Form 990

Return of Organization Exempt From Income Tax

OMB No 1545-0047

Open to Public

09/30, 20 18

D Employer identification number

20-1806718

Department of the Treasury Internal Revenue Service

A For the 2017 calendar year, or tax year beginning

C Name of organization

AUBURN RESEARCH & TECHNOLOGY FOUNDATION

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

10/01 , 2017, and ending

▶ Do not enter social security numbers on this form as it may be made public. ▶ Go to www.irs.gov/Form990 for instructions and the latest information.

Inspection

ADDITIVES THROUGH A LIFE CYCLE COST ANALYSIS. (Expenses \$ including grants of \$) (Revenue \$) including grants of \$) (Revenue \$)	THE ADDITIONAL ADDITIVES THROUGH A LIFE CYCLE COST ANALYSIS. THE ADDITIONAL ADDITIVES THROUGH A LIFE CYCLE COST ANALYSIS. (Code) (Expenses \$
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20-1806718

Page 2 Form 990 (2017) Statement of Program Service Accomplishments

Check if Schodulo O contains a response or note to any line in this Part III Part III [Y]



ABOSOV

Form 990 (2017)

Port W Chacklist of Paguired Schodules

Page 3

	avely Checklist of Required Schedules	Y	es No
	1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes,"		<u></u>
		2	X
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Form 990 (2017) Page 5 Part V

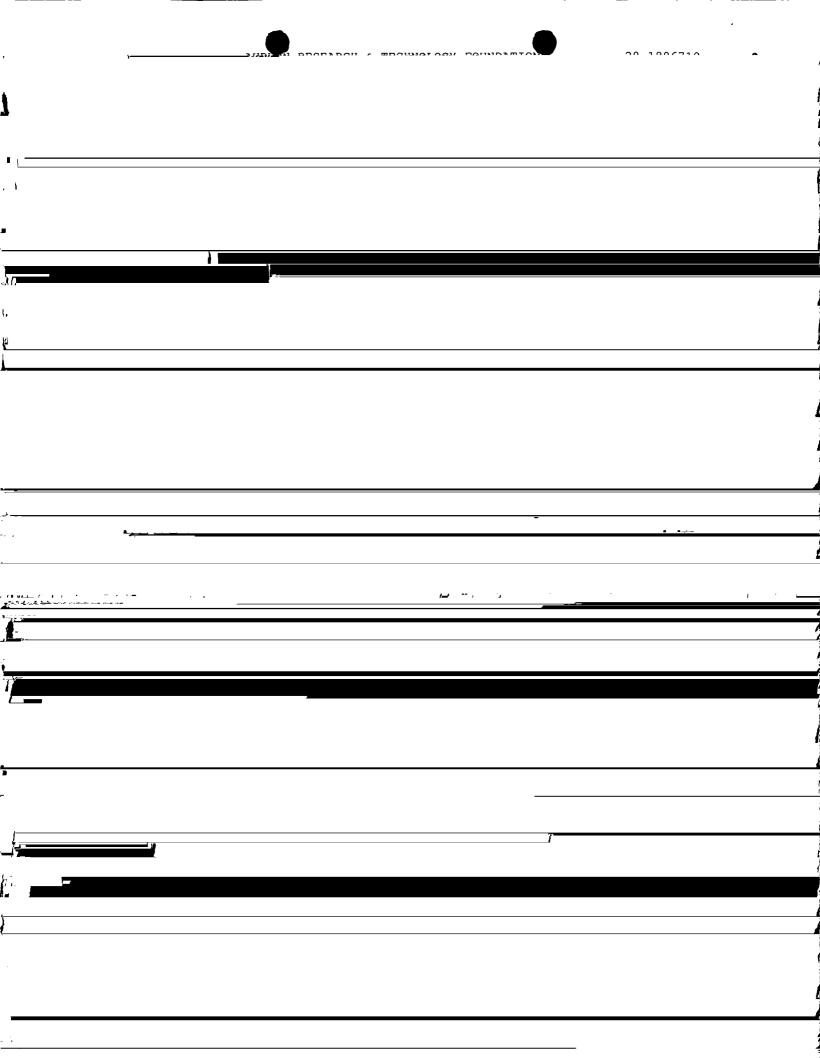
20-1806718 AUBURN RESEARCH & TECHNOLOGY FOUNDATION Form 990 (2017) Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" <u>1</u>

