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Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017 or tax year beginning **OCT 1, 2017**, and ending **SEP 30, 2018**

Name of foundation DAVID AND EULA WINTERMANN FOUNDATION		A Employer identification number 76-0082100
Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 337	Room/suite	B Telephone number 9792345551
City or town, state or province, country, and ZIP or foreign postal code EAGLE LAKE, TX 77434		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 22,335,538.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		122,102.	122,102.		STATEMENT 1
4 Dividends and interest from securities		332,214.	332,214.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		1,069,063.			
b Gross sales price for all assets on line 6a		4,112,619.			
7 Capital gain net income (from Part IV, line 2)			1,069,063.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		-1,297.	-1,297.		STATEMENT 3
12 Total. Add lines 1 through 11		1,522,082.	1,522,082.		
13 Compensation of officers, directors, trustees, etc		100,000.	0.		50,000.
14 Other employee salaries and wages					
15 Pension plans, employee benefits		4,781.	0.		2,391.
16a Legal fees					
b Accounting fees STMT 4		7,498.	0.		7,498.
c Other professional fees STMT 5		188,900.	35,333.		153,567.
17 Interest					
18 Taxes STMT 6		23,335.	8,604.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		2,726.	2,726.		0.
22 Printing and publications					
23 Other expenses STMT 7		8,031.	2,339.		5,692.
24 Total operating and administrative expenses. Add lines 13 through 23		335,271.	49,002.		219,148.
25 Contributions, gifts, grants paid		898,403.			898,403.
26 Total expenses and disbursements. Add lines 24 and 25		1,233,674.	49,002.		1,117,551.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		288,408.			
b Net investment income (if negative, enter -0-)			1,473,080.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	108,183.	116,559.	116,559.
	2 Savings and temporary cash investments	305,331.	57,970.	57,970.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges		18,909.	18,909.
	10a Investments - U.S. and state government obligations STMT 9	2,182,193.	2,204,992.	2,134,001.
	b Investments - corporate stock STMT 10	11,480,021.	11,792,685.	16,326,655.
	c Investments - corporate bonds STMT 11	2,617,474.	2,664,088.	2,603,963.
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 12	1,001,161.	1,121,387.	1,077,481.	
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	17,694,363.	17,976,590.	22,335,538.	
Liabilities	17 Accounts payable and accrued expenses	6,181.		
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	6,181.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26, and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	17,688,182.	17,976,590.	STATEMENT 8
30 Total net assets or fund balances	17,688,182.	17,976,590.		
31 Total liabilities and net assets/fund balances	17,694,363.	17,976,590.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	17,688,182.
2 Enter amount from Part I, line 27a	2	288,408.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	17,976,590.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	17,976,590.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e 4,112,619.		3,043,556.	1,069,063.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			1,069,063.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	769,000.	20,290,761.	.037899
2015	859,587.	18,644,321.	.046104
2014	949,580.	18,471,904.	.051407
2013	836,448.	18,479,585.	.045263
2012	751,564.	17,145,510.	.043834

2 Total of line 1, column (d) 2 .224507

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years 3 .044901

4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5 4 21,635,329.

5 Multiply line 4 by line 3 5 971,448.

6 Enter 1% of net investment income (1% of Part I, line 27b) 6 14,731.

7 Add lines 5 and 6 7 986,179.

8 Enter qualifying distributions from Part XII, line 4 8 1,117,551.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.

Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2017 estimated tax payments and 2016 overpayment credited to 2017

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be: Credited to 2018 estimated tax

18,909. Refunded

Part VII A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year

(1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered. See instructions. TX

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

Part VII A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	X	
14 The books are in care of WINTERMANN OFFICES Telephone no. 979-234-5551 Located at 127 N. MCCARTY AVE, EAGLE LAKE, TX ZIP+4 77434		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A		
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country N/A		X

Part VII B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here N/A		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years N/A	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 2015		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

Organizations relying on a current notice regarding disaster assistance, check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JACK JOHNSON P.O. BOX 337 EAGLE LAKE, TX 77434	PRESIDENT 10.00	62,500.	0.	0.
DONALD N. BENDY P.O. BOX 858 EAGLE LAKE, TX 77434	VICE PRESIDENT 10.00	12,500.	0.	0.
STEVE BALAS 702 S. MCCARTY EAGLE LAKE, TX 77434	SECRETARY 10.00	12,500.	0.	0.
JUDITH JOHNSON P.O. BOX 337 EAGLE LAKE, TX 77434	DIRECTOR 10.00	12,500.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 AWARDED 4 SCHOLARSHIPS TO ELIGIBLE STUDENTS BASED ON THE FINANCIAL NEED, FIELD OF STUDY AND CHARACTER REFERENCE.	25,000.
2 CONTRIBUTION TO VARIOUS QUALIFIED CHARITABLE ORGANIZATIONS	873,403.
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	

Total. Add lines 1 through 3

0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	21,667,771.
b	Average of monthly cash balances	1b	260,004.
c	Fair market value of all other assets	1c	37,026.
d	Total (add lines 1a, b, and c)	1d	21,964,801.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	21,964,801.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	329,472.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	21,635,329.
6	Minimum investment return. Enter 5% of line 5	6	1,081,766.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	1,081,766.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	14,731.
b	Income tax for 2017. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	14,731.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,067,035.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,067,035.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,067,035.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,117,551.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	1,117,551.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	14,731.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,102,820.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				1,067,035.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			980,917.	
b Total for prior years:				
2015		65,935.		
3 Excess distributions carryover, if any, to 2017:				
a From 2012				
b From 2013				
c From 2014				
d From 2015				
e From 2016				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2017 from Part XII, line 4. ► \$ 1,117,551.				
a Applied to 2016, but not more than line 2a			980,917.	
b Applied to undistributed income of prior years (Election required - see instructions) *		65,935.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2017 distributable amount				70,699.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				996,336.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2013				
b Excess from 2014				
c Excess from 2015				
d Excess from 2016				
e Excess from 2017				

