

For calendar year 2017, or tax year beginning 12-01-2017, and ending 11-30-2018

Name of foundation The John C Griswold Family Foundation		A Employer identification number 13-2978937	
% Foundation Source			
Number and street (or P O box number if mail is not delivered to street address) Foundation Source 501 Silverside Rd	Room/suite	B Telephone number (see instructions) (800) 839-1754	
City or town, state or province, country, and ZIP or foreign postal code Wilmington, DE 198091377		C If exemption application is pending, check here ▶ ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ▶ ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16)▶ \$ 14,323,014	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ▶ ☒ If the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	3,680	3,680		
	4 Dividends and interest from securities	228,764	228,764		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	950,921			
	b Gross sales price for all assets on line 6a				
		4,998,142			
	7 Capital gain net income (from Part IV, line 2)		950,921		
	8 Net short-term capital gain				
	9 Income modifications				
Operating and Administrative Expenses	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	216	216		
	12 Total. Add lines 1 through 11	1,183,581	1,183,581		
	13 Compensation of officers, directors, trustees, etc	40			40
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	13,937	0	0	13,937
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	116,640	116,640		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	7,985	85		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	30,255			30,255
	22 Printing and publications				
	23 Other expenses (attach schedule)	61,868	114		61,754
	24 Total operating and administrative expenses. Add lines 13 through 23	230,725	116,839	0	105,986
	25 Contributions, gifts, grants paid	1,015,038			1,015,038
	26 Total expenses and disbursements. Add lines 24 and 25	1,245,763	116,839	0	1,121,024
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-62,182			
	b Net investment income (if negative, enter -0-)		1,066,742		
c Adjusted net income(if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	759,304	490,682	490,682
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	747,193	1,593,711	1,573,874
	b	Investments—corporate stock (attach schedule)	7,073,234	6,783,582	10,574,541
	c	Investments—corporate bonds (attach schedule)	2,092,363	1,742,390	1,683,917
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	10,672,094	10,610,365	14,323,014	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	10,672,094	10,610,365	
	30	Total net assets or fund balances (see instructions)	10,672,094	10,610,365	
31	Total liabilities and net assets/fund balances (see instructions) .	10,672,094	10,610,365		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,672,094
2	Enter amount from Part I, line 27a	2	-62,182
3	Other increases not included in line 2 (itemize) ▶ _____	3	453
4	Add lines 1, 2, and 3	4	10,610,365
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	10,610,365

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a Publicly-traded Securities			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,998,142		4,047,221	950,921
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			950,921
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	950,921
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	947,842	14,016,421	0 067624
2015	953,940	13,531,222	0 070499
2014	904,914	14,680,392	0 061641
2013	835,392	14,819,530	0 056371
2012	772,356	13,676,877	0 056472

2 Total of line 1, column (d)	2	0 312607
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 062521
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	14,429,393
5 Multiply line 4 by line 3	5	902,140
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	10,667
7 Add lines 5 and 6	7	912,807
8 Enter qualifying distributions from Part XII, line 4	8	1,121,024

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	10,667
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	10,667
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	10,667
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	16,386
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	22,134
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	11,467
11	Enter the amount of line 10 to be Credited to 2018 estimated tax 10,700 Refunded	11	767

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	Yes
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶	13	Yes	
14	The books are in care of ▶ Foundation Source Telephone no ▶ (800) 839-1754			

Located at ▶ 501 Silverside Road Suite 123 Wilmington DE ZIP+4 ▶ 198091377

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c	Yes	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	☒ Yes ☐ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	☐	5b	No
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>	☒ Yes ☐ No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870</i>	☐ Yes ☒ No	6b	No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	☐ Yes ☒ No	7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances

Total number of other employees paid over \$50,000. ▶

3

Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Foundation Source 55 Walls Drive 3rd floor Fairfield, CT 06824	Administrative	58,457

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	13,934,303
b	Average of monthly cash balances.	1b	714,827
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	14,649,130
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	14,649,130
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	219,737
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	14,429,393
6	Minimum investment return. Enter 5% of line 5.	6	721,470

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	721,470
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	10,667
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	10,667
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	710,803
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	710,803
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	710,803

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,121,024
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	1,121,024
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	10,667
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,110,357

