



| Part II Balance Sheets      |                                                                                                                                  | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)               |                                                     |           |           |
|-----------------------------|----------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|-----------|-----------|
|                             |                                                                                                                                  | Beginning of year<br>(a) Book Value                                                                                               | End of year<br>(b) Book Value (c) Fair Market Value |           |           |
| Assets                      | 1                                                                                                                                | Cash—non-interest-bearing . . . . .                                                                                               |                                                     |           |           |
|                             | 2                                                                                                                                | Savings and temporary cash investments . . . . .                                                                                  | 118,908                                             | 72,158    | 72,158    |
|                             | 3                                                                                                                                | Accounts receivable ▶ _____<br>Less allowance for doubtful accounts ▶ _____                                                       |                                                     | 0         | 0         |
|                             | 4                                                                                                                                | Pledges receivable ▶ _____<br>Less allowance for doubtful accounts ▶ _____                                                        |                                                     |           |           |
|                             | 5                                                                                                                                | Grants receivable . . . . .                                                                                                       |                                                     |           |           |
|                             | 6                                                                                                                                | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . . |                                                     |           |           |
|                             | 7                                                                                                                                | Other notes and loans receivable (attach schedule) ▶ _____<br>Less allowance for doubtful accounts ▶ _____ 0                      |                                                     |           |           |
|                             | 8                                                                                                                                | Inventories for sale or use . . . . .                                                                                             |                                                     |           |           |
|                             | 9                                                                                                                                | Prepaid expenses and deferred charges . . . . .                                                                                   |                                                     |           |           |
|                             | 10a                                                                                                                              | Investments—U S and state government obligations (attach schedule)                                                                |                                                     |           |           |
|                             | b                                                                                                                                | Investments—corporate stock (attach schedule) . . . . .                                                                           | 3,730,539                                           | 3,748,828 | 3,475,596 |
|                             | c                                                                                                                                | Investments—corporate bonds (attach schedule) . . . . .                                                                           |                                                     |           |           |
|                             | 11                                                                                                                               | Investments—land, buildings, and equipment basis ▶ _____<br>Less accumulated depreciation (attach schedule) ▶ _____               |                                                     |           |           |
|                             | 12                                                                                                                               | Investments—mortgage loans . . . . .                                                                                              |                                                     |           |           |
|                             | 13                                                                                                                               | Investments—other (attach schedule) . . . . .                                                                                     | 548,535                                             | 548,535   | 510,108   |
|                             | 14                                                                                                                               | Land, buildings, and equipment basis ▶ _____<br>Less accumulated depreciation (attach schedule) ▶ _____                           |                                                     |           |           |
| 15                          | Other assets (describe ▶ _____)                                                                                                  |                                                                                                                                   |                                                     |           |           |
| 16                          | <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)                               | 4,397,982                                                                                                                         | 4,369,521                                           | 4,057,862 |           |
| Liabilities                 | 17                                                                                                                               | Accounts payable and accrued expenses . . . . .                                                                                   |                                                     |           |           |
|                             | 18                                                                                                                               | Grants payable . . . . .                                                                                                          |                                                     |           |           |
|                             | 19                                                                                                                               | Deferred revenue . . . . .                                                                                                        |                                                     |           |           |
|                             | 20                                                                                                                               | Loans from officers, directors, trustees, and other disqualified persons                                                          |                                                     |           |           |
|                             | 21                                                                                                                               | Mortgages and other notes payable (attach schedule) . . . . .                                                                     |                                                     |           |           |
|                             | 22                                                                                                                               | Other liabilities (describe ▶ _____)                                                                                              |                                                     |           |           |
|                             | 23                                                                                                                               | <b>Total liabilities</b> (add lines 17 through 22) . . . . .                                                                      |                                                     | 0         |           |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/><br>and complete lines 24 through 26 and lines 30 and 31. |                                                                                                                                   |                                                     |           |           |
|                             | 24                                                                                                                               | Unrestricted . . . . .                                                                                                            |                                                     |           |           |
|                             | 25                                                                                                                               | Temporarily restricted . . . . .                                                                                                  |                                                     |           |           |
|                             | 26                                                                                                                               | Permanently restricted . . . . .                                                                                                  |                                                     |           |           |
|                             | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/><br>and complete lines 27 through 31.   |                                                                                                                                   |                                                     |           |           |
|                             | 27                                                                                                                               | Capital stock, trust principal, or current funds . . . . .                                                                        | 4,397,982                                           | 4,369,521 |           |
|                             | 28                                                                                                                               | Paid-in or capital surplus, or land, bldg, and equipment fund                                                                     |                                                     |           |           |
|                             | 29                                                                                                                               | Retained earnings, accumulated income, endowment, or other funds                                                                  |                                                     |           |           |
| 30                          | <b>Total net assets or fund balances</b> (see instructions) . . . . .                                                            | 4,397,982                                                                                                                         | 4,369,521                                           |           |           |
| 31                          | <b>Total liabilities and net assets/fund balances</b> (see instructions) .                                                       | 4,397,982                                                                                                                         | 4,369,521                                           |           |           |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|   |                                                                                                                                                                    |   |           |
|---|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-----------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . . | 1 | 4,397,982 |
| 2 | Enter amount from Part I, line 27a . . . . .                                                                                                                       | 2 | -9,565    |
| 3 | Other increases not included in line 2 (itemize) ▶ _____                                                                                                           | 3 | 5,015     |
| 4 | Add lines 1, 2, and 3 . . . . .                                                                                                                                    | 4 | 4,393,432 |
| 5 | Decreases not included in line 2 (itemize) ▶ _____                                                                                                                 | 5 | 23,911    |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .                                                              | 6 | 4,369,521 |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b)<br>How acquired<br>P—Purchase<br>D—Donation | (c)<br>Date acquired<br>(mo , day, yr ) | (d)<br>Date sold<br>(mo , day, yr ) |
|-----------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|-----------------------------------------|-------------------------------------|
| <b>1a</b> See Additional Data Table                                                                                               |                                                 |                                         |                                     |
| <b>b</b>                                                                                                                          |                                                 |                                         |                                     |
| <b>c</b>                                                                                                                          |                                                 |                                         |                                     |
| <b>d</b>                                                                                                                          |                                                 |                                         |                                     |
| <b>e</b>                                                                                                                          |                                                 |                                         |                                     |

