

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93491091002039

Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017, or tax year beginning 12-01-2017, and ending 11-30-2018

Name of foundation THE ROGER JAMES CHARITABLE FOUNDATION		A Employer identification number 26-1586251	
Number and street (or P O box number if mail is not delivered to street address) PO BOX 1501 NJ2-130-03-31	Room/suite	B Telephone number (see instructions) (609) 274-6834	
City or town, state or province, country, and ZIP or foreign postal code PENNINGTON, NJ 085341501		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 10,959,264	J Accounting method <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	





Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)			
	2 Check <input checked="" type="checkbox"/> If the foundation is not required to attach Sch B			
	3 Interest on savings and temporary cash investments			
	4 Dividends and interest from securities	226,018	225,766	
	5a Gross rents			
	b Net rental income or (loss)			
	6a Net gain or (loss) from sale of assets not on line 10	754,947		
	b Gross sales price for all assets on line 6a			
		3,951,035		
	7 Capital gain net income (from Part IV, line 2)		754,947	
	8 Net short-term capital gain			0
	9 Income modifications			
Operating and Administrative Expenses	10a Gross sales less returns and allowances			
	b Less Cost of goods sold			
	c Gross profit or (loss) (attach schedule)			
	11 Other income (attach schedule)			
	12 Total. Add lines 1 through 11	980,965	980,713	
	13 Compensation of officers, directors, trustees, etc	99,292	39,717	59,575
	14 Other employee salaries and wages		0	0
	15 Pension plans, employee benefits		0	0
	16a Legal fees (attach schedule)			0
	b Accounting fees (attach schedule)			
	c Other professional fees (attach schedule)			0
	17 Interest			0
	18 Taxes (attach schedule) (see instructions)	26,475	1,213	0
	19 Depreciation (attach schedule) and depletion	0	0	
	20 Occupancy			
	21 Travel, conferences, and meetings		0	0
22 Printing and publications		0	0	
23 Other expenses (attach schedule)	173	73	100	
24 Total operating and administrative expenses. Add lines 13 through 23	125,940	41,003	0	
25 Contributions, gifts, grants paid	563,040		563,040	
26 Total expenses and disbursements. Add lines 24 and 25	688,980	41,003	0	
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	291,985			
b Net investment income (if negative, enter -0-)		939,710		
c Adjusted net income(if negative, enter -0-)			0	



For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2017)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	3,633	1,440	1,440
	2 Savings and temporary cash investments	347,269	394,059	394,059
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)	1,274,266 	1,617,608	1,580,333
	b Investments—corporate stock (attach schedule)	5,739,985 	5,633,860	7,471,178
	c Investments—corporate bonds (attach schedule)	1,371,546 	1,379,320	1,344,915
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	176,420 	175,878	167,339
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	8,913,119	9,202,165	10,959,264	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	8,913,119	9,202,165	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see instructions)	8,913,119	9,202,165		
31 Total liabilities and net assets/fund balances (see instructions) .	8,913,119	9,202,165		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,913,119
2 Enter amount from Part I, line 27a	2	291,985
3 Other increases not included in line 2 (itemize) ▶ _____ 	3	10
4 Add lines 1, 2, and 3	4	9,205,114
5 Decreases not included in line 2 (itemize) ▶ _____ 	5	2,949
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	9,202,165

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	754,947
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	552,430	10,156,132	0 054394
2015	548,647	9,459,041	0 058002
2014	1,084,547	10,167,883	0 106664
2013	53,312	10,227,522	0 005213
2012	522,411	9,327,304	0 056009
2 Total of line 1, column (d)			2 0 280282
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 056056
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			4 10,867,410
5 Multiply line 4 by line 3			5 609,184
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 9,397
7 Add lines 5 and 6			7 618,581
8 Enter qualifying distributions from Part XII, line 4			8 622,715

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	9,397
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	9,397
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	9,397
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	14,610
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	14,610
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	5,213
11	Enter the amount of line 10 to be Credited to 2018 estimated tax <input type="checkbox"/> 0 Refunded <input type="checkbox"/>	11	5,213

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> WA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of UST-MLT A DIVISION OF BANK OF Telephone no (609) 274-6834			

Located at **1300 MERRILL LYNCH DRIVE PENNINGTON NJ** ZIP+4 **085341501**

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? <input type="checkbox"/>	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/>	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). <input type="checkbox"/>	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b			
	Organizations relying on a current notice regarding disaster assistance check here.				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?				
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?				
		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b			
	<i>If "Yes" to 6b, file Form 8870</i>				No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?				
		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
UST-MLT PO Box 1501 NJ2-130-03-31 Pennington, NJ 085341501	TRUSTEE 2	99,292		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000. **0**

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. **0**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	10,688,022
b	Average of monthly cash balances.	1b	344,882
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	11,032,904
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	11,032,904
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	165,494
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	10,867,410
6	Minimum investment return. Enter 5% of line 5.	6	543,371

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	543,371
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	9,397
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	9,397
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	533,974
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	533,974
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	533,974

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	622,715
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	622,715
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	9,397
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	613,318

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				533,974
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2017				
a From 2012.	69,303			
b From 2013.	0			
c From 2014.	589,245			
d From 2015.	83,689			
e From 2016.	59,233			
f Total of lines 3a through e.	801,470			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 622,715				
a Applied to 2016, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				533,974
e Remaining amount distributed out of corpus	88,741			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	890,211			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).	69,303			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	820,908			
10 Analysis of line 9				
a Excess from 2013.	0			
b Excess from 2014.	589,245			
c Excess from 2015.	83,689			
d Excess from 2016.	59,233			
e Excess from 2017.	88,741			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
NONE

◀ | ▶

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	563,040
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	226,018	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	754,947	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e). .				980,965	
13 Total. Add line 12, columns (b), (d), and (e).			13		980,965

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	***** Signature of officer or trustee	2019-03-11 Date	***** Title

May the IRS discuss this return with the preparer shown below
 (see instr.)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input checked="" type="checkbox"/>	PTIN
	LAWRENCE MCGUIRE		2019-03-11		P01233953
	Firm's name ▶ PRICEWATERHOUSECOOPERS LLP				Firm's EIN ▶ 13-4008324
Firm's address ▶ 600 GRANT STREET PITTSBURGH, PA 15219					Phone no. (412) 355-6000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
86 LENNAR CORP		2017-03-08	2017-12-04
5 LENNAR CORP		2015-09-09	2017-12-04
4 LENNAR CORP		2015-09-10	2017-12-04
3 LENNAR CORP		2015-09-22	2017-12-04
10 LENNAR CORP		2015-09-23	2017-12-04
1 LENNAR CORP		2015-09-24	2017-12-04
4 LENNAR CORP		2017-03-08	2017-12-04
105 VALERO ENERGY CORP NEW		2011-11-28	2017-12-15
245 VALERO ENERGY CORP NEW		2014-06-27	2017-12-15
53 GOLDMAN SACHS GROUP INC		2012-12-18	2017-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
43		35	8
258		213	45
206		166	40
155		121	34
516		406	110
52		40	12
206		169	37
9,233		2,050	7,183
21,543		12,763	8,780
13,661		6,719	6,942

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			8
			45
			40
			34
			110
			12
			37
			7,183
			8,780
			6,942

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
31 GOLDMAN SACHS GROUP INC		2014-06-27	2017-12-19
38 VALERO ENERGY CORP NEW		2014-06-27	2017-12-19
100 VALERO ENERGY CORP NEW		2015-05-07	2017-12-19
86 VALERO ENERGY CORP NEW		2015-06-15	2017-12-19
1 VALERO ENERGY CORP NEW		2015-09-09	2017-12-19
50 AETNA INC		2013-09-19	2018-01-02
112 AETNA INC		2013-09-20	2018-01-02
214 VALERO ENERGY CORP NEW		2015-09-09	2018-01-02
230 ACTIVISION BLIZZARD INC		2015-10-20	2018-01-03
105 ACTIVISION BLIZZARD INC		2015-10-21	2018-01-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,990		5,179	2,811
3,352		1,980	1,372
8,821		5,794	3,027
7,586		5,012	2,574
88		62	26
9,023		3,342	5,681
20,211		7,301	12,910
19,856		13,251	6,605
15,066		7,751	7,315
6,878		3,560	3,318

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,811
			1,372
			3,027
			2,574
			26
			5,681
			12,910
			6,605
			7,315
			3,318

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
197 ACTIVISION BLIZZARD INC		2015-10-21	2018-01-10
360 VALERO ENERGY CORP NEW		2015-09-09	2018-01-10
107 GILEAD SCIENCES INC		2015-10-20	2018-01-17
92 ACTIVISION BLIZZARD INC		2015-10-21	2018-01-19
275 ACTIVISION BLIZZARD INC		2015-10-21	2018-01-19
48 AETNA INC		2013-09-20	2018-01-19
15 ALPHABET INC SHS CL C		2012-06-29	2018-01-19
16 ALPHABET INC SHS CL A		2012-06-27	2018-01-19
9 ALPHABET INC SHS CL A		2012-06-28	2018-01-19
278 ALTRIA GROUP INC		2015-06-10	2018-01-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13,116		6,680	6,436
33,911		22,291	11,620
8,713		10,998	-2,285
6,511		3,119	3,392
19,395		9,324	10,071
8,945		3,129	5,816
16,963		4,322	12,641
18,162		4,574	13,588
10,216		2,530	7,686
19,744		13,783	5,961

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6,436
			11,620
			-2,285
			3,392
			10,071
			5,816
			12,641
			13,588
			7,686
			5,961

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
168 APPLE COMPUTER INC COM		2012-06-28	2018-01-19
171 APPLE COMPUTER INC COM		2012-06-29	2018-01-19
74 BP P L C SPONS ADR		2014-10-27	2018-01-19
147 BAXTER INTL INC COM		2017-04-11	2018-01-19
33 BIOGEN IDEC INC		2016-08-23	2018-01-19
202 CDW CORP		2015-09-14	2018-01-19
77 CVS CORP		2012-07-16	2018-01-19
97 CVS CORP		2012-09-24	2018-01-19
156 CARNIVAL CORP		2015-03-02	2018-01-19
51 CENTENE CORP DEL		2015-06-10	2018-01-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
29,986		13,648	16,338
30,522		14,176	16,346
3,135		3,100	35
10,265		7,776	2,489
11,315		9,651	1,664
15,125		8,293	6,832
6,133		3,699	2,434
7,726		4,667	3,059
10,771		7,081	3,690
5,637		3,764	1,873

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			16,338
			16,346
			35
			2,489
			1,664
			6,832
			2,434
			3,059
			3,690
			1,873

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
40 CENTENE CORP DEL		2015-06-11	2018-01-19
81 CHEVRONTEXACO CORP		2017-01-05	2018-01-19
588 CISCO SYS INC		2013-08-08	2018-01-19
220 CITIGROUP INC COM NEW		2012-06-13	2018-01-19
124 CITIGROUP INC COM NEW		2012-06-14	2018-01-19
85 COGNIZANT TECHNOLOGY SOLUTIONS		2015-04-08	2018-01-19
120 COGNIZANT TECHNOLOGY SOLUTIONS		2015-04-09	2018-01-19
54 COMCAST CORP NEW CL A		2012-09-24	2018-01-19
389 COMCAST CORP NEW CL A		2012-10-04	2018-01-19
326 D R HORTON INC		2016-07-13	2018-01-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
4,421		3,006	1,415
10,592		9,513	1,079
24,274		15,464	8,810
17,132		6,117	11,015
9,656		3,451	6,205
6,552		5,330	1,222
9,250		7,524	1,726
2,291		985	1,306
16,506		7,110	9,396
16,560		11,050	5,510

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,415
			1,079
			8,810
			11,015
			6,205
			1,222
			1,726
			1,306
			9,396
			5,510

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
114 DELL TECHNOLOGIES INC		2016-12-13	2018-01-19
2 DELL TECHNOLOGIES INC		2016-12-14	2018-01-19
316 DELTA AIR LINES INC		2016-02-22	2018-01-19
231 DOWDUPONT INC COM		2013-10-22	2018-01-19
71 DOWDUPONT INC COM		2013-10-23	2018-01-19
127 E TRADE FINL CORP		2017-09-29	2018-01-19
282 FIRSTENERGY CORP		2017-01-09	2018-01-19
73 FORTIVE CORP		2017-07-12	2018-01-19
73 GENERAL ELECTRIC CO		2017-11-01	2018-01-19
345 GILEAD SCIENCES INC		2015-10-20	2018-01-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
10,127		6,131	3,996
178		108	70
18,806		15,326	3,480
17,604		9,608	7,996
5,411		2,929	2,482
6,855		5,522	1,333
8,346		8,753	-407
5,441		4,724	717
1,207		1,467	-260
27,957		35,462	-7,505

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			3,996
			70
			3,480
			7,996
			2,482
			1,333
			-407
			717
			-260
			-7,505

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
26 GOLDMAN SACHS GROUP INC		2014-06-27	2018-01-19
79 HARTFORD FINL SVCS GROUP INC COM		2017-06-26	2018-01-19
100 HOME DEPOT INC USD 0 05		2014-09-09	2018-01-19
81 HUMANA INC COM		2015-08-05	2018-01-19
365 J P MORGAN CHASE & CO		2012-06-14	2018-01-19
45 LABORATORY CORP AMER HLDGS		2014-12-24	2018-01-19
29 LABORATORY CORP AMER HLDGS		2014-12-26	2018-01-19
139 LENNAR CORP		2015-09-09	2018-01-19
182 LOWES COMPANIES INC COM		2013-06-21	2018-01-19
16 MICROSOFT CORP COM		2012-03-13	2018-01-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,571		4,344	2,227
4,392		4,106	286
20,098		8,972	11,126
22,643		15,029	7,614
41,359		12,573	28,786
7,844		4,849	2,995
5,055		3,148	1,907
9,839		7,170	2,669
18,979		7,167	11,812
1,438		519	919

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,227
			286
			11,126
			7,614
			28,786
			2,995
			1,907
			2,669
			11,812
			919

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
50 MICROSOFT CORP COM		2012-03-14	2018-01-19
149 MICROSOFT CORP COM		2014-06-27	2018-01-19
104 NORFOLK SOUTHERN CORP		2017-01-09	2018-01-19
263 ORACLE CORPORATION		2017-05-17	2018-01-19
133 PG&E CORP		2017-10-18	2018-01-19
59 PACKAGING CORP AMER		2013-02-22	2018-01-19
56 PACKAGING CORP AMER		2013-02-25	2018-01-19
538 PFIZER INC COM		2016-01-28	2018-01-19
149 PUBLIC SERVICE ENTERPRISE GROUP INC		2015-01-29	2018-01-19
30 RAYTHEON CO		2015-06-12	2018-01-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
4,494		1,639	2,855
13,392		6,225	7,167
15,829		11,362	4,467
13,293		11,681	1,612
5,920		7,537	-1,617
7,487		2,467	5,020
7,106		2,337	4,769
19,794		16,271	3,523
7,418		6,518	900
5,894		3,051	2,843

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			2,855
			7,167
			4,467
			1,612
			-1,617
			5,020
			4,769
			3,523
			900
			2,843

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
523 REGIONS FINL CORP NEW		2017-01-09	2018-01-19
173 ROBERT HALF INTL INC		2016-10-27	2018-01-19
90 SOUTHWEST AIRLINES CO		2015-08-05	2018-01-19
257 SUNCOR ENERGY INC NEW		2013-01-15	2018-01-19
213 SUNTRUST BANKS INC		2013-01-15	2018-01-19
331 US BANCORP DEL		2012-07-26	2018-01-19
81 UNITED HEALTH GROUP INC		2014-10-27	2018-01-19
356 URBAN OUTFITTERS INC		2017-03-08	2018-01-19
154 WAL MART STORES INC		2017-01-09	2018-01-19
452 FLEXTRONICS INTL LTD		2016-06-13	2018-01-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
9,666		7,533	2,133
9,800		6,352	3,448
5,775		3,419	2,356
9,513		8,837	676
14,438		6,082	8,356
18,600		11,157	7,443
19,598		7,455	12,143
12,299		8,693	3,606
16,035		10,571	5,464
8,873		5,737	3,136

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			2,133
			3,448
			2,356
			676
			8,356
			7,443
			12,143
			3,606
			5,464
			3,136

