

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.**

OMB No 1545-0052
2017
Open to Public Inspection

For calendar year 2017, or tax year beginning 12-01-2017, and ending 11-30-2018

Name of foundation FREDERICK & GRETA SMILEY CHARITABLE FOUNDATION		A Employer identification number 65-0162460
Number and street (or P O box number if mail is not delivered to street address) 280 MADISON AVENUE NO 1007	Room/suite	B Telephone number (see instructions) (212) 554-5024
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10016		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 1,531,661	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	269,042			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,570	1,570		
	4 Dividends and interest from securities	30,634	30,634		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	109,030			
	b Gross sales price for all assets on line 6a	685,819			
	7 Capital gain net income (from Part IV, line 2)		109,030		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	410,276	141,234			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	30,030	30,030		0
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	2,322	402		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	92	92		0
	24 Total operating and administrative expenses. Add lines 13 through 23	32,444	30,524		0
	25 Contributions, gifts, grants paid	78,215			78,215
26 Total expenses and disbursements. Add lines 24 and 25	110,659	30,524		78,215	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	299,617				
b Net investment income (if negative, enter -0-)		110,710			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	95,152	110,357	110,357
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	1,029,471	1,371,304	1,371,304
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	50,000	50,000	50,000
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,174,623	1,531,661	1,531,661	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	0	8,174	
	23 Total liabilities (add lines 17 through 22)	0	8,174	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,174,623	1,523,487	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29 Retained earnings, accumulated income, endowment, or other funds	0	0		
30 Total net assets or fund balances (see instructions)	1,174,623	1,523,487		
31 Total liabilities and net assets/fund balances (see instructions) .	1,174,623	1,531,661		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,174,623
2 Enter amount from Part I, line 27a	2	299,617
3 Other increases not included in line 2 (itemize) ▶ _____	3	49,247
4 Add lines 1, 2, and 3	4	1,523,487
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,523,487

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	109,030
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	63,880	1,028,221	0.062127
2015	71,790	973,507	0.073744
2014	58,528	1,077,025	0.054342
2013	62,375	1,244,534	0.050119
2012	44,735	1,157,484	0.038648

2 Total of line 1, column (d)	2	0.278980
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.055796
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	1,516,461
5 Multiply line 4 by line 3	5	84,612
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,107
7 Add lines 5 and 6	7	85,719
8 Enter qualifying distributions from Part XII, line 4	8	78,215

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, domestic foundations, tax under section 511, subtitle A tax, and credits/payments. Total tax due is 1,264.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, and state reporting. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-13 regarding controlled entities, donor advised funds, and public inspection requirements.

14 The books are in care of HENRY BEINSTEIN Telephone no (212) 554-5024

Located at 8 DOGWOOD LANE LARCHMONT NY ZIP+4 105383418

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15

16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? Includes instructions for FinCEN Form 114.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table for Part VII-B with 3 columns: Question, Yes, No. Rows 1a-1c, 2a-2c, 3a-3b, 4a-4b regarding Form 4720 exceptions.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. ▶			5b
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870</i>			6b
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			7b

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

Part VIII

Table 1: List all officers, directors, trustees, foundation managers and their compensation. Columns include Name and address, Title, average hours per week, Compensation, Contributions to employee benefit plans, and Expense account.

Table 2: Compensation of five highest-paid employees (other than those included on line 1). Columns include Name and address, Title, average hours per week, Compensation, Contributions to employee benefit plans, and Expense account.

Total number of other employees paid over \$50,000.

Table 3: Five highest-paid independent contractors for professional services. Columns include Name and address, Type of service, and Compensation.

Total number of others receiving over \$50,000 for professional services.

Part IX-A Summary of Direct Charitable Activities

Table for Part IX-A: Summary of Direct Charitable Activities. Columns include Description of activities and Expenses.

Part IX-B Summary of Program-Related Investments (see instructions)

Table for Part IX-B: Summary of Program-Related Investments. Columns include Description of investments and Amount.

Total. Add lines 1 through 3.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	1,316,581
b	Average of monthly cash balances.	1b	172,973
c	Fair market value of all other assets (see instructions).	1c	50,000
d	Total (add lines 1a, b, and c).	1d	1,539,554
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,539,554
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	23,093
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	1,516,461
6	Minimum investment return. Enter 5% of line 5.	6	75,823

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	75,823
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	2,214
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	2,214
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	73,609
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	73,609
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	73,609

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	78,215
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	78,215
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	78,215

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				73,609
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			13,086	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2017				
a From 2012.				
b From 2013.				
c From 2014.				
d From 2015.				
e From 2016.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ <u>78,215</u>				
a Applied to 2016, but not more than line 2a			13,086	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				65,129
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				8,480
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2013.				
b Excess from 2014.				
c Excess from 2015.				
d Excess from 2016.				
e Excess from 2017.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				78,215
b <i>Approved for future payment</i>				
Total ▶ 3b				0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
5 SH AIR LEASE CORP	P	2016-11-28	2018-10-29
280 SH AIR LEASE CORP	P	2017-04-20	2018-10-29
771 SH AMBER ROAD INC	P	2014-10-31	2017-12-23
1,245 SH AMERICAN EAGLE OUTFITTERS	P	2018-03-12	2018-09-05
3,455 SH ATLANTIC POWER CORP	P	2014-02-03	2018-05-15
167 SH BEL FUSE INC - CL A	P	2014-01-27	2018-07-30
15 SH BEL FUSE INC - CL B	P	2013-07-16	2018-05-18
145 SH BEL FUSE INC - CL B	P	2013-09-06	2018-05-18
125 SH BEL FUSE INC - CL B	P	2013-09-18	2018-05-18
616 SH CAREDX INC	P	2015-02-06	2018-04-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
190		182	8
10,642		10,247	395
5,710		10,254	-4,544
31,579		23,642	7,937
7,668		8,378	-710
3,676		3,137	539
295		215	80
2,851		2,523	328
2,458		2,180	278
4,805		4,542	263

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			8
			395
			-4,544
			7,937
			-710
			539
			80
			328
			278
			263