  

| (e)<br>Gross sales price           | (f)<br>Depreciation allowed<br>(or allowable) | (g)<br>Cost or other basis<br>plus expense of sale | (h)<br>Gain or (loss)<br>(e) plus (f) minus (g) |
|------------------------------------|-----------------------------------------------|----------------------------------------------------|-------------------------------------------------|
| <b>a</b> See Additional Data Table |                                               |                                                    |                                                 |
| <b>b</b>                           |                                               |                                                    |                                                 |
| <b>c</b>                           |                                               |                                                    |                                                 |
| <b>d</b>                           |                                               |                                                    |                                                 |
| <b>e</b>                           |                                               |                                                    |                                                 |

  

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                         |                                                  | (l)<br>Gains (Col (h) gain minus<br>col (k), but not less than -0-) or<br>Losses (from col (h)) |
|---------------------------------------------------------------------------------------------|-----------------------------------------|--------------------------------------------------|-------------------------------------------------------------------------------------------------|
| (i)<br>F M V as of 12/31/69                                                                 | (j)<br>Adjusted basis<br>as of 12/31/69 | (k)<br>Excess of col (i)<br>over col (j), if any |                                                                                                 |
| <b>a</b> See Additional Data Table                                                          |                                         |                                                  |                                                                                                 |
| <b>b</b>                                                                                    |                                         |                                                  |                                                                                                 |
| <b>c</b>                                                                                    |                                         |                                                  |                                                                                                 |
| <b>d</b>                                                                                    |                                         |                                                  |                                                                                                 |
| <b>e</b>                                                                                    |                                         |                                                  |                                                                                                 |

  

|                                                                                                                                                                                                                                               |          |         |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|---------|
| <b>2</b> Capital gain net income or (net capital loss) <span style="float:right;">{ If gain, also enter in Part I, line 7<br/>If (loss), enter -0- in Part I, line 7 }</span>                                                                 | <b>2</b> | 169,658 |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0-<br>in Part I, line 8 <span style="float:right;">{ }</span> | <b>3</b> |         |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

| (a)<br>Base period years Calendar<br>year (or tax year beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col (b) divided by col (c)) |
|----------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-----------------------------------------------------------|
| 2017                                                                 | 207,173                                  | 4,381,685                                    | 0 047282                                                  |
| 2016                                                                 | 207,018                                  | 4,130,973                                    | 0 050114                                                  |
| 2015                                                                 | 216,232                                  | 4,322,547                                    | 0 050024                                                  |
| 2014                                                                 | 348,531                                  | 4,536,228                                    | 0 076833                                                  |
| 2013                                                                 | 169,397                                  | 4,361,597                                    | 0 038838                                                  |

  

|                                                                                                                                                                                                 |          |           |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-----------|
| <b>2</b> Total of line 1, column (d) . . . . .                                                                                                                                                  | <b>2</b> | 0 263091  |
| <b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years . . . . . | <b>3</b> | 0 052618  |
| <b>4</b> Enter the net value of noncharitable-use assets for 2018 from Part X, line 5 . . . . .                                                                                                 | <b>4</b> | 4,439,564 |
| <b>5</b> Multiply line 4 by line 3 . . . . .                                                                                                                                                    | <b>5</b> | 233,601   |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b) . . . . .                                                                                                                   | <b>6</b> | 2,258     |
| <b>7</b> Add lines 5 and 6 . . . . .                                                                                                                                                            | <b>7</b> | 235,859   |
| <b>8</b> Enter qualifying distributions from Part XII, line 4 , . . . . .                                                                                                                       | <b>8</b> | 223,455   |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

|           |                                                                                                                                                                                                                                   |           |       |
|-----------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-------|
| <b>1a</b> | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1<br>Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions) |           |       |
| <b>b</b>  | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .                                                                         | <b>1</b>  | 4,516 |
| <b>c</b>  | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)                                                                                                            |           |       |
| <b>2</b>  | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                         | <b>2</b>  | 0     |
| <b>3</b>  | Add lines 1 and 2. . . . .                                                                                                                                                                                                        | <b>3</b>  | 4,516 |
| <b>4</b>  | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                       | <b>4</b>  | 0     |
| <b>5</b>  | <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .                                                                                                                          | <b>5</b>  | 4,516 |
| <b>6</b>  | Credits/Payments                                                                                                                                                                                                                  |           |       |
| <b>a</b>  | 2018 estimated tax payments and 2017 overpayment credited to 2018                                                                                                                                                                 | <b>6a</b> | 6,000 |
| <b>b</b>  | Exempt foreign organizations—tax withheld at source . . . . .                                                                                                                                                                     | <b>6b</b> |       |
| <b>c</b>  | Tax paid with application for extension of time to file (Form 8868) . . . . .                                                                                                                                                     | <b>6c</b> | 0     |
| <b>d</b>  | Backup withholding erroneously withheld . . . . .                                                                                                                                                                                 | <b>6d</b> |       |
| <b>7</b>  | Total credits and payments. Add lines 6a through 6d. . . . .                                                                                                                                                                      | <b>7</b>  | 6,000 |
| <b>8</b>  | Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                          | <b>8</b>  | 0     |
| <b>9</b>  | <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .                                                                                                                             | <b>9</b>  |       |
| <b>10</b> | <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .                                                                                                                 | <b>10</b> | 1,484 |
| <b>11</b> | Enter the amount of line 10 to be <b>Credited to 2019 estimated tax</b> <input type="checkbox"/> 1,484 <b>Refunded</b> <input type="checkbox"/>                                                                                   | <b>11</b> | 0     |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                                         | Yes       | No  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----|
| <b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .                                                                                                                                                                                | <b>1a</b> | No  |
| <b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). . . . .<br>If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities | <b>1b</b> | No  |
| <b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .                                                                                                                                                                                                                                                                                          | <b>1c</b> | No  |
| <b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>(1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____                                                                                                                                      |           |     |
| <b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____                                                                                                                                                                                         |           |     |
| <b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .<br>If "Yes," attach a detailed description of the activities                                                                                                                                                                                         | <b>2</b>  | No  |
| <b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .                                                                                                                           | <b>3</b>  | No  |
| <b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .                                                                                                                                                                                                                                                         | <b>4a</b> | No  |
| <b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .                                                                                                                                                                                                                                                                              | <b>4b</b> |     |
| <b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .<br>If "Yes," attach the statement required by General Instruction T                                                                                                                                                                                   | <b>5</b>  | No  |
| <b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .                    | <b>6</b>  | Yes |
| <b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV . . . . .                                                                                                                                                                                                                     | <b>7</b>  | Yes |
| <b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions)<br><input type="checkbox"/> MA                                                                                                                                                                                                                             |           |     |
| <b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .                                                                                                                                                  | <b>8b</b> | Yes |
| <b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV . . . . .                                                                                              | <b>9</b>  | No  |
| <b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .                                                                                                                                                                                                                  | <b>10</b> | No  |

