

EXTENDED TO NOVEMBER 15, 2019

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2018 or tax year beginning

, and ending

Name of foundation ROCKING MOON FOUNDATION C/O ELLEN SUDOW		A Employer identification number 05-0545520
Number and street (or P O box number if mail is not delivered to street address) 5630 WISCONSIN AVENUE	Room/suite 1201	B Telephone number 202-630-7630
City or town, state or province, country, and ZIP or foreign postal code CHEVY CHASE, MD 20815		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 12,347,979.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		125,060.		N/A	
2 Check <input type="checkbox"/> If the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		328,631.	328,631.		STATEMENT 1
5a Gross rents		12,000.	12,000.		STATEMENT 2
b Net rental income or (loss)	-48,906.				STATEMENT 3
6a Net gain or (loss) from sale of assets not on line 10		821,809.			
b Gross sales price for all assets on line 6a	1,590,777.				
7 Capital gain net income (from Part IV, line 2)			821,809.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11		1,287,500.	1,162,440.		
13 Compensation of officers, directors, trustees, etc			0.		0.
14 Other employee salaries and wages		26,250.	0.		0.
15 Pension plans, employee benefits					
16a Legal fees STMT 4		941.	0.		0.
b Accounting fees STMT 5		12,073.	1,573.		0.
c Other professional fees					
17 Interest					
18 Taxes STMT 6		43,815.	6,034.		0.
19 Depreciation and depletion		14,096.	14,096.		
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		66,267.	39,203.		0.
24 Total operating and administrative expenses Add lines 13 through 23		163,442.	60,906.		0.
25 Contributions, gifts, grants paid		1,087,500.			1,087,500.
26 Total expenses and disbursements. Add lines 24 and 25		1,250,942.	60,906.		1,087,500.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		36,558.			
b Net investment income (if negative, enter -0-)			1,101,534.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing		1.	1.
	2 Savings and temporary cash investments	187,499.	142,598.	142,598.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶	742,605.		
Less accumulated depreciation STMT 8 ▶	21,144.	629,169.	721,461.	
12 Investments - mortgage loans				
13 Investments - other	STMT 9	10,396,246.	10,386,042.	11,481,662.
14 Land, buildings, and equipment: basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶ SECURITY DEPOSITS)		2,257.	2,257.	2,257.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		11,215,171.	11,252,359.	12,347,979.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 10)	486.	1,116.	
23 Total liabilities (add lines 17 through 22)		486.	1,116.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	11,214,685.	11,214,685.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	36,558.	
	30 Total net assets or fund balances	11,214,685.	11,251,243.	
	31 Total liabilities and net assets/fund balances	11,215,171.	11,252,359.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,214,685.
2 Enter amount from Part I, line 27a	2	36,558.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	11,251,243.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	11,251,243.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e 1,590,777.		768,968.	821,809.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			821,809.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	821,809.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2017	1,292,162.	12,572,496.	.102777
2016	878,458.	11,874,519.	.073978
2015	858,659.	12,511,236.	.068631
2014	893,252.	13,972,076.	.063931
2013	898,600.	12,534,175.	.071692

2 Total of line 1, column (d)	2	.381009
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.076202
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	12,358,578.
5 Multiply line 4 by line 3	5	941,748.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	11,015.
7 Add lines 5 and 6	7	952,763.
8 Enter qualifying distributions from Part XII, line 4	8	1,087,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CAPITAL INCOME BUILDER	P		
b	FUNDAMENTAL INVESTORS	P		
c	FUNDAMENTAL INVESTORS	P		
d	CAPITAL WORLD GROWTH FUND	P		
e	CAPITAL WORLD GROWTH FUND	P		
f	TERRA ALPHA FUND			
g	TERRA ALPHA FUND	P		
h	CAPITAL GAINS DIVIDENDS			
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	160,000.	156,595.	3,405.
b	160,000.	102,678.	57,322.
c	566,624.	349,694.	216,930.
d	160,000.	115,816.	44,184.
e	59,295.	43,833.	15,462.
f		352.	-352.
g	25,491.		25,491.
h	459,367.		459,367.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			3,405.
b			57,322.
c			216,930.
d			44,184.
e			15,462.
f			-352.
g			25,491.
h			459,367.
i			
j			
k			
l			
m			
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	821,809.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	11,015.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0.
3	Add lines 1 and 2	3	11,015.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.
5	Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-	5	11,015.
6	Credits/Payments:		
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	21,823.
b	Exempt foreign organizations - tax withheld at source	6b	0.
c	Tax paid with application for extension of time to file (Form 8868)	6c	0.
d	Backup withholding erroneously withheld	6d	0.
7	Total credits and payments. Add lines 6a through 6d	7	21,823.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0.
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	10,808.
11	Enter the amount of line 10 to be: Credited to 2019 estimated tax <input checked="" type="checkbox"/> 10,808. Refunded <input type="checkbox"/>	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV		X
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <input checked="" type="checkbox"/> <u>NONE</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► ELLEN L. SUDOW Telephone no. ► 202-630-7630 Located at ► 5630 WISCONSIN AVENUE, CHEVY CHASE, MD ZIP+4 ► 20815		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	Yes	No
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here N/A		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.) N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