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				710,803
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.				
b Total for prior years 2015, 2014, 2013				
3 Excess distributions carryover, if any, to 2017				
a From 2012. 108,741				
b From 2013. 122,988				
c From 2014. 179,886				
d From 2015. 284,063				
e From 2016. 264,649				
f Total of lines 3a through e.	960,327			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ <u>1,121,024</u>				
a Applied to 2016, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2017 distributable amount.				710,803
e Remaining amount distributed out of corpus	410,221			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,370,548			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions.				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions.				
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).	108,741			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a.	1,261,807			
10 Analysis of line 9				
a Excess from 2013. 122,988				
b Excess from 2014. 179,886				
c Excess from 2015. 284,063				
d Excess from 2016. 264,649				
e Excess from 2017. 410,221				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	1,015,038
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2017)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	***** Signature of officer or trustee	2019-10-15 Date	***** Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No
--

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
	Jeffrey D Haskell				P01345770
	Firm's name ▶ Foundation Source				Firm's EIN ▶
Firm's address ▶ One Hollow Ln Ste 212 Lake Success, NY 11042					Phone no (800) 839-1754

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Allyson Griswold Dayak 	Trustee 1 0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
David Earls 	Trustee 1 0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Jeffrey W Earls 	Trustee, Pres* 1 0	40	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
John G Earls 	Treas 1 0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
John V Earls 	Trustee, Sec 1 0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Kit Earls 	Trustee, sec* 1 0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Michael G Earls 	Trustee, VP*, Pres 1 0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Mark Griswold 	Trustee 1 0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
D Ross Griswold Jr 	Trustee, VP 1 0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AIDS SERVICE FOUNDATION OF GREATER KANSAS CITY PO BOX 32192 KANSAS CITY, MO 64171	N/A	PC	General & Unrestricted	12,500
BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BEACH, CA 92649	N/A	PC	General & Unrestricted	5,000
BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BEACH, CA 92649	N/A	PC	EONS (Eyes on Nest Sites), Adapt a Channel, New Bird Guides Program	43,000
Total 				1,015,038
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BUYAMBA INC 3625 E THOUSAND OAKS BLVD STE 265 WESTLAKE VILLAGE, CA 91362	N/A	PC	General & Unrestricted	10,000
CARDEN CONSERVATORY PARENT SUPPORT GROUP INC 5702 CLARK DR HUNTINGTON BEACH, CA 92649	N/A	PC	General & Unrestricted	10,000
CENTER FOR THE DEVELOPMENTALLY DISABLED 9150 E 41ST TERRACE KANSAS CITY, MO 64133	N/A	PC	General & Unrestricted	52,500
Total ▶ 3a				1,015,038

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHORUS AMERICA ASSOCIATION 1200 18TH ST NW STE 1250 WASHINGTON, DC 20036	N/A	PC	General & Unrestricted	7,000
CINCINNATI MUSICAL FESTIVAL ASSOC 1241 ELM ST CINCINNATI, OH 45202	N/A	PC	General & Unrestricted	3,000
CINCINNATI OPERA ASSOCIATION 1243 ELM ST MUSIC HALL CINCINNATI, OH 45202	N/A	PC	General & Unrestricted	5,000
Total 3a				1,015,038

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CINCINNATI PERMACULTURE INSTITUTE 3441 EVANSTON AVE CINCINNATI, OH 45207	N/A	PC	General & Unrestricted	40,000
CINCINNATI PLAYHOUSE IN THE PARK 962 MOUNT ADAMS CIR CINCINNATI, OH 45202	N/A	PC	General & Unrestricted	3,000
CONSPIRARE INC 1812 CENTRE CREEK DR STE 110 AUSTIN, TX 78754	N/A	PC	General & Unrestricted	3,000
Total ▶ 3a				1,015,038

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DEVELOPING POTENTIAL INC 251 NW EXECUTIVE WAY STE 200 LEES SUMMIT, MO 64063	N/A	PC	General & Unrestricted	5,000
DIRECT CONNECTIONS TO AFRICA INC 1278 GLENNEYRE ST LAGUNA BEACH, CA 92651	N/A	PC	General & Unrestricted	39,136
DRAGONFLY DEVELOPMENT RESOURCES INC 51 FRONT ST APT 327 CUMBERLAND, RI 02864	N/A	PF	Expenditure Responsibility grant	5,000
Total ► 3a				1,015,038

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DRAGONFLY DEVELOPMENT RESOURCES INC 51 FRONT ST APT 327 CUMBERLAND, RI 02864	N/A	PF	Expenditure Responsibility grant	40,000
DRAGONFLY DEVELOPMENT RESOURCES INC 51 FRONT ST APT 327 CUMBERLAND, RI 02864	N/A	PF	Expenditure Responsibility grant	5,000
EQUUS EFFECT37 DRUM RD SHARON, CT 06069	N/A	PC	General & Unrestricted	8,000
Total ▶ 3a				1,015,038

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FREE WHEELCHAIR MISSION 15279 ALTON PKWY STE 300 IRVINE, CA 92618	N/A	PC	General & Unrestricted	5,000
FRIENDS OF THE SANTA FE PUBLIC LIBRARY INC 145 WASHINGTON AVE SANTA FE, NM 87501	N/A	PC	General & Unrestricted	100
GREATER AUSTIN PERFORMING ARTS CENTER 701 W RIVERSIDE DR AUSTIN, TX 78704	N/A	PC	General & Unrestricted	20,000
Total ▶ 3a				1,015,038

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HEALTHY HOMES BLOCK BY BLOCK 2118 ST MICHAEL ST W ANX STE 2 CINCINNATI, OH 45204	N/A	PC	General & Unrestricted	2,000
HOPE COALITION100 S MARKET ST HOLDEN, MO 64040	N/A	PC	community sports activities	12,500
HORIZONS AT GREENS FARMS ACADEMY 35 BEACHSIDE AVE PO BOX 998 GREENS FARMS, CT 06838	N/A	PC	General & Unrestricted	5,000
Total ▶ 3a				1,015,038

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
IF I NEED HELPPPO BOX 803356 SANTA CLARITA, CA 91380	N/A	PC	General & Unrestricted	5,000
IL CUORE DI GIAMPY VIE SEMPIONE 21 INT 12 MILANO 20020 IT	N/A	NC	Expenditure Responsibility Grant	5,000
INSPIREINC25 FLEMING LN FAIRFIELD, CT 06824	N/A	PC	General & Unrestricted	18,800
Total ▶ 3a				1,015,038

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JOBONE1085 S YUMA AVE INDEPENDENCE, MO 64056	N/A	PC	General & Unrestricted	12,500
KANSAS CITY ACTORS THEATRE INC PO BOX 414260 KANSAS CITY, MO 64141	N/A	PC	General & Unrestricted	12,500
KLEIN MEMORIAL AUDITORIUM FOUNDATION INC 910 FAIRFIELD AVE BRIDGEPORT, CT 06605	N/A	PC	General & Unrestricted	5,000
Total ▶ 3a				1,015,038

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LINTON INCORPORATED 1241 ELM ST CINCINNATI MUSIC HALL CINCINNATI, OH 45202	N/A	PC	Linton Music Series	5,000
LONG BEACH MUSEUM OF ART FOUNDATION 2300 E OCEAN BLVD LONG BEACH, CA 90803	N/A	PC	General & Unrestricted	15,000
METRO DETROIT SHARE GROUP 10227 CONTINENTAL DR TAYLOR, MI 48180	N/A	PC	General & Unrestricted	15,000
Total ▶ 3a				1,015,038

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MILLIKIN UNIVERSITY1184 W MAIN ST DECATUR, IL 62522	N/A	PC	Exercise Science & Sports Pavilion Project	50,000
MILLIKIN UNIVERSITY1184 W MAIN ST DECATUR, IL 62522	N/A	PC	Lynn Louise Griswold Scholarship FUND	8,245
NATIONAL MULTIPLE SCLEROSIS SOCIETY 4440 LAKE FOREST DR STE 120 CINCINNATI, OH 45242	N/A	PC	General & Unrestricted	4,000
Total ▶ 3a				1,015,038