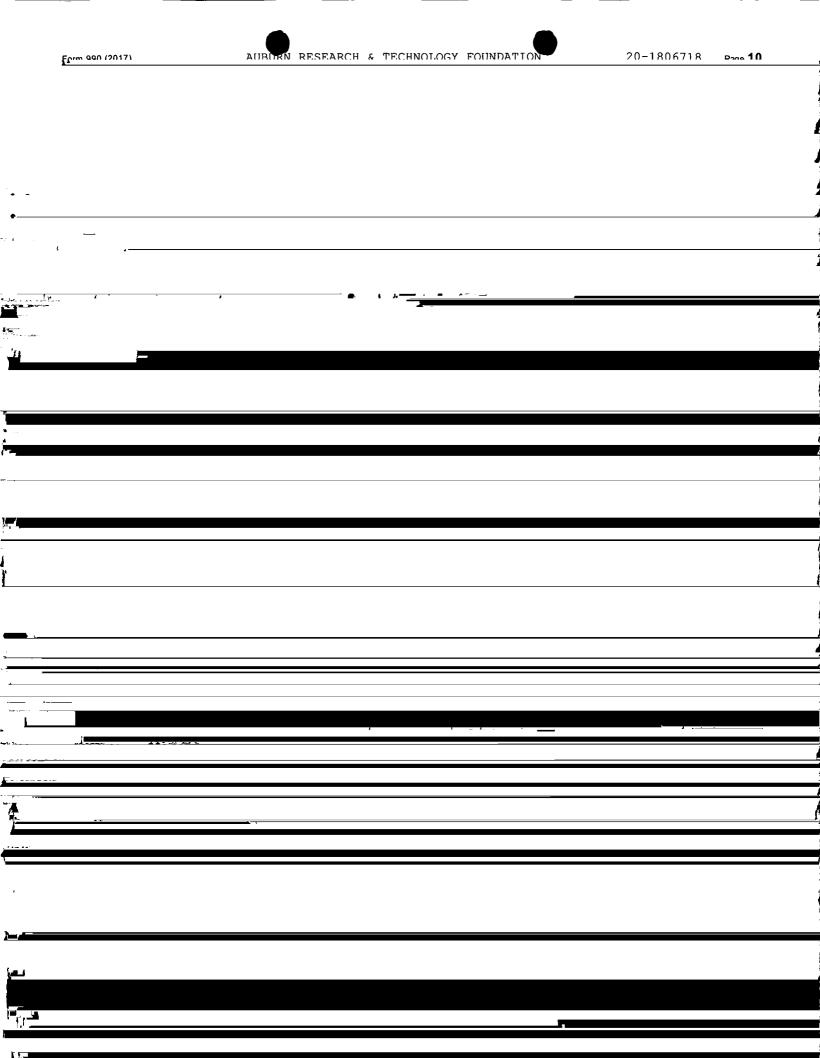
				-	*		
	The same of the sa						
Sec	tion B. Total Support						
	endar year (or fiscal year beginning in)	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
7	Amounts from line 4	, ,					
8	Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources						
9	Net income from unrelated business activities, whether or not the business is regularly carried on						
10	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI)						
11	Total support. Add lines 7 through 10						
12	Gross receipts from related activities, etc. (s.	ee instructions) .		[12	·
13	First five years. If the Form 990 is for organization, check this box and stop here.		<u> </u>				
	ction C. Computation of Public Supp			44		144	
14	Public support percentage for 2017 (lir	ie 6, column (f) divided by lin	e i i, column (f))		14	
					1		
					'		

Form 990 (2017)

Page 8 Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

	(A)	(B)	(C)	(D)	(E)	(F)	
	Name and title	Average hours per	Position (do not check more than one	Reportable compensation	Reportable compensation from	Estimated amount of	
		week (list any	box, unless person is both an officer and a director/trustee)	from	related	other	
		hours for related.		the	organizations	compensation from the	
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6	Remaining underdistributions for 2017 Subtract lines 3h			
	and 4b from line 1 For result greater than zero, explain in			
	Part VI See instructions			
7	Excess distributions carryover to 2018 Add lines 3j			
	and 4c			
8	Breakdown of line /			
а	Excess from 2013			
b	Excess from 2014			
С	Excess from 2015			
d	Excess from 2016			
е	Excess from 2017			
			Schedul	e A (Form 990 or 990-EZ) 2017

101111 33	U (20 1/1)		
Part 2	Reconciliation of Net Assets		
	Check if Schedule O contains a response or note to any line in this Part XI		<u> </u>
1	Total revenue (must equal Part VIII, column (A), line 12)	1	1,664,121.
	Total expenses (must equal Part IX, column (A), line 25)	2	1,296,334.
	Revenue less expenses Subtract line 2 from line 1	3	367,787.
	All Land to the Control of the Contr	A	9.081.799

TO THESE SERVICES. ADDITIONALLY, THE FOUNDATION SHARES MISCELLANEOUS
COSTS RELATED TO OFFICE EXPENSES AND EQUIPMENT LEASES WITH A UNIVERSITY
DEPARTMENT. PAYABLES TO THE UNIVERSITY FOR THESE EXPENSES WERE \$704 AND
\$772 AS OF SEPTEMBER 30, 2018 AND 2017, RESPECTIVELY.

ALL AMOUNTS OWED TO THE UNIVERSITY ARE SHOWN IN OTHER PAYABLES TO AUBURN UNIVERSITY ON THE STATEMENTS OF FINANCIAL POSITION. THE AMOUNTS DUE FROM THE UNIVERSITY TO THE FOUNDATION OF \$106,087 AND \$37,377 AT SEPTEMBER 30, 2018 AND 2017, RESPECTIVELY, RELATED TO OPERATING TRANSACTIONS BETWEEN THE UNIVERSITY AND THE FOUNDATION. THIS AMOUNT IS INCLUDED IN ACCOUNTS RECEIVABLE ON THE STATEMENTS OF FINANCIAL POSITION.

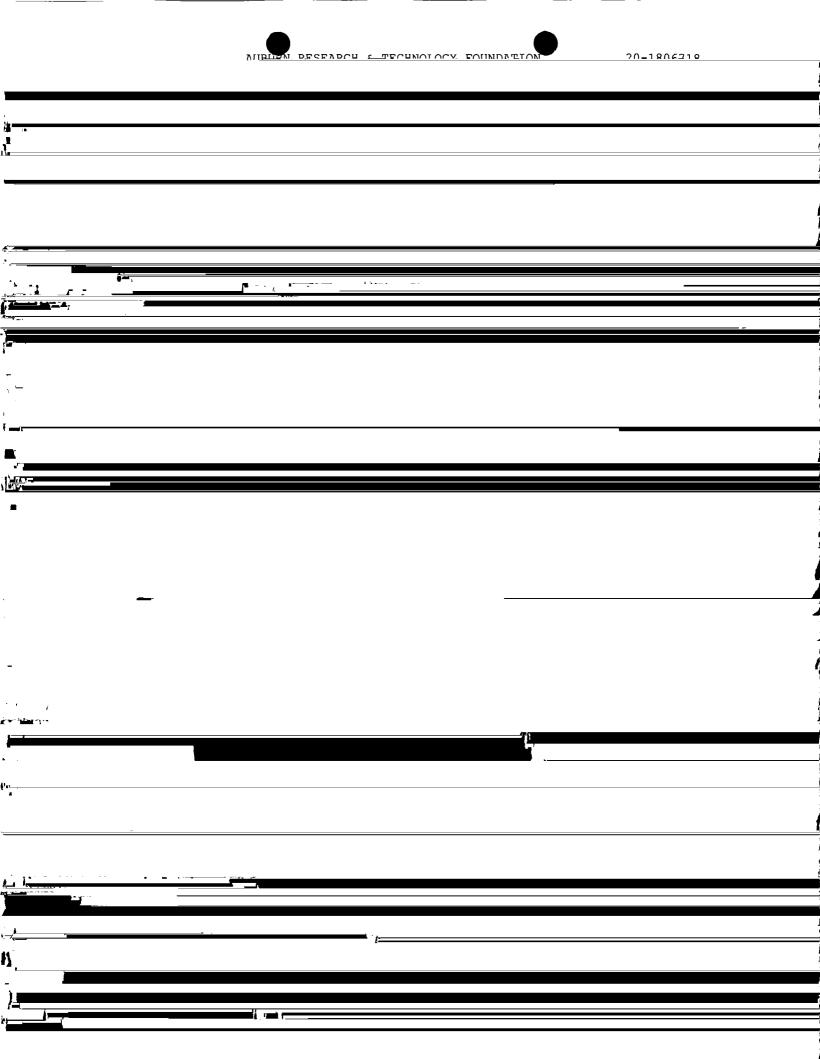
THE FOUNDATION HELD LEASE AGREEMENTS WITH THREE UNIVERSITY DEPARTMENTS IN _FISCAL YEAR 2018 AND 2017. WHEREBY THE DEPARTMENTS_LEASED OFFICE SPACE

SCHEDULE A (Form 990 or 990-EZ) Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

OMB No 1545-0047

2017

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Name of the organization		Employer identification number
AUBURN RESEARCH & TECHNOLOGY FOUNDATION		20-1806718
AUBURN RESEARCH & TECHNOLOGY FOUNDATION Part I Organizations Maintaining Donor Adv	ised Funds or Other Similar Fund	20-1806718 ds or Accounts.
AUBURN RESEARCH & TECHNOLOGY FOUNDATION	ised Funds or Other Similar Fund	20-1806718 ds or Accounts.
AUBURN RESEARCH & TECHNOLOGY FOUNDATION Part I Organizations Maintaining Donor Adv	rised Funds or Other Similar Fund "Yes" on Form 990, Part IV, line 6	20-1806718 ds or Accounts.
Part I Organizations Maintaining Donor Adv Complete if the organization answered 1 Total number at end of year	rised Funds or Other Similar Fund "Yes" on Form 990, Part IV, line 6	20-1806718 ds or Accounts.
Part I Organizations Maintaining Donor Adv Complete If the organization answered 1 Total number at end of year	rised Funds or Other Similar Fund "Yes" on Form 990, Part IV, line 6	20-1806718 ds or Accounts.
Part I Organizations Maintaining Donor Adv Complete If the organization answered 1 Total number at end of year	ised Funds or Other Similar Fund "Yes" on Form 990, Part IV, line 6 (a) Donor advised funds	20-1806718 ds or Accounts. (b) Funds and other accounts
Part I Organizations Maintaining Donor Adv Complete If the organization answered 1 Total number at end of year	"Yes" on Form 990, Part IV, line 6 (a) Donor advised funds r advisors in writing that the assets	20-1806718 ds or Accounts. (b) Funds and other accounts held in donor advised
Part I Organizations Maintaining Donor Adv Complete If the organization answered 1 Total number at end of year	"Yes" on Form 990, Part IV, line 6 (a) Donor advised funds r advisors in writing that the assets e organization's exclusive legal control	20-1806718 Is or Accounts. (b) Funds and other accounts held in donor advised 1? Yes No