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
2,311 SH CAREDX INC	P	2015-02-06	2018-04-05
110 SH CAREDX INC	P	2015-02-06	2018-04-06
1,000 SH CAREDX INC	P	2015-03-18	2018-05-03
963 SH CAREDX INC	P	2015-03-20	2018-06-04
318 SH CAREDX INC	P	2015-10-02	2018-06-04
309 SH CAREDX INC	P	2015-10-02	2018-08-09
1,006 SH CAREDX INC	P	2015-10-23	2018-08-09
594 SH CAREDX INC	P	2016-09-27	2018-08-09
273 SH CAREDX INC	P	2016-12-14	2018-09-10
1,091 SH CAREDX INC	P	2016-12-15	2018-09-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,027		17,041	986
858		811	47
9,935		6,445	3,490
12,319		5,972	6,347
4,068		1,338	2,730
4,530		1,300	3,230
14,749		5,071	9,678
8,709		2,397	6,312
6,713		856	5,857
26,829		3,446	23,383

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			986
			47
			3,490
			6,347
			2,730
			3,230
			9,678
			6,312
			5,857
			23,383

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1,259 SH CAREDX INC	P	2016-12-16	2018-09-10
150 SH CAREDX INC	P	2016-12-16	2018-09-18
850 SH CAREDX INC	P	2017-05-26	2018-09-18
837 SH CAREDX INC	P	2017-05-26	2018-10-19
473 SH CAREDX INC	P	2017-08-29	2018-10-19
2,682 SH CHRISTOPHER & BANKS CORP	P	2015-12-18	2018-05-16
2,682 SH CHRISTOPHER & BANKS CORP	P	2015-12-18	2018-10-29
891 SH DURECT CORP	P	2009-12-01	2017-12-20
949 SH DURECT CORP	P	2009-12-01	2018-01-02
2,860 SH DURECT CORP	P	2010-02-09	2018-01-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
30,960		4,105	26,855
3,819		489	3,330
21,642		908	20,734
20,156		894	19,262
11,391		1,155	10,236
2,763		2,777	-14
1,504		2,777	-1,273
891		2,142	-1,251
876		2,282	-1,406
2,639		5,802	-3,163

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			26,855
			3,330
			20,734
			19,262
			10,236
			-14
			-1,273
			-1,251
			-1,406
			-3,163

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
2,925 SH DURECT CORP	P	2010-10-12	2018-01-02
245 SH EXXON MOBIL CORP	P	2015-08-10	2017-12-20
866 SH FLEXION THERPEUTICS INC	P	2017-10-05	2017-12-20
614 SH FLEXION THERPEUTICS INC	P	2017-10-11	2018-10-29
668 SH GENERAL ELECTRIC CO	P	2011-12-05	2018-01-03
325 SH GENERAL ELECTRIC CO	P	2011-12-05	2018-11-07
395 SH GENERAL ELECTRIC CO	P	2013-02-25	2018-11-07
96 SH GENERAL ELECTRIC CO	P	2014-06-19	2018-11-07
57 SH GENERAL ELECTRIC CO	P	2016-04-27	2018-11-07
315 SH GENERAL ELECTRIC CO	P	2017-08-07	2018-11-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,699		8,119	-5,420
20,163		19,471	692
20,865		23,675	-2,810
9,392		18,748	-9,356
11,425		12,940	-1,515
2,975		6,295	-3,320
3,615		7,651	-4,036
879		1,860	-981
522		1,813	-1,291
2,883		8,187	-5,304

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-5,420
			692
			-2,810
			-9,356
			-1,515
			-3,320
			-4,036
			-981
			-1,291
			-5,304

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
161 SH GENERAL ELECTRIC CO	P	2017-09-12	2018-11-07
296 SH GENERAL ELECTRIC CO	P	2017-10-05	2018-11-07
326 SH GENERAL ELECTRIC CO	P	2017-11-29	2018-11-07
284 SH INSTRUCTURE INC	P	2018-02-21	2018-03-07
534 SH MACYS INC	P	2016-06-08	2018-06-14
263 SH MACYS INC	P	2016-09-19	2018-04-30
229 SH MACYS INC	P	2016-09-19	2018-06-07
324 SH MACYS INC	P	2017-05-17	2018-07-27
19 SH MONSANTO CO	P	2010-06-21	2018-06-07
225 SH MONSANTO CO	P	2016-09-13	2018-06-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,474		3,908	-2,434
2,709		7,448	-4,739
2,984		6,081	-3,097
12,204		11,229	975
21,042		18,581	2,461
7,980		9,553	-1,573
8,968		8,318	650
12,655		8,122	4,533
2,432		989	1,443
28,800		24,409	4,391

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,434
			-4,739
			-3,097
			975
			2,461
			-1,573
			650
			4,533
			1,443
			4,391

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
117 SH MONSANTO CO	P	2016-09-20	2018-06-07
40 SH MONSANTO CO	P	2017-08-07	2018-06-07
71 SH MONSANTO CO	P	2017-10-05	2018-06-07
4,341 SH NEOVASC INC	P	2006-12-01	2017-12-22
2,692 SH NEOVASC INC	P	2006-12-01	2017-12-26
7 SH NEOVASC INC	P	2008-02-08	2018-10-15
1,301 SH NEOVASC INC	P	2016-05-04	2017-12-26
532 SH NEOVASC INC	P	2018-03-08	2018-10-15
1,104 SH PANDORA MEDIA INC	P	2017-11-10	2018-02-22
1,316 SH PANDORA MEDIA INC	P	2017-11-10	2018-04-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,976		12,508	2,468
5,120		4,795	325
9,088		8,692	396
2,510		17,782	-15,272
1,571		11,027	-9,456
18		2,080	-2,062
759		3,928	-3,169
1,365		10,319	-8,954
5,639		5,672	-33
6,970		6,762	208

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,468
			325
			396
			-15,272
			-9,456
			-2,062
			-3,169
			-8,954
			-33
			208

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
714 SH PANDORA MEDIA INC	P	2017-11-10	2018-05-08
155 SH SUNCOR ENERGY	P	2017-05-02	2018-10-29
2,196 T2 BIOSYSTEMS INC	P	2017-09-17	2017-12-27
2,196 T2 BIOSYSTEMS INC	P	2017-09-17	2018-03-07
92 T2 BIOSYSTEMS INC	P	2018-02-20	2018-03-07
1,230 SH TEVA PHARMACEUTICAL INDUSTRIES	P	2017-10-05	2017-12-18
223 SH TEVA PHARMACEUTICAL INDUSTRIES	P	2017-10-18	2018-02-05
515 SH TEVA PHARMACEUTICAL INDUSTRIES	P	2017-10-18	2018-02-22
1,077 SH TEVA PHARMACEUTICAL INDUSTRIES	P	2017-10-18	2018-03-01
221 SH TIVO INC	P	2014-02-18	2018-01-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,947		3,669	1,278
5,167		4,963	204
8,908		10,349	-1,441
13,337		10,349	2,988
559		452	107
21,662		23,885	-2,223
4,613		3,348	1,265
10,293		7,732	2,561
20,899		16,169	4,730
3,060		5,983	-2,923

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,278
			204
			-1,441
			2,988
			107
			-2,223
			1,265
			2,561
			4,730
			-2,923

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
520 SH VANDA PHARMACEUTICALS INC	P	2014-12-23	2018-03-07
240 SH XEROX CORP	P	2016-05-26	2018-10-02
183 SH ZOGENIX INC	P	2016-03-22	2018-01-04
304 SH ZOGENIX INC	P	2016-03-22	2018-02-22
258 SH ZOGENIX INC	P	2016-03-22	2018-03-07
5 SH ATHENAHEALTH INC	P	2018-02-06	2018-05-31
205 SH CASTLIGHT HEALTH INC CL B	P	2018-02-06	2018-11-13
16 SH CASTLIGHT HEALTH INC CL B	P	2018-05-09	2018-11-13
14 SH COMMVault SYSTEMS INC	P	2018-02-06	2018-04-12
6 SH FIVE9 INC	P	2018-02-06	2018-03-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,488		7,422	2,066
6,387		6,473	-86
7,230		1,675	5,555
12,674		2,783	9,891
10,976		2,362	8,614
744		725	19
540		781	-241
42		65	-23
937		754	183
179		156	23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,066
			-86
			5,555
			9,891
			8,614
			19
			-241
			-23
			183
			23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
22 SH FLEXION THERAPEUTICS INC	P	2018-06-22	2018-11-16
3 SH TALEND SA	P	2018-02-06	2018-03-15
8 SH CAREDX INC	P	2018-02-06	2018-08-16
30 SH CAREDX INC	P	2018-02-06	2018-08-27
34 SH CAREDX INC	P	2018-02-06	2018-09-10
205 SH CASTLIGHT HEALTH INC CL B	P	2018-02-06	2018-10-12
34 SH FLEXION THERAPEUTICS INC	P	2018-02-06	2018-11-16
35 SH GENERAL FINANCIAL CORP	P	2018-02-06	2018-06-13
31 SH GENERAL FINANCIAL CORP	P	2018-02-06	2018-07-03
2 SH INSTRUCTURE INC	P	2018-02-06	2018-03-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
357		638	-281
139		116	23
133		48	85
585		179	406
842		202	640
566		781	-215
552		765	-213
380		248	132
409		220	189
87		72	15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-281
			23
			85
			406
			640
			-215
			-213
			132
			189
			15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
19 SH INSTRUCTURE INC	P	2018-02-06	2018-11-19
4 SH INSTRUCTURE INC	P	2018-08-02	2018-11-19
68 SH KEANE GROUP INC	P	2018-02-06	2018-07-03
26 SH LUMBER LIQUIDATORS HOLDINGS INC	P	2018-05-03	2018-08-03
2 SH NEW RELIC INC	P	2018-02-06	2018-03-15
69 SH STEALTHGAS INC	P	2018-02-06	2018-11-08
71 SH STEALTHGAS INC	P	2018-02-06	2018-11-09
27 SH STEALTHGAS INC	P	2018-02-06	2018-11-13
95 SH TILE SHOP HOLDINGS INC	P	2018-03-01	2018-07-12
5 SH AKAMAI TECHNOLOGIES INC	P	2018-02-07	2018-11-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
683		688	-5
144		147	-3
915		1,108	-193
487		529	-42
143		121	22
231		314	-83
238		323	-85
91		123	-32
769		551	218
340		329	11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-5
			-3
			-193
			-42
			22
			-83
			-85
			-32
			218
			11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
5 SH ATHENAHEALTH INC	P	2018-02-07	2018-05-31
205 SH CASTLIGHT HEALTH INC CL B	P	2018-02-07	2018-06-04
190 SH CES ENERGY SOLUTIONS CORP	P	2018-02-07	2018-05-17
75 SH HORNBECK OFFSHORE SERVICES INC	P	2018-02-07	2018-10-02
18 SH II VI INC	P	2018-06-11	2018-08-27
3 SH INSTRUCTURE INC	P	2018-08-02	2018-10-02
47 SH KEANE GROUP INC	P	2018-05-31	2018-08-31
25 SH LUMBER LIQUIDATORS HOLDINGS INC	P	2018-02-07	2018-11-28
7 SH LUMBER LIQUIDATORS HOLDINGS INC	P	2018-05-03	2018-11-28
6 SH LUMBER LIQUIDATORS HOLDINGS INC	P	2018-06-05	2018-11-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
744		732	12
731		755	-24
758		899	-141
432		263	169
683		859	-176
104		110	-6
607		715	-108
305		644	-339
85		142	-57
73		133	-60

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			12
			-24
			-141
			169
			-176
			-6
			-108
			-339
			-57
			-60

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
55 SH NCS MULTISTAGE HOLDINGS INC	P	2018-02-07	2018-07-31
2 SH NCS MULTISTAGE HOLDINGS INC	P	2018-02-13	2018-07-31
4 SH NCS MULTISTAGE HOLDINGS INC	P	2018-05-29	2018-07-31
28 SH OCADO GROUP PLC	P	2018-02-07	2018-05-21
6 SH TAPESTRY INC	P	2018-02-07	2018-04-06
210 SH XTREME DRILLING CORP	P	2018-02-07	2018-06-07
18 SH XTREME DRILLING CORP	P	2018-04-03	2018-06-07
1 SH CHIPOTLE MEXICAN GRILL INC	P	2018-02-06	2018-08-01
40 SH ETHAN ALLEN INTERIOR INC	P	2018-02-06	2018-10-12
62 SH TAILORED BRANDS INC	P	2018-02-06	2018-02-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
841		924	-83
31		30	1
61		66	-5
355		202	153
306		280	26
348		400	-52
30		30	0
458		316	142
745		997	-252
1,297		1,468	-171

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-83
			1
			-5
			153
			26
			-52
			0
			142
			-252
			-171

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
22 SH BED BATH & BEYOND	P	2018-02-06	2018-09-28
28 SH FAIRFAX INDIA HOLDINGS CORP	P	2018-02-06	2018-10-29
44 SH MAG SILVER CORP	P	2018-02-06	2018-06-05
38 SH NAVIGATOR HOLDINGS LTD	P	2018-02-06	2018-10-29
30 SH PAN AMERICAN SILVER CORP	P	2018-02-06	2018-06-05
23 SH ABERCROMBIE & FITCH CO CL A	P	2018-10-04	2018-10-30
97 SH AMERICAN EAGLE OUTFITTERS	P	2018-02-06	2018-03-01
35 SH AMERICAN EAGLE OUTFITTERS	P	2018-03-09	2018-05-18
39 SH BED BATH & BEYOND	P	2018-02-06	2018-09-28
27 SH CHEESECAKE FACTORY INC	P	2018-02-06	2018-06-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
412		492	-80
349		494	-145
504		462	42
419		495	-76
528		494	34
437		467	-30
1,868		1,743	125
762		727	35
731		872	-141
1,465		1,344	121

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-80
			-145
			42
			-76
			34
			-30
			125
			35
			-141
			121

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 SH CHIPOTLE MEXICAN GRILL INC	P	2018-02-06	2018-03-15
1 SH CHIPOTLE MEXICAN GRILL INC	P	2018-02-06	2018-03-26
2 SH CHIPOTLE MEXICAN GRILL INC	P	2018-02-06	2018-05-04
47 SH CHUYS HOLDINGS INC	P	2018-02-06	2018-06-29
22 SH FOOT LOCKER INC	P	2018-03-06	2018-05-02
41 SH GUESS INC	P	2018-02-06	2018-02-16
27 SH MACYS INC	P	2018-02-06	2018-03-06
30 SH MACYS INC	P	2018-02-06	2018-05-18
14 SH MADDEN STEVEN LTD	P	2018-02-06	2018-05-17
10 SH MADDEN STEVEN LTD	P	2018-02-06	2018-06-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
322		316	6
335		316	19
827		631	196
1,411		1,234	177
942		871	71
628		628	0
807		680	127
971		756	215
686		645	41
525		460	65

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6
			19
			196
			177
			71
			0
			127
			215
			41
			65

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
8 SH MADDEN STEVEN LTD	P	2018-03-27	2018-06-18
10 SH MICHAEL KORS HOLDINGS LTD	P	2018-02-13	2018-08-09
3 SH TAPESTRY INC	P	2018-02-06	2018-03-28
81 SH TILE SHOP HOLDINGS INC	P	2018-02-23	2018-07-09
51 SH TILE SHOP HOLDINGS INC	P	2018-03-01	2018-07-09
20 SH URBAN OUTFITTERS INC	P	2018-02-06	2018-02-15
22 SH URBAN OUTFITTERS INC	P	2018-02-06	2018-03-28
2 SH AKAMAI TECHNOLOGIES INC	P	2018-02-07	2018-03-15
13 SH AKAMAI TECHNOLOGIES INC	P	2018-02-07	2018-11-01
22 SH AMBER ROAD INC	P	2018-02-14	2018-04-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
420		347	73
638		581	57
157		141	16
679		514	165
428		296	132
673		666	7
806		732	74
148		128	20
866		835	31
185		223	-38

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			73
			57
			16
			165
			132
			7
			74
			20
			31
			-38

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
8 SH AMBER ROAD INC	P	2018-02-14	2018-04-16
29 SH CAREDX INC	P	2018-02-07	2018-09-27
10 SH CELGENE CORP	P	2018-02-07	2018-10-03
37 SH CHEMBIO DIAGNOSTICS INC	P	2018-02-13	2018-10-19
312 SH DURECT CORP	P	2018-02-07	2018-04-11
25 SH GALMED PHARMACEUTICALS LTD	P	2018-02-07	2018-08-16
25 SH GALMED PHARMACEUTICALS LTD	P	2018-04-06	2018-08-16
24 SH MICRON TECHNOLOGY	P	2018-02-07	2018-03-23
14 SH MICRON TECHNOLOGY	P	2018-04-09	2018-06-13
2 SH NEW RELIC INC	P	2018-02-07	2018-11-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
67		81	-14
787		163	624
891		954	-63
355		251	104
754		346	408
271		252	19
271		143	128
1,449		956	493
842		718	124
163		119	44

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-14
			624
			-63
			104
			408
			19
			128
			493
			124
			44

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
14 SH NINTENDO CO LTD	P	2018-02-07	2018-08-16
36 SH POWER SOLUTIONS INT'L INC	P	2018-02-07	2018-08-16
98 SH SORRENTO THERAPEUTICS INC	P	2018-02-07	2018-03-29
ACTUA CORP - LIQUIDATION	P	2018-06-28	2018-06-28
ACTUA CORP - LIQUIDATION	P	2018-10-18	2018-10-18
MADDEN STEVEN LTD - CASH IN LIEU	P	2018-10-16	2018-10-16
WPX ENERGY INC - CASH IN LIEU	P	2018-07-31	2018-07-31
4 SH TAPESTRY INC	P	2018-02-06	2018-02-14
8 SH MICHAEL KORS HOLDINGS LTD	P	2018-06-01	2018-08-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
554		731	-177
425		256	169
613		725	-112
44			44
15			15
15			15
5			5
198		188	10
510		484	26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-177
			169
			-112
			44
			15
			15
			5
			10
			26

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AT HOME ON THE SOUND PO BOX 1092 LARCHMONT, NY 10538	N/A	PUBLIC	GENERAL USE	100
ALLIANCE FOR YOUNG ARTIST & WRITERS 557 BROADWAY NEW YORK, NY 10012	N/A	PUBLIC	GENERAL USE	2,600
BALLET HISPANICO SCHOLARSHIP 167 W 89TH STREET NEW YORK, NY 10024	N/A	PUBLIC	GENERAL USE	200
Total ▶				78,215
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN LUNG ASSOCIATION 55 W WACKER DRIVE SUITE 1150 CHICAGO, IL 60601	N/A	PUBLIC	GENERAL USE	100
AMERICAN PRAIRIE RESERVE PO BOX 908 BOZEMAN, MT 59771	N/A	PUBLIC	GENERAL USE	3,000
LINCOLN CENTER 70 LINCOLN CENTER PLAZE NEW YORK, NY 10023	N/A	PUBLIC	GENERAL USE	2,500
Total ▶ 3a				78,215

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BAYCREST FOUNDATION INC 3560 BATHURST STREET TORONTO, ONTARIO M6A 2E1 CA	N/A	PUBLIC	GENERAL USE	250
BIG BROTHER BIG SISTER OF METROPOLITAN CHICAGO 560 W LAKE STREET 5TH FLOOR CHICAGO, IL 60661	N/A	PUBLIC	GENERAL USE GENERAL USE	10,500
FORDHAM PREP 441 E FORDAM ROAD BRONX, NY 10458	N/A	PUBLIC	GENERAL USE	100
Total 3a ▶				78,215

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COMMUNITY FOUNDATION OF DUTCHESS COUNTY 80 WASHINGTON STREET POUGHKEEPSIE, NY 12601	N/A	PUBLIC	GENERAL USE	2,500
DANA FARBER FOUNDATION 450 BROOKLINE AVENUE BOSTON, MA 02215	N/A	PUBLIC	GENERAL USE	150
GEOGRAPHY OF HOPE 2331 N ELSTON AVE SUITE B CHICAGO, IL 60614	N/A	PUBLIC	GENERAL USE	500
Total ▶ 3a				78,215

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FOUNDATION FOR VASSAR BROS MEDICAL CENTER 45 READE PLACE POUGHKEEPSIE, NY 12601	N/A	PUBLIC	GENERAL USE	10,000
GIRL SCOUTS OF THE USAPO BOX 5046 NEW YORK, NY 10087	N/A	PUBLIC	GENERAL USE	25
GLOBAL WATER CONSORTIUM 2272 PLEASANT VIEW DRIVE TROY, OH 45373	N/A	PUBLIC	GENERAL USE	1,000
Total ▶ 3a				78,215

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GROUNDSWELL NYC 540 PRESIDENT STREET SUITE 1A BROOKLYN, NY 11215	N/A	PUBLIC	GENERAL USE	100
HOLY TRINITY CHURCH 213 WEST 82ND STREET NEW YORK, NY 10024	N/A	PUBLIC	GENERAL USE	600
KIDNEY UROLOGY FOUNDATION 2 W 47TH STREET 401 NEW YORK, NY 10036	N/A	PUBLIC	GENERAL USE	50
Total ▶ 3a				78,215

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KINDERSMILE FOUNDATION 10 BROAD STREET BLOOMFIELD, NJ 07003	N/A	PUBLIC	GENERAL USE	500
LAKEVIEW PANTRY 3945 N SHERIDAN ROAD CHICAGO, IL 60613	N/A	PUBLIC	GENERAL USE	500
NATIONAL PUBLIC RADIO 1111 NORTH CAPITOL STREET NE WASHINGTON, DC 20002	N/A	PUBLIC	GENERAL USE	100
Total 3a				78,215


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MAX MACCOBY MEMORIAL FOUNDATION 550 N COLUMBUS AVE MOUNT VERNON, NY 10552	N/A	PUBLIC	GENERAL USE	100
NORTH PANOLA JHS - KELLIE MCCLEL 470 HIGHWAY 51 NORTH SARDIS, MS 38666	N/A	PUBLIC	GENERAL USE	250
PARENTS ASSOCIATION PS 29 425 HENRY STREET BROOKLYN, NY 11201	N/A	PUBLIC	GENERAL USE	500
Total ▶ 3a				78,215

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MANHATTAN THEATER CLUB 311 WEST 43RD STREET NEW YORK, NY 10036	N/A	PUBLIC	GENERAL USE	18,500
MULLERWORKS FOUNDATION 131 WEST 24TH STREET NEW YORK, NY 10011	N/A	PUBLIC	GENERAL USE	4,750
PRYER MANOR ASSOCIATION 919 THIRD AVENUE NEW YORK, NY 10022	N/A	PUBLIC	GENERAL USE	140
Total 3a				78,215

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SARA LAWRENCE COLLEGE 1 MEAD WAY BRONXVILLE, NY 10708	N/A	PUBLIC	GENERAL USE	100
THE METROPOLITAN MUSEUM OF ART 1000 5TH AVENUE NEW YORK, NY 10028	N/A	PUBLIC	GENERAL USE	200
THE UNIVERSITY OF CHICAGO SCHOLARSHIP 5801 S ELLIS AVENUE CHICAGO, IL 60637	N/A	PUBLIC	GENERAL USE	1,000
Total 				78,215
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF TORONTO 21 KINGSS COLLEGE CIRCLE TORONTO, ONTARIO M5S 3J3 CA	N/A	PUBLIC	GENERAL USE	500
PS 81 PARENTS ASSOCIATION 5550 RIVERDALE AVENUE BRONX, NY 10471	N/A	PUBLIC	GENERAL USE	500
PACE UNIVERSITY1 PACE PLAZA NEW YORK, NY 10038	N/A	PUBLIC	GENERAL USE	5,000
Total 3a			▶	78,215

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
POINT LOOKOUT FIRE DEPARTMENT 102 LIDO BOULEVARD POINT LOOKOUT, NY 11569	N/A	PUBLIC	GENERAL USE	100
POLICE ATHLETIC LEAGUE 34 1/2 EAST 12TH STREET NEW YORK, NY 10003	N/A	PUBLIC	GENERAL USE	500
POLICE CONFERENCE OF NY112 NY-5 ALBANY, NY 12207	N/A	PUBLIC	GENERAL USE	50
Total ▶ 3a				78,215

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SEPTEMBER 11TH MEMORIAL FUND 200 LIBERTY STREET NEW YORK, NY 10281	N/A	PUBLIC	GENERAL USE	250
VETERANS MOVING FORWARD 44225 MERCURE CIRCLE STIRLING, VA 20166	N/A	PUBLIC	GENERAL USE	200
WOUNDED WARRIORS FAMILY SUPPORT 11218 JOHN GALT BOULEVARD SUITE 103 OMAHA, NE 68137	N/A	PUBLIC	GENERAL USE	100
Total ▶ 3a				78,215

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FROST SCIENCE MUSEUM FOUNDATION 1101 BISCAYNE BLVD MIAMI, FL 33132	N/A	PUBLIC	GENERAL USE	10,000
TACONIC MUSIC FOUNDATION PO BOX 732 MANCHESTER, VT 05254	N/A	PUBLIC	GENERAL USE	100
Total ▶ 3a				78,215

TY 2017 Accounting Fees Schedule

Name: FREDERICK & GRETA SMILEY
CHARITABLE FOUNDATION

EIN: 65-0162460

Accounting Fees Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEES	30,030	30,030		0

TY 2017 Investments Corporate Stock Schedule

Name: FREDERICK & GRETA SMILEY
CHARITABLE FOUNDATION
EIN: 65-0162460

Name of Stock	End of Year Book Value	End of Year Fair Market Value
200 SH. AT&T CORP.	6,248	6,248
100 SH. AMERICAN ELECTRIC POWER CO.	7,774	7,774
580 SH. SYSMEX CORPORATION	30,898	30,898
59,625 SH. DURECT CORPORATION	52,995	52,995
1,098 SH. NEOVASC INC.	966	966
523 SH. ARCHER-DANIELS-MIDLAND CO.	24,068	24,068
319 SH. JOHNSON & JOHNSON	46,861	46,861
1,961 SH. PITNEY BOWES INC.	16,551	16,551
3,478 SH. GENERAL ELECTRIC CO.	26,085	26,085
1,909 SH. TIVO INC.	18,899	18,899
4,690 SH. CAREDX INC.	137,276	137,276
946 SH. EXXON MOBIL CORP.	75,207	75,207
431 SH. SYNCHRONY FINANCIAL CO.	11,197	11,197
311 SH. AIR LEASE CORP.	12,085	12,085
1,111 SH. BURBERRY GROUP PLC	25,182	25,182
2,918 SH. MACYS INC.	99,854	99,854
1,065 SH. PFIZER INC.	49,235	49,235
217 SH. WESTROCK COMPANY	10,223	10,223
2,749 SH. XEROX CORP.	74,003	74,003
2,027 SH. ABERCROMBIE & FITCH CO. CL A	42,385	42,385
772 SH. FLEXION THERAPEUTICS INC.	12,591	12,591
6,430 SH. PANDORA MEDIA INC.	55,877	55,877
35,856 SH. PROFOUND MED CORP.	16,996	16,996
1,774 SH. SUNCOR ENERGY	57,282	57,282
8,082 SH. T2 BIOSYSTEMS INC.	35,884	35,884
4,056 SH. AT&T INC.	126,709	126,709
8,323 SH. CES ENERGY SOLUTIONS CORP.	19,914	19,914
794 SH. KRAFT HEINZ CO.	40,589	40,589
1,072 SH. NAVIGATOR HOLDINGS LTD	12,918	12,918
610 SH. NETGEAR INC.	33,794	33,794

Name of Stock	End of Year Book Value	End of Year Fair Market Value
3,874 SH. TILE SHOP HOLDINGS INC.	22,895	22,895
50 SH. AIR LEASE CORP. (61)	1,943	1,943
12 SH. AKAMAI TECHNOLOGIES INC. (61)	825	825
30 SH. ALPHA & OMEGA SEMICONDUCTOR LTD (61)	331	331
68 SH. AMBER ROAD INC. (61)	593	593
145 SH. AMERESCO INC. (61)	2,279	2,279
17 SH. BENEFITFOCUS INC. (61)	852	852
32 SH. BLACKLINE INC. (61)	1,372	1,372
80 SH. CAREDX INC. (61)	2,342	2,342
221 SH. CASTLIGHT HEALTH INC. CL B (61)	577	577
2 SH. EQUINIX INC. (61)	771	771
35 SH. EVERBRIDGE INC. (61)	1,917	1,917
42 SH. FIVE9 INC. (61)	1,801	1,801
22 SH. FLEXION THERAPEUTICS INC. (61)	359	359
72 SH. GENERAL FINANCIAL CORP. (61)	983	983
24 SH. INSTRUCTURE INC. (61)	906	906
20 SH. NAVIGATOR HOLDINGS LTD (61)	241	241
13 SH. NEW RELIC INC. (61)	1,133	1,133
15 SH. RED HAT INC. (61)	2,678	2,678
20 SH. RUDOLPH TECH INC. (61)	424	424
82 SH. SECURE WORKS CORP. (61)	1,477	1,477
15 SH. SUNCOR ENERGY (61)	484	484
23 SH. TALEND SA (61)	799	799
17 SH. WPX ENERGY INC. (61)	237	237
55 SH. AIR LEASE CORP. (62)	2,137	2,137
78 SH. ALPHA & OMEGA SEMICONDUCTOR LTD (62)	860	860
101 SH. APOLLO ENDOSURGERY INC. (62)	450	450
42 SH. BLACKLINE INC. (62)	1,801	1,801
93 SH. CAREDX INC. (62)	2,722	2,722
205 SH. CASTLIGHT HEALTH INC. CL B (62)	535	535

