

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2017

Open to Public Inspection

▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

For calendar year 2017, or tax year beginning 10-01-2017, and ending 09-30-2018

Name of foundation FAY D CARTER CHARITABLE TRUST		A Employer identification number 54-6535143	
Number and street (or P.O. box number if mail is not delivered to street address) Room/suite PO BOX 2907 - TRUST TAX DEPT		B Telephone number (see instructions) (919) 716-6165	
City or town, state or province, country, and ZIP or foreign postal code WILSON, NC 278942907		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 676,208		J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	15,128	15,128		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	23,994			
	b Gross sales price for all assets on line 6a 210,471				
	7 Capital gain net income (from Part IV, line 2)		23,994		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	39,122	39,122			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	6,747	6,747		
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)				0
	c Other professional fees (attach schedule)				0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	1,162	325		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	7,909	7,072	0	0
	25 Contributions, gifts, grants paid	31,352			31,352
26 Total expenses and disbursements. Add lines 24 and 25	39,261	7,072	0	31,352	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-139				
b Net investment income (if negative, enter -0-)		32,050			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	15,157	16,085	16,085
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	310,997	331,332	399,046
	c Investments—corporate bonds (attach schedule)	276,218	273,784	261,077
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	18,824		0
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	621,196	621,201	676,208	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	621,196	621,201	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see instructions)	621,196	621,201		
31 Total liabilities and net assets/fund balances (see instructions) .	621,196	621,201		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	621,196
2 Enter amount from Part I, line 27a	2	-139
3 Other increases not included in line 2 (itemize) ▶ _____	3	629
4 Add lines 1, 2, and 3	4	621,686
5 Decreases not included in line 2 (itemize) ▶ _____	5	485
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	621,201

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	23,994
{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	30,729	637,215	0.048224
2015	32,840	618,257	0.053117
2014	33,630	666,562	0.050453
2013	32,592	687,376	0.047415
2012	30,520	665,235	0.045879
2 Total of line 1, column (d)			0.245088
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0.049018
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5		662,626	
5 Multiply line 4 by line 3		32,481	
6 Enter 1% of net investment income (1% of Part I, line 27b)		321	
7 Add lines 5 and 6		32,802	
8 Enter qualifying distributions from Part XII, line 4		31,352	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes items like 'Exempt operating foundations', 'Domestic foundations that meet the section 4940(e) requirements', 'Tax under section 511', and 'Total credits and payments'. Total amount owed is 129.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions like 'During the tax year, did the foundation attempt to influence any national, state, or local legislation?', 'Did it spend more than \$100 during the year for political purposes?', and 'Did the foundation have at least \$5,000 in assets at any time during the year?'. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). 11 No
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) 12 No
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A 13 Yes
14 The books are in care of BRANCH BANKING & TRUST COMP Telephone no (919) 716-6165
Located at 434 FAYETTEVILLE ST FL 5 RALEIGH NC ZIP+4 276011701
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -Check here 15
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? Yes No 16 Yes No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b
Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? 1c No
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? Yes No
If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions) 2b No
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a No
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? 4b No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. ▶			5b
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870</i>			6b
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			7b
				No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BRANCH BANKING AND TRUST CO 434 FAYETTEVILLE ST FL 5 RALEIGH, NC 276011701	TRUSTEE 40	6,747		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. **0**

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. **0**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions	
3	

Total. Add lines 1 through 3 **0**

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	660,221
b	Average of monthly cash balances.	1b	12,496
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	672,717
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	672,717
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	10,091
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	662,626
6	Minimum investment return. Enter 5% of line 5.	6	33,131

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	33,131
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	641
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	641
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	32,490
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	32,490
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	32,490

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	31,352
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	31,352
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	31,352

