	53.	477.
TOTAL TO FM 990-PF, PART II, LN 14	394,337.	207,738.	186,599.

FORM 990-PF	OTHER ASSETS	STATEMENT	16
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
LONG-TERM DEPOSIT	16,530.	16,530.	16,530.
MISCELLANEOUS RECEIVABLES	0.	116,559.	116,559.
TO FORM 990-PF, PART II, LINE 15	16,530.	133,089.	133,089.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	17
DESCRIPTION	BOY AMOUNT	EOY AMOUNT	
ACCRUED EXCISE TAX	121,000.	97,713.	
DEFERRED TAX LIABILITY	677,000.	250,000.	
DEFERRED RENT	35,132.	27,367.	
TOTAL TO FORM 990-PF, PART II, LINE 22	833,132.	375,080.	

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT 18
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ROBERT D BROWN JR 16830 VENTURA BLVD STE 352 ENCINO, CA 91436-1864	DIRECTOR 1.75	35,000.	0.	0.
RAY H NEILSEN 16830 VENTURA BLVD STE 352 ENCINO, CA 91436-1864	TRUSTEE 14.00	100,000.	0.	0.
GORDON R KANOFISKY 16830 VENTURA BLVD STE 352 ENCINO, CA 91436-1864	TRUSTEE 20.00	130,000.	0.	0.
LORNE M MENDELL 16830 VENTURA BLVD STE 352 ENCINO, CA 91436-1864	DIRECTOR 1.75	35,000.	0.	0.
DANIEL LAMMERTSE 16830 VENTURA BLVD STE 352 ENCINO, CA 91436-1864	DIRECTOR 1.75	35,000.	0.	0.
DAVID W PERSHING 16830 VENTURA BLVD STE 352 ENCINO, CA 91436-1864	DIRECTOR 1.75	35,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		370,000.	0.	0.

FORM 990-PF	OTHER REVENUE	STATEMENT 19
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DESCRIPTION	BUS CODE	UNRELATED BUSINESS INC	EXCL CODE	EXCLUDED AMOUNT	RELATED OR EXEMPT FUNC- TION INCOME
5TH AVE PRIVATE EQUITY 11 LLC			01	-1,918.	
5TH AVE PRIVATE EQUITY 12 LLC			01	-7,933.	
GRANT REFUNDS					392,699.
GRANT ACCRUAL ADJUSTMENTS					456,509.
5TH AVE PRIVATE EQUITY 13 LLC			01	15,041.	

5TH AVE PRIVATE EQUITY 14

LLC

01

357.

DEFERRED EXCISE TAX

EXPENSE REVERSAL

427,000.

TOTAL TO FORM 990-PF, PG 12, LN 11

5,547.

1,276,208.

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Asset No	Description	Date Acquired	Method	Life	C o n v	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
	FURNITURE & FIXTURES														
4	FURNITURE AND FIXTURES	02/28/13	SL	7.00		HY17	103,744.				103,744.	66,695.		14,821.	81,516.
6	FURNITURE AND FIXTURES	12/09/14	SL	7.00		HY17	653.				653.	326.		93.	419.
13	MOBILE PEDESTAL W CASTER	06/10/15	SL	7.00		MQ17	1,027.				1,027.	386.		147.	533.
14	OFFICE FURNITURE - KC	06/30/15	SL	7.00		MQ17	471.				471.	176.		67.	243.
15	FURNITURE FOR STE 364	12/01/15	SL	39.00		MQ17	24,592.				24,592.	1,288.		631.	1,919.
16	OFFICE CHAIRS FOR STE 364	12/09/15	SL	7.00		MQ17	8,384.				8,384.	2,546.		1,198.	3,744.
21	REPAIRS ON FURNITURE FOR	01/19/16	SL	5.00		HY17	259.				259.	78.		52.	130.
22	CHAIRS FOR COMMON AREA SUITE 364	02/29/16	SL	5.00		HY17	1,815.				1,815.	545.		363.	908.
23	ARENSON OFFICE FURNITURE BUILT IN SUITE 364	05/11/16	SL	5.00		HY17	3,645.				3,645.	1,094.		729.	1,823.
29	OFFICE FURNITURE - JJ	09/28/18	SL	5.00		HY19B	530.				530.			53.	53.
	* 990-PF PG 1 TOTAL FURNITURE & FIXTURES						145,120.				145,120.	73,134.		18,154.	91,288.
	MACHINERY & EQUIPMENT														
3	COMPUTER EQUIPMENT	03/31/13	SL	5.00		HY17	63,457.				63,457.	57,111.		6,346.	63,457.
7	COMPUTER EQUIPMENT	06/30/14	SL	5.00		HY17	21,487.				21,487.	15,040.		4,297.	19,337.
11	COMPUTER EQUIPMENT	08/31/15	SL	5.00		MQ17	2,491.				2,491.	1,183.		498.	1,681.
12	COMPUTER EQUIPMENT	12/31/15	SL	5.00		MQ17	5,479.				5,479.	2,329.		1,096.	3,425.
19	BW - NEW COMPUTERS FOR KIM & NAOMI	04/28/16	SL	5.00		HY17	3,927.				3,927.	1,178.		785.	1,963.

