

For calendar year 2017, or tax year beginning 11-01-2017, and ending 10-31-2018

Name of foundation THE NORMAN AND ESTHER ALLAN FOUNDATION		A Employer identification number 38-6089688	
Number and street (or P O box number if mail is not delivered to street address) 27777 FRANKLIN ROAD SUITE 2500		Room/suite	B Telephone number (see instructions) (248) 351-3000
City or town, state or province, country, and ZIP or foreign postal code SOUTHFIELD, MI 480348214		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 2,085,263		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis )			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	101,501			
	2 Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	3 Interest on savings and temporary cash investments . . . . .	15,096	15,096		
	4 Dividends and interest from securities . . . . .	75,809	75,809		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	339,224			
	b Gross sales price for all assets on line 6a _____ 1,955,386				
	7 Capital gain net income (from Part IV, line 2) . . . . .		339,224		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 Total. Add lines 1 through 11 . . . . .	531,630	430,129		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .	5,903	0		0
	b Accounting fees (attach schedule) . . . . .	3,685	0		0
	c Other professional fees (attach schedule) . . . . .				
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions) . . . . .	4,365	89		0
	19 Depreciation (attach schedule) and depletion . . . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	29,265	29,245		0
	24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .	43,218	29,334		0
	25 Contributions, gifts, grants paid . . . . .	1,125,000			1,125,000
	26 Total expenses and disbursements. Add lines 24 and 25	1,168,218	29,334		1,125,000
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-636,588			
	b Net investment income (if negative, enter -0-)		400,795		
c Adjusted net income(if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	80,676		
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)	442,118	381,614	364,753
	b	Investments—corporate stock (attach schedule) . . . . .	1,225,667	899,883	1,113,401
	c	Investments—corporate bonds (attach schedule) . . . . .	0	43,821	42,218
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .	779,853	573,237	564,891
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	2,528,314	1,898,555	2,085,263	
Liabilities	17	Accounts payable and accrued expenses . . . . .		41,464	
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	0	41,464	
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here</b> <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>				
	27	Capital stock, trust principal, or current funds . . . . .	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	2,528,314	1,857,091	
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	2,528,314	1,857,091		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) .	2,528,314	1,898,555		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,528,314
2	Enter amount from Part I, line 27a . . . . .	2	-636,588
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3 . . . . .	4	1,891,726
5	Decreases not included in line 2 (itemize) ▶ _____	5	34,635
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,857,091

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

<b>2</b> Capital gain net income or (net capital loss) <span style="float: right;">{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }</span>	<b>2</b>	339,224
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 <span style="float: right;">{ }</span>	<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	84,500	2,799,015	0 030189
2015	222,207	2,732,193	0 081329
2014	138,371	2,903,254	0 047661
2013	115,000	2,906,928	0 039561
2012	100,000	2,547,701	0 039251

  

<b>2</b> Total of line 1, column (d) . . . . .	<b>2</b>	0 237991
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .	<b>3</b>	0 047598
<b>4</b> Enter the net value of noncharitable-use assets for 2017 from Part X, line 5 . . . . .	<b>4</b>	2,868,309
<b>5</b> Multiply line 4 by line 3 . . . . .	<b>5</b>	136,526
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b) . . . . .	<b>6</b>	4,008
<b>7</b> Add lines 5 and 6 . . . . .	<b>7</b>	140,534
<b>8</b> Enter qualifying distributions from Part XII, line 4 , . . . . .	<b>8</b>	1,125,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	4,008
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	4,008
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	4,008
<b>6</b>	Credits/Payments		
<b>a</b>	2017 estimated tax payments and 2016 overpayment credited to 2017	<b>6a</b>	3,200
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	0
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	3,200
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	0
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	808
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2018 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1)</b> On the foundation <b>►</b> \$ _____ <b>(2)</b> On foundation managers <b>►</b> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <b>►</b> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T</i>	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <b>►</b> MI _____		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .	<b>10</b>	No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>▶</b> N/A	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of <b>▶</b> JAFFE RAITT HEUER WEISS PC Telephone no <b>▶</b> (248) 351-3000			