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				32,490
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			31,352	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2017				
a From 2012.	0			
b From 2013.	0			
c From 2014.	0			
d From 2015.	0			
e From 2016.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ <u>31,352</u>				
a Applied to 2016, but not more than line 2a			31,352	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				0
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				32,490
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2013.	0			
b Excess from 2014.	0			
c Excess from 2015.	0			
d Excess from 2016.	0			
e Excess from 2017.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
BRANCH BANKING TRUST
434 FAYETTEVILLE ST FL 5
RALEIGH, NC 27601
(919) 716-6165

b The form in which applications should be submitted and information and materials they should include
SOLICITATION SHOULD INCLUDE TYPE OF CHARITY AND DEFINED NEEDS

c Any submission deadlines
NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
CHARITABLE INSTITUTIONS OR ORGANIZATIONS THAT ARE LOCATED IN OR ARE INTENDED TO PROVIDE BENEFIT TO THE DANVILLE AREA WITH PREFERENCE TO BENEFIT YOUTH

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> THE COMMUNITY FOUNDATION OF THE DAN RIVER REGION P O BOX 1039 DANVILLE, VA 24543	NONE	EXEMPT	GENERAL PURPOSE OF THE	31,352
Total ▶ 3a				31,352
b <i>Approved for future payment</i>				
Total ▶ 3b				

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
121 248 CAUSEWAY INTERNATL VALUE-INS		2014-01-17	2017-11-01
238 174 DOUBLELINE TOTAL RETURN BOND FUND			2017-11-01
1155 835 DOUBLELINE TOTAL RETURN BOND FUND		2013-03-11	2017-11-01
6 443 FEDERATED MDT SMALL CAP GROWTH FUND CL		2010-12-10	2017-11-01
10 682 GOLDMAN SACHS GROWTH OPPORTUNITY FD		2010-12-10	2017-11-01
53 232 NATIXIS LOOMIS SAY GROWTH-Y		2015-09-17	2017-11-01
255 278 METROPOLITAN WEST T/R BD-PLN			2017-11-01
2549 639 METROPOLITAN WEST T/R BD-PLN			2017-11-01
26 497 MORGAN STANLEY INSTITUTIONAL FUND INC		2016-03-17	2017-11-01
668 437 PIMCO REAL RETURN INSTL -122		2014-03-17	2017-11-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,081		1,963	118
2,541		2,560	-19
12,333		13,084	-751
153		72	81
305		258	47
824		579	245
2,560		2,572	-12
25,573		26,207	-634
589		415	174
7,366		7,513	-147

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			118
			-19
			-751
			81
			47
			245
			-12
			-634
			174
			-147

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
68 79 PIMCO REAL RETURN INSTL -122			2017-11-01
132 343 STERLING CAPITAL TOTAL RETURN BOND FUND		2017-09-26	2017-11-01
39 716 STERLING CAPITAL EQUITY INCOME FUND INST		2014-12-12	2017-11-01
69 275 TOUCHSTONE MID CAP VALUE FUND		2016-05-17	2017-11-01
12 914 VANGUARD DEVELOPED MKTS INDEX -127		2015-10-19	2017-11-01
8 634 VANGUARD 500 INDEX ADMIRAL FUND			2017-11-01
12 106 VIRTUS KAR SMALL CAP VALUE FD I CL I FUN		2009-10-05	2017-11-01
77 142 CAUSEWAY INTERNATL VALUE-INS		2014-01-17	2017-11-13
52 763 DOUBLELINE TOTAL RETURN BOND FUND		2017-05-01	2017-11-13
131 002 DOUBLELINE TOTAL RETURN BOND FUND		2013-03-11	2017-11-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
758		756	2
1,403		1,407	-4
838		720	118
1,373		1,140	233
184		158	26
2,058		1,634	424
224		105	119
1,304		1,249	55
562		563	-1
1,396		1,483	-87

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2
			-4
			118
			233
			26
			424
			119
			55
			-1
			-87