828111 04-01-18

(D) - Asset disposed

\* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

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Asset No	Description	Date Acquired	Method	Life	C o n v	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
27	PHONE EQUIPMENT FOR TW-POLYCOM VVX350	12/12/18	SL	5.00		HY19B	518.				518.			52.	52.
	* 990-PF PG 1 TOTAL OTHER						14,057.				14,057.	6,685.		2,323.	9,008.
	* 990-PF PG 1 TOTAL - TELECOMMUNICATION EQUIPMENT						14,057.				14,057.	6,685.		2,323.	9,008.
	* GRAND TOTAL 990-PF PG 1 DEPR						394,337.				394,337.	165,481.		42,257.	207,738.
	CURRENT YEAR ACTIVITY														
	BEGINNING BALANCE						376,045.			0.	376,045.	165,481.			205,909.
	ACQUISITIONS						18,292.			0.	18,292.	0.			1,829.
	DISPOSITIONS						0.			0.	0.	0.			0.
	ENDING BALANCE						394,337.			0.	394,337.	165,481.			207,738.
	ENDING ACCUM DEPR											207,738.			
	ENDING BOOK VALUE											186,599.			

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Asset No	Description	Date Acquired	Method	Life	C o n v	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
20	BW - NEW COMPUTER FOR JOY	10/28/16	SL	5.00		HY17	1,865.				1,865.	560.		373.	933.
24	POWEREDGE R530 SERVER	05/02/17	SL	5.00		MQ17	8,729.				8,729.	1,091.		1,746.	2,837.
25	DELL COMPUTER FOR JULEA	10/28/17	SL	5.00		MQ17	1,948.				1,948.	49.		390.	439.
26	SERVER NETWORK REPLACEMENT PROJECT	12/01/17	SL	5.00		MQ17	10,000.				10,000.	250.		2,000.	2,250.
28	COMPUTER EQUIPMENT	06/30/18	SL	5.00		HY19B	17,244.				17,244.			1,724.	1,724.
	* 990-PF PG 1 TOTAL MACHINERY & EQUIPMENT						136,627.				136,627.	78,791.		19,255.	98,046.
	LEASEHOLD IMPROVEMENTS														
	OTHER														
1	LEASEHOLD IMPROVEMENTS	03/31/13	SL	39.00		MM17	8,127.				8,127.	1,548.		208.	1,756.
5	LEASEHOLD IMPROVEMENTS	05/30/14	SL	39.00		MM17	14,758.				14,758.	1,371.		378.	1,749.
10	LEASEHOLD IMPROVEMENTS SUITE EXPANSION	12/15/15	SL	39.00		MM17	74,113.				74,113.	3,879.		1,900.	5,779.
17	INSULATION DRYWALL ELECT OUTLET	02/25/16	SL	39.00		MM17	1,535.				1,535.	73.		39.	112.
	* 990-PF PG 1 TOTAL OTHER						98,533.				98,533.	6,871.		2,525.	9,396.
	* 990-PF PG 1 TOTAL LEASEHOLD IMPROVEMENTS						98,533.				98,533.	6,871.		2,525.	9,396.
	TELECOMMUNICATION EQUIPMENT														
	OTHER														
2	TELECOMMUNICATION EQUIPMENT	01/31/13	SL	5.00		HY17	4,373.				4,373.	3,935.		438.	4,373.
18	MS SOFTWARE UPGRADE	01/28/16	SL	5.00		HY17	9,166.				9,166.	2,750.		1,833.	4,583.

828111 04-01-18

(D) - Asset disposed

\* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone