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			- (700)		r			~29 491 0	5400007
		ند	[186]	/ Re	eturn of Private	e Found	ation	,	OMB No 1545-0052
	For	m 9		r Sect	ion 4947(a)(1) Trust Trea	ted as Private	Foundation	1811	2017
	Dep Inter	artmer nal Re			cial security numbers or .gov/Form990PF for inst				Open to Public Inspection
	_			EC	1, 2017	, and e	nding	NOV 30, 2018	
			f foundation		•			A Employer identification	number
	~	_	ERT AND DANA SMITH CH					00 0000000	,
		_	NDATION C/O CASTANEA				[n /	22-3850789	
,			Indistreet (or P.O. box number if mail is not delivered to st RE NEWTON EXECUTIVE P.		ress)		Room/suite	B Telephone number (617)630-2	400
			own, state or province, country, and ZIP or forei ${ m TON}$, ${ m MA}$ 02462	ign pos	ital code			C If exemption application is p	ending, check here
	G	Check	call that apply: . Initial return		Initial return of a f	ormer public o	charity	D 1. Foreign organizations	s, check here
•	•		Final return		Amended return			2 5	
			Address change		Name change		~ 11	Foreign organizations me check here and attach co	imputation
	H (_	type of organization: X Section 501(c)(: ction 4947(a)(1) nonexempt charitable trust [_	npt private foundation ther taxable private found	ation	14	E If private foundation sta	——n
)	LE.				method: X Cash	Accr	rual	under section 507(b)(1)	•
/ ,			Part II, col (c), line 16)	_ ~	er (specify)		oui	F If the foundation is in a under section 507(b)(1)	
* T	•	\$				is.)	1	(2)(7)	(5), 656
1	P	art l	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may no necessarily equal the amounts in column (a),)	ot	(a) Revenue and expenses per books		ivestment ome	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
		1	Contributions, gifts, grant's, etc., received /			建 种类型员	FORMULE	N/A	DOMESTIC STATE
		2	Check X if the foundation is not required to attach Sch. I	В 🔡	於江河區內 原		经股票公司	经现代的	學學學學學學學
		3	Interest on savings and temporary cash investments	L	20,335.		<u>0,335.</u>	. ^	STATEMENT 1
		4 Dividends and interest from securities			10,228.	1	0,228.		STATEMENT 2
		5a Gross rents b Net rental income or /less)		۳,	OWN TAN TERRORS WERE THE WAY OF PE	1990 637 BL	7763 Novel 1915 1915 1	NATION CASE COME COME AND	
•	_	_	Net rental income or (loss)	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	681.716.	\$3400 FE 16 10 14	्रिक्स स्टिस्टर्स के स्टिस्टर्स इस्टिस्टर्स स्टिस्टर्स के स्टिस्टर्स के स्टिस्टर्स के स्टिस्टर्स के स्टिस्टर्स	A REC	
2	5	ьа	Net gain or (loss) from sale of assets not on line 10 Gross sales price for all 5, 305, 501		681,716.	23(5) 445668 23(5) 445668		AT 1524 (0) 734 (363)	1.5 25 5 3 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
ة د	A 6 CUIY	7	assets on line 6a 3,303,301 Capital gain net income (from Part IV, line 2)	• }	Andiesensen in	68	1,716.	S FEB	7010 3 6
Š	ي د	8	Net short-term capital gain	<u>.</u>		1253671	TAKK CITA	ANCHAR MILES MANAGEMENT MANAGEMEN	6
Ω	· ·	9	Income modifications	27.3		TO THE STATE OF TH	77.72.0° (A)	00.30	
MAB	<u></u>	10a	Gross sales less returns and allowances	}}} ≪ P	THE TENEDS	黑金製造	artik X	No. of the last of	W.U.S.
_	•	- p	Less Cost of goods sold	ě	探察的代数数许宏义	JER WA		对外的特别的特别	AND REAL PROPERTY.
Щ		1	Gross profit or (less)	L			West of the second	·	
			Other income		2.	71	2:		STATEMENT 3
4		1	Total Add lines 1 through 11	-	712,281.	71	2,281.		Appeal of Section Street Street Street Street Street
SCA		13 14	Compensation of officers, directors, trustees, etc Other employee salaries and wages	- ⊦.	<u> </u>		0.		0.
V)			Pension plans, employee benefits	F	 			-	
	6		Legal fees					·	1
	Expenses	b	Accounting fees STMT 4	ı -	4,725.		0.		4,725.
	Ž	С	Other professional fees STMT 5	5 [2,694.		2,694.		0.
			Interest	L					
	rati	18	Taxes STMT 6	5 <u> </u>	10,426	, ,	0.		0.
	Administrative	19	Depreciation and depletion	Ļ					
· .	Ë	20	Occupancy	1					<u> </u>
	Ą	21	Travel, conferences, and meetings				-		
	and	22	Printing and publications Other expenses STMT 7	,	35.		0.		. 35.
	Operating	24		-					
	era	-	expenses. Add lines 13 through 23	-	17,880.		2,694.		4,760.
	Ö	25	Contributions, gifts, grants paid		665,500.	NAME OF		发起的数据为数据数	665,500.

683,380

28,901.

709,587.

723501 01-03-18 LHA For Paperwork Reduction Act Notice, see instructions.

26 Total expenses and disbursements.

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

Add lines 24 and 25 27 Subtract line 26 from line 12

N/A

Form **990-PF** (2017)

670,260

ROBERT AND DANA SMITH CHARITABLE

Form 990-PF (2017) 22-3850789 FOUNDATION C/O CASTANEA PARTNERS Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only Beginning of year End of year (a) Book Value (c) Fair Market Value (b) Book Value 4,957. 62,957 4.957. 1 Cash - non-interest-bearing 114,021. 64,384. 114,021. 2 Savings and temporary cash investments 3 Accounts receivable ► Less; allowance for doubtful accounts 4 Pledges receivable Less: allowance for doubtful accounts 5 Grants receivable 6 Receivables due from officers, directors, trustees, and other disqualified persons 7 Other notes and loans receivable Less; allowance for doubtful accounts 8 Inventories for sale or use 9 Prepaid expenses and deferred charges 10a Investments - U.S. and state government obligations 5,335,873. 5,363,193. 5,182,131 b Investments - corporate stock STMT 9 c Investments - corporate bonds 11 Investments - land, buildings, and equipment basis Less accumulated depreciation 12 Investments - mortgage loans 13 Investments - other 14 Land, buildings, and equipment basis 1 Less accumulated depreciation 15 Other assets (describe 16 Total assets (to be completed by all filers - see the 5,463,214. 5,482,171. 5,301,109. instructions. Also, see page 1, item I) 17 Accounts payable and accrued expenses 18 Grants payable 19 Deferred revenue 20 Loans from officers, directors, trustees, and other disqualified persons 21 Mortgages and other notes payable 22 Other liabilities (describe 0. 23 Total liabilities (add lines 17 through 22) Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31. 24 Unrestricted 25 Temporarily restricted 26 Permanently restricted or Fund ightharpoonsFoundations that do not follow SFAS 117, check here and complete lines 27 through 31. 5,463,214 5,482,171 27 Capital stock, trust principal, or current funds 0. 0. 28 Paid-in or capital surplus, or land, bldg., and equipment fund 0. 0. 29 Retained earnings, accumulated income, endowment, or other funds 5,463,214. 5,482,171. 30 Total net assets or fund balances 5,463,214. 5,482,171 31 Total liabilities and net assets/fund balances Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30		
	(must agree with end-of-year figure reported on prior year's return)	1	5,463,214.
2	Enter amount from Part I, line 27a	2	28,901.
3	Other increases not included in line 2 (itemize)	3	0.
4	Add lines 1, 2, and 3	4	5,492,115.
5	Decreases not included in line 2 (itemize) SEE STATEMENT 8	5	9,944.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,482,171.

Form 990-PF (2017)

		of property sold (for exam			(b) F	low acqu	ured //	c) Date acquired	(d) Date sold
(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)						- Purchas - Donatio	se '	(mo., day, yr.)	(mo., day, yr.)
1a GOLDMAN SACHS						P		ARIOUS	VARIOUS
b GOLDMAN SACHS	<u> XX466</u>	-1			<u> </u>	<u> </u>		ARIOUS	VARIOUS
<u>c</u>									
<u>d</u>					<u> </u>				-
<u>e</u>	/6\ D	epreciation allowed	(a) Cos	st or other basis	┸			(h) Gain or (los	
(e) Gross sales price (or allowable) (by dost of other basis							((e) plus (f) minus	
a 540,969.				548,78	5.				-7,816.
b 4,764,532.				4,075,00	0.				689,532.
C									
<u>d</u>									
<u>e</u>	<u> </u>	-1 (1) 1 (1) 1		10/01/00					
Complete only for assets shown	 		r		\dashv			Sains (Col. (h) gai k), but not less th	
(i) FMV as of 12/31/69) Adjusted basis as of 12/31/69		cess of col. (1) col. (j), if any				Losses (from col.	
a				(1)					-7.816.
b								-	-7,816. 689,532.
С									
d								-	
e									
2 Capital gain net income or (net ca	apıtal loss)	If gain, also enter of the lift (loss), enter of the lift (loss), enter of the lift (loss), enter of the lift (loss).	ın Part I, line - ın Part I, line	7 7	}	2			681,716.
3 Net short-term capital gain or (lo	ss) as defin	ed in sections 1222(5) an	d (6):		٦.				
If gain, also enter in Part I, line 8).			\rightarrow			N/A	
If (loss), enter -0- in Part I, line 8 [Part V Qualification U	Inder Se	ection 4940(e) for	Reduced	Tax on Net	Inve	3 stmen	nt Incon		1
(For optional use by domestic private									
		,	o rota) tan on			,			
If section 4940(d)(2) applies, leave t	his part bla	nk.							
Was the foundation liable for the sec					od?				Yes X No
If "Yes," the foundation doesn't quali									
Enter the appropriate amount in (a)	each colum		istructions bet	ore making any er				T	(4)
Base periód years	100 10)	(b) Adjusted qualifying dist	tributions	Net value of no	(c) nchari	itable-us	e assets	Distr	(d) ibution ratio ivided by col (c))
Calendar year (or tax year beginni 2016	ing in)		9,312.				,499.	(cor (b) u	.176980
2015			6,385.				,381.		.126250
2014			3,800.		6,	672	,600.	1	.008063
2013			5,110.		6,	841	,989.		.041671
2012		34	9,812.		6,	033	,260.		.057981
]	440045
2 Total of line 1, column (d)								2	.410945
3 Average distribution ratio for the	-		on line 2 by 5.0), or by the numbe	er of y	ears			.082189
the foundation has been in existe	ince it less t	nan 5 years						3	.002109
4 Enter the net value of noncharital	hla-uca acca	ate for 2017 from Part Y I	ine 5					4	5,566,533.
T Cittor the net value of horienastat	JIC-U3C 0330	3.3 101 2017 1101111 art 2,1							5,555,555
5 Multiply line 4 by line 3								5	457,508.
6 Enter 1% of net investment incor	ne (1% of P	art I, line 27b)						6	7,096.
									161 60:
7 Add lines 5 and 6								7	464,604.
	D								670 260
8 Enter qualifying distributions from	•								670,260.
If line 8 is equal to or greater that See the Part VI instructions	n line 7, che	eck the box in Part VI, line	1b, and comp	iete that part usin	g a 1%	6 tax rate).		
723521 01-03-18									Form 990-PF (2017

ROBERT AND DANA SMITH CHARITABLE 22-3850789 FOUNDATION C/O CASTANEA PARTNERS Form 990-PF (2017) Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions) 1a Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1. ___ (attach copy of letter if necessary-see instructions) Date of ruling or determination letter: 096 b Domestic foundations that meet the section 4940(e) requirements in Part V, check here X and enter 1% of Part I. line 27b c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b). 2 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-) 7,096 3 Add lines 1 and 2 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-) 7.096 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-5 6 Credits/Payments: 10,000. a 2017 estimated tax payments and 2016 overpayment credited to 2017 0. b Exempt foreign organizations - tax withheld at source 6b 0. c Tax paid with application for extension of time to file (Form 8868) 60 0. d Backup withholding erroneously withheld 10,000. 7 Total credits and payments. Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here X if Form 2220 is attached 8 0. 9 9 Tax due, if the total of lines 5 and 8 is more than line 7, enter amount owed 2,904 10 10 Overnayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be Credited to 2018 estimated tax Part VII-A | Statements Regarding Activities Yes No 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in 1a any political campaign? X b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition 1b If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. X 1c c Did the foundation file Form 1120-POL for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. > \$ (2) On foundation managers. ► \$ e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. > \$ X 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? 2 If "Yes," attach a detailed description of the activities. 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or 3 bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? N/A 4b b If "Yes," has it filed a tax return on Form 990-T for this year? 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 5 If "Yes," attach the statement required by General Instruction T 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: · By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law X remain in the governing instrument? 6 Х 7 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV 8a Enter the states to which the foundation reports or with which it is registered. See instructions. MA b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) X of each state as required by General Instruction G2 If "No," attach explanation 8b

9 is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar

10 X Form **990-PF** (2017)

year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

Form 990-PF (2017)

FOUNDATION C/O CASTANEA PARTNERS

Pa	art VII-A Statements Regarding Activities (continued)			
	•		Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
	section`512(b)(13)? If "Yes," attach schedule. See instructions	11		<u>X</u>
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?			
	If "Yes," attach statement. See instructions	12		<u>X</u>
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ► N/A	<u> </u>		
14			-24	00_
	Located at ▶ 3 NEWTON EXECUTIVE PK, SUITE 304, NEWTON, MA ZIP+4 ▶02	462		_
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here		, , ▶	ш
	and enter the amount of tax-exempt interest received or accrued during the year	N	/A	NI-
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank,		Yes	No
	securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the			
Da	foreign country ► art VII-B Statements Regarding Activities for Which Form 4720 May Be Required	<u>. </u>		
Fa		_	Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	<u> </u>	163	140
1a	During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ———————————————————————————————————	ŀ		1
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes X No			
		١.	~.}	, ·
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes X No		Ą	
	(5) Transfer any income or assets to a disqualified person (or make any of either available	ļ	**	
	for the benefit or use of a disqualified person)?		, ¾	
	(6) Agree to pay money or property to a government official? (Exception. Check "No"	ŀ		
	if the foundation agreed to make a grant to or to employ the official for a period after			
	termination of government service, if terminating within 90 days.)		1	1
h	of fany answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations		•]
	section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions N/A	1b		
	Organizations relying on a current notice regarding disaster assistance, check here	<u> </u>	1 12	
c	E Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected		` "	
_	before the first day of the tax year beginning in 2017?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation			
	defined in section 4942(j)(3) or 4942(j)(5))	,		. 1
а	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning			
	before 2017? Yes X No	•		
	If "Yes," list the years			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect	'		
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach			
	statement - see instructions.) N/A	2b		
C	: If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here			1
	>	ĺ		
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time	l		
	during the year?			
þ	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after	•		
	May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose			.
	of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,			
	Form 4720, to determine if the foundation had excess business holdings in 2017.) N/A	3b		77
	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		<u> </u>
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that	<u> </u>		<u>ـــِـا</u>
	had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b_		X
	Fo	m 990)-PF	(2017)

Part VII-B	Statements Regarding Activities for Which F	orm 4720 May Be Re	equired _{(contin}	ued)				
5a During the	year, did the foundation pay or incur any amount to.					/es	No	
(1) Carry o	on propaganda, or otherwise attempt to influence legislation (section	4945(e))?	Y	es 🗓 No	Ţ		[
(2) Influen	ice the outcome of any specific public election (see section 4955); or	to carry on, directly or indire	ctly,		1	ľ	·]	
	any voter registration drive?							
-	(3) Provide a grant to an individual for travel, study, or other similar purposes?							
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section							
	1)(4)(A)? See instructions		Ye	s X No			.	
(5) Provide	e for any purpose other than religious, charitable, scientific, literary,	or educational purposes, or fo	or		- 1		- [
• •	evention of cruelty to children or animals?		Y6	s X No	.		ı	
b If any answ	er is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und	der the exceptions described i	n Regulations		_	احتا		
section 53.4	4945 or in a current notice regarding disaster assistance? See instru	ctions		N/A	5b			
	ns relying on a current notice regarding disaster assistance, check h			▶□			- 1	
c If the answe	er is "Yes" to question 5a(4), does the foundation claim exemption fr	om the tax because it maintai	ned				ı	
expenditure	responsibility for the grant?	N	// I 🔲 Y	s 🔲 No	'	l'	`	
If "Yes," atta	ach the statement required by Regulations section 53.4945-5(d).				İ	٠	- 1	
6a Did the four	ndation, during the year, receive any funds, directly or indirectly, to p	pay premiums on _			ł	.	- 1	
a personal l	benefit contract?		Y	es 🗶 No	<u> </u>]	
b Did the four	ndation, during the year, pay premiums, directly or indirectly, on a p	ersonal benefit contract?		į	6b		<u>X</u> _	
If "Yes" to 6	b, file Form 8870.				-		. 1	
7a At any time	during the tax year, was the foundation a party to a prohibited tax s	helter transaction?	Y6	es 🗶 No		- [<u> </u>	
b If "Yes," did	the foundation receive any proceeds or have any net income attribu			N/A	7b			
Part VIII	Information About Officers, Directors, Truste	es, Foundation Mar	nagers, Highly			:		
	Paid Employees, and Contractors							
1 List all offic	ers, directors, trustees, and foundation managers and the		I (-) Composition	(d) Contributions to	- 	۲.		
	(a) Name and address	(b) Title, and average hours per week devoted	(c) Compensation (If not paid,	employee benefit plans and deferred	acc	Expe	other	
DODEDM 7	CMTMU	to position TRUSTEE	enter -0-)	compensation	+ •	llowan	ces	
ROBERT A		IKUSIEB						
	ANEA PARTNERS, THREE NEWTON E	1.00	0.	0.		•	0.	
NEWTON,	MA 02462	TRUSTEE	- 0.	<u> </u>	+		<u> </u>	
	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	IKUSIEE						
	TANEA PARTNERS, THREE NEWTON E MA 02462	1.00	0.	0.		, i	0.	
MEMION,	MA 02402	1.00	•		<u>'</u>		<u> </u>	
					+			
				,				
2 Compensat	tion of five highest-paid employees (other than those incl	uded on line 1). If none, e	enter "NONE."					
************		(b) Title, and average		(d) Contributions to employee benefit plant and deferred	(e	Expe	enșe	
(a) Nan	ne and address of each employee paid more than \$50,000	hours per week devoted to position	(c) Compensation	and deferred compensation	' acc	ount, Ilowan	other ces	
NC	ONE				1			
					1			
					1			
Total number of	other employees paid over \$50,000			•	•		0	
	A. A. A. C.		<u> </u>	Fori	n 990 -	·PF (2017)	

ROBERT AND DANA SMITH CHARITABLE FOUNDATION C/O CASTANEA PARTNERS

Part IX-B Summary of Program-Related Investments Part IX-B Summary of Program-Related Investments Part IX-B Summary of Program-Related Investments At other program-related investments made by the foundation during the tax year on lines 1 and 2. At other program-related investments. See instructions. At other program-related investments. See instructions. N/A At other program-related investments. See instructions.	Form 990-PF (2017) FOUNDATION C/O CASTANEA PARTNERS	22_385	0790 Dags 7
Paid Employees, and Contractors (continued) 3 Five highest-paid independent contractors for professional services. If none, enter "NONE." (a) Name and address of each person paid more than \$50,000 (b) Type of service (c) Compensation NONE Total number of others receiving over \$50,000 for professional services. Part IX-A Summary of Direct Charitable Activities / List the foundation's low largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. 1 NOT APPLICABLE 0. Part IX-B Summary of Program-Related Investments Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. Amount All other program-related investments. See instructions. 3 N/A O .			0789 Page 7
(e) Compensation NONE Total number of others receiving over \$50,000 for professional services Part IX-A Summary of Direct Charitable Activities / List the foundation's four largest direct charitable activities of number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. Expenses 1 NOT APPLICABLE 0. Part IX-B Summary of Program-Related investments Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. Amount Amount All other program-related investments. See instructions. B N/A O .	Paid Employees, and Contractors (continued)	ialiagers, riigiliy	,
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Part IX-A Summary of Direct Charitable Activities / List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc NOT APPLICABLE	ı	•	
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc NOT APPLICABLE	Total number of others receiving over \$50,000 for professional services	•	▶ 0
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Part IX-B Summary of Program-Related Investments Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. All other program-related investments. See instructions. 3 N/A	The state of the s	` `	Expenses
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Part IX-B Summary of Program-Related Investments Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. Amount All other program-related investments. See instructions. 3 N/A 0 .			•
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All other program-related investments. See instructions. 3 N/A	Part IX-B Summary of Program-Related Investments		,
All other program-related investments. See instructions. 3 N/A	Describe the two largest program-related investments made by the foundation during the tax year on lines 1 a		Amount
All other program-related investments. See instructions. 3 N/A 0 .	1		
All other program-related investments. See instructions. 3 N/A 0 .			
All other program-related investments. See instructions. 3 N/A 0 .			
3 N/A	2		
3 N/A	1		
3 N/A			
0.	· · ·		
O . Total. Add lines 1 through 3 ▶ 0 .	3 IN/ A		
0. Total. Add lines 1 through 3 ▶ 0.			
0 • Total. Add lines 1 through 3 ▶ 0 •			
Total. Add lines 1 through 3			
Total. Add lines 1 through 3			0.
	Total. Add lines 1 through 3		0.

Form **990-PF** (2017)

22-3850789

P	Minimum Investment Return (All domestic foundations must complete this part. Foreign four	ndations, s	ee instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	5,422,178. 229,125.
þ	Average of monthly cash balances	- 1b	229,125.
C	Fair market value of all other assets	1c	
đ	Total (add lines 1a, b, and c)	1 <u>d</u>	5,651,303.
е	Reduction claimed for blockage or other factors reported on lines 1a and	[, [
	1c (attach detailed explanation) 1e 0.	<u> </u>	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,651,303.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	84,770.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,566,533.
6	Minimum investment return. Enter 5% of line 5	6	278,327.
Р	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations an foreign organizations, check here and do not complete this part.)	d certain	
1	Minimum investment return from Part X, line 6	1	278,327.
2a	Tax on investment income for 2017 from Part VI, line 5		·
 b	Income tax for 2017 (This does not include the tax from Part VI.)	1	
C	Add lines 2a and 2b	2c	7,096.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	271,231.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	271,231.
6	Deduction from distributable amount (see instructions)	6	. 0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	271,231.
P	art XII Qualifying Distributions (see instructions)		
<u> </u>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		,
а	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	670,260.
Ь	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
ь	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	670,260.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	income. Enter 1% of Part I, line 27b	5	7,096. 663,164.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	663,164.
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation q	ualifies for	the section

Form 990-PF (2017)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI,				271,231.
line 7				271,231
2 Undistributed income, if any, as of the end of 2017			- 0.	,
a Enter amount for 2016 only			U •	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2017				
a From 2012 59,611.				
b From 2013				1
c From 2014				
d From 2015 464, 456.				
e From 2016 818,841.				
	1,342,908.			
f Total of lines 3a through e	1,342,300.			
4 Qualifying distributions for 2017 from Part XII, line 4: ► \$ 670, 260.				
			0.	
a Applied to 2016, but not more than line 2a				
b Applied to undistributed income of prior		0.		
years (Election required - see instructions)				
c Treated as distributions out of corpus	0			
(Election required - see instructions)	0.			271,231.
d Applied to 2017 distributable amount	200 000			2/1,231.
e Remaining amount distributed out of corpus	399,029.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below	_			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,741,937.			
b Prior years' undistributed income. Subtract				
line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable				
amount - see instructions		0.		
e Undistributed income for 2016. Subtract line				
4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2017. Subtract				
lines 4d and 5 from line 1. This amount must				
be distributed in 2018				0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3) (Election				
may be required - see instructions)	0.			
8 Excess distributions carryover from 2012				
not applied on line 5 or line 7	59,611.			
9 Excess distributions carryover to 2018.				
Subtract lines 7 and 8 from line 6a	1,682,326.			'
10 Analysis of line 9.	_, 002,020.			
a Excess from 2013				
**				
b Excess from 2014 c Excess from 2015 464,456.				
200				
222 222				,
e Excess from 2017 399,029.		<u> </u>	L	

DANA SMITH CHARITABLE ROBERT AND 22-3850789 FOUNDATION C/O CASTANEA PARTNERS Page 10 Form 990-PF (2017) Part XIV | Private Operating Foundations (see instructions and Part VII-A, question 9) N/A 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling 4942(1)(3) or 4942(1)(5) b Check box to indicate whether the foundation is a private operating foundation described in section Prior 3 years Tax year 2 a Enter the lesser of the adjusted net (d) 2014 (a) 2017 (b) 2016 (c) 2015 (e) Total income from Part I or the minimum investment return from Part X for each year listed b 85% of line 2a c Qualifying distributions from Part XII, line 4 for each year listed d Amounts included in line 2c not used directly for active conduct of exempt activities e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets (2) Value of assets qualifying under section 4942(j)(3)(B)(i) b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III) (3) Largest amount of support frog? an exempt organization (4) Gross investment incerni Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.) Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) SEE STATEMENT 10 b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest. NONE Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here X if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. a The name, address, and telephone number or email address of the person to whom applications should be addressed: N/A b The form in which applications should be submitted and information and materials they should include: N/A c Any submission deadlines: d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors: N/A

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Form 990-PF (2017)

ROBERT AND DANA SMITH CHARITABLE

FOUNDATION C/O CASTANEA PARTNERS

22-3850789 Page 11 Part XV Supplementary Information (continued) 3 Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, show any relationship to any foundation manager or substantial contributor Foundation status of recipient Purpose of grant or contribution Amount Name and address (home or business) a Paid during the year PUBLIC CHARITY SEE ATTACHED STATEMENT A NONE 665,500. 665,500. ➤ 3a Total **b** Approved for future payment NONE

Total

Form 990-PF (2017) ROBERT AND DANZ FOUNDATION C/O				22-3	850789 Page 12
Part XVI-A Analysis of Income-Production					
Enter gross amounts unless otherwise indicated.		business income	Excluded b	y section 512, 513, or 514	(e)
•	(a) Business	(b) Amount	sion	(d) Amount	Related or exempt function income
1 Program service revenue:	code		code	Allount	Tonocion income
a	 				·
ь		· · · · · · · · · · · · · · · · · · ·	 		
C		-	 		
d	-	 .	 		
e	·				
f	-				
g Fees and contracts from government agencies	-	 			
2 Membership dues and assessments			++-		
3 Interest on savings and temporary cash			14	20 225	
investments			14	20,335.	
4 Dividends and interest from securities			14	10,220.	
5 Net rental income or (loss) from real estate:		•	+-		
a Debt-financed property			- -		
b Not debt-financed property	-		+		
6 Net rental income or (loss) from personal					
property			14	2.	
7 Other investment income	-		- - ' - - 	2.	
8 Gain or (loss) from sales of assets other			18	681,716.	
than inventory			10	001,710.	· · · · · · · · · · · · · · · · · · ·
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory		· · · · · · · · · · · · · · · · · · ·			
11 Other revenue:					
a	<u> </u>		+	,	
b	-		+		
<u> </u>			 		-
d	+		 		
e		0		712,281.	0.
13 Total. Add line 12, columns (b), (d), and (e)	LL		<u></u>	13	712,281.
(See worksheet in line 13 instructions to verify calculations.)				.~	
Part XVI-B Relationship of Activities t	o the Accor	nplishment of E	xempt Pu	poses	
Line No. Explain below how each activity for which inco	me is reported in	column (e) of Part XVI	-A contributed	importantly to the accomp	lishment of
the foundation's exempt purposes (other than					
NOT APPLICABLE					
			/		
			·	,	

ROBERT AND DANA SMITH CHARITABLE

Form 990-PF (2017) FOUNDATION C/O CASTANEA PARTNERS 22-3850789

[Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable

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		Exempt Organ	izations							
1 Die	the or	ganization directly or indi	rectly engage in any of t	he followin	g with any other organization	n described in section	501(c)		Yes	No
(01	her tha	in section 501(c)(3) organ	nizations) or in section 5	27, relating	to political organizations?					
a Tra	insfers	from the reporting founda	ation to a noncharitable	exempt org	ganization of:					: ســــــا
(1)	Cash							1a(1)		X
(2)	Other	r assets						1a(2)		X
b Otl	ner tran	sactions:								ļ
(1)	Sales	of assets to a noncharita	ble exempt organization]	1b(1)		X
(2)	Purcl	hases of assets from a no	ncharitable exempt orga	inization				1b(2)		X
(3)	Renta	al of facilities, equipment,	or other assets					1b(3)		_X_
(4)	Reim	bursement arrangements					<u>_</u>	1b(4)		_X_
(5)	Loan	s or loan guarantees					_	1b(5)		X
(6)	Perfo	rmance of services or me	mbership or fundraising	solicitatio	ns			1b(6)		_X_
c Sh	aring o	f facilities, equipment, ma	iling lists, other assets,	or paid em	ployees		{	1c		_X_
d If t	he ansv	wer to any of the above is	"Yes," complete the folio	owing sche	dule. Column (b) should alv	vays show the fair mark	cet value of the goods, oth	er ass	ets,	
or	service	s given by the reporting fo	oundation. If the foundat	tion receive	ed less than fair market valu	e in any transaction or	sharing arrangement, show	v in		
col	umn (d	t) the value of the goods,	other assets, or services	received						
(a)Line n	•	(b) Amount involved	(c) Name of no	ncharitable	exempt organization	(d) Description of t	ransfers, transactions, and shar	ıng arra	ingemen	ts
				N/A						
						<u></u>				
	\bot									
						<u> </u>				
					 					
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2a Ist	he four	ndation directly or indirect	tly affiliated with, or relat	ted to, one	or more tax-exempt organiz	zations described				_
ın s	ection	501(c) (other than section	n 501(c)(3)) or ın sectio	n 527?				Yes	X] No
b If"	Yes," co	emplete the following scho								
		(a) Name of org	anization		(b) Type of organization	(c)	Description of relationship			
		N/A								
						<u> </u>				
										
٥.					accompanying schedules and sta taxpayer) is based on all informat		May th		scuss the	
Sign Here							shown	below?	See ins	tr
nere					2/12/19	TRUSTBE	[X	Yes		∫No
	Sign	nature of officer or trustee			Date '	Title				
		Print/Type preparer's na	ime Pr	reparer's si	gnature	1 / m	eck I If PTIN			
De: 4			C	A -	$\overline{}$	3/111/9/11/1/C) ss.	f- employed			
Paid		DIANA COHE		V		<u> </u>	P001			
Prepa		Firm's name ► DBL	OITTE TAX L	LLP		F	rm's EIN ▶ 86-106	57'	/2	
Use (niy									
		Firm's address ► 20			ET		,			
		BO.	STON, MA 02	ĺ P	hone no. 617-437	-20	000			