Name of Stock	End of Year Book Value	End of Year Fair Market Value
20 SH. CELCUITY INC. (62)	538	538
96 SH. CNFINANCE HOLDINGS LTD (62)	658	658
49 SH. ENTERPRISE PRODS PARTNERS LP (62)	1,286	1,286
30 SH. EVERBRIDGE INC. (62)	1,643	1,643
34 SH. FLEXION THERAPEUTICS INC. (62)	555	555
150 SH. GENERAL FINANCIAL CORP. (62)	2,048	2,048
14 SH. GENERAL FINANCIAL CORP 9% PFD (62)	1,416	1,416
23 SH. INSTRUCTURE INC. (62)	869	869
64 SH. KEANE GROUP INC. (62)	712	712
100 SH. NAVIGATOR HOLDINGS LTD (62)	1,205	1,205
14 SH. NEW RELIC INC. (62)	1,221	1,221
120 SH. POWER SOLUTIONS INT'L INC. (62)	1,104	1,104
1,006 SH. PROFOUND MED CORP. (62)	477	477
66 SH. SECUREWORKS CORP. (62)	1,189	1,189
38 SH. SUNCOR ENERGY (62)	1,227	1,227
83 SH. WPX ENERGY INC. (62)	1,158	1,158
10 SH. ZOGENIX INC. (62)	411	411
15 SH. AIR LEASE CORP. (63)	583	583
17 SH. AKAMAI TECHNOLOGIES INC. (63)	1,169	1,169
42 SH. BENEFITFOCUS INC. (63)	2,104	2,104
17 SH. CARMAX INC. (63)	1,123	1,123
205 SH. CASTLIGHT HEALTH INC. CL B (63)	535	535
20 SH. CHEESECAKE FACTORY INC. (63)	944	944
28 SH. CHEGG INC. (63)	783	783
30 SH. ENTERPRISE PRODS PARTNERS LP (63)	788	788
5 SH. EOG RESOURCES INC. (63)	517	517
58 SH. ERA GROUP INC. (63)	583	583
10 SH. GENERAC HOLDINGS INC. (63)	569	569
70 SH. HORNBECK OFFSHORE SERVICES INC. (63)	209	209
34 SH. INPHI CORP. (63)	1,356	1,356

Name of Stock	End of Year Book Value	End of Year Fair Market Value
20 SH. INSTRUCTURE INC. (63)	755	755
47 SH. KEANE GROUP INC. (63)	523	523
38 SH. LUMBER LIQUIDATORS HOLDINGS INC. (63)	480	480
80 SH. NAVIGATOR HOLDINGS LTD (63)	964	964
124 SH. NCS MULTISTAGE HOLDINGS INC. (63)	905	905
18 SH. NETGEAR INC. (63)	997	997
15 SH. NEW RELIC INC. (63)	1,308	1,308
97 SH. OCADO GROUP PLC (63)	1,029	1,029
20 SH. QUIDEL CORP. (63)	1,216	1,216
33 SH. RANGE RESOURCES INC. (63)	480	480
6 SH. RED HAT INC. (63)	1,071	1,071
20 SH. RUDOLPH TECH INC. (63)	424	424
25 SH. TALEND SA (63)	869	869
22 SH. TAPESTRY INC. (63)	856	856
86 SH. WPX ENERGY INC. PFD (63)	1,200	1,200
27 SH. CARMAX INC. (64)	1,784	1,784
20 SH. CHEESECAKE FACTORY INC. (64)	944	944
5 SH. CHIPOTLE MEXICAN GRILL INC. (64)	2,366	2,366
69 SH. DENA CO. LTD (64)	1,280	1,280
17 SH. ELLIE MAE INC. (64)	1,144	1,144
25 SH. GENERAC HOLDINGS INC. (64)	1,423	1,423
53 SH. GENTEX CORP. (64)	1,194	1,194
12 SH. IROBOT CORP. (64)	1,145	1,145
182 SH. LUMBER LIQUIDATORS HOLDINGS INC. (64)	2,299	2,299
11 SH. MICROCHIP TECHNOLOGY INC. (64)	825	825
30 SH. NETGEAR INC. (64)	1,662	1,662
23 SH. NINTENDO CO. LTD (64)	876	876
33 SH. QUIDEL CORP. (64)	2,007	2,007
32 SH. TAPESTRY INC. (64)	1,246	1,246
15 SH. WILEY JOHN & SONS INC. (64)	829	829

Name of Stock	End of Year Book Value	End of Year Fair Market Value
35 SH. AIR LEASE CORP. (65)	1,360	1,360
73 SH. ALPHA & OMEGA SEMICONDUCTOR LTD (65)	804	804
296 SH. ASPEN AEROGELS INC. (65)	995	995
127 SH. CIVEO CORP. (65)	215	215
31 SH. D R HORTON INC. (65)	1,154	1,154
30 SH. DENA CO. LTD (65)	556	556
692 SH. DENISON MINES CORP. (65)	409	409
7 SH. ENTERPRISE PROD PARTNERS LP (65)	184	184
106 SH. ERA GROUP INC. (65)	1,066	1,066
68 SH. GENERAL FINANCIAL CORP. (65)	928	928
285 SH. HOVNANIAN ENTERPRISES INC. (65)	362	362
79 SH. KB HOME (65)	1,668	1,668
24 SH. LENNAR CORP. (65)	1,026	1,026
15 SH. LGI HOMES INC. (65)	692	692
165 SH. NAVIGATOR HOLDINGS LTD (65)	1,988	1,988
101 SH. NCS MULTISTAGE HOLDINGS INC. (65)	737	737
14 SH. NETGEAR INC. (65)	776	776
35 SH. NINTENDO CO. LTD (65)	1,334	1,334
77 SH. RANGE RESOURCES INC. (65)	1,120	1,120
31 SH. RUDOLPH TECH INC. (65)	658	658
1,887 SH. UR ENERGY INC. (65)	1,434	1,434
366 SH. URANIUM PARTICIPATION CORP. (65)	1,327	1,327
45 SH. ABERCROMBIE & FITCH CO. CL A (66)	941	941
61 SH. AMERICAN EAGLE OUTFITTERS (66)	1,277	1,277
11 SH. ASOS PLC (66)	696	696
39 SH. BURBERRY GROUP PLC (66)	884	884
12 SH. COMPAGNIE FINANCIERE RICHEMONT (66)	780	780
38 SH. FARFETCH LTD (66)	865	865
77 SH. GAP INC. (66)	2,101	2,101
2 SH. KERING EUR4 (66)	870	870