Located at **▶** 27777 FRANKLIN ROAD SUITE 2500 SOUTHFIELD MI ZIP+4 **▶** 480345026

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . <b>▶</b> <b>15</b>			
<b>16</b>	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country <b>▶</b>	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly)		<b>Yes</b>	<b>No</b>
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. <b>▶</b> <input type="checkbox"/>	<b>1b</b>		
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? <input type="checkbox"/>	<b>1c</b>		<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>▶</b> 20____, 20____, 20____, 20____			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/>	<b>2b</b>		
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here <b>▶</b> 20____, 20____, 20____, 20____			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). <input type="checkbox"/>	<b>3b</b>		
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	<b>4b</b>		<b>No</b>

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

<b>5a</b>	During the year did the foundation pay or incur any amount to				
	<b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	<b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	<b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	<b>(4)</b> Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	<b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		<b>5b</b>		
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>			
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No			
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>				
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		<b>6b</b>	<b>No</b>	
	<i>If "Yes" to 6b, file Form 8870</i>				
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		<b>7b</b>		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ARTHUR A WEISS 27777 FRANKLIN ROAD SUITE 2500 SOUTHFIELD, MI 480348214	TRUSTEE 0 00	0	0	0
IRA J JAFFE 27777 FRANKLIN ROAD SUITE 2500 SOUTHFIELD, MI 480348214	PRESIDENT/TREASURER/TRUSTEE 1 00	0	0	0
JOEL S GOLDEN 27777 FRANKLIN ROAD SUITE 2500 SOUTHFIELD, MI 480348214	SECRETARY/TRUSTEE 1 00	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000. . . . . **0****3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total** number of others receiving over \$50,000 for professional services. . . . . **0****Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b> N/A	0
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> N/A	0
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3	0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	2,807,173
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	104,816
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	2,911,989
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	2,911,989
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	43,680
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	2,868,309
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	143,415

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	143,415
<b>2a</b>	Tax on investment income for 2017 from Part VI, line 5.	<b>2a</b>	4,008
<b>b</b>	Income tax for 2017 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	4,008
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	139,407
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	0
<b>5</b>	Add lines 3 and 4.	<b>5</b>	139,407
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	139,407

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	1,125,000
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	1,125,000
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	<b>5</b>	4,008
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	1,120,992

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
<b>1</b> Distributable amount for 2017 from Part XI, line 7				139,407
<b>2</b> Undistributed income, if any, as of the end of 2017				
<b>a</b> Enter amount for 2016 only. . . . .			105,171	
<b>b</b> Total for prior years 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2017				
<b>a</b> From 2012. . . . .				
<b>b</b> From 2013. . . . .				
<b>c</b> From 2014. . . . .				
<b>d</b> From 2015. . . . .				
<b>e</b> From 2016. . . . .				
<b>f</b> Total of lines 3a through e. . . . .	0			
<b>4</b> Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 1,125,000				
<b>a</b> Applied to 2016, but not more than line 2a			105,171	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2017 distributable amount. . . . .				139,407
<b>e</b> Remaining amount distributed out of corpus	880,422			
<b>5</b> Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a) )	0			0
<b>6 Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	880,422			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9 Excess distributions carryover to 2018.</b> Subtract lines 7 and 8 from line 6a . . . . .	880,422			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2013. . . . .				
<b>b</b> Excess from 2014. . . . .				
<b>c</b> Excess from 2015. . . . .				
<b>d</b> Excess from 2016. . . . .				
<b>e</b> Excess from 2017. . . . .	880,422			

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a. The name, address, and telephone number or email address of the person to whom applications should be addressed

- b. The form in which applications should be submitted and information and materials they should include**

- c Any submission deadlines

- Form
- 990-PF**
- (2017)