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NIMBUS ARTS649 MAIN ST SAINT HELENA, CA 94574	N/A	PC	General & Unrestricted	20,000
NORTHERN NEW MEXICO RADIO FOUNDATION 6401 S RICHARDS AVE SANTA FE, NM 87508	N/A	PC	General & Unrestricted	13,038
OC HABITATS 15333 CULVER DR STE 340 673 IRVINE, CA 92604	N/A	PC	General & Unrestricted	10,000
Total ▶ 3a				1,015,038

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PHOENIX ASSOCIATION INC 5 RIVER RD STE 243 WILTON, CT 06897	N/A	PC	General & Unrestricted	17,000
PIVOT MINISTRIES INC485 JANE ST BRIDGEPORT, CT 06608	N/A	PC	General & Unrestricted	10,000
PIVOT MINISTRIES INC485 JANE ST BRIDGEPORT, CT 06608	N/A	PC	Charitable Event	1,400
Total ▶ 3a				1,015,038

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PLAY ACTION FLAG FOOTBALL INC 1768 N BRIGANTINE LN VILLA PARK, CA 92867	N/A	PC	General Fund, Division 2 Saints fund	2,500
POP WARNER LITTLE SCHOLARS INC - TUSTIN YOUTH FOOT PO BOX 1345 TUSTIN, CA 92780	N/A	PC	Mike Mallard Scholarship fund	15,000
POWELL GARDENS INC 1609 NW US HWY 169 KINGSVILLE, MO 64061	N/A	PC	General & Unrestricted	25,000
Total ▶ 3a				1,015,038

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PROSPECT HOUSE INC 682 HAWTHORNE AVE CINCINNATI, OH 45205	N/A	PC	General & Unrestricted	30,000
READWORKS INCPO BOX 461 NEW YORK, NY 10101	N/A	PC	General & Unrestricted	60,000
RESTART INC918 E 9TH ST KANSAS CITY, MO 64106	N/A	PC	General & Unrestricted	12,500
Total ▶ 3a				1,015,038

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ST HELENA MONTESSORI SCHOOL INC 880 COLLEGE AVE SAINT HELENA, CA 94574	N/A	PC	General & Unrestricted	33,000
ST HELENA MONTESSORI SCHOOL INC 880 COLLEGE AVE SAINT HELENA, CA 94574	N/A	PC	to seed fund to the SHMS Orchestra for its instruments and related equipment and programs	15,000
STRAY ANIMAL ADOPTION PROGRAM INC PO BOX 72040 NEWPORT, KY 41072	N/A	PC	General & Unrestricted	15,000
Total ▶ 3a				1,015,038

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE FRIDA CINEMA305 E 4TH ST SANTA ANA, CA 92701	N/A	PC	Projector Fix Fund	20,000
THE FRIDA CINEMA305 E 4TH ST SANTA ANA, CA 92701	N/A	PC	Mural project by Trace Mendoza	18,000
THE FRIDA CINEMA305 E 4TH ST SANTA ANA, CA 92701	N/A	PC	POS System	1,200
Total ▶ 3a				1,015,038

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE HEART OF AMERICA SHAKESPEARE FESTIVAL 3732 MAIN ST KANSAS CITY, MO 64111	N/A	PC	General & Unrestricted	25,000
THE HEART OF AMERICA SHAKESPEARE FESTIVAL 3732 MAIN ST KANSAS CITY, MO 64111	N/A	PC	Marilyn Strauss Legacy Fund	25,000
THE RIVERBROOK REGIONAL YOUNG MENS CHRISTIAN ASSOC 404 DANBURY RD WILTON, CT 06897	N/A	PC	Court Renovation Project	5,000
Total ▶ 3a				1,015,038

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TIKKUN FARM INC7941 ELIZABETH ST CINCINNATI, OH 45231	N/A	PC	General & Unrestricted	10,000
UNIVERSITY OF CALIFORNIA IRVINE FOUNDATION 100 THEORY STE 250 IRVINE, CA 92617	N/A	PC	research program being conducted by Dr Thay Lee	15,000
UNIVERSITY OF SAN DIEGO 5998 ALCALA PARK SAN DIEGO, CA 92110	N/A	PC	Daniel Burkett Memorial Scholarship fund	5,000
Total ▶ 3a				1,015,038