Organizations relying on a current notice regarding disaster assistance, check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	12,399,110.
b	Average of monthly cash balances	1b	147,670.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	12,546,780.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	12,546,780.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	188,202.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,358,578.
6	Minimum investment return. Enter 5% of line 5	6	617,929.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	617,929.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	11,015.
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	11,015.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	606,914.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	606,914.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	606,914.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,087,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	1,087,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	11,015.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,076,485.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2018)

ROCKING MOON FOUNDATION
C/O ELLEN SUDOW

Form 990-PF (2018)

05-0545520 Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				606,914.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013	281,691.			
b From 2014	214,144.			
c From 2015	250,779.			
d From 2016	297,816.			
e From 2017	682,713.			
f Total of lines 3a through e	1,727,143.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ \$	1,087,500.			
a Applied to 2017, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				606,914.
e Remaining amount distributed out of corpus	480,586.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,207,729.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	281,691.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	1,926,038.			
10 Analysis of line 9:				
a Excess from 2014	214,144.			
b Excess from 2015	250,779.			
c Excess from 2016	297,816.			
d Excess from 2017	682,713.			
e Excess from 2018	480,586.			

ROCKING MOON FOUNDATION

Form 990-PF (2018)

C/O ELLEN SUDOW

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Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ACLU OF MAINE 121 MIDDLE STREET PORTLAND, ME 04101	NONE	501(C)(3)	PROGRAM SUPPORT - LITIGATION AND ADVOCACY	70,000.
ADVOCATES FOR YOUTH 1325 G STREET N.W. WASHINGTON, DC 20005	NONE	501(C)(3)	PROGRAM SUPPORT - MUSLIM & LGBT YOUTH LEADERSHIP (YEAR 2 OF 2)	60,000.
ALI FORNEY CENTER 224 WEST 35TH STREET NEW YORK, NY 10001	NONE	501(C)(3)	GENERAL OPERATING SUPPORT	50,000.
AMERICAN CIVIL LIBERTIES UNION FOUNDATION 125 BROAD STREET NEW YORK, NY 10004	NONE	501(C)(3)	PROGRAM SUPPORT - LGBT PROGRAM	70,000.
AREA INTERFAITH OUTREACH P O BOX 113 ROCKLAND, ME 04841	NONE	501(C)(3)	PROGRAM SUPPORT - KNOX COUNTY BACKPACK PROGRAM	15,000.
Total SEE CONTINUATION SHEET(S)				3a 1,087,500.
b Approved for future payment				
IMMIGRANT LEGAL ADVOCACY PROJECT P O BOX 17917 PORTLAND 04112	NONE	501(C)(3)	CAPACITY BUILDING SUPPORT - OUTREACH AND LEGAL SERVICES (YEAR 3 OF 3)	80,000.
KNOX COUNTY HOMELESS COALITION P O BOX 1696 ROCKLAND 04841	NONE	501(C)(3)	PROGRAM SUPPORT - THE LANDING PLACE (YEAR 3, 4, 5 OF 5)	180,000.
OPPORTUNITY NETWORK 85 BROAD STREET NEW YORK 10004	NONE	501(C)(3)	GENERAL OPERATING SUPPORT AND SCHOLARSHIPS (YEAR 3 OF 3)	70,000.
Total				3b 330,000.