Schedule A (Form 990 or 990-EZ) 2017 Page 4 Part IV **Supporting Organizations** (Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C If you checked 12c of Part I, complete Sections A, D, and E If you checked 12d of Part I, complete Sections A and D, and complete Part V.) Section A. All Supporting Organizations Yes No Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No " describe in Part VI how the supported organizations are designated If designated byAUBUKN RESEARCH & TECHNOLOGY FOUNDATION

Schedule A (Fqrm 990 or 990-EZ) 2017 Page 5 Supporting Organizations (continued) Part IV Yes No Has the organization accepted a gift or contribution from any of the following persons? 11 a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) 11a below, the governing body of a supported organization? Х 11b b A family member of a person described in (a) above? Х 11c c A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI. Section B. Type I Supporting Organizations Yes No Did the directors, trustees, or membership of one or more supported organizations have the power to

AUBURN RESEARCH & TECHNOLOGY FOUNDATION

Schedule A (Form 990 or 990-EZ) 2017 Page 6 Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations 1 Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov 20, 1970 (explain in Part VI) See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E (B) Current Year (A) Prior Year Section A - Adjusted Net Income (optional) 1 Net short-term capital gain 1 3 Becullelled Ut being near distribitible

20-1806718 Schedule A (Form 990 or 990-EZ) 2017 Page 7 Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued) Section D - Distributions **Current Year** Amounts paid to supported organizations to accomplish exempt purposes 2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity Administrative expenses paid to accomplish exempt purposes of supported organizations Amounts paid to acquire exempt-use assets Qualified set-aside amounts (prior IRS approval required) Other distributions (describe in Part VI) See instructions Total annual distributions. Add lines 1 through 6 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions Distributable amount for 2017 from Section C, line 6 10 - HOAR amount douded by Line O amount

20-1806718

Part VI Supplemental Information. Provide the explanations required by Part II, line 10, Part II, line 17a or 17b, Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1, Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b, Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information (See instructions.)

SCHEDULE A, PART IV, SECTION D, LINE 2, 3 & SECTION E, LINE 1C

ALTHOUGH THE FOUNDATION IS SEPARATE AND INDEPENDENT FROM THE UNIVERSITY,

ITS MISSION IS TO FACILITATE THE ACQUISITION, CONSTRUCTION AND EQUIPPING

(A) Beginning of year	<u></u>	(B)
Beginning of year		(B)
Beginning of year Cash - non-interest-bearing Savings and temporary cash investments Pledges and grants receivable, net Accounts receivable, net I coans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 1958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L 0	End of year	
548,469.	1	770,902.
	2	0.
	3	1,263,821.
	4	1,328,496.
*** ** ****** * *** ** ***** **** ***** ****	set p	manage and the second s
1	5	0.
1 3 4	-	0.
	904,250. 1,180,882. 0.	904,250. 3 1,180,882. 4 0. 5

Part VI Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b, Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1, Part IV, Section D, lines 2 and 3, Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e, Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2. 5. and 6. Also complete this part for any additional information. (See instructions.)

17	Accounts payable and accrued expenses	101,562.	17	293,371.
18	Grants payable	0.	18	0.
19	Deferred revenue ATCH 1	270,273.	19	577,195.
		^		_

Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1, Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1, Part V, Section B, line 1e, Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

2017, THE FOUNDATION OWED THE UNIVERSITY \$18,856 AND \$0, RESPECTIVELY,

RELATED TO THE SUBCONTRACTS. THE UNIVERSITY PROVIDES CERTAIN OPERATING

SERVICES TO THE FOUNDATION. AS OF SEPTEMBER 30, 2018 AND 2017, THE

FOUNDATION OWED THE UNIVERSITY \$33,284 AND \$12.877. RESPECTIVELY. RELATED

Page 8 Part VI Supplemental Information. Provide the explanations required by Part II, line 10, Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c, Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b, Part V, line 1; Part V, Section B, line 1e, Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

FISCAL YEAR 2018 AND 2017, RESPECTIVELY. AS OF SEPTEMBER 30, 2018 AND

2017 THE REMAINING AMOUNTS OF THE CONTRIBUTIONS OF \$113 021 AND \$81 387

SCHEDULE D (Form 990)

Supplemental Financial Statements

► Complete if the organization answered "Yes" on Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

OMB No 1545-0047

Attach to Form 990.

20-1806718

Schedule D (Form 990) 2017 Page 2 Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued) Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply) Loan or exchange programs Public exhibition Scholarly research Other Preservation for future generations C Type I A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. You must complete Part IV, Sections A and B. Type II A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form

Schedule D (Form 990) 2017 Page 3 1 m 16 1 (Δ)