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF UTAH 201 PRESIDENTS CIR RM 203 SALT LAKE CTY, UT 84112	N/A	PC	University of Utah College of Nursing Scholarship fund	14,619
VITAL OPERA INC 8710 34TH AVE APT 2B JACKSON HTS, NY 11372	N/A	PC	General & Unrestricted	10,000
VOCAL ARTS ENSEMBLE OF CINCINNATI 1241 ELM ST CINCINNATI, OH 45202	N/A	PC	General & Unrestricted	50,000
Total ▶ 3a				1,015,038

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WETLANDS AND WILDLIFE CARE CENTER 21900 PACIFIC COAST HWY HUNTINGTN BCH, CA 92646	N/A	PC	Washer Dryer Fridge 86'er box Project	25,000
WOMEN MAKE MOVIES INC 115 W 29TH ST STE 1200 NEW YORK, NY 10001	N/A	PC	Dyslexiaville Program	5,000
WOUNDED WARRIORS FAMILY SUPPORT INC 11218 JOHN GALT BLVD STE 103 OMAHA, NE 68137	N/A	PC	General & Unrestricted	5,000
Total ▶ 3a				1,015,038

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YOUNG LIFEPO BOX 70065 PRESCOTT, AZ 86304	N/A	PC	camp program in San Luis Obispo, CA	5,000
Total ▶ 3a				1,015,038

TY 2017 Compensation Explanation**Name:** The John C Griswold Family Foundation**EIN:** 13-2978937

Person Name	Explanation
Jeffrey W Earls	*Removed from position in 2018
Kit Earls	*removed from position in 2018
Michael G Earls	*Removed from position in 2018

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2017 Depreciation Schedule

Name: The John C Griswold Family Foundation

EIN: 13-2978937

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2017 Expenditure Responsibility Statement

Name: The John C Griswold Family Foundation

EIN: 13-2978937

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
DRAGONFLY DEVELOPMENT RESOURCES INC	51 FRONT ST CUMBERLAND, RI 02864	2017-01-12	40,000	TO HELP FUND THE PURPLE CRAYON PROJECT	40,000	NO	6/6/18		NONE NECESSARY
DRAGONFLY DEVELOPMENT RESOURCES INC	51 FRONT ST CUMBERLAND, RI 02864	2017-07-03	5,000	TO HELP FUND THE PURPLE CRAYON PROJECT	5,000	NO	6/6/18		NONE NECESSARY
IL CUORE DI GIAMPY	VIE SEMPIONE 21 INT 12 MILANO 20020 IT	2018-02-28	5,000	To support the Infant Care Training project	2,400	NO	7/10/19		NONE NECESSARY
DRAGONFLY DEVELOPMENT RESOURCES INC	51 FRONT ST CUMBERLAND, RI 02864	2018-03-02	40,000	To support the Boon Project	40,000	NO	7/10/19		NONE NECESSARY
DRAGONFLY DEVELOPMENT RESOURCES INC	51 FRONT ST CUMBERLAND, RI 02864	2018-03-20	5,000	To support the Boon Project	5,000	NO	7/10/19		NONE NECESSARY
DRAGONFLY DEVELOPMENT RESOURCES INC	51 FRONT ST CUMBERLAND, RI 02864	2018-07-06	5,000	To support the Boon Project	5,000	NO	7/10/19		NONE NECESSARY

TY 2017 Investments Corporate Bonds Schedule

Name: The John C Griswold Family Foundation

EIN: 13-2978937

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
APPLE INC - 3.250% - 02/23/202	75,212	72,053
AT&T INC GLBL NT - 3.000% - 02	149,646	146,453
BANK OF AMERICA - 2.600% - 01/	100,827	99,957
BLACKROCK INC FR - 3.375% - 06	159,685	150,004
CAPITAL ONE CC TR SER 2015-2A	98,511	98,555
CITIBANK CCIT SER 2014-A1 CL A	99,626	99,454
CITIGROUP INC - 1.875% - 03/31	50,168	48,098
CITIGROUP INC - 2.500% - 07/29	50,384	49,780
COMCAST CORP BOND - 2.850% - 0	149,684	144,649
DEERE JOHN CAP CORP - 2.800% -	95,850	96,872
JPMORGAN CHASE & CO - 4.350% -	108,388	101,604
MORGAN STANLEY - 2.500% - 01/2	50,736	49,952
MORGAN STANLEY BD - 5.500% - 0	86,186	78,219
ORACLE CORP - 3.400% - 07/08/2	131,613	122,842
PEPSICO INC NOTES - 4.500% - 0	156,801	152,456
PFIZER INC NT - 3.400% - 05/15	52,866	49,656
WELLS FARGO & COMPANY - 2.600%	50,005	49,213
WELLS FARGO - 3.000% - 01/22/2	76,202	74,100