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution * *	Amount
a Paid during the year				
AMERICAN CANCER SOCIETY FBO VICTORY PO BOX 572915 HOUSTON, TX 77257-2915	NONE	PC	FUND RESEARCH IN THE NAME OF DAVID & EULA WINTERMANN FOUNDATION	200,000.
BAYLOR COLLEGE OF MEDICINE ONE BAYLOR PLAZA, MS.BCM 160 HOUSTON, TX 77030-3411	NONE	PC	CONTINUATION OF FUNDING OF THE DAVID & EULA WINTERMANN FOUNDATION BRASS ENDOWED SCHOLARS FUND,	55,000.
TEXAS STATE UNIVERSITY FBO CAMERON MARSALIA 601 UNIVERSITY DRIVE SAN MARCOS, TX 78666	NONE	N/A	EDUCATIONAL SCHOLARSHIP	8,000.
CAMP ALLEN 18800 FM 362 NAVASOTA, TX 77868	NONE	PC	TO PROVIDE FUNDS FOR IMPROVEMENTS AND REFURBISHMENTS ON THE PROPERTY	10,000.
COLORADO RIVER FOUNDATION PO BOX 12275 AUSTIN, TX 78711	NONE	PC	TO HELP PROMOTE AND SUPPORT THE NATURAL SCIENCE EDUCATION AND OUTDOOR RECREATION PROGRAMS, PARTICULARY	5,000.
Total	SEE CONTINUATION SHEET(S)			898,403.
b Approved for future payment				
TEXAS STATE UNIVERSITY FBO CAMERON MARSALIA 601 UNIVERSITY DRIVE SAN MARCOS, TX 78666	NONE	N/A	EDUCATIONAL SCHOLARSHIP	12,000.
TEXAS A&M UNIVERSITY FBO PAIGE PAVLU 6001 TAMU COLLEGE STATION, TX 77843-6001	NONE	N/A	EDUCATIONAL SCHOLARSHIP	12,000.
Total				24,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	122,102.	
4	Dividends and interest from securities			14	332,214.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income			19	-1,297.	
8	Gain or (loss) from sales of assets other than inventory			18	1,069,063.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0.		1,522,082.	0.
13	Total. Add line 12, columns (b), (d), and (e)					1,522,082.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	COMPASS BANK - #4207, SEE ATTACHED SCHEDULES	P	01/01/18	09/30/18
b	COMPASS BANK - #4207, SEE ATTACHED SCHEDULES	P	01/01/18	09/30/18
c	BARD, INC - MERGER	P	10/01/15	01/04/18
d	CLASS ACTION PROCEEDS - JOHNSON & JOHNSON	P	01/01/15	10/17/17
e	CLASS ACTION PROCEEDS - URBAN OUTFITTERS, INC	P	01/01/15	02/16/18
f	CLASS ACTION PROCEEDS - BANK OF NEW YORK MELLON C	P	02/28/08	08/22/18
g	COMPASS BANK - #4207-1, SEE ATTACHED SCHEDULE	P	01/01/18	09/30/18
h	COMPASS BANK - #4207-1, SEE ATTACHED SCHEDULES	P	01/01/18	09/30/18
i	CAMBIAR INTL. - CAPITAL GAIN DISTRIBUTION	P	01/01/15	12/22/17
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 223,147.		232,454.	-9,307.
b 3,428,431.		2,471,920.	956,511.
c 26,870.		8,219.	18,651.
d 22.			22.
e 11.			11.
f 43.			43.
g 6,878.		5,356.	1,522.
h 426,062.		325,607.	100,455.
i 1,155.			1,155.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-9,307.
b			956,511.
c			18,651.
d			22.
e			11.
f			43.
g			1,522.
h			100,455.
i			1,155.
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	1,069,063.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF. INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
AMORTIZATION OF BOND PREMIUM/DISCOUNT	-1,363.	-1,363.	
COMPASS BANK	123,435.	123,435.	
THE FIRST NATIONAL BANK	30.	30.	
TOTAL TO PART I, LINE 3	122,102.	122,102.	

FORM 990-PF.

DIVIDENDS AND INTEREST FROM SECURITIES

STATEMENT

2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
COMPASS BANK	332,214.	0.	332,214.	332,214.	
TO PART I, LINE 4	332,214.	0.	332,214.	332,214.	

FORM 990-PF.

OTHER INCOME

STATEMENT

3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
K-1 FROM 2200 & ASSOCIATES	-1,297.	-1,297.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-1,297.	-1,297.	

FORM 990-PF.

ACCOUNTING FEES

STATEMENT

4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX RETURN PREPARATION	7,498.	0.		7,498.
TO FORM 990-PF, PG 1, LN 16B	7,498.	0.		7,498.

FORM 990-PF.

OTHER PROFESSIONAL FEES

STATEMENT

5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES - COMPASS				
BANK	158,900.	29,333.		129,567.
ACCOUNTING FEES	30,000.	6,000.		24,000.
TO FORM 990-PF, PG 1, LN 16C	188,900.	35,333.		153,567.

FORM 990-PF.

TAXES

STATEMENT

6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD	8,604.	8,604.		0.
FEDERAL TAXES	14,731.	0.		0.
TO FORM 990-PF, PG 1, LN 18	23,335.	8,604.		0.

FORM 990-PF.

OTHER EXPENSES

STATEMENT

7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MEMBERSHIP DUES & ASSESSMENTS	2,908.	0.		2,908.
BANK FEES	60.	0.		60.
INSURANCE	4,678.	2,339.		2,339.
OFFICE SUPPLIES	385.	0.		385.
TO FORM 990-PF, PG 1, LN 23	8,031.	2,339.		5,692.