Form 990-PF (2018)

**ROCKING MOON FOUNDATION
C/O ELLEN SUDOW**

05-0545520

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BAILEY HOUSE 1751 PARK AVENUE NEW YORK, NY 10035	NONE	501(C)(3)	GENERAL OPERATING SUPPORT	60,000.
BRIGHT BEGINNINGS 128 M STREET N W WASHINGTON, DC 20003	NONE	501(C)(3)	GENERAL OPERATING SUPPORT	50,000.
CENTER FOR POPULAR DEMOCRACY 449 TROUTMAN STREET BROOKLYN, NY 11237	NONE	501(C)(3)	PROGRAM SUPPORT - ORGANIZING FOR A JUST PUERTO RICO	25,000.
CHILDHOOD BRAIN TUMOR FOUNDATION 20312 WATKINS MEADOW DRIVE GERMANTOWN, MD 20876	NONE	501(C)(3)	GENERAL OPERATING SUPPORT	33,000.
CYSTIC FIBROSIS FOUNDATION 4550 MONTGOMERY AVENUE BETHESDA, MD 20814	NONE	501(C)(3)	PROGRAM SUPPORT - COMPASS PROGRAM	50,000.
GREATER PORTLAND IMMIGRATION WELCOME CENTER 24 PREBLE STREET PORTLAND, ME 04101	NONE	501(C)(3)	GENERAL OPERATING SUPPORT	10,000.
IMMIGRATION LEGAL ADVOCACY PROJECT P O BOX 17917 PORTLAND, ME 04112	NONE	501(C)(3)	CAPACITY BUILDING SUPPORT - OUTREACH AND LEGAL SERVICES (YEAR 2 OF 3)	80,000.
ISLAND INSTITUTE 386 MAIN STREET ROCKLAND, ME 04841	NONE	501(C)(3)	PROGRAM SUPPORT - STRENGTHENING LOCAL ECONOMIES	60,000.
JUVENILE LAW CENTER 1315 WALNUT STREET PHILADELPHIA, PA 19107	NONE	501(C)(3)	PROGRAM SUPPORT INCREASING RACIAL EQUITY IN JUVENILE JUSTICE SYSTEM	50,000.
KNOX COUNTY HOMELESS COALITION P O BOX 1696 ROCKLAND, ME 04841	NONE	501(C)(3)	PROGRAM SUPPORT - THE LANDING PLACE (YEAR 2 OF 5)	60,000.
Total from continuation sheets				822,500.

ROCKING MOON FOUNDATION
C/O ELLEN SUDOW

05-0545520

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LEGAL AID JUSTICE CENTER 1000 PRESTON AVENUE CHARLOTTESVILLE, VA 22903	NONE	501(C)(3)	PROGRAM SUPPORT - IMMIGRANT ADVOCACY PROGRAM	25,000.
LGBT COMMUNITY CENTER 208 W. 13TH STREET NEW YORK, NY 10011	NONE	501(C)(3)	PROGRAM SUPPORT - ADVOCACY & MOBILIZATION PROGRAM	40,000.
MAINE INITIATIVES 56 NORTH STREET PORTLAND, ME 04101	NONE	501(C)(3)	PROGRAM SUPPORT - REFUGEE AND IMMIGRANT FUNDERS COLLABORATIVE	500.
OPEN CITY ADVOCATES 4202 BENNING ROAD N E WASHINGTON, DC 20019	NONE	501(C)(3)	GENERAL OPERATING SUPPORT	50,000.
OPPORTUNITY NETWORK 85 BROAD STREET NEW YORK, NY 10004	NONE	501(C)(3)	GENERAL OPERATING SUPPORT AND SCHOLARSHIPS (YEAR 2 OF 3)	70,000.
OUT MAINE P O BOX 1723 ROCKLAND, ME 04841	NONE	501(C)(3)	CAPACITY BUILDING SUPPORT - DEVELOPMENT CAPACITY (YEAR 3 OF 3)	50,000.
POPE MEMORIAL HUMANE SOCIETY OF KNOX COUNTY 25 BUTTERMILK LANE THOMASTON, ME 04861	NONE	501(C)(3)	PROGRAM SUPPORT - K-9 CORRECTIONS PROGRAM	4,000.
TREE STREET YOUTH 144 HOWE STREET LEWISTON, ME 04240	NONE	501(C)(3)	CAPACITY BUILDING SUPPORT - ORGANIZATIONAL DEVELOPMENT	35,000.
TREKKERS 41 BUTTERMILK DRIVE THOMASTON, ME 04861	NONE	501(C)(3)	CAPACITY BUILDING SUPPORT - DEVELOPMENT AND COMMUNICATIONS (YEAR 2 OF 2)	20,000.
UNIVERSITY OF SOUTHERN MAINE P O BOX 9300, 96 FALMOUTH STREET PORTLAND, ME 04104	NONE	501(C)(3)	PROGRAM SUPPORT - MUSKIE SCHOOL	50,000.
Total from continuation sheets				