TY 2017 Investments Corporate Stock Schedule

Name: The John C Griswold Family Foundation
EIN: 13-2978937

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ADOBE SYSTEMS, INC	31,887	63,726
AIA GROUP LTD ADR	26,030	24,802
ALEXION PHARMACEUTICALS, INC	13,287	12,315
ALIBABA GROUP HOLDING LTD	23,310	23,325
ALLERGAN PLC	125,525	72,349
ALLIANCE DATA SYSTEM CORP	20,433	44,280
ALPHABET INC CL A	10,079	38,838
ALPHABET INC CL C	115,923	269,229
AMADEUS IT HLDS SA	24,717	19,093
AMAZON COM	91,878	316,061
AMERICAN TOWER REIT INC	109,221	147,548
AMERIPRISE FINANCIAL INC	12,209	30,881
AMETEK INC	7,046	31,795
AMPHENOL CORPORATION	9,806	31,307
ANALOG DEVICES INC	56,438	61,954
ANSYS INC	6,267	33,214
APPLE INC	4,660	150,900
APTIV PLC	24,250	25,740
ASHLAND GLOBAL HOLDINGS	13,890	18,835
ASML HOLDING NV NY REG SHS	20,906	18,849
ASSA ABLOY UNSP/ADR	18,314	16,612
AUTODESK, INC	30,740	41,472
AUTOMATIC DATA PROCESSING INC	45,906	111,892
AUTOZONE INC	6,950	64,726
BAE SYSTEMS PLC	20,503	16,278
BANCO SANTANDER CEN	20,848	18,602
BARCLAYS ETN PLUS SELECT MLP	286,134	294,617
BERRY PLASTICS GROUP INC	10,523	31,148
BHP BILLITON LIMITED	18,910	16,990
BLACKROCK INC	58,879	83,462

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BOEING CO	21,320	98,827
BORG WARNER INC	18,216	17,257
BRIGHT HORIZONS FAMILY SOLUTIO	13,639	20,929
BROOKFIELD ASSET MANAGEMENT CL	10,673	10,575
BROWN FORMAN CORP CL B	5,604	18,802
CANADIAN NATL RAILWAY CO	15,362	14,933
CAPITAL ONE FINANCIAL CORP	48,487	70,130
CARTER'S INC	26,511	26,270
CENTENE CORP	29,935	33,571
CHEVRON CORP	91,314	90,870
CHUBB LIMITED	20,133	19,526
CHURCH & DWIGHT	8,501	37,728
CIGNA CORP	71,334	110,126
CIMAREX ENERGY CO	22,593	17,790
CISCO SYSTEMS INC	54,533	148,397
CITIGROUP INC	105,900	128,738
CITRIX SYSTEMS INC	7,383	17,217
COGNIZANT TECHNOLOGY SOLUTIONS	9,176	54,277
COMCAST CORP	61,194	110,320
CONCHO RESOURCES INC	13,508	26,068
CONTL AG SPONS ADR	15,617	12,792
COOPER COMPANIES INC	20,906	23,422
CROWN HOLDINGS INC	19,820	26,614
CVS CAREMARK CORP	51,342	74,345
DAIKIN INDS LTD ADR	23,432	19,229
DANAHER CORP	62,831	149,303
DBS GROUP HLDGS SPON ADR	20,048	18,802
DEUTSCHE BOERSE ADR	11,580	10,769
DIAGEO PLC ADS	23,374	23,962
DOLLAR GENERAL CORP	30,771	70,368

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DOLLAR TREE INC	6,043	43,992
DOWDUPONT INC	115,514	95,163
EDWARDS LIFESCIENCES	20,702	38,882
ENCOMPASS HEALTH CORPORATION	17,820	53,249
EOG RESOURCES INC	66,407	76,553
EPAM SYSTEMS INC	15,033	57,831
EQUINIX, INC	8,955	35,060
EURONET WORLDWIDE INC	62,600	87,384
EXACT SCIENCES CORPORATION	23,831	35,637
EXPEDIA INC	103,750	86,606
EXPRESS SCRIPTS HOLDING CO	55,463	110,196
F M C CP	18,311	32,848
FANUC LIMITED UNSPONSORED	19,510	16,604
FIDELITY NATIONAL INFORMATION	94,667	183,623
FISERV INC	39,732	202,415
FLEETCOR TECHNOLGIES INC	22,296	27,656
FOMENTO ECONOMICO MEXICANO	17,702	16,049
FORTIVE CORPORATION	37,465	74,625
GLENCORE XSTRATA PLC ADR	8,270	7,067
GLOBAL PAYMENTS INC	23,503	45,507
GRIFOLS SA REP 1/2 CL B	24,934	23,506
HCA INC	17,065	35,710
HD SUPPLY HLDGS INC	19,938	27,691
HDFC BANK LTD ADR	24,817	27,586
HEXCEL CP DELAWARE	21,059	24,915
HOME DEPOT INC	39,018	90,881
HONEYWELL INTL	117,488	133,836
HSBC HOLDINGS PLC	21,684	20,504
HUBBELL INCORPORATED	29,580	29,743
HUMANA INC	4,500	35,583

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ICON PLC - AMERICAN DEPOSITARY	25,429	24,458
IHS MARKIT LTD	13,777	22,362
INTERCONTINENTAL EXCHANGE, INC	142,861	192,859
INTERCONTINENTAL HOTELS GROUP	19,612	17,303
INTERXION HOLDING N.V	18,127	16,688
ISHARES MSCI PACIFIC EX-JAPAN	317,496	409,544
ISHARES TRUST- ISHARES MSCI AL	75,811	73,447
JAZZ PHARMACEUTICALS INC	26,897	26,158
JOHNSON & JOHNSON	93,031	152,335
JONES LANG LASALLE	9,835	20,621
JP MORGAN CHASE	63,759	167,452
JULIUS BAER GROUP LTD	22,176	16,842
KANSAS CITY SOUTHERN	12,633	23,702
KAR AUCTION SERVICES INC	20,666	20,056
KINDER MORGAN INC	67,413	56,724
L'AIR LIQUIDE ADR OTC	19,914	18,537
LAS VEGAS SANDS CORP	15,683	14,284
LIGAND PHARMACEUTICALS INC	9,974	13,884
LINDE PLC COM	97,746	95,112
LKQ CORPORATION	21,630	21,047
LONDON STK EXCHANGE GROUP	20,264	16,718
LUXOTTICA GROUP SPON ADR EACH	19,521	16,936
LVMH MOET HENN UNSP	23,588	18,583
MARRIOTT INTERNATIONAL INC. CL	9,568	33,359
MARRIOTT VACATIONS WORLDWIDE C	27,088	21,274
MASCO CORP	20,071	16,986
MEDTRONIC PLC	122,005	151,562
MERCADOLIBRE, INC	16,347	17,950
MERCK & CO INC	42,813	96,557
MICROCHIP TECHNOLOGY INC	14,377	43,725

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MICROSOFT CORP	76,807	277,557
MOHAWK INDS INC	9,180	15,751
MONSTER BEVERAGE CORP	3,559	48,520
MSCI INC	10,862	20,422
NEW ORIENTAL ED & TECHNOLOGY G	13,575	12,861
NEXTERA ENERGY, INC	39,336	67,596
NIDEC CORPORATION ADR	26,300	24,024
NOVARTIS AG ADR	25,234	27,093
NTT DOCOMO	16,212	13,878
O'REILLY AUTOMOTIVE INC	22,396	31,557
OCCIDENTAL PETROLEUM CORP	63,711	58,465
ORACLE CORP	64,194	122,680
PARKER HANNIFIN CP	22,175	25,290
PENTAIR INC. COM	11,743	12,639
PEPSICO INC	61,063	100,235
PIONEER NAT RES CO	69,787	60,430
PRA HEALTH SCIENCES INC COM	27,254	38,874
PRUDENTIAL FINCL INC	79,780	86,728
PTC INC	27,022	51,980
QUALCOMM INC	107,324	99,508
RAYTHEON CO	66,663	58,213
RED HAT, INC	22,590	42,676
RESIDEO TECHNOLOGIESINC COM	3,532	3,136
REXNORD CORP	21,521	25,479
RITCHIE BROS AUCTIONEERS	20,966	19,929
ROPER INDUSTRIES	12,333	44,341
ROSS STORES, INC	18,207	50,896
ROYAL DUTCH SHEL PLC SPONS ADR	22,339	19,601
SBA COMMUNICATIONS CORP	14,706	44,411
SCHLUMBERGER LTD	22,286	16,236