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i> FRANKEL JEWISH ACADEMY OF METROPOLITAN DETROIT 6600 W MAPLE ROAD WEST BLOOMFIELD, MI 48322	NONE	PUBLIC CHARITY	EDUCATION	25,000
YESHIVA BETH YEHUDA 15751 WEST LINCOLN DRIVE SOUTHFIELD, MI 48037	NONE	PUBLIC CHARITY	EDUCATION	1,000,000
UNITED JEWISH FEDERATION OF METROPOLITAN DETROIT 6735 TELEGRAPH ROAD BLOOMFIELD HILLS, MI 48303	NONE	PUBLIC CHARITY	RELIGIOUS	100,000
<b>Total . . . . .</b>			<b>▶ 3a</b>	1,125,000
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>			<b>▶ 3b</b>	0

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .			14	15,096	
<b>4</b> Dividends and interest from securities. . . . .			14	75,809	
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .			18	339,224	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue <b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal. Add columns (b), (d), and (e). . . . .		0		430,129	0
<b>13</b> <b>Total.</b> Add line 12, columns (b), (d), and (e). . . . .			<b>13</b>		430,129

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		<b>Yes</b>	<b>No</b>
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of			
<b>(1)</b> Cash. . . . .	<b>1a(1)</b>		<b>No</b>
<b>(2)</b> Other assets. . . . .	<b>1a(2)</b>		<b>No</b>
<b>b</b> Other transactions			
<b>(1)</b> Sales of assets to a noncharitable exempt organization. . . . .	<b>1b(1)</b>		<b>No</b>
<b>(2)</b> Purchases of assets from a noncharitable exempt organization. . . . .	<b>1b(2)</b>		<b>No</b>
<b>(3)</b> Rental of facilities, equipment, or other assets. . . . .	<b>1b(3)</b>		<b>No</b>
<b>(4)</b> Reimbursement arrangements. . . . .	<b>1b(4)</b>		<b>No</b>
<b>(5)</b> Loans or loan guarantees. . . . .	<b>1b(5)</b>		<b>No</b>
<b>(6)</b> Performance of services or membership or fundraising solicitations. . . . .	<b>1b(6)</b>		<b>No</b>
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .	<b>1c</b>		<b>No</b>
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received.			

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<b>Sign Here</b>	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	*****	2019-02-02	*****
	Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr )? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
---

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	RAYMOND J GHERSI		2019-01-23		P00177156
	Firm's name <b>►</b> BERGER GHERSI & LADUKE PLC				Firm's EIN <b>►</b> 38-2517534
Firm's address <b>►</b> 300 EAST LONG LAKE ROAD STE 135 BLOOMFIELD HILLS, MI 483042374					Phone no (248) 333-3680

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
PUBLICLY TRADED SEC-MERRILL LYNCH #689-03038	P		
PUBLICLY TRADED SEC-MERRILL LYNCH #689-04532	P		
PUBLICLY TRADED SEC-MERRILL LYNCH #689-04532	P		
PUBLICLY TRADED SEC-MERRILL LYNCH #689-03781	P		
PUBLICLY TRADED SEC-MERRILL LYNCH #689-03780	P		
PUBLICLY TRADED SEC-MERRILL LYNCH #689-03780(CIL)	P		
PUBLICLY TRADED SEC-MERRILL LYNCH #689-03036	P		
PUBLICLY TRADED SEC-MERRILL LYNCH #689-03036(CIL)	P		
PUBLICLY TRADED SEC-MERRILL LYNCH #689-03039	P		
PUBLICLY TRADED SEC-MERRILL LYNCH #689-04662	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
193,752		211,845	-18,093
20,119		20,232	-113
15			15
225,923		149,930	75,993
489,313		379,999	109,314
49			49
609,207		418,674	190,533
10			10
394,279		412,051	-17,772
22,655		23,431	-776

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-18,093
			-113
			15
			75,993
			109,314
			49
			190,533
			10
			-17,772
			-776

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co )	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo , day, yr )	<b>(d)</b> Date sold (mo , day, yr )
PUBLICLY TRADED SEC-MERRILL LYNCH #689-03781(CIL)	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	Depreciation allowed <b>(f)</b> (or allowable)	Cost or other basis <b>(g)</b> plus expense of sale	Gain or (loss) <b>(h)</b> (e) plus (f) minus (g)
64			64

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>(i)</b> F M V as of 12/31/69	Adjusted basis <b>(j)</b> as of 12/31/69	Excess of col (i) <b>(k)</b> over col (j), if any	
			64

**TY 2017 Accounting Fees Schedule****Name:** THE NORMAN AND ESTHER ALLAN FOUNDATION**EIN:** 38-6089688**Accounting Fees Schedule**

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
ACCOUNTING	3,685	0		0



**TY 2017 Investments Corporate Bonds Schedule****Name:** THE NORMAN AND ESTHER ALLAN FOUNDATION**EIN:** 38-6089688**Investments Corporate Bonds Schedule**

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
4000 CITIGROUP INC	3,985	3,825
2000 ENTERPRISE PRODUCTS	2,331	2,139
3000 GENERAL ELEC CAP CORP	3,474	3,097
5000 JPMORGAN CHASE & CO	5,120	5,084
5000 MICROSOFT CORP GLB	4,928	4,602
3000 MORGAN STANLEY GLB	2,913	2,810
4000 SHELL INTERNATIONAL FIN	3,696	3,631
5000 VERIZON COMMUNICATIONS GLB	5,367	5,305
2000 BP CAPITAL MARKETS PLC	2,010	1,958
4000 WELLS FARGO & COMPANY	3,907	3,807
6000 GOLDMAN SACHS GROUP INC	6,090	5,960

**TY 2017 Investments Corporate Stock Schedule**

**Name:** THE NORMAN AND ESTHER ALLAN FOUNDATION  
**EIN:** 38-6089688

Name of Stock	End of Year Book Value	End of Year Fair Market Value
27 SHS 3M COMPANY	5,301	5,137
152 SHS ABBOT LABS	9,015	11,540
75 SHS ABBVIE INC	6,041	5,839
79 SHS ACCENTURE PLC	7,252	12,452
71 SHS AGILIENT TECHNOLOGIES	4,921	4,600
36 SHS AMDOCS LIMITED	2,148	2,278
39 SHS ADOBE SYS DEL PV\$	7,624	9,585
37 SHS AIR PRODUCTS&CHEM	5,571	5,711
17 SHS ALIGN TECH INC	5,431	3,760
18 SHS ALPHABET INC CL A	10,368	19,630
9 SHS ALPHABET INC CL C	6,711	9,691
140 SHS ALTRIA GROUP INC	9,379	9,106
17 SHS AMAZON COM INC	9,882	27,166
14 SHS AMEDISYS	781	1,540
37 SHS AMERICAN EXPRESS COMPANY	3,706	3,801
11 SHS AMERICAN STATES WATER COMPANY	209	673
55 SHS AMERICAN TOWER REIT INC	7,554	8,570
47 SHS AMGEN INC COM	7,728	9,061
25 SHS AMN ELEC POWER CO	1,581	1,834
37 SHS AON PLC	4,050	5,779
20 SHS APPLE INC	2,778	4,377
7 SHS ASSURANT INC	628	680
18 SHS ASML HLDG NV NY REG	2,919	3,102
28 SHS AT&T	904	859
47 SHS BALL CORP	1,793	2,106
44 SHS AUTOMATIC DATA PROCESSING	5,983	7,204
57 SHS BARRICK GOLD CORP	753	715
95 SHS BAXTER INTERNATIONAL	5,834	5,939
171 SHS BB&T CORPORATION	7,469	8,406
19 SHS BECTON DICKINSON CO	4,247	4,465

