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Form 990-PF		Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation			OMB No 1545-0052		
Department of the Treasury Internal Revenue Service		Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.			2018 Open to Public Inspection		
For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018							
Name of foundation HENRY DAVID THOREAU FOUNDATION INC				A Employer identification number 04-2077934			
Number and street (or P O box number if mail is not delivered to street address) 265 MEDFORD STREET NO 102			Room/suite				
B Telephone number (see instructions) (617) 666-6900							
City or town, state or province, country, and ZIP or foreign postal code SOMERVILLE, MA 02143				C If exemption application is pending, check here			
G Check all that apply Initial return Final return Address change				D 1. Foreign organizations, check here 2 Foreign organizations meeting the 85% test, check here and attach computation			
H Check type of organization Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation				E If private foundation status was terminated under section 507(b)(1)(A), check here			
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 10,007,119		J Accounting method Cash Accrual Other (specify)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here			
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))				(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	0				
	2	Check If the foundation is not required to attach Sch B					
	3	Interest on savings and temporary cash investments	4,136	4,136			
	4	Dividends and interest from securities	271,225	271,225			
	5a	Gross rents					
	b	Net rental income or (loss)					
	6a	Net gain or (loss) from sale of assets not on line 10	-4,142				
	b	Gross sales price for all assets on line 6a 450,000					
	7	Capital gain net income (from Part IV, line 2)		0			
	8	Net short-term capital gain					
	9	Income modifications					
	10a	Gross sales less returns and allowances					
b	Less Cost of goods sold						
c	Gross profit or (loss) (attach schedule)						
11	Other income (attach schedule)						
12	Total. Add lines 1 through 11	271,219	275,361	0			
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	78,321	3,321	0	75,000	
	14	Other employee salaries and wages	37,799	0	0	37,799	
	15	Pension plans, employee benefits					
	16a	Legal fees (attach schedule)	2,302	0	0	2,302	
	b	Accounting fees (attach schedule)	19,067	0	0	19,067	
	c	Other professional fees (attach schedule)	80,237	0	0	80,237	
	17	Interest					
	18	Taxes (attach schedule) (see instructions)	14,588	0	0	9,249	
	19	Depreciation (attach schedule) and depletion	121	0	121		
	20	Occupancy	7,510	0	0	7,510	
	21	Travel, conferences, and meetings	6,766	0	0	6,766	
	22	Printing and publications					
	23	Other expenses (attach schedule)	21,769	0	0	17,029	
	24	Total operating and administrative expenses. Add lines 13 through 23	268,480	3,321	121	254,959	
	25	Contributions, gifts, grants paid	218,167			218,167	
26	Total expenses and disbursements. Add lines 24 and 25	486,647	3,321	121	473,126		
	27	Subtract line 26 from line 12					
	a	Excess of revenue over expenses and disbursements	-215,428				
	b	Net investment income (if negative, enter -0-)		272,040			
c	Adjusted net income (if negative, enter -0-)			0			
For Paperwork Reduction Act Notice, see instructions.				Cat No 11289X		Form 990-PF (2018)	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	12,038	30,713	30,713
	2 Savings and temporary cash investments	1,915,919	1,909,403	1,909,560
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	10,250	1,000	1,000
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	8,953,605	8,065,846	8,065,846
	14 Land, buildings, and equipment basis ▶ _____ 9,632 Less accumulated depreciation (attach schedule) ▶ 9,632			
15 Other assets (describe ▶ _____)	4,739	0	0	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	10,896,551	10,006,962	10,007,119	
Liabilities	17 Accounts payable and accrued expenses	37,049	22,260	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule).			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	37,049	22,260	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	10,859,502	9,984,702	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	10,859,502	9,984,702	
31 Total liabilities and net assets/fund balances (see instructions) .	10,896,551	10,006,962		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,859,502
2 Enter amount from Part I, line 27a	2	-215,428
3 Other increases not included in line 2 (itemize) ▶ _____	3	121
4 Add lines 1, 2, and 3	4	10,644,195
5 Decreases not included in line 2 (itemize) ▶ _____	5	659,493
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	9,984,702