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEVEN & I HOLDINGS C	13,668	13,254
SIEMENS AG	11,870	10,505
SKYWORKS SOLUTIONS, INC	8,224	26,052
SMITH & NEPHEW PLC ADR	15,151	15,314
SPROUTS FARMERS MARKET INC	22,221	23,066
STRYKER CORPORATION	18,608	68,078
SUNCOR ENERGY INC	18,103	14,724
SYMRISE AG UNSPONSORED	19,209	17,049
SYSMEX CORP UNSP ASDR	24,402	14,838
T-MOBILE US INC	63,769	73,584
TAIWAN SEMICONDUCTOR MFG CO LT	21,451	18,269
TD AMERITRADE HOLDING CORP	17,388	29,111
TE CONNECTIVITY LTD	20,840	27,079
TELEFLEX INC	26,845	32,500
TENCENT HOLDINGS LIMITED	25,150	24,248
THERMO FISHER SCIENTIFIC INC	55,642	104,312
TJX COMPANIES INC	42,880	64,873
TOKIO MARINE HOLDINGS INC	13,963	13,954
TRACTOR SUPPLY CO COM	11,055	24,448
TRANSUNION COM USD0.01	20,673	32,091
ULTA SALON, COSMETICS & FRAGRA	10,566	20,250
UNION PACIFIC	51,015	103,340
UNITED TECHNOLOGIES CORP	97,720	128,541
UNITEDHEALTH GROUP INC	31,062	193,576
UNIVERSAL HLTH SVC B	21,405	32,290
US BANCORP	71,566	106,469
V F CORP	23,647	63,325
VISA INC	103,122	211,715
WELLS FARGO & CO	96,680	141,074
WESTERN ALLIANCE BANCORPORATIO	25,948	20,529

Name of Stock	End of Year Book Value	End of Year Fair Market Value
WEX, INC	12,590	27,740
WORLDPAY INC	17,250	64,615
XILINX INC	20,685	27,004
YUM CHINA HOLDINGS INC	18,282	18,954

TY 2017 Investments Government Obligations Schedule**Name:** The John C Griswold Family Foundation**EIN:** 13-2978937**US Government Securities - End
of Year Book Value:**

1,593,711

**US Government Securities - End
of Year Fair Market Value:**

1,573,874

**State & Local Government
Securities - End of Year Book
Value:****State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2017 Legal Fees Schedule**Name:** The John C Griswold Family Foundation**EIN:** 13-2978937

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Document Review/Drafting	13,937			13,937

TY 2017 Other Expenses Schedule**Name:** The John C Griswold Family Foundation**EIN:** 13-2978937**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	58,457			58,457
Bank Charges	114	114		
Indemnification Insurance	2,472			2,472
State or Local Filing Fees	750			750
FOUNDATION MEMBERSHIPS & DUES	75			75

TY 2017 Other Income Schedule

Name: The John C Griswold Family Foundation

EIN: 13-2978937

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Class Action Lawsuit Proceeds	216	216	

TY 2017 Other Increases Schedule

Name: The John C Griswold Family Foundation
EIN: 13-2978937

Description	Amount
RETURN OF DISBURSEMENT IN ERROR	453

TY 2017 Other Professional Fees Schedule**Name:** The John C Griswold Family Foundation**EIN:** 13-2978937

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management Services	116,640	116,640		

TY 2017 Taxes Schedule**Name:** The John C Griswold Family Foundation**EIN:** 13-2978937

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990-PF Estimated Tax for 2018	7,100			
990-PF Extension for 2017	800			
Foreign Tax Paid	85	85		