Name of Stock	End of Year Book Value	End of Year Fair Market Value
19 SHS BEST BUY CO INC	894	1,333
8 SHS BIOGEN INC	2,564	2,434
14 SHS BLACKROCK INC	5,857	5,760
23 SHS BOEING COMPANY	7,692	8,162
4 SHS BOOKING HLDGS INC	2,552	7,498
26 SHS BOOZ ALLEN HAMILTON HLDG	764	1,288
68 SHS BRISTOL-MEYERS SQUIBB CO	4,030	3,437
9 SHS BROADCOM LTD	2,329	2,011
14 SHS BRUNSWICK CORP	900	728
35 SHS BWX TECHNOLOGIES	1,369	2,046
8 SHS BURLINGTON	1,306	1,372
65 SHS CALIFORNIA WTR SVC GP DE	1,610	2,730
80 SHS CAMEO CORP	962	858
43 SHS CALLAWAY GOLF DEL COM	979	920
24 SH CATERPILLAR INC DEL	1,385	1,577
21 SHS CARS.COM INC	554	548
17 SH CELANESE CORP DEL SER A	1,553	1,648
67 SHS CENTERPOINT ENERGY	1,906	1,810
16 SHS CARPENTER TECHNOLOGY	517	698
29 SHS CHARTER COMMUNICATIONS	3,346	3,238
28 SHS CHEVRON CORP	2,803	3,126
53 SHS CHUBB LTD	7,409	6,620
3 SHS CHURCHILL DOWNS INC	439	749
54 SHS CIENA CORP	1,381	1,688
31 SHS CIGNA CORP	5,230	6,628
290 SHS CISCO SYSTEMS	10,133	13,268
181 SHS CITIGROUP INC COM	10,540	11,848
230 SHS CITIZENS FINL GROUP INC	1,771	2,615
47 SHS COCA COLA	2,174	2,250
32 SHS CODEXIS	330	498

Name of Stock	End of Year Book Value	End of Year Fair Market Value
18 SHS COLGATE PALMOLIVE	1,268	1,072
267 SHS COMCAST	8,192	10,183
32 SHS COPART INC	1,327	1,565
49 SHS CORNING INC	1,706	1,566
13 SHS COSTAR GROUP	2,305	4,699
7 SHS COSTCO	745	1,600
66 SHS CROWN CASTLE	6,814	7,177
130 SHS DANAHER CORP	3,890	5,666
9 SHS DIAMONDBACKENERGY	1,002	1,011
21 SHS DISNEY WALT CO COM STK	1,669	2,411
5 SHS DOMINOS	1,000	1,344
22 SHS DOLBY LABORATORIES	1,445	1,514
90 SHS DUKE ENERGY CORP	7,693	7,437
30 SHS DU PONT E I DE NEMOURS	1,572	1,618
44 SHS DXC TECHNOLOGY CO	3,342	3,205
4 SHS EAGLE MATERIALS INC	357	295
14 SHS EAST WEST BANCORP	768	734
86 SHS EATON CORP PLC	6,474	6,164
57 SHS EBAY INC	1,715	1,655
7 SHS EDWARDS LIFE SCIENCES	834	1,033
52 SHS ELECTRONIC ARTS INC DEL	6,163	4,731
11 SHS ELI LILLY & CO	1,161	1,193
22 SHS ENCORE CAPITAL	883	559
11 SHS EURONET WORLDWIDE INC	1,081	1,223
32 SHS EOG RESOURCES INC	2,220	3,371
36 SHS EXPEDIA	4,210	4,515
37 SHS EXXON MOBIL CORP	3,093	2,948
13 SHS FACEBOOK	1,043	1,973
19 SHS FASTENAL COMPANY	852	977
11 SHS FEDEX CORP DELAWARE	1,792	2,424