**Part VII-A Statements Regarding Activities** (continued)

|           |                                                                                                                                                                                                  |           |            |           |
|-----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|------------|-----------|
| <b>11</b> | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .  | <b>11</b> |            | <b>No</b> |
| <b>12</b> | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions. . . . . | <b>12</b> |            | <b>No</b> |
| <b>13</b> | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>▶</b> N/A                                                 | <b>13</b> | <b>Yes</b> |           |
| <b>14</b> | The books are in care of <b>▶</b> BANK OF AMERICA Telephone no <b>▶</b> (888) 866-3275                                                                                                           |           |            |           |

Located at **▶** PO BOX 1802 PROVIDENCE RI ZIP+4 **▶** 029011802

|           |                                                                                                                                                                                                                                                                                                                                               |           |            |           |
|-----------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|------------|-----------|
| <b>15</b> | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . <b>▶</b> <input checked="" type="checkbox"/>                                                                                                                                                                              | <b>15</b> |            |           |
| <b>16</b> | At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country <b>▶</b> | <b>16</b> | <b>Yes</b> | <b>No</b> |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

|           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |           |            |           |
|-----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|------------|-----------|
| <b>1a</b> | During the year did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                                      |           | <b>Yes</b> | <b>No</b> |
|           | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                              |           |            |           |
|           | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                      |           |            |           |
|           | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                          |           |            |           |
|           | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                |           |            |           |
|           | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                       |           |            |           |
|           | (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                             |           |            |           |
| <b>b</b>  | If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. . . . . Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>                                                                                                                                                         | <b>1b</b> |            | <b>No</b> |
| <b>c</b>  | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? . . . . .                                                                                                                                                                                                                                                                                                       | <b>1c</b> |            | <b>No</b> |
| <b>2</b>  | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                           |           |            |           |
| <b>a</b>  | At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? . . . . . If "Yes," list the years <b>▶</b> 20____, 20____, 20____, 20____ <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                        |           |            |           |
| <b>b</b>  | Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions). . . . .                                                                                                                                                                           | <b>2b</b> |            |           |
| <b>c</b>  | If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here <b>▶</b> 20____, 20____, 20____, 20____                                                                                                                                                                                                                                                                                                                                          |           |            |           |
| <b>3a</b> | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                          |           |            |           |
| <b>b</b>  | If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018). . . . . | <b>3b</b> |            |           |
| <b>4a</b> | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                         | <b>4a</b> |            | <b>No</b> |
| <b>b</b>  | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?                                                                                                                                                                                                                                                                       | <b>4b</b> |            | <b>No</b> |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

|           |                                                                                                                                                                                                                                           |                                                                     |            |           |
|-----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|------------|-----------|
| <b>5a</b> | During the year did the foundation pay or incur any amount to                                                                                                                                                                             |                                                                     | <b>Yes</b> | <b>No</b> |
| (1)       | Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |            |           |
| (2)       | Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?                                                                                           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |            |           |
| (3)       | Provide a grant to an individual for travel, study, or other similar purposes?                                                                                                                                                            | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |            |           |
| (4)       | Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.                                                                                                      | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |            |           |
| (5)       | Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?                                                                         | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |            |           |
| <b>b</b>  | If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.             |                                                                     | <b>5b</b>  |           |
| <b>c</b>  | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?<br>If "Yes," attach the statement required by Regulations section 53.4945–5(d) | <input type="checkbox"/> Yes <input type="checkbox"/> No            |            |           |
| <b>6a</b> | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?                                                                                                           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | <b>6b</b>  | <b>No</b> |
| <b>b</b>  | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?<br>If "Yes" to 6b, file Form 8870                                                                                              |                                                                     |            |           |
| <b>7a</b> | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?                                                                                                                                      | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | <b>7b</b>  |           |
| <b>b</b>  | If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?                                                                                                                                   |                                                                     |            |           |
| <b>8</b>  | Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |            |           |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

| <b>1 List all officers, directors, trustees, foundation managers and their compensation. See instructions</b>                       |                                                           |                                           |                                                                       |                                       |
|-------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| (a) Name and address                                                                                                                | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| BANK OF AMERICA<br>1 MONARCH PLACE<br>SPRINGFIELD, MA 01144                                                                         | CO-TRUSTEE<br>1                                           | 43,232                                    |                                                                       |                                       |
| ROBERT W CLARKE<br>281 DEEPWOODS DR<br>LONGMEADOW, MA 011062138                                                                     | CO-TRUSTEE<br>10                                          | 1,000                                     |                                                                       |                                       |
| <b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b> |                                                           |                                           |                                                                       |                                       |
| (a) Name and address of each employee paid more than \$50,000                                                                       | (b) Title, and average hours per week devoted to position | (c) Compensation                          | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| NONE                                                                                                                                |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                     |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                     |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                     |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                     |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                     |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                     |                                                           |                                           |                                                                       |                                       |
|                                                                                                                                     |                                                           |                                           |                                                                       |                                       |
| <b>Total number of other employees paid over \$50,000.</b>                                                                          |                                                           |                                           |                                                                       | <b>0</b>                              |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

| (a) Name and address of each person paid more than \$50,000                         | (b) Type of service | (c) Compensation |
|-------------------------------------------------------------------------------------|---------------------|------------------|
| NONE                                                                                |                     |                  |
|                                                                                     |                     |                  |
|                                                                                     |                     |                  |
|                                                                                     |                     |                  |
|                                                                                     |                     |                  |
|                                                                                     |                     |                  |
|                                                                                     |                     |                  |
|                                                                                     |                     |                  |
|                                                                                     |                     |                  |
|                                                                                     |                     |                  |
| Total number of others receiving over \$50,000 for professional services. . . . . ► |                     | 0                |

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|          | Expenses |
|----------|----------|
| <b>1</b> |          |
| <b>2</b> |          |
| <b>3</b> |          |
| <b>4</b> |          |

**Part IX-B Summary of Program-Related Investments (see instructions)**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|------------------------------------------------------------------------------------------------------------------|--------|
| <b>1</b>                                                                                                         |        |
| <b>2</b>                                                                                                         |        |
| All other program-related investments. See instructions.                                                         |        |
| <b>3</b>                                                                                                         |        |
| Total. Add lines 1 through 3 . . . . . ►                                                                         |        |

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |                                                                                                              |           |           |
|----------|--------------------------------------------------------------------------------------------------------------|-----------|-----------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes   |           |           |
| <b>a</b> | Average monthly fair market value of securities.                                                             | <b>1a</b> | 4,397,880 |
| <b>b</b> | Average of monthly cash balances.                                                                            | <b>1b</b> | 109,292   |
| <b>c</b> | Fair market value of all other assets (see instructions).                                                    | <b>1c</b> | 0         |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c).                                                                       | <b>1d</b> | 4,507,172 |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).   | <b>1e</b> | 0         |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets.                                                        | <b>2</b>  | 0         |
| <b>3</b> | Subtract line 2 from line 1d.                                                                                | <b>3</b>  | 4,507,172 |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).   | <b>4</b>  | 67,608    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4. | <b>5</b>  | 4,439,564 |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5.                                                        | <b>6</b>  | 221,978   |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|           |                                                                                                            |           |         |
|-----------|------------------------------------------------------------------------------------------------------------|-----------|---------|
| <b>1</b>  | Minimum investment return from Part X, line 6.                                                             | <b>1</b>  | 221,978 |
| <b>2a</b> | Tax on investment income for 2018 from Part VI, line 5.                                                    | <b>2a</b> | 4,516   |
| <b>b</b>  | Income tax for 2018 (This does not include the tax from Part VI).                                          | <b>2b</b> |         |
| <b>c</b>  | Add lines 2a and 2b.                                                                                       | <b>2c</b> | 4,516   |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1.                                     | <b>3</b>  | 217,462 |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions.                                                 | <b>4</b>  | 0       |
| <b>5</b>  | Add lines 3 and 4.                                                                                         | <b>5</b>  | 217,462 |
| <b>6</b>  | Deduction from distributable amount (see instructions).                                                    | <b>6</b>  | 0       |
| <b>7</b>  | <b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. | <b>7</b>  | 217,462 |

**Part XII Qualifying Distributions** (see instructions)

|          |                                                                                                                                                      |           |         |
|----------|------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|---------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes                                                            |           |         |
| <b>a</b> | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.                                                                         | <b>1a</b> | 223,455 |
| <b>b</b> | Program-related investments—total from Part IX-B.                                                                                                    | <b>1b</b> | 0       |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.                                           | <b>2</b>  | 0       |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the                                                                                  |           |         |
| <b>a</b> | Suitability test (prior IRS approval required).                                                                                                      | <b>3a</b> | 0       |
| <b>b</b> | Cash distribution test (attach the required schedule).                                                                                               | <b>3b</b> | 0       |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.                                   | <b>4</b>  | 223,455 |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. | <b>5</b>  | 0       |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.                                                                               | <b>6</b>  | 223,455 |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                                            | (a)<br>Corpus | (b)<br>Years prior to 2017 | (c)<br>2017 | (d)<br>2018 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2018 from Part XI, line 7                                                                                                                                |               |                            |             | 217,462     |
| <b>2</b> Undistributed income, if any, as of the end of 2018                                                                                                                               |               |                            |             |             |
| <b>a</b> Enter amount for 2017 only. . . . .                                                                                                                                               |               |                            | 42,741      |             |
| <b>b</b> Total for prior years 20____, 20____, 20____                                                                                                                                      |               | 0                          |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2018                                                                                                                                   |               |                            |             |             |
| <b>a</b> From 2013. . . . .                                                                                                                                                                | 0             |                            |             |             |
| <b>b</b> From 2014. . . . .                                                                                                                                                                | 0             |                            |             |             |
| <b>c</b> From 2015. . . . .                                                                                                                                                                | 0             |                            |             |             |
| <b>d</b> From 2016. . . . .                                                                                                                                                                | 0             |                            |             |             |
| <b>e</b> From 2017. . . . .                                                                                                                                                                | 0             |                            |             |             |
| <b>f</b> Total of lines 3a through e. . . . .                                                                                                                                              | 0             |                            |             |             |
| <b>4</b> Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 223,455                                                                                                              |               |                            |             |             |
| <b>a</b> Applied to 2017, but not more than line 2a                                                                                                                                        |               |                            | 42,741      |             |
| <b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .                                                                                      |               | 0                          |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .                                                                                              | 0             |                            |             |             |
| <b>d</b> Applied to 2018 distributable amount. . . . .                                                                                                                                     |               |                            |             | 180,714     |
| <b>e</b> Remaining amount distributed out of corpus                                                                                                                                        | 0             |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2018<br>(If an amount appears in column (d), the same amount must be shown in column (a) )                                              | 0             |                            |             | 0           |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                            |               |                            |             |             |
| <b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                                   | 0             |                            |             |             |
| <b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .                                                                                                         |               | 0                          |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . |               | 0                          |             |             |
| <b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .                                                                                                           |               | 0                          |             |             |
| <b>e</b> Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .                                                                             |               |                            | 0           |             |
| <b>f</b> Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019 . . . . .                                                               |               |                            |             | 36,748      |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .       | 0             |                            |             |             |
| <b>8</b> Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions). . . . .                                                                              | 0             |                            |             |             |
| <b>9</b> Excess distributions carryover to 2019.<br>Subtract lines 7 and 8 from line 6a . . . . .                                                                                          | 0             |                            |             |             |
| <b>10</b> Analysis of line 9                                                                                                                                                               |               |                            |             |             |
| <b>a</b> Excess from 2014. . . . .                                                                                                                                                         | 0             |                            |             |             |
| <b>b</b> Excess from 2015. . . . .                                                                                                                                                         | 0             |                            |             |             |
| <b>c</b> Excess from 2016. . . . .                                                                                                                                                         | 0             |                            |             |             |
| <b>d</b> Excess from 2017. . . . .                                                                                                                                                         | 0             |                            |             |             |
| <b>e</b> Excess from 2018. . . . .                                                                                                                                                         | 0             |                            |             |             |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

|                                                                                                                                                                                                    |          |               |          |          |           |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|---------------|----------|----------|-----------|
| <b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶ |          |               |          |          |           |
| <b>b</b> Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)          |          |               |          |          |           |
| <b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .                                                      | Tax year | Prior 3 years |          |          | (e) Total |
|                                                                                                                                                                                                    | (a) 2018 | (b) 2017      | (c) 2016 | (d) 2015 |           |
| <b>b</b> 85% of line 2a . . . . .                                                                                                                                                                  |          |               |          |          |           |
| <b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .                                                                                                             |          |               |          |          |           |
| <b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .                                                                                           |          |               |          |          |           |
| <b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .                                                                   |          |               |          |          |           |
| <b>3</b> Complete 3a, b, or c for the alternative test relied upon                                                                                                                                 |          |               |          |          |           |
| <b>a</b> "Assets" alternative test—enter                                                                                                                                                           |          |               |          |          |           |
| <b>(1)</b> Value of all assets . . . . .                                                                                                                                                           |          |               |          |          |           |
| <b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)                                                                                                                               |          |               |          |          |           |
| <b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .                                                                    |          |               |          |          |           |
| <b>c</b> "Support" alternative test—enter                                                                                                                                                          |          |               |          |          |           |
| <b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . .                                   |          |               |          |          |           |
| <b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .                                                                         |          |               |          |          |           |
| <b>(3)</b> Largest amount of support from an exempt organization                                                                                                                                   |          |               |          |          |           |
| <b>(4)</b> Gross investment income                                                                                                                                                                 |          |               |          |          |           |

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

|                                                                                                                                                                                                                                                                                                                                             |  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| <b>1 Information Regarding Foundation Managers:</b>                                                                                                                                                                                                                                                                                         |  |
| <b>a</b> List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )<br>NA                                                                                  |  |
| <b>b</b> List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest<br>NA                                                                                                  |  |
| <b>2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:</b>                                                                                                                                                                                                                                                |  |
| Check here <input checked="" type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions. |  |
| <b>a</b> The name, address, and telephone number or email address of the person to whom applications should be addressed                                                                                                                                                                                                                    |  |
| <b>b</b> The form in which applications should be submitted and information and materials they should include                                                                                                                                                                                                                               |  |
| <b>c</b> Any submission deadlines                                                                                                                                                                                                                                                                                                           |  |
| <b>d</b> Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors                                                                                                                                                                                               |  |

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business)                                                                                                    | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount |
|-----------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|--------|
| <b>a</b> <i>Paid during the year</i><br>BAYSTATE HEALTH FOUNDATION INC<br>C/O TREASURY SERVICES<br>759 CHESTNUT STREET<br>SPRINGFIELD, MA 011991001 | N/A                                                                                                                | PC                                   | UNRESTRICTED GENERAL                | 68,159 |
| SPRINGFIELD LIBRARY FOUND INC<br>C/O MS BARBARA GARVEY<br>220 STATE STREET<br>SPRINGFIELD, MA 011181247                                             | N/A                                                                                                                | PC                                   | UNRESTRICTED GENERAL                | 68,159 |
| CITY OF SPRINGFIELD<br>CITY TREASURER - ADMIN BL<br>36 COURT ST<br>SPRINGFIELD, MA 011031602                                                        | N/A                                                                                                                | PC                                   | UNRESTRICTED GENERAL                | 68,159 |
|                                                                                                                                                     |                                                                                                                    |                                      |                                     |        |
| <b>Total . . . . .</b> ► <b>3a</b>                                                                                                                  |                                                                                                                    |                                      |                                     |        |
| <b>b</b> <i>Approved for future payment</i>                                                                                                         |                                                                                                                    |                                      |                                     |        |
| <b>Total . . . . .</b> ► <b>3b</b>                                                                                                                  |                                                                                                                    |                                      |                                     |        |

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2018)

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

|                                                                                                                                                                                                                                                                                                                                                                                                                     |              |            |           |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|------------|-----------|
| <b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?                                                                                                                                                                    |              | <b>Yes</b> | <b>No</b> |
| <b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of                                                                                                                                                                                                                                                                                                                          |              |            |           |
| <b>(1)</b> Cash. . . . .                                                                                                                                                                                                                                                                                                                                                                                            | <b>1a(1)</b> |            | <b>No</b> |
| <b>(2)</b> Other assets. . . . .                                                                                                                                                                                                                                                                                                                                                                                    | <b>1a(2)</b> |            | <b>No</b> |
| <b>b</b> Other transactions                                                                                                                                                                                                                                                                                                                                                                                         |              |            |           |
| <b>(1)</b> Sales of assets to a noncharitable exempt organization. . . . .                                                                                                                                                                                                                                                                                                                                          | <b>1b(1)</b> |            | <b>No</b> |
| <b>(2)</b> Purchases of assets from a noncharitable exempt organization. . . . .                                                                                                                                                                                                                                                                                                                                    | <b>1b(2)</b> |            | <b>No</b> |
| <b>(3)</b> Rental of facilities, equipment, or other assets. . . . .                                                                                                                                                                                                                                                                                                                                                | <b>1b(3)</b> |            | <b>No</b> |
| <b>(4)</b> Reimbursement arrangements. . . . .                                                                                                                                                                                                                                                                                                                                                                      | <b>1b(4)</b> |            | <b>No</b> |
| <b>(5)</b> Loans or loan guarantees. . . . .                                                                                                                                                                                                                                                                                                                                                                        | <b>1b(5)</b> |            | <b>No</b> |
| <b>(6)</b> Performance of services or membership or fundraising solicitations. . . . .                                                                                                                                                                                                                                                                                                                              | <b>1b(6)</b> |            | <b>No</b> |
| <b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .                                                                                                                                                                                                                                                                                                                  | <b>1c</b>    |            | <b>No</b> |
| <b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received. |              |            |           |

| (a) Line No | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|-------------|---------------------|-----------------------------------------------|----------------------------------------------------------------------|
|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |
|             |                     |                                               |                                                                      |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |

|                  |                                                                                                                                                                                                                                                                                                                        |                    |                |
|------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|----------------|
| <b>Sign Here</b> | Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge. |                    |                |
|                  | *****<br>Signature of officer or trustee                                                                                                                                                                                                                                                                               | 2019-04-29<br>Date | *****<br>Title |