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
15 FLEXTRONICS INTL LTD		2016-06-14	2018-01-19
73 ACTIVISION BLIZZARD INC		2015-10-21	2018-01-24
310 ACTIVISION BLIZZARD INC		2016-02-22	2018-01-24
289 CARNIVAL CORP		2015-03-02	2018-01-25
276 ACTIVISION BLIZZARD INC		2016-02-22	2018-01-26
54000 AT&T INC		2008-02-20	2018-02-01
10000 AT&T INC		2011-07-26	2018-02-01
12000 AT&T INC		2013-05-08	2018-02-01
10000 AT&T INC		2013-09-13	2018-02-01
22000 AT&T INC		2018-01-22	2018-02-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
294		193	101
5,120		2,475	2,645
21,741		9,801	11,940
20,417		13,119	7,298
19,935		8,726	11,209
54,000		53,155	845
10,000		10,000	
12,000		12,000	
10,000		10,000	
22,000		22,000	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			101
			2,645
			11,940
			7,298
			11,209
			845

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
25 GOLDMAN SACHS GROUP INC		2014-06-27	2018-02-05
45 GOLDMAN SACHS GROUP INC		2015-06-15	2018-02-05
270 ACTIVISION BLIZZARD INC		2016-02-22	2018-02-06
78000 GENERAL ELEC CAP CORP		2015-01-23	2018-02-06
16000 GENERAL ELEC CAP CORP		2018-01-19	2018-02-06
31 GOLDMAN SACHS GROUP INC		2015-06-15	2018-02-06
39 GOLDMAN SACHS GROUP INC		2015-08-05	2018-02-06
39 GOLDMAN SACHS GROUP INC		2015-08-05	2018-02-08
109 GOLDMAN SACHS GROUP INC		2016-01-28	2018-02-08
189 ACTIVISION BLIZZARD INC		2016-02-22	2018-02-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,283		4,177	2,106
11,310		9,539	1,771
18,357		8,536	9,821
77,380		78,406	-1,026
15,873		15,910	-37
7,775		6,571	1,204
9,782		8,089	1,693
9,832		8,089	1,743
27,479		17,046	10,433
13,363		5,975	7,388

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,106
			1,771
			9,821
			-1,026
			-37
			1,204
			1,693
			1,743
			10,433
			7,388

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
108000 COMCAST CORP		2015-12-07	2018-03-01
24000 COMCAST CORP		2018-01-19	2018-03-01
91000 EUROPEAN INVESTMENT BANK		2017-03-09	2018-03-01
67000 U S TREASURY NOTE		2015-09-02	2018-03-01
57000 U S TREASURY NOTE		2016-07-26	2018-03-01
18000 U S TREASURY NOTE		2017-03-09	2018-03-01
106 GOLDMAN SACHS GROUP INC		2016-01-28	2018-03-05
394 GOODYEAR TIRE & RUBBER COMPANY COM		2016-05-19	2018-03-06
294 GOODYEAR TIRE & RUBBER COMPANY COM		2016-05-20	2018-03-06
93 HOME DEPOT INC USD 0 05		2014-09-09	2018-03-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
105,898		110,128	-4,230
23,533		24,253	-720
89,503		90,730	-1,227
63,647		65,880	-2,233
54,742		59,832	-5,090
17,287		17,573	-286
27,673		16,577	11,096
11,122		10,664	458
8,300		8,044	256
16,809		8,344	8,465

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-4,230
			-720
			-1,227
			-2,233
			-5,090
			-286
			11,096
			458
			256
			8,465

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
69 GOODYEAR TIRE & RUBBER COMPANY COM		2016-05-20	2018-03-13
213 GOODYEAR TIRE & RUBBER COMPANY COM		2016-05-23	2018-03-13
151 GOODYEAR TIRE & RUBBER COMPANY COM		2016-05-23	2018-03-14
76 GOODYEAR TIRE & RUBBER COMPANY COM		2016-05-24	2018-03-14
144 GOODYEAR TIRE & RUBBER COMPANY COM		2016-10-18	2018-03-14
191 GOODYEAR TIRE & RUBBER COMPANY COM		2016-10-18	2018-03-23
63 GOODYEAR TIRE & RUBBER COMPANY COM		2016-10-19	2018-03-23
339 GOODYEAR TIRE & RUBBER COMPANY COM		2016-10-19	2018-03-26
54 GOODYEAR TIRE & RUBBER COMPANY COM		2016-10-19	2018-03-27
215 GOODYEAR TIRE & RUBBER COMPANY COM		2016-10-19	2018-03-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,955		1,888	67
6,036		5,763	273
4,239		4,086	153
2,133		2,116	17
4,042		4,494	-452
5,107		5,961	-854
1,685		2,019	-334
9,064		10,864	-1,800
1,446		1,731	-285
5,680		6,890	-1,210

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			67
			273
			153
			17
			-452
			-854
			-334
			-1,800
			-285
			-1,210

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
57 GOODYEAR TIRE & RUBBER COMPANY COM		2016-10-20	2018-03-28
81 RAYTHEON CO		2015-06-12	2018-03-28
7 GOODYEAR TIRE & RUBBER COMPANY COM		2016-10-20	2018-03-29
354 GOODYEAR TIRE & RUBBER COMPANY COM		2017-01-09	2018-03-29
99 GOODYEAR TIRE & RUBBER COMPANY COM		2017-01-09	2018-04-02
39 GOODYEAR TIRE & RUBBER COMPANY COM		2017-01-10	2018-04-02
106 RAYTHEON CO		2015-06-12	2018-04-02
64 RAYTHEON CO		2015-06-15	2018-04-02
125 ROBERT HALF INTL INC		2016-10-27	2018-04-19
176 ROBERT HALF INTL INC		2016-10-27	2018-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,506		1,820	-314
17,157		8,237	8,920
186		223	-37
9,422		11,293	-1,871
2,582		3,158	-576
1,017		1,250	-233
22,369		10,780	11,589
13,506		6,426	7,080
7,552		4,590	2,962
10,295		6,462	3,833

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-314
			8,920
			-37
			-1,871
			-576
			-233
			11,589
			7,080
			2,962
			3,833