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a FIDELITY SHORT TERM BOND	P		
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 450,000		454,142	-4,142
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-4,142
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	-4,142
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	542,555	10,457,685	0 051881
2016	526,628	10,024,211	0 052536
2015	509,760	10,441,770	0 048819
2014	468,439	10,711,842	0 043731
2013	490,154	10,160,805	0 048240

2 Total of line 1, column (d)	2	0 245207
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 049041
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	10,702,320
5 Multiply line 4 by line 3	5	524,852
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,720
7 Add lines 5 and 6	7	527,572
8 Enter qualifying distributions from Part XII, line 4	8	473,126

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	5,441
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	5,441
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	5,441
6	Credits/Payments		
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a	4,000
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	4,000
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	59
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,500
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2019 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MA _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address THOREAUSCHOLAR.ORG	13	Yes	
14	The books are in care of JOHN R. GALVIN Telephone no (617) 666-6900			

Located at **265 MEDFORD ST. SOMERVILLE, MA**ZIP+4 **02143**

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15		
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶	16	Yes No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here. ☐	1b	No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If "Yes," list the years ▶ 20____, 20____, 20____, 20____ ☐ Yes ☒ No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year did the foundation pay or incur any amount to		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No	
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☒ Yes ☐ No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	☐ Yes ☒ No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b No
	Organizations relying on a current notice regarding disaster assistance check here.	☐	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	☐ Yes ☐ No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870		6b No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☒ No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3 ►	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	8,955,772
b	Average of monthly cash balances.	1b	1,909,528
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	10,865,300
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	10,865,300
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	162,980
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	10,702,320
6	Minimum investment return. Enter 5% of line 5.	6	535,116

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	535,116
2a	Tax on investment income for 2018 from Part VI, line 5.	2a	5,441
b	Income tax for 2018 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	5,441
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	529,675
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	529,675
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	529,675

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	473,126
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	473,126
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	473,126

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				529,675
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2018				
a From 2013.				
b From 2014.				
c From 2015.				
d From 2016.				30,426
e From 2017.				59,261
f Total of lines 3a through e.	89,687			
4 Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 473,126				
a Applied to 2017, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2018 distributable amount.				473,126
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	56,549			56,549
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	33,138			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	33,138			
10 Analysis of line 9				
a Excess from 2014.				
b Excess from 2015.				
c Excess from 2016.				
d Excess from 2017.				33,138
e Excess from 2018.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed JOHN R GALVIN CO HENRY DAVID THOREA 265 MEDFORD ST SOMERVILLE, MA 01243 (617) 666-6900
b The form in which applications should be submitted and information and materials they should include THE APPLICATION FORM IS AVAILABLE BY REQUEST TO THE ABOVE-NAMED PERSON
c Any submission deadlines FEBRUARY 1ST
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors FOUNDATION EMPLOYEES, TRUSTEES, OFFICERS AND MEMBERS OF THE SELECTION COMMITTEE ARE NOT ELIGIBLE TO RECEIVE AWARDS

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	
b <i>Approved for future payment</i>				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Form **990-PF** (2018)

Part XVII

- | | | | |
|---|----|--|----|
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | 1c | | No |
|---|----|--|----|

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
-------------	---------------------	---	--

- 2d Is the foundation an entity or individual, animated (with) or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

Sign _____ May the IRS discuss this _____

(see instr)? ☒ Yes ☐ No

Print/Type preparer's name	Preparer's Signature	Date	PTIN
----------------------------	----------------------	------	------

P00030126

Firm's EIN ► 20-3985546

Phone no (781) 914-3400

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
JOHN R GALVIN 88 MURRAY AVENUE PORT WASHINGTON, NY 11050	PRESIDENT, TREAS & TRUSTEE 30 00	78,321	0	0
JENNIFER P GALVIN 88 MURRAY AVENUE PORT WASHINGTON, NY 11050				
MARILEE JONES 300 BUNN DRIVE APT C-302 PRINCETON, NJ 08540	SECRETARY, TRUSTEE & EXECU 15 00	39,670	0	0
DAVID R MAGNUSSEN 470 TEMPLE STREET DUXBURY, MA 02332				
SCOTT ELLIS 4208 SUNSET BLVD HOUSTON, TX 77005	SCHOLARSHIP COMMITTEE 2 00	5,600	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BATES COLLEGE44 MOUNTAIN AVENUE LEWISTON, MA 04240	N/A	SCHOOL	SCHOLARSHIP	5,000
BRANDEIS UNIVERSITY PO BOX 549110 MAILSTOP 126 WALTHAM, MA 02454	N/A	SCHOOL	GRANT	5,000
BROWN UNIVERSITY69 BROWN STREET PROVIDENCE, RI 02912	N/A	SCHOOL	GRANT	10,000
Total ▶ 3a				218,167