May the IRS discuss this return with the preparer shown below (see instr )? ☐ Yes ☐ No

|                               |                            |                      |      |                                                 |              |
|-------------------------------|----------------------------|----------------------|------|-------------------------------------------------|--------------|
| <b>Paid Preparer Use Only</b> | Print/Type preparer's name | Preparer's Signature | Date | Check if self-employed <input type="checkbox"/> | PTIN         |
|                               | Firm's name ▶              |                      |      |                                                 | Firm's EIN ▶ |
|                               | Firm's address ▶           |                      |      |                                                 | Phone no     |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d                                           |                                                 |                                         |                                     |
|--------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|-----------------------------------------|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate,<br>(a) 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b)<br>How acquired<br>P—Purchase<br>D—Donation | (c)<br>Date acquired<br>(mo , day, yr ) | (d)<br>Date sold<br>(mo , day, yr ) |
| 1 807 537 COLUMBIA EMERGING MARKETS FUND INSTL3 CL                                                                                   |                                                 | 2015-04-02                              | 2018-01-23                          |
| 1 390 625 COLUMBIA EMERGING MARKETS FUND INSTL3 CL                                                                                   |                                                 | 2015-04-02                              | 2018-08-03                          |
| 4514 021 COLUMBIA EMERGING MARKETS FUND INSTL3 CL                                                                                    |                                                 | 2015-04-02                              | 2018-10-18                          |
| 4206 518 COLUMBIA EMERGING MARKETS FUND INSTL3 CL                                                                                    |                                                 | 2016-07-08                              | 2018-10-18                          |
| 541 348 COLUMBIA EMERGING MARKETS FUND INSTL3 CL                                                                                     |                                                 | 2015-10-07                              | 2018-10-18                          |
| 1280 41 COLUMBIA DIVIDEND INCOME FUND INSTL3 CL                                                                                      |                                                 | 2012-08-16                              | 2018-01-23                          |
| 15045 319 AGGREGATE BOND CTF                                                                                                         |                                                 | 2018-05-11                              | 2018-08-10                          |
| 17144 959 AGGREGATE BOND CTF                                                                                                         |                                                 | 2018-05-11                              | 2018-10-19                          |
| 316 247 SMALL CAP GROWTH LEADERS CTF                                                                                                 |                                                 | 2017-10-31                              | 2018-01-31                          |
| 83 965 SMALL CAP VALUE CTF                                                                                                           |                                                 | 2017-02-17                              | 2018-01-31                          |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| 12,000                |                                            | 8,609                                           | 3,391                                        |
| 5,000                 |                                            | 4,164                                           | 836                                          |
| 50,512                |                                            | 48,124                                          | 2,388                                        |
| 47,071                |                                            | 40,000                                          | 7,071                                        |
| 6,058                 |                                            | 5,000                                           | 1,058                                        |
| 30,000                |                                            | 19,488                                          | 10,512                                       |
| 246,000               |                                            | 244,450                                         | 1,550                                        |
| 275,000               |                                            | 277,946                                         | -2,946                                       |
| 9,900                 |                                            | 9,225                                           | 675                                          |
| 2,900                 |                                            | 2,935                                           | -35                                          |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                               | (l) Gains (Col (h) gain minus<br>col (k), but not less than -0-) or<br>Losses (from col (h)) |
|---------------------------------------------------------------------------------------------|--------------------------------------|-----------------------------------------------|----------------------------------------------------------------------------------------------|
| (i) F M V as of 12/31/69                                                                    | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col (i)<br>over col (j), if any |                                                                                              |
|                                                                                             |                                      |                                               | 3,391                                                                                        |
|                                                                                             |                                      |                                               | 836                                                                                          |
|                                                                                             |                                      |                                               | 2,388                                                                                        |
|                                                                                             |                                      |                                               | 7,071                                                                                        |
|                                                                                             |                                      |                                               | 1,058                                                                                        |
|                                                                                             |                                      |                                               | 10,512                                                                                       |
|                                                                                             |                                      |                                               | 1,550                                                                                        |
|                                                                                             |                                      |                                               | -2,946                                                                                       |
|                                                                                             |                                      |                                               | 675                                                                                          |
|                                                                                             |                                      |                                               | -35                                                                                          |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d                                        |                                              |                                      |                                  |
|-----------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|--------------------------------------|----------------------------------|
| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired<br>P—Purchase<br>D—Donation | (c) Date acquired<br>(mo , day, yr ) | (d) Date sold<br>(mo , day, yr ) |
| 207 ISHARES CORE S&P 500 ETF                                                                                                      |                                              | 2015-04-10                           | 2018-01-23                       |
| 1 205 VANGUARD FTSE EMERGING MKTS ETF                                                                                             |                                              | 2012-08-16                           | 2018-01-23                       |
| 75 VANGUARD FTSE EMERGING MKTS ETF                                                                                                |                                              | 2015-01-09                           | 2018-01-23                       |
| 122 VANGUARD FTSE EMERGING MKTS ETF                                                                                               |                                              | 2015-01-09                           | 2018-08-03                       |
| 207 VANGUARD FTSE EMERGING MKTS ETF                                                                                               |                                              | 2015-01-09                           | 2018-10-18                       |
| 9506 426 GOVERNMENT CREDIT CTF                                                                                                    |                                              | 1997-10-17                           | 2018-04-06                       |
| 5615 568 GOVERNMENT CREDIT CTF                                                                                                    |                                              | 1997-10-17                           | 2018-04-30                       |
| 577 445 GOVERNMENT CREDIT CTF                                                                                                     |                                              | 1997-09-19                           | 2018-04-30                       |
| 5863 192 GOVERNMENT CREDIT CTF                                                                                                    |                                              | 2011-02-11                           | 2018-04-30                       |
| 1798 022 GOVERNMENT CREDIT CTF                                                                                                    |                                              | 2013-04-30                           | 2018-04-30                       |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| 59,065                |                                            | 43,596                                          | 15,469                                       |
| 10,245                |                                            | 8,485                                           | 1,760                                        |
| 3,748                 |                                            | 3,020                                           | 728                                          |
| 5,282                 |                                            | 4,912                                           | 370                                          |
| 7,818                 |                                            | 8,334                                           | -516                                         |
| 75,000                |                                            | 83,124                                          | -8,124                                       |
| 43,950                |                                            | 48,386                                          | -4,436                                       |
| 4,519                 |                                            | 4,956                                           | -437                                         |
| 45,888                |                                            | 50,078                                          | -4,190                                       |
| 14,072                |                                            | 15,355                                          | -1,283                                       |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                   |                                            | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---------------------------------------------------------------------------------------------|-----------------------------------|--------------------------------------------|----------------------------------------------------------------------------------------|
| (i) F M V as of 12/31/69                                                                    | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any |                                                                                        |
|                                                                                             |                                   |                                            | 15,469                                                                                 |
|                                                                                             |                                   |                                            | 1,760                                                                                  |
|                                                                                             |                                   |                                            | 728                                                                                    |
|                                                                                             |                                   |                                            | 370                                                                                    |
|                                                                                             |                                   |                                            | -516                                                                                   |
|                                                                                             |                                   |                                            | -8,124                                                                                 |
|                                                                                             |                                   |                                            | -4,436                                                                                 |
|                                                                                             |                                   |                                            | -437                                                                                   |
|                                                                                             |                                   |                                            | -4,190                                                                                 |
|                                                                                             |                                   |                                            | -1,283                                                                                 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d                                           |                                                 |                                         |                                     |
|--------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|-----------------------------------------|-------------------------------------|
| List and describe the kind(s) of property sold (e g , real estate,<br>(a) 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b)<br>How acquired<br>P—Purchase<br>D—Donation | (c)<br>Date acquired<br>(mo , day, yr ) | (d)<br>Date sold<br>(mo , day, yr ) |
| 17592 332 GOVERNMENT CREDIT CTF                                                                                                      |                                                 | 2005-03-25                              | 2018-04-30                          |
| 1 19218 303 GOVERNMENT CREDIT CTF                                                                                                    |                                                 | 2006-08-31                              | 2018-04-30                          |
| 4877 701 GOVERNMENT CREDIT CTF                                                                                                       |                                                 | 2016-07-08                              | 2018-04-30                          |
| 12635 375 GOVERNMENT CREDIT CTF                                                                                                      |                                                 | 2007-06-30                              | 2018-04-30                          |
| 1572 707 GOVERNMENT CREDIT CTF                                                                                                       |                                                 | 2015-04-10                              | 2018-04-30                          |
| 2990 393 GOVERNMENT CREDIT CTF                                                                                                       |                                                 | 2014-04-04                              | 2018-04-30                          |
| 1258 114 GOVERNMENT CREDIT CTF                                                                                                       |                                                 | 2013-10-11                              | 2018-04-30                          |
| 15694 16 GOVERNMENT CREDIT CTF                                                                                                       |                                                 | 2015-05-15                              | 2018-04-30                          |
| 5066 947 GOVERNMENT CREDIT CTF                                                                                                       |                                                 | 2013-08-16                              | 2018-04-30                          |
| 3828 72 GOVERNMENT CREDIT CTF                                                                                                        |                                                 | 2017-10-31                              | 2018-04-30                          |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| 137,685               |                                            | 149,740                                         | -12,055                                      |
| 150,410               |                                            | 162,943                                         | -12,533                                      |
| 38,175                |                                            | 40,855                                          | -2,680                                       |
| 98,890                |                                            | 105,478                                         | -6,588                                       |
| 12,309                |                                            | 12,882                                          | -573                                         |
| 23,404                |                                            | 24,434                                          | -1,030                                       |
| 9,847                 |                                            | 10,228                                          | -381                                         |
| 122,829               |                                            | 126,755                                         | -3,926                                       |
| 39,656                |                                            | 40,845                                          | -1,189                                       |
| 29,965                |                                            | 30,599                                          | -634                                         |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                               | (l) Gains (Col (h) gain minus<br>col (k), but not less than -0-) or<br>Losses (from col (h)) |
|---------------------------------------------------------------------------------------------|--------------------------------------|-----------------------------------------------|----------------------------------------------------------------------------------------------|
| (i) F M V as of 12/31/69                                                                    | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col (i)<br>over col (j), if any |                                                                                              |
|                                                                                             |                                      |                                               | -12,055                                                                                      |
|                                                                                             |                                      |                                               | -12,533                                                                                      |
|                                                                                             |                                      |                                               | -2,680                                                                                       |
|                                                                                             |                                      |                                               | -6,588                                                                                       |
|                                                                                             |                                      |                                               | -573                                                                                         |
|                                                                                             |                                      |                                               | -1,030                                                                                       |
|                                                                                             |                                      |                                               | -381                                                                                         |
|                                                                                             |                                      |                                               | -3,926                                                                                       |
|                                                                                             |                                      |                                               | -1,189                                                                                       |
|                                                                                             |                                      |                                               | -634                                                                                         |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| List and describe the kind(s) of property sold (e g , real estate,<br>(a) 2-story brick warehouse, or common stock, 200 shs MLC Co ) |  | (b)<br>How acquired<br>P—Purchase<br>D—Donation | (c)<br>Date acquired<br>(mo , day, yr ) | (d)<br>Date sold<br>(mo , day, yr ) |
|--------------------------------------------------------------------------------------------------------------------------------------|--|-------------------------------------------------|-----------------------------------------|-------------------------------------|
| 19659 24 GOVERNMENT CREDIT CTF                                                                                                       |  |                                                 | 2017-07-14                              | 2018-04-30                          |
| 1 24255 154 GOVERNMENT CREDIT CTF                                                                                                    |  |                                                 | 2017-02-17                              | 2018-04-30                          |
| 2659 213 STRATEGIC GROWTH COMMON TRUST FUND                                                                                          |  |                                                 | 2008-08-08                              | 2018-01-31                          |
| 552 416 STRATEGIC GROWTH COMMON TRUST FUND                                                                                           |  |                                                 | 2010-07-09                              | 2018-01-31                          |
| CAPITAL GAIN DIVIDENDS                                                                                                               |  | P                                               |                                         |                                     |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| 153,861               |                                            | 156,889                                         | -3,028                                       |
| 189,831               |                                            | 192,561                                         | -2,730                                       |
| 47,196                |                                            | 50,105                                          | -2,909                                       |
| 9,804                 |                                            | 10,391                                          | -587                                         |
|                       |                                            |                                                 | 38,552                                       |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                               | (I) Gains (Col (h) gain minus<br>col (k), but not less than -0-) or<br>Losses (from col (h)) |
|---------------------------------------------------------------------------------------------|--------------------------------------|-----------------------------------------------|----------------------------------------------------------------------------------------------|
| (i) F M V as of 12/31/69                                                                    | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col (i)<br>over col (j), if any |                                                                                              |
|                                                                                             |                                      |                                               | -3,028                                                                                       |
|                                                                                             |                                      |                                               | -2,730                                                                                       |
|                                                                                             |                                      |                                               | -2,909                                                                                       |
|                                                                                             |                                      |                                               | -587                                                                                         |
|                                                                                             |                                      |                                               |                                                                                              |

**TY 2018 Accounting Fees Schedule****Name:** WILLIAM B WALKER TUW**EIN:** 04-6019088

| <b>Category</b>           | <b>Amount</b> | <b>Net Investment<br/>Income</b> | <b>Adjusted Net<br/>Income</b> | <b>Disbursements<br/>for Charitable<br/>Purposes</b> |
|---------------------------|---------------|----------------------------------|--------------------------------|------------------------------------------------------|
| TAX PREPARATION FEE - BOA | 1,250         | 750                              |                                | 500                                                  |

**TY 2018 Compensation Explanation**

**Name:** WILLIAM B WALKER TUW  
**EIN:** 04-6019088

| Person Name     | Explanation           |
|-----------------|-----------------------|
| BANK OF AMERICA | SEE ATTACHED FOOTNOTE |

**TY 2018 General Explanation Attachment****Name:** WILLIAM B WALKER TUW**EIN:** 04-6019088**General Explanation Attachment**

| Identifier | Return Reference | Explanation                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|------------|------------------|------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1          | FEDERAL FOOTNOTE | PART VIII LIST OF OFFICERS AND DIRECTORS | THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N A AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR THE TIME SPENT BY THE TRUSTEE, RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES |

**TY 2018 Investments Corporate Stock Schedule****Name:** WILLIAM B WALKER TUW**EIN:** 04-6019088**Investments Corporation Stock Schedule**

| <b>Name of Stock</b>           | <b>End of Year Book Value</b> | <b>End of Year Fair Market Value</b> |
|--------------------------------|-------------------------------|--------------------------------------|
| 922042858 VANGUARD FTSE EMERGI | 94,448                        | 96,698                               |
| 993361880 GOVERNMENT CREDIT CT |                               |                                      |
| 1261292H7 INTERNATIONAL EQUITY | 698,094                       | 545,180                              |
| 207543877 SMALL CAP GROWTH LEA | 216,873                       | 197,203                              |
| 302993993 MID CAP VALUE CTF    | 312,790                       | 288,091                              |
| 303995997 SMALL CAP VALUE CTF  | 226,629                       | 192,967                              |
| 323991307 MID CAP GROWTH CTF   | 327,841                       | 300,841                              |
| 22544R305 CREDIT SUISSE COMMOD | 124,229                       | 82,378                               |
| 99Z501647 STRATEGIC GROWTH COM | 365,923                       | 285,446                              |
| 464287200 ISHARES CORE S&P 500 | 332,281                       | 384,963                              |
| 19766M840 COLUMBIA DIVIDEND IN | 227,605                       | 282,135                              |
| 19763T806 COLUMBIA INCOME OPPO | 154,782                       | 151,739                              |
| 19766M824 COLUMBIA EMERGING MA |                               |                                      |
| 41665H847 HARTFORD MUT FDS II  | 99,000                        | 96,837                               |
| 202671913 AGGREGATE BOND CTF   | 568,333                       | 571,118                              |

## TY 2018 Investments - Other Schedule

**Name:** WILLIAM B WALKER TUW

**EIN:** 04-6019088

### Investments Other Schedule 2

| Category/ Item                | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|-------------------------------|-----------------------|------------|-------------------------------|
| 8EQO29993 MAN FRM ALTERNATIVE | AT COST               | 548,535    | 510,108                       |

**TY 2018 Legal Fees Schedule****Name:** WILLIAM B WALKER TUW**EIN:** 04-6019088

| <b>Category</b>                | <b>Amount</b> | <b>Net Investment<br/>Income</b> | <b>Adjusted Net<br/>Income</b> | <b>Disbursements<br/>for Charitable<br/>Purposes</b> |
|--------------------------------|---------------|----------------------------------|--------------------------------|------------------------------------------------------|
| LEGAL FEES - INCOME (ALLOCABLE | 750           |                                  |                                | 750                                                  |

**TY 2018 Other Decreases Schedule****Name:** WILLIAM B WALKER TUW**EIN:** 04-6019088

| Description             | Amount |
|-------------------------|--------|
| TYE INCOME ADJUSTMENT   | 23,910 |
| TYE ROUNDING ADJUSTMENT | 1      |

**TY 2018 Other Expenses Schedule****Name:** WILLIAM B WALKER TUW**EIN:** 04-6019088**Other Expenses Schedule**

| Description                    | Revenue and<br>Expenses per<br>Books | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable<br>Purposes |
|--------------------------------|--------------------------------------|--------------------------|------------------------|---------------------------------------------|
| OTHER ALLOCABLE EXPENSE-PRINCI | 1,252                                | 1,252                    |                        | 0                                           |
| OTHER ALLOCABLE EXPENSE-INCOME | 1,247                                | 1,247                    |                        | 0                                           |
| STATE FILING FEE               | 35                                   | 0                        |                        | 35                                          |
| INVESTMENT EXPENSES-DIVIDEND I | 4,739                                | 4,739                    |                        | 0                                           |

**TY 2018 Other Increases Schedule**

**Name:** WILLIAM B WALKER TUW  
**EIN:** 04-6019088

| Description         | Amount |
|---------------------|--------|
| CTF COST ADJUSTMENT | 5,015  |

**TY 2018 Taxes Schedule****Name:** WILLIAM B WALKER TUW**EIN:** 04-6019088

| Category                       | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements<br>for Charitable<br>Purposes |
|--------------------------------|--------|--------------------------|------------------------|---------------------------------------------|
| FOREIGN TAXES                  | 2,867  | 2,867                    |                        | 0                                           |
| EXCISE TAX - PRIOR YEAR        | 4,945  | 0                        |                        | 0                                           |
| EXCISE TAX ESTIMATES           | 6,000  | 0                        |                        | 0                                           |
| FOREIGN TAXES ON QUALIFIED FOR | 463    | 463                      |                        | 0                                           |
| FOREIGN TAXES ON NONQUALIFIED  | 173    | 173                      |                        | 0                                           |