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
197000 U S TREASURY NOTE		2018-02-09	2018-04-27
97 RAYTHEON CO		2015-06-15	2018-05-02
190 ROBERT HALF INTL INC		2016-10-27	2018-05-02
111 ROBERT HALF INTL INC		2016-10-27	2018-05-03
93 ROBERT HALF INTL INC		2016-10-27	2018-05-10
11 GILEAD SCIENCES INC		2015-10-20	2018-05-11
289 GILEAD SCIENCES INC		2015-11-18	2018-05-11
285 PUBLIC SERVICE ENTERPRISE GROUP INC		2015-01-29	2018-05-11
5 ROBERT HALF INTL INC		2016-10-27	2018-05-11
60 ROBERT HALF INTL INC		2016-10-28	2018-05-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
193,367		195,985	-2,618
19,729		9,740	9,989
11,719		6,976	4,743
6,826		4,076	2,750
5,864		3,415	2,449
723		1,131	-408
19,006		30,995	-11,989
14,559		12,467	2,092
316		184	132
3,787		2,212	1,575

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-2,618
			9,989
			4,743
			2,750
			2,449
			-408
			-11,989
			2,092
			132
			1,575

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
78 PUBLIC SERVICE ENTERPRISE GROUP INC		2015-01-29	2018-05-14
15 PUBLIC SERVICE ENTERPRISE GROUP INC		2015-01-30	2018-05-14
42 AETNA INC		2013-09-20	2018-05-16
146 CITIGROUP INC COM NEW		2012-06-14	2018-05-16
270 CITIGROUP INC COM NEW		2012-06-15	2018-05-16
114 CITIGROUP INC COM NEW		2012-06-18	2018-05-16
105 HOME DEPOT INC USD 0 05		2014-09-09	2018-05-16
96 PUBLIC SERVICE ENTERPRISE GROUP INC		2015-01-30	2018-05-16
24 AETNA INC		2013-09-20	2018-05-17
82 AETNA INC		2014-05-29	2018-05-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
3,961		3,412	549
762		653	109
7,452		2,738	4,714
10,499		4,063	6,436
19,416		7,528	11,888
8,198		3,175	5,023
19,535		9,420	10,115
4,786		4,181	605
4,252		1,564	2,688
14,528		6,323	8,205

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			549
			109
			4,714
			6,436
			11,888
			5,023
			10,115
			605
			2,688
			8,205

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
40 CITIGROUP INC COM NEW		2012-06-18	2018-05-17
378 CITIGROUP INC COM NEW		2012-10-31	2018-05-17
51 PUBLIC SERVICE ENTERPRISE GROUP INC		2015-01-30	2018-05-17
202 PUBLIC SERVICE ENTERPRISE GROUP INC		2015-06-10	2018-05-17
72 PUBLIC SERVICE ENTERPRISE GROUP INC		2015-06-11	2018-05-17
311 PUBLIC SERVICE ENTERPRISE GROUP INC		2015-06-11	2018-05-18
50 PUBLIC SERVICE ENTERPRISE GROUP INC		2015-06-11	2018-05-21
273 CITIGROUP INC COM NEW		2012-10-31	2018-06-04
126000 U S TREASURY NOTE		2018-03-01	2018-06-08
68000 U S TREASURY NOTE		2017-10-25	2018-06-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,874		1,114	1,760
27,157		14,077	13,080
2,522		2,221	301
9,991		8,174	1,817
3,561		2,909	652
15,431		12,565	2,866
2,483		2,020	463
18,343		10,166	8,177
124,868		125,419	-551
66,427		68,234	-1,807

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,760
			13,080
			301
			1,817
			652
			2,866
			463
			8,177
			-551
			-1,807

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
267 CHEVRONTExACO CORP		2017-01-05	2018-06-11
103 SOUTHWEST AIRLINES CO		2015-08-05	2018-06-11
535 SOUTHWEST AIRLINES CO		2015-09-09	2018-06-11
168 CITIGROUP INC COM NEW		2012-10-31	2018-06-19
126 CITIGROUP INC COM NEW		2014-06-27	2018-06-19
91 HOME DEPOT INC USD 0 05		2014-09-09	2018-06-19
433 ORACLE CORPORATION		2017-05-17	2018-07-09
283 URBAN OUTFITTERS INC		2017-03-08	2018-07-09
96 URBAN OUTFITTERS INC		2017-03-08	2018-07-10
59 BIOGEN IDEC INC		2016-08-23	2018-07-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
33,810		31,356	2,454
5,271		3,913	1,358
27,378		20,666	6,712
11,177		6,256	4,921
8,383		5,951	2,432
18,097		8,164	9,933
20,041		19,231	810
12,936		6,911	6,025
4,387		2,344	2,043
20,434		17,255	3,179

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			2,454
			1,358
			6,712
			4,921
			2,432
			9,933
			810
			6,025
			2,043
			3,179

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
8 BIOGEN IDEC INC		2016-08-23	2018-07-12
33 E TRADE FINL CORP		2017-09-29	2018-07-19
258 E TRADE FINL CORP		2017-10-02	2018-07-19
38 E TRADE FINL CORP		2017-10-02	2018-07-20
34 E TRADE FINL CORP		2017-10-03	2018-07-20
310 CVS CORP		2012-09-24	2018-07-31
441 ORACLE CORPORATION		2017-05-17	2018-08-03
403 SOUTHWEST AIRLINES CO		2015-09-09	2018-08-03
286 CITIGROUP INC COM NEW		2014-06-27	2018-08-09
202 URBAN OUTFITTERS INC		2017-03-08	2018-08-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
2,771		2,350	421
2,025		1,435	590
15,829		11,220	4,609
2,279		1,653	626
2,039		1,505	534
20,237		14,916	5,321
21,316		19,587	1,729
23,120		15,567	7,553
20,647		13,508	7,139
9,773		4,933	4,840

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			421
			590
			4,609
			626
			534
			5,321
			1,729
			7,553
			7,139
			4,840

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
101 URBAN OUTFITTERS INC		2017-03-08	2018-08-13
146 URBAN OUTFITTERS INC		2017-03-09	2018-08-13
206 ORACLE CORPORATION		2017-05-17	2018-08-20
235 ORACLE CORPORATION		2017-05-18	2018-08-20
215 LOWES COMPANIES INC COM		2013-06-21	2018-08-22
334 URBAN OUTFITTERS INC		2017-03-09	2018-08-22
60 URBAN OUTFITTERS INC		2017-03-30	2018-08-22
52000 US BANCORP		2016-01-27	2018-08-23
13000 US BANCORP		2018-01-19	2018-08-23
77000 U S TREASURY NOTE		2017-09-20	2018-08-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
4,790		2,466	2,324
6,925		3,624	3,301
9,979		9,149	830
11,384		10,395	989
22,722		8,467	14,255
15,253		8,292	6,961
2,740		1,470	1,270
51,915		52,117	-202
12,979		12,994	-15
74,398		77,826	-3,428

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			2,324
			3,301
			830
			989
			14,255
			6,961
			1,270
			-202
			-15
			-3,428

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
51000 WELLS FARGO & COMPANY		2013-11-18	2018-08-23
12000 WELLS FARGO & COMPANY		2018-01-24	2018-08-23
298 ORACLE CORPORATION		2017-05-18	2018-09-06
114 ORACLE CORPORATION		2017-07-12	2018-09-06
423 FLEXTRONICS INTL LTD		2016-06-14	2018-09-07
42 FLEXTRONICS INTL LTD		2016-06-14	2018-09-10
383 FLEXTRONICS INTL LTD		2016-06-15	2018-09-10
98 FLEXTRONICS INTL LTD		2016-06-15	2018-09-11
334 FLEXTRONICS INTL LTD		2016-06-16	2018-09-11
69000 U S TREASURY NOTE		2017-11-20	2018-09-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
50,934		51,034	-100
11,985		12,004	-19
14,225		13,182	1,043
5,442		5,733	-291
5,546		5,438	108
549		540	9
5,006		4,959	47
1,265		1,269	-4
4,311		4,306	5
69,601		70,306	-705

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-100
			-19
			1,043
			-291
			108
			9
			47
			-4
			5
			-705

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
111000 VISA INC		2015-12-14	2018-09-12
25000 VISA INC		2018-01-19	2018-09-12
147 FLEXTRONICS INTL LTD		2016-06-16	2018-09-12
133 FLEXTRONICS INTL LTD		2016-06-17	2018-09-12
153 FLEXTRONICS INTL LTD		2016-06-24	2018-09-12
590 FLEXTRONICS INTL LTD		2016-06-24	2018-09-13
426 ORACLE CORPORATION		2017-07-12	2018-09-14
326 FLEXTRONICS INTL LTD		2016-06-24	2018-09-14
268 FLEXTRONICS INTL LTD		2016-06-27	2018-09-14
482 FLEXTRONICS INTL LTD		2016-06-27	2018-09-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
109,127		110,739	-1,612
24,578		24,919	-341
1,904		1,895	9
1,723		1,706	17
1,982		1,927	55
7,686		7,431	255
20,958		21,423	-465
4,199		4,106	93
3,452		3,195	257
6,288		5,746	542

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-1,612
			-341
			9
			17
			55
			255
			-465
			93
			257
			542

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
116 FLEXTRONICS INTL LTD		2016-06-28	2018-09-17
532 FLEXTRONICS INTL LTD		2016-06-28	2018-09-18
69 FLEXTRONICS INTL LTD		2016-06-29	2018-09-18
113 ORACLE CORPORATION		2017-07-12	2018-09-19
361 ORACLE CORPORATION		2017-08-16	2018-09-19
350 ORACLE CORPORATION		2017-11-06	2018-09-19
163 FLEXTRONICS INTL LTD		2016-06-29	2018-09-19
244 ORACLE CORPORATION		2017-11-06	2018-10-02
395 ORACLE CORPORATION		2017-12-19	2018-10-02
113 ALLISON TRANSMISSION HLD		2018-04-05	2018-10-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,513		1,373	140
6,883		6,297	586
893		818	75
5,553		5,683	-130
17,740		17,750	-10
17,199		17,648	-449
2,159		1,933	226
12,348		12,303	45
19,990		18,982	1,008
5,856		4,453	1,403

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			140
			586
			75
			-130
			-10
			-449
			226
			45
			1,008
			1,403

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
191 ALLISON TRANSMISSION HLD		2018-04-05	2018-10-08
97 ALLISON TRANSMISSION HLD		2018-04-05	2018-10-09
78 ALLISON TRANSMISSION HLD		2018-04-05	2018-10-10
195 ALLISON TRANSMISSION HLD		2018-04-05	2018-10-11
122 ALLISON TRANSMISSION HLD		2018-04-05	2018-10-12
73 ALLISON TRANSMISSION HLD		2018-04-05	2018-10-16
81 ALLISON TRANSMISSION HLD		2018-04-05	2018-10-17
38 ALLISON TRANSMISSION HLD		2018-05-16	2018-10-17
46 ALLISON TRANSMISSION HLD		2018-05-17	2018-10-17
165 ALLISON TRANSMISSION HLD		2018-05-17	2018-10-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,992		7,527	2,465
4,918		3,823	1,095
3,916		3,074	842
9,692		7,685	2,007
5,937		4,808	1,129
3,637		2,877	760
3,956		3,192	764
1,856		1,632	224
2,247		1,989	258
7,877		7,136	741