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
3 493 FEDERATED MDT SMALL CAP GROWTH FUND CL		2010-12-10	2017-11-13
21 083 GOLDMAN SACHS GROWTH OPPORTUNITY FD		2010-12-10	2017-11-13
25 129 GOLDMAN SACHS INTL SMALL CAP INSIGHTS-IS			2017-11-13
53 44 HARDING LOEVNER INTERNATIONAL EQUITY INS		2017-11-01	2017-11-13
18 725 HARDING LOEVNER INTERNATIONAL EQUITY INS		2010-12-10	2017-11-13
21 289 LAZARD EMERGING MARKETS FD INSTL CL		2009-08-27	2017-11-13
12 789 LAZARD EMERGING MARKETS FD INSTL CL		2017-11-01	2017-11-13
85 061 NATIXIS LOOMIS SAY GROWTH-Y		2015-09-17	2017-11-13
156 97 METROPOLITAN WEST T/R BD-PLN			2017-11-13
39 351 MORGAN STANLEY INSTITUTIONAL FUND INC		2016-03-17	2017-11-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
82		39	43
603		509	94
332		336	-4
1,207		1,214	-7
423		276	147
415		346	69
249		252	-3
1,331		925	406
1,571		1,604	-33
881		616	265

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			43
			94
			-4
			-7
			147
			69
			-3
			406
			-33
			265

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
66 946 NEUBERGER BERMAN STRATEGIC INCOME FUND-I			2017-11-13
18 437 OPPENHEIMER DEVELOPING MKT		2017-11-01	2017-11-13
19 892 PIMCO PIMS FOREIGN BOND FUND		2017-11-01	2017-11-13
92 331 PGIM TOTAL RETURN BD-R6		2017-11-01	2017-11-13
73 524 STERLING CAPITAL L/S EQUITY-INS			2017-11-13
123 99 STERLING CAPITAL TOTAL RETURN BOND FUND			2017-11-13
105 973 STERLING CAPITAL TOTAL RETURN BOND FUND		2010-12-10	2017-11-13
57 794 STERLING CAPITAL EQUITY INCOME FUND INST		2014-12-12	2017-11-13
19 16 TOUCHSTONE MID CAP VALUE FUND		2016-05-17	2017-11-13
68 94 VANGUARD DEVELOPED MKTS INDEX -127		2015-10-19	2017-11-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
742		748	-6
778		779	-1
213		213	
1,339		1,344	-5
677		655	22
1,311		1,316	-5
1,120		1,148	-28
1,230		1,048	182
376		315	61
971		841	130

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-6
			-1
			-5
			22
			-5
			-28
			182
			61
			130

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
8 933 VANGUARD 500 INDEX ADMIRAL FUND		2015-02-09	2017-11-13
12 523 VIRTUS KAR SMALL CAP VALUE FD I CL I FUN		2009-10-05	2017-11-13
12 231 CAUSEWAY INTERNATL VALUE-INS		2014-01-17	2017-12-20
417 LAZARD EMERGING MARKETS FD INSTL CL		2009-08-27	2017-12-20
5 553 NATIXIS LOOMIS SAY GROWTH-Y		2015-09-17	2017-12-20
45 934 STERLING CAPITAL L/S EQUITY-INS		2017-05-04	2017-12-20
5 143 VANGUARD 500 INDEX ADMIRAL FUND		2015-02-09	2017-12-20
12 455 VIRTUS KAR SMALL CAP VALUE FD I CL I FUN		2009-10-05	2017-12-20
86 233 DOUBLELINE TOTAL RETURN BOND FUND		2017-12-20	2018-01-18
114 36 DOUBLELINE TOTAL RETURN BOND FUND			2018-01-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,136		1,689	447
230		109	121
212		198	14
8		7	1
86		60	26
444		405	39
1,278		973	305
235		108	127
911		916	-5
1,208		1,214	-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			447
			121
			14
			1
			26
			39
			305
			127
			-5
			-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
59 474 FEDERATED MDT SMALL CAP GROWTH FUND CL		2010-12-10	2018-01-18
371 894 HARDING LOEVNER INTERNATIONAL EQUITY INS		2010-12-10	2018-01-18
63 657 LAZARD EMERGING MARKETS FD INSTL CL		2009-08-27	2018-01-18
48 808 METROPOLITAN WEST T/R BD-PLN		2013-03-11	2018-01-18
88 876 METROPOLITAN WEST T/R BD-PLN		2017-12-20	2018-01-18
43 297 METROPOLITAN WEST T/R BD-PLN			2018-01-18
24 496 NEUBERGER BERMAN STRATEGIC INCOME FUND-I		2017-11-01	2018-01-18
43 608 NEUBERGER BERMAN STRATEGIC INCOME FUND-I		2015-05-15	2018-01-18
34 341 NEUBERGER BERMAN STRATEGIC INCOME FUND-I		2015-05-15	2018-01-18
78 529 OPPENHEIMER DEVELOPING MKT			2018-01-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,465		662	803
8,892		5,485	3,407
1,340		1,033	307
486		499	-13
884		887	-3
431		432	-1
273		273	
486		487	-1
383		384	-1
3,570		2,729	841

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			803
			3,407
			307
			-13
			-3
			-1
			-1
			-1
			841

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
17 855 PIMCO PIMS FOREIGN BOND FUND			2018-01-18
10 04 PIMCO PIMS FOREIGN BOND FUND		2017-11-01	2018-01-18
76 787 PGIM TOTAL RETURN BD-R6		2017-11-01	2018-01-18
55 489 PGIM TOTAL RETURN BD-R6		2017-11-01	2018-01-18
2027 652 STERLING CAPITAL L/S EQUITY-INS		2017-05-04	2018-01-18
15 114 STERLING CAPITAL TOTAL RETURN BOND FUND		2017-12-20	2018-01-18
123 128 STERLING CAPITAL TOTAL RETURN BOND FUND		2010-12-10	2018-01-18
144 769 STERLING CAPITAL TOTAL RETURN BOND FUND			2018-01-18
25 096 TOUCHSTONE MID CAP VALUE FUND		2016-05-17	2018-01-18
97 551 VANGUARD DEVELOPED MKTS INDEX -127		2015-10-19	2018-01-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
191		191	
107		107	
1,112		1,118	-6
803		808	-5
20,256		17,884	2,372
159		159	
1,294		1,333	-39
1,522		1,525	-3
495		413	82
1,469		1,190	279

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-6
			-5
			2,372
			-39
			-3
			82
			279

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
59 68 VIRTUS KAR SMALL CAP VALUE FD I CL I FUN		2009-10-05	2018-01-18
142 258 DOUBLELINE TOTAL RETURN BOND FUND			2018-03-02
1 404 FEDERATED MDT SMALL CAP GROWTH FUND CL		2010-12-10	2018-03-02
8 599 GOLDMAN SACHS GROWTH OPPORTUNITY FD		2018-01-18	2018-03-02
22 497 LAZARD EMERGING MARKETS FD INSTL CL		2009-08-27	2018-03-02
103 651 METROPOLITAN WEST T/R BD-PLN		2017-03-16	2018-03-02
29 558 MORGAN STANLEY INSTITUTIONAL FUND INC		2016-03-17	2018-03-02
50 043 NEUBERGER BERMAN STRATEGIC INCOME FUND-I			2018-03-02
2 497 OPPENHEIMER DEVELOPING MKT		2016-04-19	2018-03-02
22 672 PIMCO PIMS FOREIGN BOND FUND		2017-11-01	2018-03-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,152		520	632
1,488		1,507	-19
34		16	18
221		225	-4
465		365	100
1,016		1,024	-8
717		463	254
549		557	-8
110		79	31
243		243	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			632
			-19
			18
			-4
			100
			-8
			254
			-8
			31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
56 288 PGIM TOTAL RETURN BD-R6		2017-11-01	2018-03-02
147 573 STERLING CAPITAL TOTAL RETURN BOND FUND			2018-03-02
15 634 CAUSEWAY INTERNATL VALUE-INS		2018-01-18	2018-03-28
59 202 DOUBLELINE TOTAL RETURN BOND FUND		2013-03-11	2018-03-28
2 772 FEDERATED MDT SMALL CAP GROWTH FUND CL		2017-03-13	2018-03-28
20 051 GOLDMAN SACHS GROWTH OPPORTUNITY FD		2018-01-18	2018-03-28
9 8 GOLDMAN SACHS INTL SMALL CAP INSIGHTS-IS		2018-01-18	2018-03-28
10 136 HARDING LOEVNER INTERNATIONAL EQUITY INS		2018-03-02	2018-03-28
34 447 METROPOLITAN WEST T/R BD-PLN		2013-03-11	2018-03-28
5 969 MORGAN STANLEY INSTITUTIONAL FUND INC		2018-01-18	2018-03-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
799		820	-21
1,526		1,539	-13
259		282	-23
622		670	-48
67		58	9
512		524	-12
124		131	-7
230		231	-1
339		352	-13
142		144	-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-21
			-13
			-23
			-48
			9
			-12
			-7
			-1
			-13
			-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
10 896 NEUBERGER BERMAN STRATEGIC INCOME FUND-I			2018-03-28
1 9 OPPENHEIMER DEVELOPING MKT		2016-04-19	2018-03-28
8 155 PIMCO PIMS FOREIGN BOND FUND		2017-11-01	2018-03-28
27 034 PGIM TOTAL RETURN BD-R6		2017-11-01	2018-03-28
38 711 STERLING CAPITAL TOTAL RETURN BOND FUND		2010-12-10	2018-03-28
3 658 VIRTUS KAR SMALL CAP VALUE FD I CL I FUN		2018-03-02	2018-03-28
577 881 PIMCO PIMS FOREIGN BOND FUND		2017-11-01	2018-04-16
10 868 CAUSEWAY INTERNATL VALUE-INS		2018-01-18	2018-04-18
76 045 GOLDMAN SACHS GROWTH OPPORTUNITY FD		2017-03-13	2018-04-18
161 468 GOLDMAN SACHS INTL SMALL CAP INSIGHTS-IS		2018-01-18	2018-04-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
119		120	-1
83		60	23
88		87	1
385		394	-9
400		419	-19
66		67	-1
6,224		6,183	41
187		196	-9
2,008		1,927	81
2,133		2,159	-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			23
			1
			-9
			-19
			-1
			41
			-9
			81
			-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
95 484 HARDING LOEVNER INTERNATIONAL EQUITY INS		2010-12-10	2018-04-18
18 589 NATIXIS LOOMIS SAY GROWTH-Y		2018-01-18	2018-04-18
14 901 MORGAN STANLEY INSTITUTIONAL FUND INC		2016-03-17	2018-04-18
6 774 OPPENHEIMER DEVELOPING MKT		2016-04-19	2018-04-18
48 741 STERLING CAPITAL EQUITY INCOME FUND INST		2018-01-18	2018-04-18
39 486 STERLING CAPITAL EQUITY INCOME FUND INST		2018-01-18	2018-04-18
55 8 VANGUARD DEVELOPED MKTS INDEX -127		2015-10-19	2018-04-18
5 684 VANGUARD 500 INDEX ADMIRAL FUND		2018-01-18	2018-04-18
4 216 VANGUARD 500 INDEX ADMIRAL FUND		2018-01-18	2018-04-18
70 086 DOUBLELINE TOTAL RETURN BOND FUND		2018-04-18	2018-07-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,254		1,408	846
296		305	-9
370		233	137
300		213	87
978		1,039	-61
792		841	-49
814		681	133
1,423		1,469	-46
1,055		1,090	-35
731		732	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			846
			-9
			137
			87
			-61
			-49
			133
			-46
			-35
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
10 602 FEDERATED MDT SMALL CAP GROWTH FUND CL			2018-07-05
2 992 GOLDMAN SACHS GROWTH OPPORTUNITY FD		2017-03-13	2018-07-05
56 533 NATIXIS LOOMIS SAY GROWTH-Y		2018-01-18	2018-07-05
56 18 METROPOLITAN WEST T/R BD-PLN		2018-04-18	2018-07-05
45 181 MORGAN STANLEY INSTITUTIONAL FUND INC		2016-03-17	2018-07-05
17 478 PGIM TOTAL RETURN BD-R6		2017-11-01	2018-07-05
65 54 STERLING CAPITAL TOTAL RETURN BOND FUND		2018-04-18	2018-07-05
39 683 STERLING CAPITAL EQUITY INCOME FUND INST		2018-01-18	2018-07-05
41 092 TOUCHSTONE MID CAP VALUE FUND		2017-09-26	2018-07-05
5 25 VANGUARD 500 INDEX ADMIRAL FUND		2018-01-18	2018-07-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
285		223	62
78		76	2
915		928	-13
549		551	-2
1,162		708	454
247		254	-7
672		676	-4
810		846	-36
777		796	-19
1,327		1,357	-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			62
			2
			-13
			-2
			454
			-7
			-4
			-36
			-19
			-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
11 039 VIRTUS KAR SMALL CAP VALUE FD I CL I FUN		2017-03-16	2018-07-05
212 16 CAUSEWAY INTERNATL VALUE-INS			2018-07-16
104 526 CAUSEWAY INTERNATL VALUE-INS			2018-07-16
1 48 FEDERATED MDT SMALL CAP GROWTH FUND CL		2017-03-13	2018-07-16
302 121 GOLDMAN SACHS GROWTH OPPORTUNITY FD			2018-07-16
623 647 GOLDMAN SACHS GROWTH OPPORTUNITY FD			2018-07-16
300 784 GOLDMAN SACHS INTL SMALL CAP INSIGHTS-IS		2018-01-18	2018-07-16
61 471 GOLDMAN SACHS INTL SMALL CAP INSIGHTS-IS			2018-07-16
284 154 HARDING LOEVNER INTERNATIONAL EQUITY INS		2017-05-04	2018-07-16
25 074 NATIXIS LOOMIS SAY GROWTH-Y		2017-05-01	2018-07-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
209		193	16
3,482		3,744	-262
1,715		1,831	-116
40		31	9
8,076		7,745	331
16,670		15,007	1,663
3,778		4,021	-243
772		817	-45
6,587		5,842	745
417		336	81