(A)		α		
(B)				
(C)				
(D)				
(E)	ļ			
Total				

AUBURN RESEARCH & TECHNOLOGY FOUNDATION

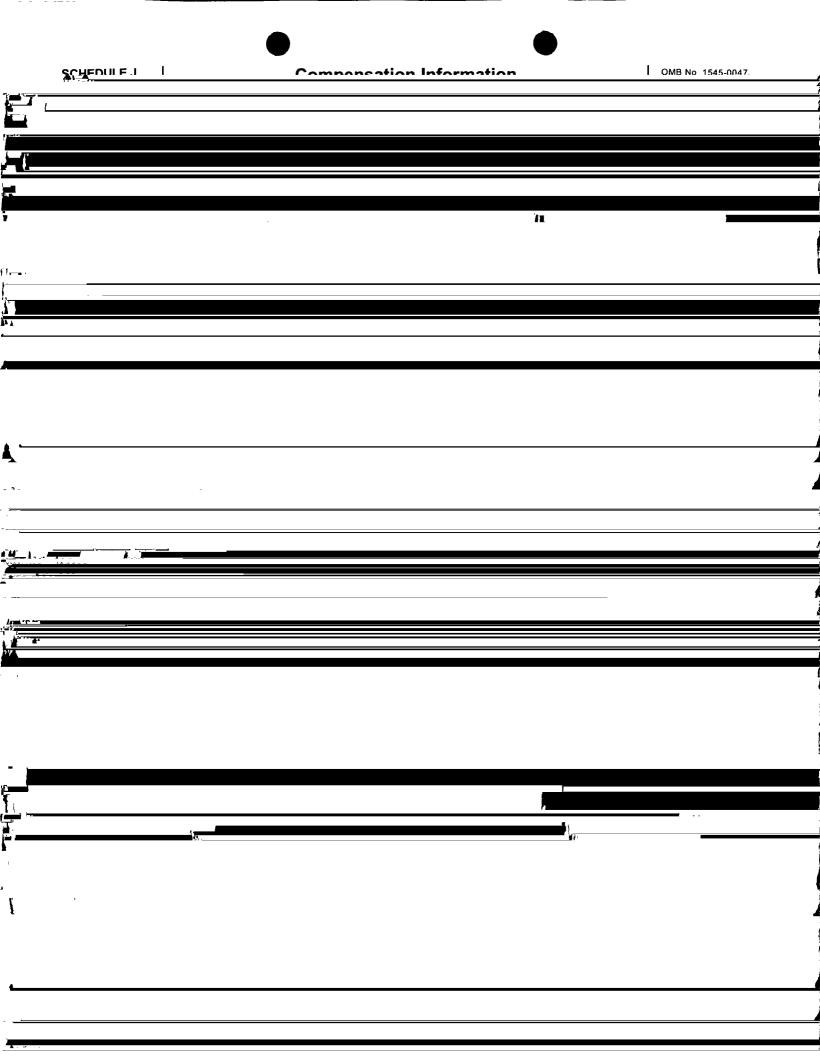
Schedule D	Fgrm 990) 2017		Page 4
Part XI	Reconciliation of Revenue per Audited Financial Statements With Revenue per Return Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.	'n.	
1 To	al revenue gains and other support per audited financial statements	1	1,701,125

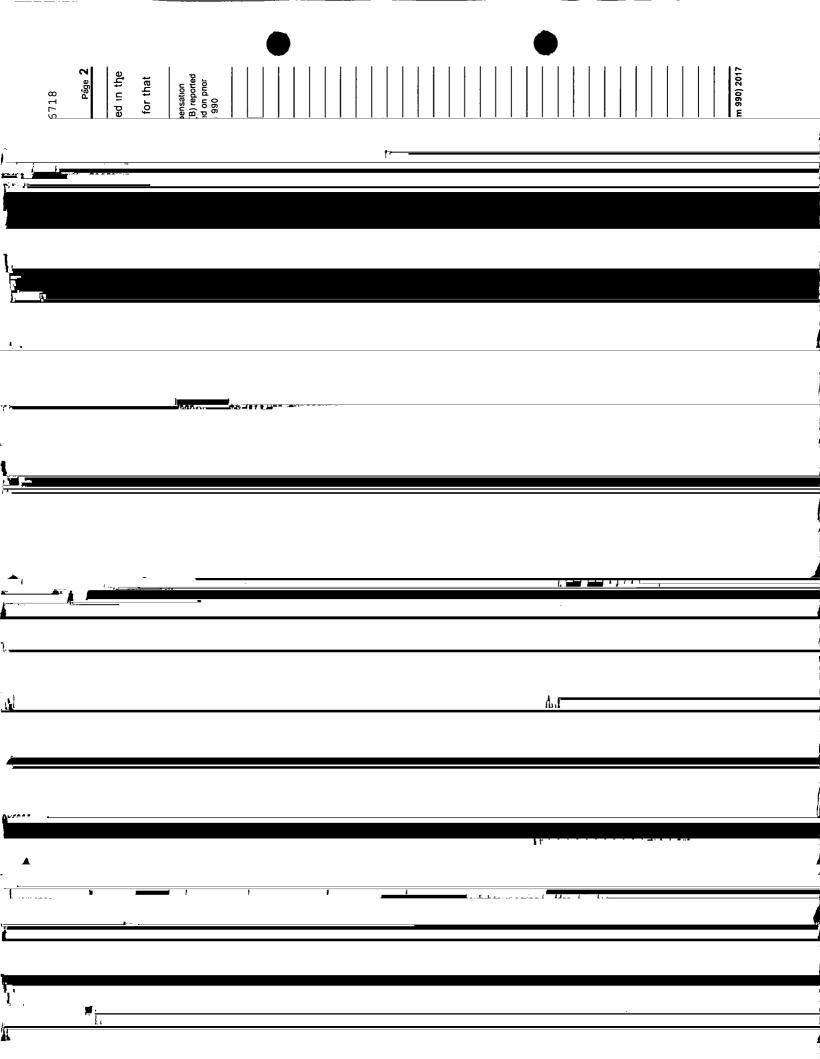
Sec	ction B. Total Support		1	•	/_	т	
Cal	endar year (or fiscal year beginning in) 🕨 📗	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
7 8	Amounts from line 4						
9	Net income from unrelated business activities, whether or not the business is regularly carried on						
10	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI)						
11	Total support. Add lines 7 through 10 [<u> </u>
12	Gross receipts from related activities, etc. (s.	ee instructions) .		/		12	
13	First five years. If the Form 990 is forganization, check this box and stop here.						
Sec	ction C. Computation of Public Supp	ort Percenta	ige /	,			
14	Public support percentage for 2017 (lir	ne 6, column (f) divided by line	11, column (f)).		14	
-		- — · —	<i>ــــــــــــــــــــــــــــــــــــ</i>	,		J. 4 <u>- 1</u>	

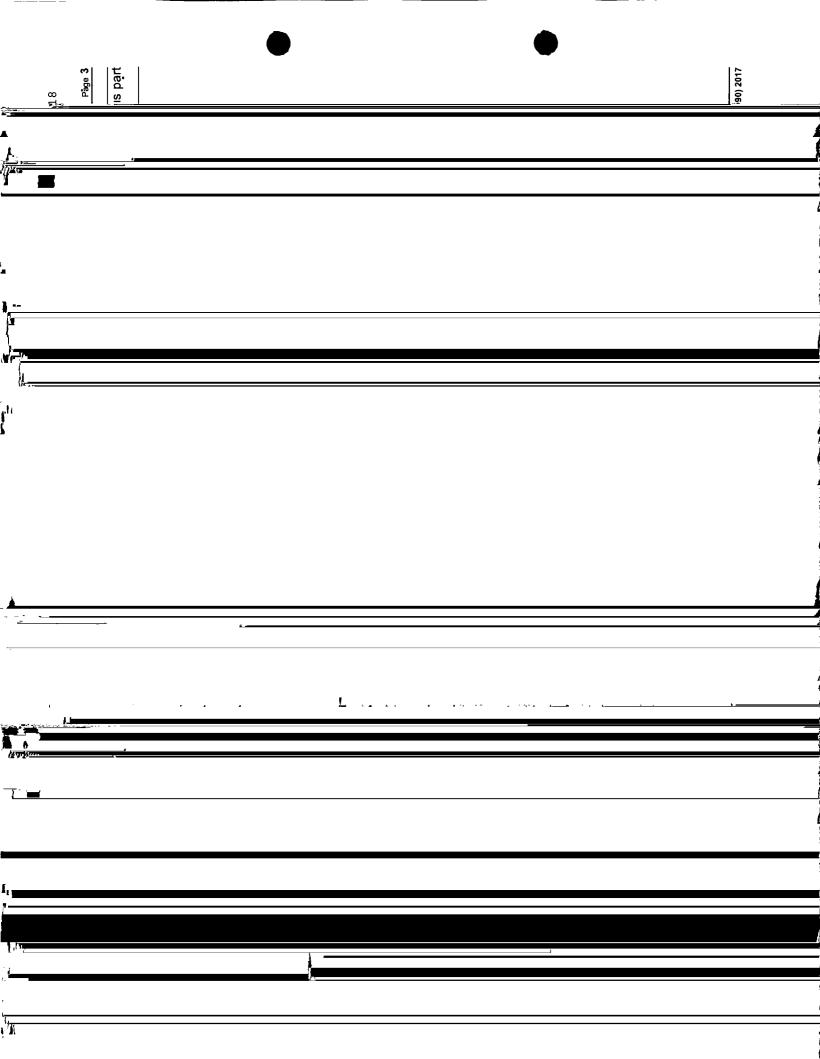
Schedule D (Form 990) 2017

Part XIII . Supplemental Information (continued)

Schedule D (Form 990) 2017







SCHEDULE O (Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

► Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www irs gov/form990.

OMB No 1545-0047

Open to Public Inspection

Schedule O (Form 990 or 990-EZ Name of the organization	12011			Employer identification number	Pag
AUBURN RESEARCH &	TECHNOLOGY FOUNDA	TION		20-1806718	
2017, RESPECTIVELY	THE DISCOUNT IS	AMORTIZED USING	THE EFFECTIVE		
INTEREST METHOD. A				6	
. DURING FISCAL YEAR	2018 AND 2017, R	RESPECTIVELY. ADDI	TIONALLY, IN AU	ugust	-
2013 THE FOUNDATION	N SIGNED A LEASE	WITH AUBURN UNIVE	RSITY TO LEASE	LAND	
FOR THE EDWARD VIA	COLLEGE OF OSTEP	PATHIC MEDICINE IN	BLACKSBURG, V	IRGINIA	
(VCOM) THE LEASE	TS_WORTH_S3_035 0	151NET_OF_A_DISC	COUNT OF \$2 239	619	
	,-				
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			,		
·					
	₩.1	Le r. :	·		
	₹.18 d	Year T	· · · · · ·		
	1, 29.	Yes I			

Name of the organization

AUBURN RESEARCH & TECHNOLOGY FOUNDATION

Employer identification number 20-1806718

PART III, LINE 3

THE CROHN'S DISEASE & COLITIS PROGRAM ENDED DURING THE YEAR.

PART VI, SECTION A, LINE 8B

THE FOUNDATION DID NOT HAVE ANY COMMITTEES WITH THE AUTHORITY TO ACT ON BEHALF OF THE GOVERNING BODY.

PART VI, SECTION B, LINE 11B

INFORMATION IS REVIEWED BY THE EXECUTIVE DIRECTOR PRIOR TO SUBMISSION.

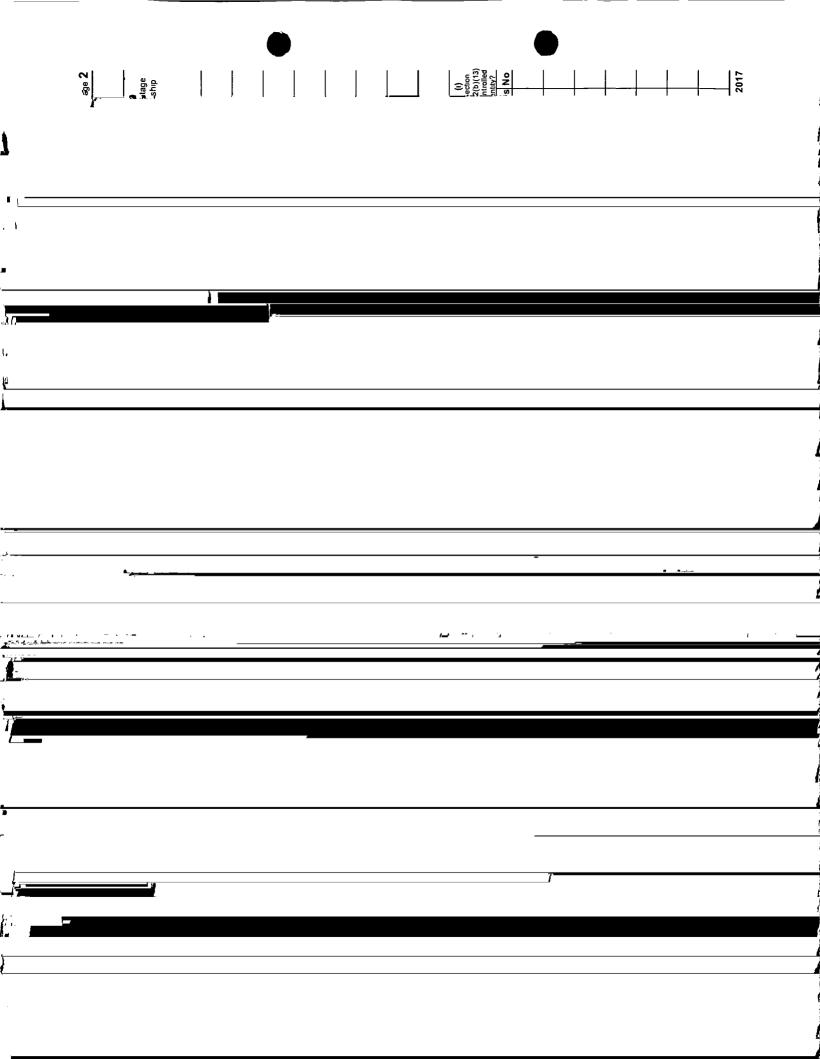
PART VI, SECTION B, LINE 12C

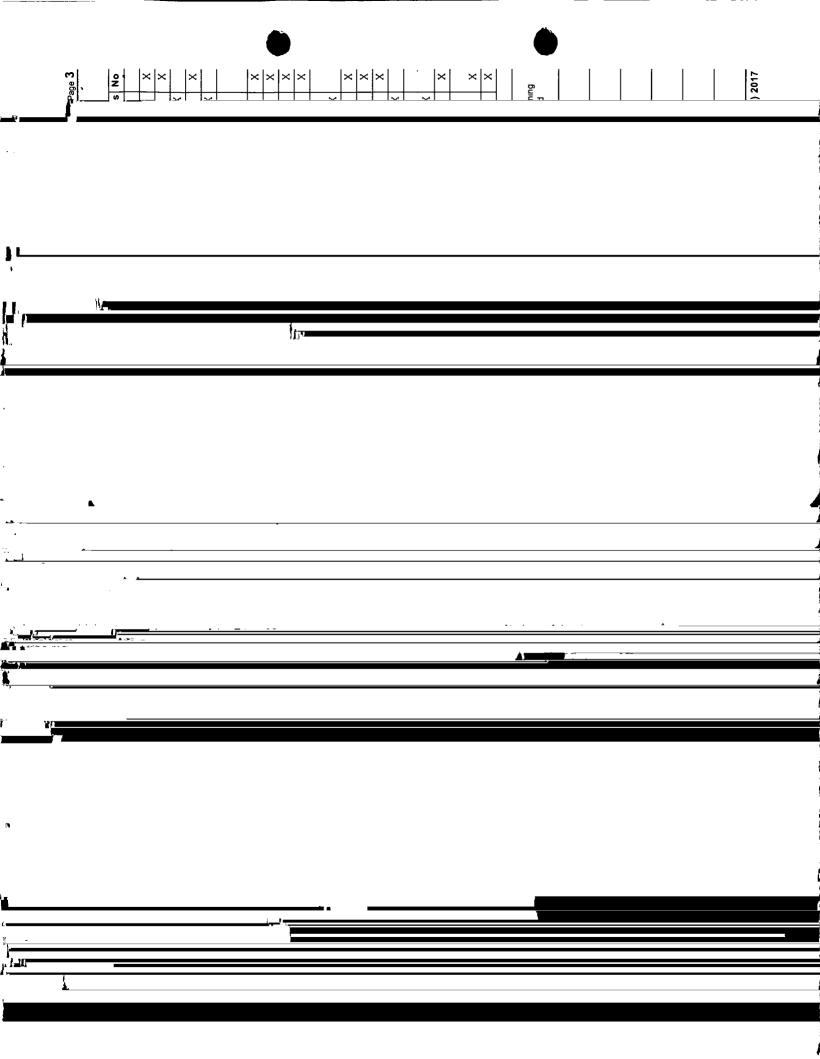
The constitute of the production provide a grant loan, compensation, or other similar payment to a substantial

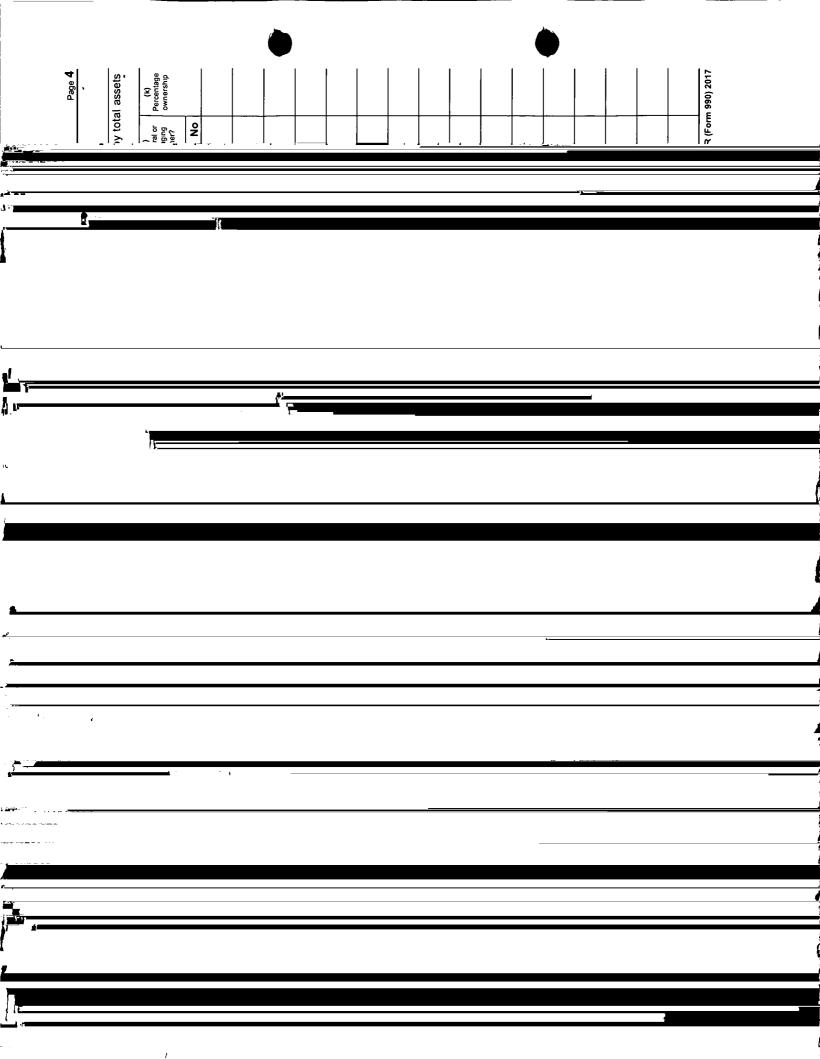
Lcontributor

Schedule O (Form 990 or 990-EZ) 2017	Page 2		
Name of the organization	Employer identification number		
AUBURN RESEARCH & TECHNOLOGY FOUNDATION	20-1806718		
GENERAL PUBLIC UPON REQUEST.	,		
2	ATTACHMENT 1		
FORM 990, PART X - DEFERRED REVENUE			
	ENDING		
DESCRIPTION	BOOK VALUE		
DEFERRED REVENUE	577,195.		
TOTALS	577,195.		

(g) Section 512(b)(13) controlled entity? R (Form 990) 2017 2017
Open to Public Inspection Attification number 16718 (f)
Direct controlling
entity Š Yes ıt had







20-1806718

Schedule R (Form 990) 2017

Page 5

Supplemental Information
Provide additional information for responses to questions on Schedule R See instructions.