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CORNELL UNIVERSITY 260 DAY HALL PO BOX 752 ITHACA, NY 14853	N/A	SCHOOL	SCHOLARSHIP	20,000
DARTMOUTH COLLEGE 10 N MAIN STREET HANOVER, NH 03755	N/A	SCHOOL	SCHOLARSHIPS AND GRANTS	9,167
DAVIDSON COLLEGEBOX 7156 DAVIDSON, NC 28035	N/A	SCHOOL	SCHOLARSHIP	5,000
Total ▶ 3a				218,167

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HARVARD UNIVERSITY 953 SMITH CAMPUS CENTER 1350 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138	N/A	SCHOOL	SCHOLARSHIPS AND GRANTS	25,000
HOBART AND WILLIAM SMITH COLLEGE 300 PULTENEY STREET GENEVA, NY 14456	N/A	SCHOOL	SCHOLARSHIP	2,500
JOHN HOPKINS COLLEGE 3400 N CHARLES STREET 146 GARLAND HALL BALTIMORE, MD 21218	N/A	SCHOOL	SCHOLARSHIP	5,000
Total ▶ 3a				218,167

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LEHIGH COLLEGE 27 MEMORIAL DRIVE WEST BETHLEHEM, PA 18015	N/A	SCHOOL	SCHOLARSHIP	5,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE ROOM 11-120 CAMBRIDGE, MA 02139	N/A	SCHOOL	SCHOLARSHIP	5,000
MIDDLEBURY COLLEGE 84 SOUTH SERVICE ROAD MIDDLEBURY, VT 05753	N/A	SCHOOL	SCHOLARSHIP	5,000
Total ▶ 3a				218,167

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NORTHEASTERN UNIVERSITY 354 RICHARDS HALL 360 HUNTINGTON AVENUE BOSTON, MA 02155	N/A	SCHOOL	SCHOLARSHIP	17,500
PENNSYLVANIA STATE UNIVERSITY 201 SHIELDS BUILDING UNIVERSITY PARK, PA 168021294	N/A	SCHOOL	SCHOLARSHIIP	5,000
REED COLLEGE 3203 SE WOODSTOCK BLVD ELLIOT HALL 202 PORTLAND, OR 972028199	N/A	SCHOOL	SCHOLARSHIP	5,000
Total ▶ 3a				218,167

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEA EDUCATION ASSOCIATION 171 WOODS HOLE RD FALMOUTH, MA 02540	N/A	SCHOOL	SCHOLARSHIP	14,000
STANFORD UNIVERSITY 450 SERRA MALL STANFORD, CA 94305	N/A	SCHOOL	SCHOLARSHIP	5,000
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA 1111 FRANKLIN ST OAKLAND, CA 94607	N/A	SCHOOL	GRANTS	40,000
Total ▶ 3a				218,167

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
TUFTS UNIVERSITY DOWLING HALL 419 BOSTON AVENUE MEDFORD, MA 02155	N/A	SCHOOL	SCHOLARSHIP	5,000
UNIVERSITY OF VIRGINIA PO BOX 400160 CHARLOTTESVILLE, VA 229044132	N/A	SCHOOL	SCHOLARSHIP	5,000
WELLESLEY COLLEGE SCHNEIDER CENTER 122 106 CENTRAL STREET WELLESLEY, MA 02481	N/A	SCHOOL	SCHOLARSHIP	5,000
Total ▶ 3a				218,167

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WORCESTER POLYTECHNIC INSTITUTE 100 INSTITUTE ROAD 2ND FLOOR BOYNTON HALL WORCESTER, MA 01609	N/A	SCHOOL	SCHOLARSHIP	10,000
YALE UNIVERSITYPO BOX 208232 NEW HAVEN, CT 06520	N/A	SCHOOL	SCHOLARSHIP	5,000
Total ► 3a				218,167

TY 2018 Accounting Fees Schedule**Name:** HENRY DAVID THOREAU FOUNDATION INC**EIN:** 04-2077934

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	19,067	0	0	19,067

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2018 Amortization Schedule

Name: HENRY DAVID THOREAU FOUNDATION INC

EIN: 04-2077934

Description of Amortized Expenses	Date Acquired, Completed, or Expended	Amount Amortized	Deduction for Prior Years	Amortization Method	Current Year Amortization	Net Investment Income	Adjusted Net Income	Total Amount of Amortization
TRADEMARK COSTS	2001-06-30	2,828	2,738	204 0000000000000	83	0	83	2,821
TRADEMARK COSTS	2000-06-30	5,399	5,399	204 0000000000000		0	0	5,399
WEBSITE SOFTWARE UPGRADES	2015-10-01	18,625	13,968	36 0000000000000	4,657	0	4,657	18,625

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2018 Depreciation Schedule

Name: HENRY DAVID THOREAU FOUNDATION INC

EIN: 04-2077934

Depreciation Schedule

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
COMPUTER	1999-08-30	2,140		200DB	5 0000000000000	0	0	0	
COMPUTER	2000-01-12	1,861		200DB	5 0000000000000	0	0	0	
COMPUTER	2005-10-05	1,894	1,894	200DB	5 0000000000000	0	0	0	
COMPUTER AND PRINTER	2010-03-23	1,245	1,245	200DB	5 0000000000000	0	0	0	
COMPUTER	2013-10-31	2,492	2,371	200DB	5 0000000000000	121	0	121	

TY 2018 Investments - Other Schedule**Name:** HENRY DAVID THOREAU FOUNDATION INC**EIN:** 04-2077934**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUNDS	FMV	7,436,773	7,436,773
EXCHANGE TRADED FUNDS	FMV	629,073	629,073

TY 2018 Legal Fees Schedule**Name:** HENRY DAVID THOREAU FOUNDATION INC**EIN:** 04-2077934

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	2,302	0	0	2,302

TY 2018 Other Assets Schedule**Name:** HENRY DAVID THOREAU FOUNDATION INC**EIN:** 04-2077934**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
TRADEMARKS, NET	83	0	0
WEBSITE, NET	4,656	0	0

TY 2018 Other Decreases Schedule

Name: HENRY DAVID THOREAU FOUNDATION INC

EIN: 04-2077934

Description	Amount
UNREALIZED LOSS	659,493

TY 2018 Other Expenses Schedule**Name:** HENRY DAVID THOREAU FOUNDATION INC**EIN:** 04-2077934**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TELEPHONE	1,872	0	0	1,872
POSTAGE	199	0	0	199
ADVERTISING	444	0	0	444
UTILITIES	414	0	0	414
MISCELLANEOUS EXPENSE	2,191	0	0	2,191
INSURANCE	2,995	0	0	2,995
DUES AND SUBSCRIPTIONS	1,670	0	0	1,670
AWARDS LUNCHEON	5,920	0	0	5,920
OFFICE SUPPLIES	1,240	0	0	1,240
REPAIRS AND MAINTENANCE	84	0	0	84

Other Expenses Schedule				
Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
AMORTIZATION	4,740	0		0

TY 2018 Other Increases Schedule

Name: HENRY DAVID THOREAU FOUNDATION INC

EIN: 04-2077934

Description	Amount
DEPRECIATION DIFFERENCE	121

TY 2018 Other Professional Fees Schedule**Name:** HENRY DAVID THOREAU FOUNDATION INC**EIN:** 04-2077934

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROFESSIONAL FEES	5,343	0	0	5,343
CONSULTING FEES	70,308	0	0	70,308
SPECIALTY PROJECTS	4,586	0	0	4,586

TY 2018 Taxes Schedule**Name:** HENRY DAVID THOREAU FOUNDATION INC**EIN:** 04-2077934

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	9,149	0	0	9,149
FEDERAL TAXES ON NET INVESTMENT INCOME	5,339	0	0	0
TAXES - OTHER	100	0	0	100