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			16
			-262
			-116
			9
			331
			1,663
			-243
			-45
			745
			81

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
19 212 MORGAN STANLEY INSTITUTIONAL FUND INC		2016-03-17	2018-07-16
10 113 STERLING CAPITAL EQUITY INCOME FUND INST		2018-01-18	2018-07-16
2 399 TOUCHSTONE MID CAP VALUE FUND		2017-09-26	2018-07-16
66 975 VANGUARD DEVELOPED MKTS INDEX -127			2018-07-16
209 466 VANGUARD DEVELOPED MKTS INDEX -127		2017-05-04	2018-07-16
1 767 VANGUARD 500 INDEX ADMIRAL FUND			2018-07-16
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
505		301	204
210		216	-6
46		46	
931		949	-18
2,912		2,736	176
457		395	62
			8,156

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			204
			-6
			-18
			176
			62

TY 2017 Other Decreases Schedule**Name:** FAY D CARTER CHARITABLE TRUST**EIN:** 54-6535143

Description	Amount
WASH SALE ADJUSTMENT	485

TY 2017 Other Increases Schedule**Name:** FAY D CARTER CHARITABLE TRUST**EIN:** 54-6535143

Description	Amount
DIFF IN MUTUAL FDS REC/REP	627
ROUNDING	2