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?	Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		


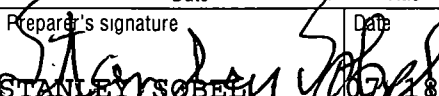
[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below? See instr. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
	 Signature of officer or trustee		Date <u>7/24/19</u> Title TREASURER			
Paid Preparer Use Only	Print/Type preparer's name STANLEY SOBEL		Preparer's signature  STANLEY SOBEL		Check <input type="checkbox"/> if self-employed	PTIN P00733730
	Firm's name ▶ DEMBO JONES, P.C.				Firm's EIN ▶ 52-1073331	
	Firm's address ▶ 6010 EXECUTIVE BLVD, SUITE 900 ROCKVILLE, MD 20852				Phone no. (301) 770-5100	

Form **990-PF** (2018)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2018

Name of the organization

ROCKING MOON FOUNDATION
C/O ELLEN SUDOW

Employer identification number

05-0545520

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**☒

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules☐

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.☐For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2018)

Name of organization

ROCKING MOON FOUNDATION
C/O ELLEN SUDOW

Employer identification number

05-0545520

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOSEPH HIGDON AND ELLEN SUDOW 128 STATES POINT ROAD ST GEORGE, ME 04860	\$ 25,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	JOSEPH HIGDON AND ELLEN SUDOW 128 STATES POINT ROAD ST GEORGE, ME 04860	\$ 100,060.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Employer identification number

05-0545520

Part II

[illegible]

Name of organization

ROCKING MOON FOUNDATION

C/O ELLEN SUDOW

Employer identification number

05-0545520

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CAPITAL INCOME BUILDER	104,019.	0.	104,019.	104,019.	
CAPITAL WORLD GROWTH AND INCOME FUND	256,857.	165,271.	91,586.	91,586.	
CARD BANK CD	13,654.	0.	13,654.	13,654.	
CARD BANK STOCK	18,168.	0.	18,168.	18,168.	
FUNDAMENTAL INVESTORS	360,589.	294,096.	66,493.	66,493.	
GENESIS COMMUNITY LOAN FUND	3,000.	0.	3,000.	3,000.	
TERRA ALPHA	30,159.	0.	30,159.	30,159.	
U S GOVERNMENT MONEY MARKET FUND	1,552.	0.	1,552.	1,552.	
TO PART I, LINE 4	787,998.	459,367.	328,631.	328,631.	

FORM 990-PF

RENTAL INCOME

STATEMENT 2

KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
LAND AND BUILDING-ROCKLAND ME	1	12,000.
TOTAL TO FORM 990-PF, PART I, LINE 5A		12,000.

FORM 990-PF

RENTAL EXPENSES

STATEMENT 3

DESCRIPTION	ACTIVITY NUMBER	AMOUNT	TOTAL
DEPRECIATION		14,096.	
INSURANCE		3,506.	
UTILITIES		14,957.	
ACCOUNTING		1,573.	
PROPERTY TAX		6,034.	
MAINTENANCE		7,618.	
MANAGEMENT FEE		12,000.	
OTHER EXPENSE		1,122.	
- SUBTOTAL -	1		60,906.
TOTAL RENTAL EXPENSES			60,906.
NET RENTAL INCOME TO FORM 990-PF, PART I, LINE 5B			-48,906.