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,465
			1,095
			842
			2,007
			1,129
			760
			764
			224
			258
			741

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
33 ALLISON TRANSMISSION HLD		2018-05-17	2018-10-22
107 ALLISON TRANSMISSION HLD		2018-05-18	2018-10-22
9 ALLISON TRANSMISSION HLD		2018-05-18	2018-10-22
118 HOME DEPOT INC USD 0 05		2014-09-09	2018-10-22
101 HOME DEPOT INC USD 0 05		2014-09-09	2018-10-25
82 HOME DEPOT INC USD 0 05		2014-09-09	2018-10-25
25 HOME DEPOT INC USD 0 05		2015-05-07	2018-10-25
75 HOME DEPOT INC USD 0 05		2015-05-07	2018-10-31
14 HUMANA INC COM		2015-08-05	2018-10-31
53 HUMANA INC COM		2015-08-06	2018-10-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,570		1,427	143
5,091		4,630	461
430		389	41
21,247		10,587	10,660
18,107		9,061	9,046
14,768		7,357	7,411
4,503		2,762	1,741
13,262		8,287	4,975
4,541		2,598	1,943
17,190		9,858	7,332

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			143
			461
			41
			10,660
			9,046
			7,411
			1,741
			4,975
			1,943
			7,332

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
213 J P MORGAN CHASE & CO		2012-06-14	2018-10-31
34 J P MORGAN CHASE & CO		2012-06-15	2018-10-31
73 GENERAL ELECTRIC CO		2017-11-01	2018-11-01
1816 GENERAL ELECTRIC CO		2017-11-01	2018-11-01
248 GENERAL ELECTRIC CO		2017-11-14	2018-11-01
536 PG&E CORP		2017-10-18	2018-11-15
322 PG&E CORP		2017-10-23	2018-11-15
343 PG&E CORP		2017-11-01	2018-11-15
330 PG&E CORP		2017-11-10	2018-11-15
169 PG&E CORP		2017-11-21	2018-11-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
23,275		7,337	15,938
3,715		1,187	2,528
712		1,568	-856
17,713		36,496	-18,783
2,419		4,354	-1,935
10,239		30,376	-20,137
6,151		18,471	-12,320
6,552		19,617	-13,065
6,304		18,732	-12,428
3,228		9,091	-5,863

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			15,938
			2,528
			-856
			-18,783
			-1,935
			-20,137
			-12,320
			-13,065
			-12,428
			-5,863

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
168 PG&E CORP		2017-11-22	2018-11-15
94 AETNA INC		2014-05-29	2018-11-21
80 CVS CORP		2012-09-24	2018-11-21
161 CVS CORP		2014-06-27	2018-11-21
873 GENERAL ELECTRIC CO		2017-11-14	2018-11-21
1057 GENERAL ELECTRIC CO		2017-12-13	2018-11-21
955 GENERAL ELECTRIC CO		2018-01-02	2018-11-21
248 MICROSOFT CORP COM		2014-06-27	2018-11-21
88 AETNA INC		2014-05-29	2018-11-26
36 CDW CORP		2015-09-14	2018-11-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
3,209		9,058	-5,849
19,245		7,248	11,997
6,010		3,849	2,161
12,094		12,166	-72
6,809		15,326	-8,517
8,244		18,824	-10,580
7,449		17,106	-9,657
25,630		10,360	15,270
18,437		6,785	11,652
3,206		1,478	1,728

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-5,849
			11,997
			2,161
			-72
			-8,517
			-10,580
			-9,657
			15,270
			11,652
			1,728

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
76 CDW CORP		2015-09-15	2018-11-26
105 CDW CORP		2015-09-15	2018-11-27
6 AETNA INC		2014-05-29	2018-11-29
306 AETNA INC		2014-06-27	2018-11-29
100 AETNA INC		2015-05-07	2018-11-29
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,768		3,142	3,626
9,388		4,341	5,047
1,276		463	813
65,063		24,861	40,202
21,262		10,834	10,428
			504

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,626
			5,047
			813
			40,202
			10,428

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BENTON-FRANKLIN CO HUMANE SOCIETY INCORPORATE 1736 E 17TH AVE KENNEWICK, WA 993360616	NONE	PC	GENERAL OPERATING	112,608
BEST FRIENDS ANIMAL SOCIETY 5001 ANGEL CANYON RD KANAB, UT 847415000	NONE	PC	GENERAL OPERATING	112,608
CAT ADOPTION TEAM 14175 SW GALIBREATH DR SHERWOOD, OR 971409170	NONE	PC	GENERAL OPERATING	112,608
Total ► 3a				563,040

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
FERAL CAT COALITION OF OREGON PO BOX 87282-0734 PORTLAND, OR 972820734	NONE	PC	GENERAL OPERATING	112,608
FERAL CAT SPREAY-NEURTER PROJECT 4001 198TH ST SW LYNWOOD, WA 980366731	NONE	PC	GENERAL OPERATING	112,608
Total ▶ 3a				563,040

TY 2017 Investments Corporate Bonds Schedule**Name:** THE ROGER JAMES CHARITABLE FOUNDATION**EIN:** 26-1586251**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
931142EK5 WALMART INC	137,742	135,748
037833CJ7 APPLE INC	118,224	111,870
17275RAE2 CISCO SYSTEMS INC	112,413	113,453
05531FBB8 BB&T CORPORATION	131,406	125,100
377372AL1 GLAXOSMITHKLINE CAPI	131,839	130,610
06406FAD5 BANK OF NY MELLON CO	58,752	55,138
822582AJ1 SHELL INTERNATIONAL	111,506	112,072
172967FT3 CITIGROUP INC	64,534	67,135
46625HJX9 JPMORGAN CHASE & CO	128,049	125,691
68389XBM6 ORACLE CORP	136,805	127,102
78011DAG9 USD ROYAL BK CANADA	57,420	56,657
949746SA0 WELLS FARGO & COMPAN	63,024	60,449
035242AL0 ANHEUSER-BUSCH INBEV	127,606	123,890

TY 2017 Investments Corporate Stock Schedule

Name: THE ROGER JAMES CHARITABLE FOUNDATION
EIN: 26-1586251

Name of Stock	End of Year Book Value	End of Year Fair Market Value
867914103 SUNTRUST BANKS INC	103,781	157,289
055622104 BP P L C SPONS ADR	111,089	116,248
071813109 BAXTER INTL INC COM	88,248	109,817
09062X103 BIOGEN IDEC INC	141,792	165,859
15135B101 CENTENE CORP DEL	71,020	132,577
172967424 CITIGROUP INC COM NE	40,114	49,564
256677105 DOLLAR GENERAL CORP	55,615	58,048
269246401 E TRADE FINL CORP	77,689	88,004
91324P102 UNITED HEALTH GROUP	64,237	189,355
02079K107 ALPHABET INC SHS	87,414	176,203
02079K305 ALPHABET INC SHS	74,148	197,518
12514G108 CDW CORP	55,634	128,547
337932107 FIRSTENERGY CORP	106,977	132,708
35671D857 FREEPORT-MCMORAN COP	94,125	74,410
917047102 URBAN OUTFITTERS INC	33,143	59,916
931142103 WAL MART STORES INC	161,302	203,405
02005N100 ALLY FINL INC	74,340	72,596
02209S103 ALTRIA GROUP INC	154,064	159,062
037833100 APPLE COMPUTER INC C	256,980	436,450
143658300 CARNIVAL CORP	101,664	119,434
166764100 CHEVRONTEXACO CORP	163,932	163,661
247361702 DELTA AIR LINES INC	115,354	143,822
34959J108 FORTIVE CORP	89,006	99,652
444859102 HUMANA INC COM	91,590	167,700
48238T109 KAR AUCTION SERVICES	92,311	97,709
655844108 NORFOLK SOUTHERN COR	125,294	187,302
670100205 NOVO NORDISK A/S ADR	82,394	77,949
717081103 PFIZER INC COM	204,962	291,110
832696405 SMUCKER J M CO	93,567	78,801
565849106 MARATHON OIL CORP	45,003	34,932

Name of Stock	End of Year Book Value	End of Year Fair Market Value
874039100 TAIWAN SEMICONDUCTOR	78,636	68,602
17275R102 CISCO SYS INC	142,606	237,866
192446102 COGNIZANT TECHNOLOGY	133,495	143,457
20030N101 COMCAST CORP NEW CL	134,821	222,279
291011104 EMERSON ELECTRIC COM	105,781	97,364
416515104 HARTFORD FINL SVCS G	80,589	65,401
46625H100 J P MORGAN CHASE & C	127,746	271,860
50540R409 LABORATORY CORP AMER	134,722	141,416
594918104 MICROSOFT CORP COM	206,084	426,372
00130H105 AES CORPORATION	79,915	88,913
126650100 CVS CORP	91,688	84,771
23331A109 D R HORTON INC	117,646	132,057
25470M109 DISH NETWORK CORPATI	79,291	75,282
548661107 LOWES COMPANIES INC	115,050	167,318
67103H107 O'REILLY AUTOMOTIVE	98,680	114,091
867224107 SUNCOR ENERGY INC NE	146,356	139,719
24703L103 DELL TECHNOLOGIES IN	80,720	136,491
26078J100 DOWDUPONT INC COM	124,369	133,576
526057104 LENNAR CORP	62,305	53,583
902973304 US BANCORP DEL	95,168	136,259
375558103 GILEAD SCIENCES INC	117,986	98,989
66987V109 NOVARTIS AG SPNSRD A	75,944	78,624
695156109 PACKAGING CORP AMER	56,955	85,299
7591EP100 REGIONS FINL CORP NE	90,518	101,941

TY 2017 Investments Government Obligations Schedule

Name: THE ROGER JAMES CHARITABLE FOUNDATION

EIN: 26-1586251

**US Government Securities - End
of Year Book Value:**

**US Government Securities - End
of Year Fair Market Value:**

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2017 Investments - Other Schedule

Name: THE ROGER JAMES CHARITABLE FOUNDATION

EIN: 26-1586251

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
09260B382 BLACKROCK STRATEGIC	AT COST	175,878	167,339

TY 2017 Other Decreases Schedule**Name:** THE ROGER JAMES CHARITABLE FOUNDATION**EIN:** 26-1586251

Description	Amount
LOSS ON PY SALES SETTLED IN CY	1,610
COST BASIS ADJUSTMENT	935
PURCHASE OF ACCRUED INTEREST C/O TO NEXT	404

TY 2017 Other Expenses Schedule**Name:** THE ROGER JAMES CHARITABLE FOUNDATION**EIN:** 26-1586251**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADR FEES	73	73		0
AG ANNUAL FILING WA	100	0		100

TY 2017 Other Increases Schedule

Name: THE ROGER JAMES CHARITABLE FOUNDATION
EIN: 26-1586251

Description	Amount
PURCHASE OF ACCRUED INTEREST C/O FROM PY	10

TY 2017 Taxes Schedule**Name:** THE ROGER JAMES CHARITABLE FOUNDATION**EIN:** 26-1586251

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	1,213	1,213		0
FEDERAL TAX PAYMENT - PRIOR YE	10,652	0		0
FEDERAL ESTIMATES - PRINCIPAL	14,610	0		0