FORM 990-PF INTERES	T ON SAVI	NGS AND TE	MPORARY	CASH I	NVESTMENTS	STATEMENT 1	
SOURCE		REVI	(A) REVENUE PER BOOKS		(B) NVESTMENT NCOME	(C) ADJUSTED NET INCOME	
GOLDMAN SACHS XX248-1 GOLDMAN SACHS XX466-1			18,428. 1,907.		18,428. 1,907.		
TOTAL TO PART I, LINE 3			20,335.		20,335.		
FORM 990-PF	DIVIDEND	S AND INTER	REST FRO	M SECU	RITIES	STATEMENT 2	
SOURCE	GROSS AMOUNT	CAPITAI GAINS DIVIDENI	S REVENUE		(B) NET INVES MENT INCO		
GOLDMAN SACHS XX466-1	10,228	•	0.	10,228. 10		28.	
TO PART I, LINE 4	10,228	•	0.	10,228.		8.	
		·					
FORM 990-PF		OTHER 3	INCOME			STATEMENT 3	
DESCRIPTION			(A) REVENU PER BOO		(B) NET INVEST- MENT INCOME		
OTHER INCOME			•	2.	2	•	
TOTAL TO FORM 990-PF	', PART I,	LINE 11 =		2.	2	•	
FORM 990-PF	ACCOUNT	ACCOUNTING FEES		STATEMENT 4			
DESCRIPTION		(A) EXPENSES PER BOOKS	(E NET IN MENT I	IVEST-	(C) ADJUSTED NET INCOM		
DELOITTE		4,725.	,	0.		4,725.	
TO FORM 990-PF, PG 1		4,725		0.		4,725.	

DESCRIPTION

COST

FORM 990-PF (OTHER PROFES	SIONAL FEES	STATEMENT 5		
DESCRIPTION GOLDMAN SACHS	(A) EXPENSES PER BOOKS 2,694.	2,694.	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
TO FORM 990-PF, PG 1, LN 16C	2,694.	2,694.		0.	
FORM 990-PF	TAX	ES	STATEMENT 6		
DESCRIPTION	(A) EXPENSES PER BOOKS		(C) ADJUSTED NET INCOME	(D). CHARITABLE PURPOSES	
FEDERAL TAX	10,426.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	10,426.	0.		0.	
FORM 990-PF	OTHER EXPENSES		STATEMENT 7		
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
MASSACHUSETTS PC FILING FEE	35.	0.		35.	
TO FORM 990-PF, PG 1, LN 23	35.	0.		35.	
FORM 990-PF OTHER DECREASE	ES IN NET AS	SETS OR FUND E	BALANCES S'	TATEMENT 8	

BOND COST DIFFERENCE DUE TO AMORTIZED COST VS HISTORICAL

TOTAL TO FORM 990-PF, PART III, LINE 5

AMOUNT

9,944.

9,944.

FORM 990-PF CORPORATE STOCK	CORPORATE STOCK		
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
GOLDMAN SACHS	5,363,193.	5,182,131.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	5,363,193.	5,182,131.	

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT 10

NAME OF MANAGER

ROBERT A. SMITH DANA WEISS SMITH

FORM 990PF, PART XV, LINE 3a CONTRIBUTIONS PAID

	Foundation Status of		Purpose of grant or			
Name of the Recipient	Recipient	Relationship	Status	contribution	Amount	
ARTS COUNCIL OF BIG SKY	501(c)(3)	NONE	PUBLIC CHARITY	GENERAL WELFARE	13,000	
BIG SKY COMMUNITY	501(c)(3)	NONE	PUBLIC CHARITY	GENERAL WELFARE	10,000	
BOSTON CHILDRENS HOSPITAL	501(c)(3)	NONE	PUBLIC CHARITY	MEDICAL	72,000	
BOYS AND GIRLS CLUB OF BOSTON INC	501(c)(3)	NONE	PUBLIC CHARITY	GENERAL WELFARE	63,000	
CAPE COD FOUNDATION	501(c)(3)	NONE	PUBLIC CHARITY	GENERAL WELFARE	10,000	
FACING HISTORY AND OURSELVES	501(c)(3)	NONE	PUBLIC CHARITY	GENERAL WELFARE	50,000	
FLYING KITES	501(c)(3)	NONE	PUBLIC CHARITY	GENERAL WELFARE	7,500	
NOBLE AND GREENOUGH SCHOOL	501(c)(3)	NONE	PUBLIC CHARITY	EDUCATION	75,000	
PAN MASSACHUSETS CHALLENGE	501(c)(3)	NONE	PUBLIC CHARITY	GENERAL WELFARE	50,000	
PARK SCHOOL	501(c)(3)	NONE	PUBLIC CHARITY	EDUCATION	50,000	
PRESIDENT AND FELLOWS OF HARVARD COLLEGE	501(c)(3)	NONE	PUBLIC CHARITY	EDUCATION	90,000	
THE PEACE INSTITUTE	501(c)(3)	NONE	PUBLIC CHARITY	GENERAL WELFARE	15,000	
TUFTS UNIVERSITY	501(c)(3)	NONE	PUBLIC CHARITY	EDUCATION	50,000	
WARREN MILLER PERFORMING ARTS	501(c)(3)	NONE	PUBLIC CHARITY	GENERAL WELFARE	10,000	
YELLOWSTONE CLUB COMMUNITY FOUNDATION	501(c)(3)	NONE	PUBLIC CHARITY	GENERAL WELFARE	100,000	
				-	665.500	

18.