Name of Stock	End of Year Book Value	End of Year Fair Market Value
42 SHS FERRARI NV	5,145	4,919
97 SHS FIDELITY NATIONAL SVCS	6,941	10,098
116 SHS FISERV INC	6,386	9,199
52 SHS FORTINET INC	5,203	8,283
94 SHS GENERAL MILLS	4,892	4,117
60 SHS GODDAY INC	3,604	4,390
44 SHS GOLDMAN SACHS GROUP INC	8,840	9,916
28 SHS GRANITE CONSTRUCTION	999	1,280
13 SHS GRAND CANYON EDUCATION	749	1,621
7 SHS GUIDEWIRE SOFTWARE IN	315	623
48 SHS HARTFORD FINL SVCS GROUP	2,291	2,180
23 SHS HASBRO INC	1,899	2,109
24 SHS HAWAIIAN ELEC INDS INC	852	895
49 SHS HEARTLAND EXPRESS INC	975	954
26 SHS BOOZ ALLEN HAMILTON HLDG	1,176	1,055
21 SHS HERSHEY COMPANY	1,956	2,250
37 SHS HILTON GRAND VACATION	1,483	994
63 SHS HOME DEPOT	7,957	11,080
84 SHS HONEYWELL INTL INC	18,119	21,868
115 SHS HOSPITALITY PPTYS TRUST	1,159	1,050
85 SHS HP INC	1,881	2,052
51 SHS ILLINOIS TOOL WORKS	6,501	6,506
16 SHS ILLUMINA INC	2,961	4,978
60 SHS INGRESOLL-RAND PLC	5,489	5,756
64 SHS INTEL	1,955	3,000
130 SHS INTERCONTINENTAL EXCHANGE	7,110	10,015
22 SHS INTUIT INC	2,542	4,642
173 SHS JOHNSON & JOHNSON COM	20,687	24,218
168 SHS JOHNSON CONTROLS	7,438	5,371
263 SHS JPMORGAN CHASE & CO	19,558	28,672

Name of Stock	End of Year Book Value	End of Year Fair Market Value
45 SHS KIMBERLY CLARK	4,775	4,694
9 SHS LABORATORY AMER HLDS	1,282	1,445
10 SHS LAUDER ESTEE COS	1,231	1,374
54 SHS LAUREATE EDUCATION INC	1,004	804
4 SHS LIGAND PHARMACEUTICALS INC	520	659
35 SHS LINDE PLC	0	5,792
26 SHS LIVE NATION	1,185	1,360
77 SHS LOWE'S COMPANIES INC	7,532	7,332
13 SHS LEAR CORP	1,758	1,728
44 SHS LOCKHEED MARTIN CORP	13,395	12,929
35 SHS LYONDELL BASELL INDUSTRIES	3,149	3,124
7 SHS MANHATTAN ASSOCS INC	402	334
75 SHS MARSH & MCLENNAN COS INC	5,311	6,356
66 SHS MASTERCARD INC	4,117	12,849
42 SHS MAXIM INTEGRATED PRODUCTS	2,225	2,101
37 SHS MAXLINEAR INC CL A	901	718
26 SHS MCKESSON CORPORATION COM	4,029	3,244
15 SHS MCCORMICK NON VTG	1,532	2,160
43 SHS MCDONALDS CORP	6,924	7,607
142 SHS MEDRONIC PLC	11,098	12,754
51 SHS MERCK AND CO INC	3,286	3,754
125 SHS METLIFE INC	5,499	5,149
50 SHS MICRON TECHNOLOGY	1,262	1,886
431 SHS MICROSOFT CORP	31,356	46,035
11 SHS MIDDLEBY CORP COM	1,280	1,235
51 SHS MOODY'S CORP	6,960	7,419
30 SHS MONSTER BEVERAGE	1,319	1,586
46 SHS NASDAQ OMX GRP	1,828	3,989
41 SHS NATL INSTRUMENTS CORP	1,455	2,008
74 SHS NESTLE A REP RG	5,200	6,237

Name of Stock	End of Year Book Value	End of Year Fair Market Value
34 SHS NETAPP INC	2,202	2,669
11 SHS NETFLIX	1,965	3,320
52 SHS NEXTERA ENERGY	7,471	8,970
28 SHS NICE SYSTS LTD SPSD	1,141	2,966
116 SHS NIKE INC	7,533	8,705
38 SHS NORTHROP GRUMMAN	9,212	9,954
22 SHS NVIDIA	4,215	4,638
161 SHS PASSEGURO DIGITAL LTD	4,763	4,345
87 SHS PAYCHECK	5,269	5,698
109 SHS PAYPAL HOLDINGS INC	7,150	9,177
90 SHS PEPSICO INC	9,522	10,114
389 SHS PFIZER INC	13,557	16,750
23 SHS PHILIPS 66	2,432	2,365
123 SHS PHILIP MORRIS	10,487	10,833
11 SHS PLANET FITNESS INC CL A	228	540
53 SHS PNC FINANCIAL	4,980	6,810
14 SHS PORTOLA PHARMACEUTICALS	342	276
81 SHS PPG INDUSTRIES INC	8,363	8,512
11.3 SHS PRAXAIR INC	1,872	1,861
39 SHS PROCTER & GAMBLE	3,381	3,459
30 SHS PROGRESSIVE CRP OHIO	1,184	2,091
10 SHS PROOFPOINT	564	910
70 SHS PURE STORAGE INC	1,475	1,413
18 SHS RALPH LAUREN CORP	1,874	2,333
61 SHS RAYTHEON CO DELAWARE NEW	9,316	10,677
42 SHS REALTY INCM CRP MD	2,527	2,531
11 SHS RED HAT	835	1,888
32 SHS REPUBLIC SERVICES	1,366	2,326
24 SHS RESIDEO TECHNOLOGIES INC	0	505
6 SHS ROCKWELL AUTOMATION	959	988

Name of Stock	End of Year Book Value	End of Year Fair Market Value
4 SHS ROGERS CORP	652	492
58 SHS ROYAL DUTCH SHELL PLC	3,627	3,811
77 SHS SABRE CORP	1,850	1,898
93 SHS SALESFORCE COM INC	7,896	12,763
105 SHS SCHLUMBERGER LTD	7,099	5,388
41 SHS SEMPRA ENERGY	4,622	4,515
46 SHS SENIOR HSG PPTYS	659	739
10 SHS SHERWIN WILLIAMS	3,474	3,935
17 SHS SIMON PROPERTY GROUP	2,719	3,120
52 SHS SPROUTS FARMERS MARKET	1,404	1,398
142 SHS STARBUCKS CORP	7,575	8,274
70 SHS STATE STREET	6,390	4,813
51 SHS STRYKER CORP	8,569	8,273
20 SHS SUNTRUST BKS INC	1,414	1,253
13 SHS SYNOPSYS INC	1,331	1,164
19 SHS TARGET	1,444	1,589
5 SHS TELEFLEX	1,278	1,204
177 SHS TEXAS INSTRUMENTS	14,247	16,431
28 SHS TMOBILE	1,625	1,919
47 SHS THERMO FISHER SCIENTIFIC	6,405	11,065
8 SHS TOTAL SYS SVCS INC	789	729
111 SHS TRAVELERS COS INC	5,994	7,633
18 SHS TRIMBLE INC	748	673
12 SHS TRINET GROUP INC CALIF	621	564
7 SHS ULTA BEAUTY	1,632	1,922
2 SHS ULTIMATE SOFTWARE GROUP	396	533
86 SHS UNITED HEALTH GROUP	14,068	22,476
315 SHS UNION PACIFIC CORP	9,181	11,844
203 SHS US BANCORP	9,033	10,611
2 SHS VAIL RESORTS INC	329	503



Name of Stock	End of Year Book Value	End of Year Fair Market Value
31 SHS VALERO ENERGY CORP NEW	2,395	2,824
20 SHS VENTAS INC	1,129	1,161
17 SHS VERISK ANALYTICS INC	1,324	2,037
50 SHS VEEVA SYS INC	3,720	4,568
28 SHS VIACOM INC NEW CL B	891	895
14 SHS VIRTUSA	735	694
117 SHS VISA INC CL A	2,334	16,128
11 SHS WABTEC	973	902
10 SHS WALMART	990	1,003
76 SHS WEC ENERGY GROUP INC	4,843	5,198
15 SHS WEIGHT WATCHERS	1,065	992
171 SHS WELLS FARGO & CO NEW DEL	8,829	9,102
10 SHS WEST PHARMACTL VSCS INC	929	1,059
106 SHS WILLIAMS COMPANIES DEL	2,928	2,579
12 SHS WOODWARD INC	633	884
26 SHS WORDPLAY INC CL A	803	2,388
84 SHS XCEL ENERGY INC	3,348	4,117
70 SHS XILINX INC	5,278	5,976
14 SHS XYLEM INC	720	918
80 SHS ZOETIS INC	3,426	7,212

**TY 2017 Investments Government Obligations Schedule****Name:** THE NORMAN AND ESTHER ALLAN FOUNDATION**EIN:** 38-6089688**US Government Securities - End  
of Year Book Value:**

381,614

**US Government Securities - End  
of Year Fair Market Value:**

364,753

**State & Local Government  
Securities - End of Year Book  
Value:**

0

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

0

## TY 2017 Investments - Other Schedule

**Name:** THE NORMAN AND ESTHER ALLAN FOUNDATION

**EIN:** 38-6089688

### Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
1265 SHS AMERICAN EURO PACIFIC	AT COST	47,447	60,854
3468 SHS BARON SMALL CAP FD	AT COST	84,796	106,334
13953 SHS FIXED INCOME SHARES - SERIES C	AT COST	166,816	138,693
20705 SHS FIXED INCOME SHARES - SERIES M	AT COST	148,640	140,399
5623 SHS WESTERN ASSET SMASH SERIES EC	AT COST	51,799	46,896
1943 SHS WESTERN ASSET SMASH SERIES C	AT COST	18,750	18,109
5120 SHS WESTERN ASSET SMASH SERIES M	AT COST	54,989	53,606

**TY 2017 Legal Fees Schedule****Name:** THE NORMAN AND ESTHER ALLAN FOUNDATION**EIN:** 38-6089688

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL	5,903	0		0

**TY 2017 Other Decreases Schedule**

**Name:** THE NORMAN AND ESTHER ALLAN FOUNDATION

**EIN:** 38-6089688

Description	Amount
UNREALIZED GAIN ON DONATED SECURITIES	34,635

**TY 2017 Other Expenses Schedule****Name:** THE NORMAN AND ESTHER ALLAN FOUNDATION**EIN:** 38-6089688**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT AND ADVISORY SERVICES	29,245	29,245		0
ANNUAL CORPORATE UPDATE	20	0		0

**TY 2017 Taxes Schedule****Name:** THE NORMAN AND ESTHER ALLAN FOUNDATION**EIN:** 38-6089688

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX WITHHELD	89	89		0
EXCISE TAX	4,276	0		0

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -		DLN: 93491042000019	
<b>Schedule B</b> (Form 990, 990-EZ, or 990-PF)  Department of the Treasury Internal Revenue Service		<b>Schedule of Contributors</b>  ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <a href="http://www.irs.gov/form990">www.irs.gov/form990</a>			OMB No 1545-0047
					<b>2017</b>
<b>Name of the organization</b> THE NORMAN AND ESTHER ALLAN FOUNDATION				<b>Employer identification number</b> 38-6089688	

Organization type (check one)

<b>Filers of:</b>	<b>Section:</b>
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)( ) (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).



<b>Name of organization</b> THE NORMAN AND ESTHER ALLAN FOUNDATION	<b>Employer identification number</b> 38-6089688
---	---

Part I	Contributors (See instructions) Use duplicate copies of Part I if additional space is needed		
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	NORMAN ALLAN RESIDUARY TRUST 27777 FRANKLIN ROAD SUITE 2500 SOUTHFIELD, MI 48034	\$ 101,501	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions )
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
-		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )

<b>Name of organization</b> THE NORMAN AND ESTHER ALLAN FOUNDATION	<b>Employer identification number</b> 38-6089688
---	---

**Part II** **Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	SECURITIES	\$ 101,501	2018-10-31
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	

38-6089688

Use duplicate copies of Part III if additional space is needed

Schedule B (Form 990, 990-EZ, or 990-PF) (2017)