FORM 990-PF.	OTHER FUNDS	STATEMENT	8
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DESCRIPTION	(A) BEGINNING OF YEAR	(B) END OF YEAR
RE OR ACCUMULATED INCOME	17,688,182.	17,976,590.
TOTAL TO FORM 990-PF, PART II, LINE 29	17,688,182.	17,976,590.

FORM 990-PF.	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	9
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US OBLIGATIONS	X		2,204,992.	2,134,001.
TOTAL U.S. GOVERNMENT OBLIGATIONS			2,204,992.	2,134,001.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			2,204,992.	2,134,001.

FORM 990-PF.

CORPORATE STOCK

STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	11,792,685.	16,326,655.
TOTAL TO FORM 990-PF, PART II, LINE 10B	11,792,685.	16,326,655.

FORM 990-PF.

CORPORATE BONDS

STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	2,664,088.	2,603,963.
TOTAL TO FORM 990-PF, PART II, LINE 10C	2,664,088.	2,603,963.

FORM 990-PF.	OTHER INVESTMENTS	STATEMENT 12
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS	FMV	1,085,367.	1,040,455.
PARTNERSHIPS	FMV	36,020.	37,026.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,121,387.	1,077,481.

FORM 990-PF.	ELECTION UNDER REGULATIONS SECTION	STATEMENT 13
	53.4942(A)-3(D)(2) TO APPLY	
	EXCESS QUALIFYING DISTRIBUTIONS	
	TO PRIOR YEAR'S UNDISTRIBUTED INCOME	

DAVID AND EULA WINTERMANN FOUNDATION
76-0082100
PO BOX 337
EAGLE LAKE, TEXAS 77434
FISCAL YEAR ENDING SEPTEMBER 30, 2018

THE ABOVE REFERENCED FOUNDATION DECLARES THAT IT IS MAKING AN ELECTION UNDER REG. 53.4942(A)-3(D)(2) TO TREAT ITS QUALIFYING DISTRIBUTION FOR THE TAX YEAR ENDING SEPTEMBER 30, 2018 AS SET FORTH BELOW.

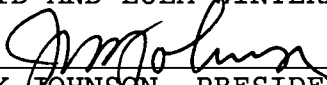
THE QUALIFIED DISTRIBUTION TOTALED \$1,117,551 AND SHALL FIRST BE MADE OUT OF THE UNDISTRIBUTED INCOME OF THE IMMEDIATELY PRECEDING TAX YEAR IN THE AMOUNT OF \$980,917.

THEREAFTER, THE QUALIFIED DISTRIBUTION SHALL BE MADE OUT OF THE UNDISTRIBUTED INCOME OF THE FOLLOWING DESIGNATED PRIOR TAX YEARS AS FOLLOWS:

\$65,935 OUT OF THE UNDISTRIBUTED INCOME FOR THE 2015 TAX YEAR ENDING SEPTEMBER 30, 2016

\$70,699 REMAINING QUALIFYING DISTRIBUTIONS TO REDUCE CURRENT YEAR 2017 DISTRIBUTABLE AMOUNT.

DAVID AND EULA WINTERMANN FOUNDATION

BY: 
JACK JOHNSON, PRESIDENT

DATE: 1-29-2019

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 14

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

RICE HIGH SCHOOL COUNSELOR
P.O. BOX 338 1094 RAIDER DRIVE
ALTAIR, TX 77412

TELEPHONE NUMBER

NAME OF GRANT PROGRAM

979-234-3531

SCHOLARSHIP PROGRAM

EMAIL ADDRESS

WWW.RICEISD.ORG

FORM AND CONTENT OF APPLICATIONS

- (1) HIGH SCHOOL TRANSCRIPT
- (2) INFORMATION OF FINANCIAL NEED
- (3) FIELD OF STUDY
- (4) CHARACTER REFERENCE

ANY SUBMISSION DEADLINES

APRIL 1ST OF EACH YEAR

RESTRICTIONS AND LIMITATIONS ON AWARDS

MUST BE ENTERING THE MEDICAL FIELD OF STUDY.