FORM 990-PF

LEGAL FEES

STATEMENT

4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
C T CORPORATION - AGENT	452.	0.		0.
BRANN & ISAACSON	489.	0.		0.
TO FM 990-PF, PG 1, LN 16A	941.	0.		0.

FORM 990-PF

ACCOUNTING FEES

STATEMENT

5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DEMBO JONES P C	10,500.	0.		0.
ACCOUNTING	1,573.	1,573.		0.
TO FORM 990-PF, PG 1, LN 16B	12,073.	1,573.		0.

FORM 990-PF

TAXES

STATEMENT

6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES ON DIVIDENDS	7,043.	0.		0.
FOREIGN TAXES ON DIVIDENDS-TERRA ALPHA	3,730.	0.		0.
PAYROLL TAX	2,008.	0.		0.
FEDERAL TAX	25,000.	0.		0.
PROPERTY TAX	6,034.	6,034.		0.
TO FORM 990-PF, PG 1, LN 18	43,815.	6,034.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT

7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER EXPENSES	3,706.	0.		0.
TERRA ALPHA - PORTFOLIO EXPENSES	23,314.	0.		0.
TERRA ALPHA - OTHER EXPENSES	44.	0.		0.
INSURANCE	3,506.	3,506.		0.
UTILITIES	14,957.	14,957.		0.
MAINTENANCE	7,618.	7,618.		0.
MANAGEMENT FEE	12,000.	12,000.		0.
OTHER EXPENSE	1,122.	1,122.		0.
TO FORM 990-PF, PG 1, LN 23	66,267.	39,203.		0.

FORM 990-PF	DEPRECIATION OF ASSETS HELD FOR INVESTMENT	STATEMENT	8
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
BUILDING	285,000.	10,688.	274,312.
LAND	72,388.	0.	72,388.
IMPROVEMENTS	278,829.	10,456.	268,373.
TOTAL TO FM 990-PF, PART II, LN 11	636,217.	21,144.	615,073.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT

9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
AMERICAN FUNDS	COST	8,762,289.	9,751,352.
CARD BANK-CD-PROGRAM RELATED INVESTMENT	COST	195,778.	195,778.
NOTE RECEIVABLE-ISLAND AND COASTAL INNOVATION FUND - PROGRAM RELATED INVESTM	COST	100,000.	100,000.
NOTE RECEIVABLE-COASTAL ENTERPRISE, INC-PROGRAM RELATED INVESTMENT	COST	115,363.	115,363.
CARD BANK-PROGRAM RELATED INVESTMENT	COST	100,000.	100,000.
TERRA ALPHA FUND	COST	1,009,612.	1,116,169.
NOTE RECEIVABLE-GENESIS-PROGRAM RELATED INVESTMENT	COST	103,000.	103,000.
TOTAL TO FORM 990-PF, PART II, LINE 13		10,386,042.	11,481,662.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 10
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
ACCOUNTS PAYABLE AND DEPOSITS	486.	1,116.
TOTAL TO FORM 990-PF, PART II, LINE 22	486.	1,116.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 11
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JOSEPH R. HIGDON 5630 WISCONSIN AVENUE #1201 CHEVY CHASE, MD 20815	PRESIDENT, DIRECTOR 0.00	0.	0.	0.
ELLEN L. SUDOW 5630 WISCONSIN AVENUE #1201 CHEVY CHASE, MD 20815	VP, SEC, TREAS, DIRECTOR 0.00	0.	0.	0.
ROBERT J. HIGDON 5630 WISCONSIN AVENUE #1201 CHEVY CHASE, MD 20815	DIRECTOR 0.00	0.	0.	0.
SARAH HIGDON-SUDOW 5630 WISCONSIN AVENUE #1201 CHEVY CHASE, MD 20815	DIRECTOR 0.00	0.	0.	0.
WILLIAM J. HIGDON-SUDOW 5630 WISCONSIN AVENUE #1201 CHEVY CHASE, MD 20815	DIRECTOR 0.00	0.	0.	0.
JESSE K. GILLESPIE 5630 WISCONSIN AVENUE #1201 CHEVY CHASE, MD 20815	DIRECTOR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 12

NAME OF MANAGER

JOSEPH R. HIGDON

ELLEN L. SUDOW