Name of Stock	End of Year Book Value	End of Year Fair Market Value
141 SH. LUMBER LIQUIDATORS HOLDINGS INC. (66)	1,781	1,781
59 SH. MACYS INC. (66)	2,019	2,019
57 SH. MADDEN STEVEN LTD (66)	1,837	1,837
32 SH. MICHAEL KORS HOLDINGS LTD (66)	1,400	1,400
8 SH. PROSHARES ULTRA S&P 500 (66)	905	905
8 SH. PVH CORP. (66)	884	884
1 SH. SWATCH GROUP (66)	297	297
37 SH. TAPESTRY INC. (66)	1,440	1,440
27 SH. UNDER ARMOUR INC. (66)	645	645
30 SH. UNDER ARMOUR INC. CL C (66)	670	670
36 SH. URBAN OUTFITTERS INC. (66)	1,371	1,371
56 SH. VINCE HOLDING CORP. (66)	711	711
19 SH. ZALANDO SE NPV (66)	589	589
27 SH. AIR LEASE CORP. (67)	1,049	1,049
129 SH. AMBER ROAD INC. (67)	1,125	1,125
77 SH. CAREDX INC. (67)	2,254	2,254
11 SH. CARMAX INC. (67)	727	727
794 SH. DURECT CORP. (67)	706	706
31 SH. EHEALTH INC. (67)	1,194	1,194
7 SH. EOG RESOURCES INC. (67)	723	723
7 SH. FACEBOOK INC. (67)	984	984
35 SH. FIVE9 INC. (67)	1,501	1,501
83 SH. FLEXION THERAPEUTICS INC. (67)	1,354	1,354
143 SH. GENERAL FINANCIAL CORP. (67)	1,952	1,952
29 SH. INPHI CORP. (67)	1,157	1,157
64 SH. KEANE GROUP INC. (67)	712	712
86 SH. LIVEPERSON INC. (67)	1,623	1,623
57 SH. LUMBER LIQUIDATORS HOLDINGS INC. (67)	720	720
36 SH. NANOBIOTIX EURO (67)	497	497
79 SH. NAVIGATOR HOLDINGS LTD (67)	952	952

Name of Stock	End of Year Book Value	End of Year Fair Market Value
9 SH. NEW RELIC INC. (67)	785	785
13 SH. PAYPAL HOLDINGS INC. (67)	1,116	1,116
53 SH. POWER SOLUTIONS INT'L INC. (67)	488	488
1,608 SH. PROFOUND MED CORP. (67)	762	762
17 SH. QUIDEL CORP. (67)	1,034	1,034
194 SH. T2 BIOSYSTEMS INC. (67)	861	861
10 SH. TALEND SA (67)	347	347
91 SH. THERAPEUTICSMD INC. (67)	458	458
28 SH. UNIQUIRE N.V. (67)	820	820
34 SH. WPX ENERGY INC. (67)	474	474
20 SH. ZOGENIX INC. (67)	822	822

TY 2017 Investments - Other Schedule

Name: FREDERICK & GRETA SMILEY
CHARITABLE FOUNDATION

EIN: 65-0162460

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
NOTE RECEIVABLE	AT COST	50,000	50,000

TY 2017 Other Expenses Schedule

Name: FREDERICK & GRETA SMILEY
CHARITABLE FOUNDATION

EIN: 65-0162460

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	92	92		0

TY 2017 Other Increases Schedule

Name: FREDERICK & GRETA SMILEY
CHARITABLE FOUNDATION

EIN: 65-0162460

Description	Amount
INCREASE IN UNREALIZED APPRECIATION	49,247

TY 2017 Other Liabilities Schedule

Name: FREDERICK & GRETA SMILEY
CHARITABLE FOUNDATION

EIN: 65-0162460

Description	Beginning of Year - Book Value	End of Year - Book Value
DUE TO BROKER	0	8,174

TY 2017 Taxes Schedule

Name: FREDERICK & GRETA SMILEY
CHARITABLE FOUNDATION

EIN: 65-0162460

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES WITHHELD ON DIVIDENDS	402	402		0
FEDERAL EXCISE TAX	1,920	0		0

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors
▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at
www.irs.gov/form990

OMB No 1545-0047
2017

Name of the organization
FREDERICK & GRETA SMILEY
CHARITABLE FOUNDATION

Employer identification number
65-0162460

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- 501(c)() (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹ 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization FREDERICK & GRETA SMILEY CHARITABLE FOUNDATION	Employer identification number 65-0162460
--	---

Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	GRETA SMILEY ESTATE <hr/> C/O HENRY BEINSTEIN 8 DOGWOOD LANE <hr/> LARCHMONT, NY10538	\$ 269,042	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	<hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization FREDERICK & GRETA SMILEY CHARITABLE FOUNDATION	Employer identification number 65-0162460
--	---

Part II **Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
	_____ _____ _____	_____ \$	_____
	_____ _____ _____	_____ \$	_____
	_____ _____ _____	_____ \$	_____
	_____ _____ _____	_____ \$	_____
	_____ _____ _____	_____ \$	_____
	_____ _____ _____	_____ \$	_____
	_____ _____ _____	_____ \$	_____
	_____ _____ _____	_____ \$	_____
	_____ _____ _____	_____ \$	_____

Name of organization FREDERICK & GRETA SMILEY CHARITABLE FOUNDATION	Employer identification number 65-0162460
--	---

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____
 Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee