



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing . . . . .	8,552	2,693	2,693
	2	Savings and temporary cash investments . . . . .	9,263	865	865
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	3,437,633	3,799,266	4,150,682
	c	Investments—corporate bonds (attach schedule) . . . . .			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	3,455,448	3,802,824	4,154,240	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/></b> <b>and complete lines 24 through 26 and lines 30 and 31.</b>				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/></b> <b>and complete lines 27 through 31.</b>				
	27	Capital stock, trust principal, or current funds . . . . .	3,274,791	3,455,448	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	180,657	347,376		
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	3,455,448	3,802,824		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) .	3,455,448	3,802,824		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,455,448
2	Enter amount from Part I, line 27a . . . . .	2	347,376
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	3,802,824
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	3,802,824

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1 a</b> SEE ATTACHED SCHEDULE	P	2002-01-01	2018-01-01
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 2,517,747		2,123,485	394,262
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b>			394,262
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

<b>2</b> Capital gain net income or (net capital loss) <span style="float: right;">{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }</span>	<b>2</b>	394,262
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 <span style="float: right;">{ }</span>	<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	180,500	3,851,572	0 04686
2015	190,570	3,697,690	0 05154
2014	190,968	3,847,608	0 04963
2013	180,000	3,871,912	0 04649
2012	170,000	3,499,682	0 04858
<b>2 Total</b> of line 1, column (d)			<b>2</b> 0 243100
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0 048620
<b>4</b> Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			<b>4</b> 4,107,209
<b>5</b> Multiply line 4 by line 3			<b>5</b> 199,693
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 5,334
<b>7</b> Add lines 5 and 6			<b>7</b> 205,027
<b>8</b> Enter qualifying distributions from Part XII, line 4			<b>8</b> 186,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	10,668
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	10,668
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	10,668
<b>6</b>	Credits/Payments		
<b>a</b>	2017 estimated tax payments and 2016 overpayment credited to 2017	<b>6a</b>	7,600
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	7,600
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	3,068
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2018 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	No
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T</i>	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> _____		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .	<b>10</b>	No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>N/A</b>	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of <b>Robert P Anderson Jr</b> Telephone no <b>(860) 442-0367</b>			

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<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . <b>15</b>			
<b>16</b>	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country <b>▶</b>	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly)		<b>Yes</b>	<b>No</b>
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(6)	Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> <b>1b</b>			<b>No</b>
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? <input type="checkbox"/> <b>1c</b>			<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>▶ 20____, 20____, 20____, 20____</b>			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions). <input type="checkbox"/> <b>2b</b>			<b>No</b>
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here <b>▶ 20____, 20____, 20____, 20____</b>			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>3b</b>			<b>No</b>
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <b>4a</b>			<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? <b>4b</b>			<b>No</b>

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

<b>5a</b>	During the year did the foundation pay or incur any amount to			
	<b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	<b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	<b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	<b>(4)</b> Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	<b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	<b>5b</b>	<b>No</b>	
	Organizations relying on a current notice regarding disaster assistance check here. 			
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?			
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			
		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<b>6b</b>	<b>No</b>	
	<i>If "Yes" to 6b, file Form 8870</i>			
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?			
		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b>	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	<b>7b</b>	<b>No</b>	

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Frederic P Anderson MD 40 Front Street Noank, CT 06340	President 1 00	0		
Sara S Peck 71 Island View Avenue Mystic, CT 06355	V-President 1 00	0		
Robert P Anderson Jr 19 Latham Lane Noank, CT 06340	Secy/Treas 1 00	0		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

**Total** number of other employees paid over \$50,000. . . . . ►**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total** number of others receiving over \$50,000 for professional services. . . . . ►**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ►	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	4,143,984
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	25,771
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	4,169,755
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	4,169,755
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	62,546
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	4,107,209
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	205,360

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	205,360
<b>2a</b>	Tax on investment income for 2017 from Part VI, line 5.	<b>2a</b>	10,668
<b>b</b>	Income tax for 2017 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	10,668
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	194,692
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	
<b>5</b>	Add lines 3 and 4.	<b>5</b>	194,692
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	194,692

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	186,000
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	186,000
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	186,000

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
<b>1</b> Distributable amount for 2017 from Part XI, line 7				194,692
<b>2</b> Undistributed income, if any, as of the end of 2017				
<b>a</b> Enter amount for 2016 only. . . . .			301	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2017				
<b>a</b> From 2012. . . . .				
<b>b</b> From 2013. . . . .				
<b>c</b> From 2014. . . . .				
<b>d</b> From 2015. . . . .				
<b>e</b> From 2016. . . . .				
<b>f</b> Total of lines 3a through e. . . . .				
<b>4</b> Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 186,000				
<b>a</b> Applied to 2016, but not more than line 2a			301	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2017 distributable amount. . . . .				185,699
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6 Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018 . . . . .				8,993
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions). . . . .				
<b>9 Excess distributions carryover to 2018.</b> Subtract lines 7 and 8 from line 6a . . . . .				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2013. . . . .				
<b>b</b> Excess from 2014. . . . .				
<b>c</b> Excess from 2015. . . . .				
<b>d</b> Excess from 2016. . . . .				
<b>e</b> Excess from 2017. . . . .				

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a. The name, address, and telephone number or email address of the person to whom applications should be addressed

- b. The form in which applications should be submitted and information and materials they should include**

- c Any submission deadlines

- Form
- 990-PF**
- (2017)

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total</b> . . . . .			<b>3a</b>	186,000
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .			<b>3b</b>	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .					
<b>4</b> Dividends and interest from securities. . . .			14	150,973	
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .					394,262
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue <b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal. Add columns (b), (d), and (e). . .				150,973	394,262
<b>13</b> <b>Total.</b> Add line 12, columns (b), (d), and (e). . . . .			<b>13</b>		<b>545,233</b>

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		<b>Yes</b>	<b>No</b>
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of			
<b>(1)</b> Cash. . . . .		<b>1a(1)</b>	<b>No</b>
<b>(2)</b> Other assets. . . . .		<b>1a(2)</b>	<b>No</b>
<b>b</b> Other transactions			
<b>(1)</b> Sales of assets to a noncharitable exempt organization. . . . .		<b>1b(1)</b>	<b>No</b>
<b>(2)</b> Purchases of assets from a noncharitable exempt organization. . . . .		<b>1b(2)</b>	<b>No</b>
<b>(3)</b> Rental of facilities, equipment, or other assets. . . . .		<b>1b(3)</b>	<b>No</b>
<b>(4)</b> Reimbursement arrangements. . . . .		<b>1b(4)</b>	<b>No</b>
<b>(5)</b> Loans or loan guarantees. . . . .		<b>1b(5)</b>	<b>No</b>
<b>(6)</b> Performance of services or membership or fundraising solicitations. . . . .		<b>1b(6)</b>	<b>No</b>
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .		<b>1c</b>	<b>No</b>
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received.			

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<b>Sign Here</b>	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	***** Signature of officer or trustee	2019-01-02 Date	***** Title

May the IRS discuss this return with the preparer shown below (see instr.)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
--

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	Audrey A Leone CPA				P00062993
	Firm's name <b>►</b> Doherty Beals & Banks PC				Firm's EIN <b>►</b> 06-0872192
	Firm's address <b>►</b> 187 Williams St New London, CT 06320				Phone no (860) 443-2033

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Community Foundation of SE CT 68 Federal Street New London, CT 06320		Exempt	Exempt Purposes of Donee	47,000
CT Early Music Society 52 Eugene O'Neil Drive New London, CT 06320		Exempt	Exempt Purpose of Donee	9,000
Connecticut Lyric OperaPO Box 1992 New London, CT 06320		Exempt	Exempt Purpose of Donee	3,000
<b>Total . . . . . ▶</b> <b>3a</b>				186,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
CT Trust for Historic Preservation 940 Whitney Avenue Hamden, CT 06517		Exempt	Exempt Purpose of Donee	4,000
Garde Arts Theater325 State Street New London, CT 06320		Exempt	Exempt Purpose of Donee	4,000
Groton Open Space Association PO Box 9187 Groton, CT 06340		Exempt	Exempt Purpose of Donee	14,000
<b>Total . . . . . ▶</b> <b>3a</b>				186,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
Lawrence Memorial Hospital 365 Montauk Avenue New london, CT 06320		Exempt	Exempt Purpose of Donee	5,000
Lower Mystic Cemetary Association 321 River Road Mystic, CT 06355		Exempt	Exempt Purpose of Donee	2,500
Metropolitan OperaLincoln Center New York, NY 10023		Exempt	Exempt Purpose of Donee	1,000
<b>Total . . . . . ▶</b> <b>3a</b>				186,000



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
Mystic Arts Center9 Water Street Mystic, CT 06355		Exempt	Exempt Purpose of Donee	5,000
Mystic Noank Library40 Library Street Mystic, CT 06355		Exempt	Exempt Purpose of Donee	5,000
Mystic Seaport Museum 75 Greenmanville Avenue Mystic, CT 06355		Exempt	Exempt Purpose of Donee	12,000
<b>Total . . . . . ▶</b> <b>3a</b>				186,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
Natchaug Hospital189 Storrs Road Mansfield Center, CT 06250		Exempt	Exempt Purpose of Donee	3,000
New London Community Meal Center 12 Montauk Avenue New London, CT 06320		Exempt	Exempt Purpose of Donee	2,000
Noank Baptist Church 18 Cathedral Heights Groton, CT 06340		Exempt	Exempt Purpose of Donee	15,000
<b>Total . . . . . ▶</b> <b>3a</b>				186,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Noank Historical Society17 Sylvan Street Groton, CT 06340		Exempt	Exempt Purpose of Donee	20,000
Planned Parenthood45 Franklin Street New London, CT 06320		Exempt	Exempt Purpose of Donee	13,000
Reliance House40 Broadway Norwich, CT 06360		Exempt	Exempt Purpose of Donee	3,500
<b>Total . . . . .</b> ▶ <b>3a</b>				186,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Safe Futures Inc16 Jay Street New London, CT 06320		Exempt	Exempt Purpose of Donee	5,000
Salt Marsh Opera65 Cutler Street Stonington, CT 06378		Exempt	Exempt Purpose of Donee	2,000
Salvation Army170 Bank Street New London, CT 06320		Exempt	Exempt Purpose of Donee	3,000
<b>Total . . . . .</b> ▶ <b>3a</b>				186,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
Smith College10 Elm Street Northampton, MA 01063		Exempt	Exempt Purpose of Donee	1,000
United Way of Southeastern Connecti 283 Stoddards Wharf Road Gales Ferry, CT 06335		Exempt	Exempt Purpose of Donee	1,000
Visiting Nurses Association of SE C 403 North Frontage Road Waterford, CT 06385		Exempt	Exempt Purpose of Donee	3,000
<b>Total . . . . . ▶</b> <b>3a</b>				186,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Always Home Inc119 High Street Mystic, CT 06355		EOF	Exempt Purpose of Donee	3,000
<b>Total . . . . .</b> <div> <div></div> <div>▶</div> </div> <b>3a</b>				186,000

**TY 2017 Accounting Fees Schedule****Name:** Anderson-Paffard Foundation Inc**EIN:** 06-1047468**Software ID:** 17005038**Software Version:** 2017v2.2**Accounting Fees Schedule**

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
accounting fees	1,470	1,470	0	0

**TY 2017 Other Expenses Schedule****Name:** Anderson-Paffard Foundation Inc**EIN:** 06-1047468**Software ID:** 17005038**Software Version:** 2017v2.2**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK FEES	24	24		
Office Expense	430	430		
SECRETARY OF STATE	50	50		



**TY 2017 Other Professional Fees Schedule****Name:** Anderson-Paffard Foundation Inc**EIN:** 06-1047468**Software ID:** 17005038**Software Version:** 2017v2.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BOOKKEEPING	800	800	0	0

**TY 2017 Taxes Schedule****Name:** Anderson-Paffard Foundation Inc**EIN:** 06-1047468**Software ID:** 17005038**Software Version:** 2017v2.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
	9,085	9,085		

**ANDERSON-PAFFARD FOUNDATION, INC**  
**SCHEDULE OF INVESTMENTS**  
**AS OF SEPTEMBER 30, 2017**

<u># Shares or Face Value</u>	<u>Securities, Bonds and Mutual Funds</u>	<u>Cost Basis on statement</u>	<u>Fair Market Value</u>
<u>Stocks, options &amp; ETF's</u>			
700	Chevron Corp	\$ 39,936	\$ 85,596
700	Exxon Corp	17,661	59,514
700	Johnson & Johnson, Inc.	37,485	96,719
700	Verizon Communications	8,319	37,373
		<hr/>	<hr/>
		\$ 103,401	\$ 279,202
<u>Fixed income securities</u>			
25,000	El Dupont Nemour	26,647	29,221
50,000	Johnson & Johnson Deb	63,774	64,496
10,000	United Technologies Corp	13,898	12,666
10,000	Deere & Co	13,783	13,413
10,000	Caterpillar Inc	13,448	12,557
10,000	Boeing Co	14,982	14,329
1,000	Texaco Capital Inc	1,416	1,376
10,000	XTO Energy Inc	13,755	13,108
25,000	AT&T	24,999	27,945
10,000	Tennessee Valley Auth Power Bond	9,963	10,562
15,000	Hydro Quebec	18,671	19,809
		<hr/>	<hr/>
		\$ 215,335	\$ 219,482
<u>Mutual Funds</u>			
5053.274	Invesco American Franchise Cl A	\$ 119,604	\$ 117,792
1851.852	American Balanced Fd Inc CL C	50,007	51,389
589.407	Capital Income Builder	34,845	35,588
1,057.114	Fundamental Invrs Inc	51,180	69,072
2,393.709	Income Fd of America Inc. Class A shares	35,314	55,534
9,615.788	Pimco Income Fd Cl A	121,996	114,332
6,005.663	AIG Focused Dividend Strategy Fund	114,490	110,865
19,503.355	Wells Fargo Diversified	125,007	123,651
800.000	Gabelli Dividend & Income Trust	15,053	19,120
		<hr/>	<hr/>
		\$ 667,496	\$ 697,342
<u>Preferreds/fixed rate cap secs</u>			
2,000	Bank of America Call 5/16/23	\$ 50,739	\$ 52,000
2,000	Bank of America Call 1/29/21	52,431	51,800
1,000	CitiGroup Inc	25,577	26,060
1,200	Nextera Energy	31,375	29,136
2,000	Wells Fargo & Co PFD 6% Non- Cum Ser V Perp	50,647	51,740
		<hr/>	<hr/>
		\$ 210,768	\$ 210,736
<u>Unit investment trusts</u>			

3688	First Trust Balanced Income Eqty & Eft Ser 21	\$ 37,716	\$ 36,437
4,639	First Trust Capital Ser 36	49,355	59,518
9,275	First Trust Dividend Ser 34	94,233	109,538
8,534	First Trust Dividend Ser 35	85,243	95,495
751	First Trust Dividend Ser 36	7,524	7,931
2,697	First Trust Equity Ser 51	27,260	35,169
12,086	First Trust Income Div Eq Alloc Ser 16	121,200	124,123
3,976	First Trust Income Div Eq Alloc Ser 17	40,959	41,072
7,491	First Trust Select Portfolios 4Q - 2017	74,910	84,573
15,360	First Trust Select Portfolios 1Q-2018	153,600	160,051
8,015	First Trust Select Portfolios 3Q - 2018	80,738	84,398
2,398	First Trust Select Portfolios 3Q - 2017	23,980	27,073
1,105	First Trust REIT Growth & Income Ser 41	10,856	10,685
2,259	First Trust REIT Growth & Income Ser 42	22,217	21,144
1,772	First Trust Target GLBL DVD Leaders	17,720	18,695
9,142	First Trust Target VIP 3Q 18	90,961	94,437
3,512	First Trust Utilities Ser 44	36,278	33,891
6,423	Invesco Dividend Sustainability	67,429	77,847
15,502	Guggenheim Balanced Income Builder Ser 21	157,024	152,540
17,116	Guggenheim Balanced Income Builder Ser 22	165,971	158,836
7,915	Guggenheim Balanced Income Builder Ser 23	79,510	77,488
14,676	Guggenheim Dividend Strength Ser 23	147,820	171,122
4,491	Guggenheim Dividend Strength Ser 27	45,378	50,075
25,266	Guggenheim Dividend Strength Ser 28	255,986	264,030
9,026	Guggenheim Dividend Strength Ser 29	88,714	92,065
7,865	Guggenheim Dow Jones Value Ser 30	78,655	78,021
8,848	Guggenheim Dow Jones Value Ser 32	88,819	87,949
1,863	Guggenheim Dow Jones Value Ser 33	18,642	18,816
8,537	Guggenheim Dow Jones Value Ser 34	85,638	83,748
11,539	Guggenheim Large Cap Ser 31	115,433	140,430
12,453	Guggenheim Large Cap Ser 32	131,491	142,836
9,847	Guggenheim Large Cap Ser 33	101,007	103,886
		\$ 2,602,266	\$ 2,743,920
	Total portfolio value at September 30, 2018	<u>\$ 3,799,266</u>	<u>\$ 4,150,682</u>

**ANDERSON-PAFFARD  
STOCK SALES  
9/30/2018**

SALES

TRUE

STOCK	DATE	SHARES	PROCEEDS	COST	REALIZED GAIN/LOSS
First Trust Select Div Portfolio 3rd Q 2016	10/18/17	7,079.000	74,916.35	70,467.00	4,449.35
Johnson & Johnson	10/24/17	200.000	28,048.53	10,710.00	17,338.53
First Trust Utilities Ser 36	10/24/17	764.000	9,996.20	7,757.20	2,239.00
First Trust Equity Income Ser 47	10/24/17	509.000	5,999.61	5,082.98	916.63
Guggenheim Div Strength Ser 19	10/24/17	2,800.000	33,997.80	27,718.69	6,279.11
First Trust Div Strength Ser 30	10/24/17	1,102.000	14,989.57	19,814.22	4,175.35
Guggenheim Large Cap Ser 25	10/24/17	679.000	7,993.93	6,443.78	1,550.15
Guggenheim Div Strength Ser 20	10/24/17	708.000	7,995.17	7,303.26	691.91
First Trust Capital Strength Ser 32	10/24/17	1,550.000	19,995.91	15,280.83	4,715.08
First Trust Div Strength Ser 31	10/24/17	1,469.000	18,092.16	14,519.74	3,472.42
First Trust Equity Income Ser 48	10/24/17	1,108.000	12,993.83	10,945.91	2,047.92
First Trust Div Strength Ser 33	10/24/17	1,881.000	21,998.42	18,324.19	3,674.23
First Trust Equity Income Ser 49	10/24/17	1,247.000	15,999.30	12,086.07	3,913.23
First Trust Equity Income Ser 51	10/24/17	595.000	6,995.56	6,013.90	981.66
Guggenheim Dow Jones Value Div Focus Ser 26	10/24/17	1,073.000	11,994.18	10,611.22	1,382.96
First Trust Sel Disp 4th Q 2016	10/24/17	1,435.000	15,996.41	14,505.30	1,491.11
First Trust Div Strength Ser 34	10/24/17	914.000	9,993.99	9,284.51	709.48
First Trust Utilities Ser 36	11/28/17	2,694.000	36,275.79	27,353.26	8,922.53
Guggenheim Large Cap Core Ser 25	11/29/17	10,976.000	131,918.79	102,502.91	29,415.88
Guggenheim Dow Jones Value Div Focus Ser 26	11/29/17	3,164.000	38,556.82	30,026.71	8,530.11
Guggenheim Dow Jones Value Div Focus Ser 26	12/13/17	7,525.000	84,975.84	74,416.94	10,558.90
First Trust Equity Income Ser 47	12/18/17	3,184.000	39,109.71	31,796.06	7,313.65
Guggenheim Div Strength Ser 20	12/18/17	820.000	9,591.26	8,458.58	1,132.68
First Trust Capital Strength Ser 30	12/18/17	1,282.000	16,991.17	12,638.72	4,352.45
First Trust Div Strength Ser 31	12/18/17	1,193.000	15,992.80	11,791.73	4,201.07
First Trust Equity Income Ser 48	12/18/17	3,058.000	12,992.75	10,451.96	2,540.79
First Trust Div Strength Ser 32	12/18/17	1,869.000	22,990.86	18,207.29	4,783.57
First Trust Equity Income Ser 49	12/18/17	1,267.000	14,982.37	12,279.99	2,702.38
First Trust Equity Income Ser 51	12/18/17	649.000	7,990.30	6,599.70	1,430.60
Guggenheim Div Strength Ser 22	12/18/17	1,238.000	13,986.36	12,192.74	1,793.62
Invesco Div Sustainability 2016-4	12/18/17	731.000	8,590.75	7,674.11	916.64
First Trust Sel Disp 4th Q 2016	12/18/17	1,884.000	21,988.89	19,045.76	2,943.13
Guggenheim Div Strength Ser 23	12/18/17	1,444.000	15,992.66	14,518.28	1,474.38
First Trust Div Strength Ser 34	12/18/17	1,132.000	12,986.55	11,498.97	1,487.58
First Trust Sel Disp 1st Q 2017	12/18/17	990.000	10,785.58	9,801.00	984.58
First Trust Div Strength Ser 30	12/20/17	3,252.000	46,559.05	32,730.40	13,828.65
Guggenheim Div Strength Ser 27	12/29/17	0.166	1.80	1.74	0.06
Chevron Corp	01/29/18	200.000	25,570.05	11,410.38	14,159.67
Exxon Mobil Corp	01/29/18	200.000	17,463.60	4,993.44	12,470.16
GTE California Inc	01/29/18	48,000.000	44,252.75	50,541.62	(6,288.87)
First Trust Capital Strength Ser 32	01/22/18	3,529.000	49,885.59	34,791.00	15,094.59
First Trust Sel Disp 4th Q 2016	01/12/18	12,647.000	153,609.05	127,736.71	25,872.34
Guggenheim Div Strength Ser 20	02/06/18	4,986.000	57,788.68	51,412.27	6,376.41
First Trust Div Strength Ser 31	02/06/18	947.000	12,982.81	9,300.24	3,682.57
First Trust Income Ser 48	02/06/18	887.000	10,982.84	8,762.66	2,220.18
First Trust Div Strength Ser 32	02/06/18	1,479.000	19,991.67	15,362.19	4,629.48
First Trust Income Ser 49	02/06/18	1,013.000	11,988.74	9,818.18	2,170.56
Guggenheim Div Strength Ser 22	02/06/18	917.000	9,988.39	9,031.29	957.10
First Trust Div Strength Ser 31	04/12/18	2,503.000	35,578.59	24,739.90	10,838.69
First Trust Equity Income Ser 48	03/12/18	4,005.000	50,163.43	39,565.51	10,597.92
Guggenheim Dow Jones Value Div Focus Ser 27	03/15/18	8,369.000	88,823.29	87,132.43	1,701.11
Fundamental Invs Inc Cl A	04/26/18	353.357	21,993.00	16,195.42	5,797.58
Income Fund Amer Cl A	04/26/18	1,314.000	29,093.00	18,999.86	10,093.14
First Trust Div Strength Ser 32	04/26/18	1,167.000	14,690.90	11,369.68	3,321.22
First Trust Equity Income Ser 49	04/26/18	842.000	9,786.05	8,160.81	1,625.24
First Trust Equity Income Ser 51	04/26/18	513.000	6,281.66	5,185.10	1,096.56
Guggenheim Div Strength Ser 22	04/26/18	714.000	7,588.32	7,032.00	556.32
Guggenheim Div Strength Ser 23	04/26/18	766.000	8,183.38	7,701.53	481.85
First Trust Div Strength Ser 34	04/26/18	1,104.000	12,781.57	11,214.54	1,566.98
First Trust Capital Strength Ser 36	04/26/18	552.000	6,691.52	5,872.83	818.69
First Trust Target VIP 2Q 17	04/26/18	908.000	9,983.00	8,989.20	993.80
First Trust Sel Disp 1st Q 2017 Ser C	04/17/18	10,000.000	107,997.00	99,000.00	8,997.00
Capital Income Bldr Cl A	05/16/18	769.251	46,993.00	45,607.74	1,385.26
First Trust Utilities Ser 38	05/29/18	6,357.000	66,842.58	65,559.74	1,282.84
DTE Energy	06/05/18	1,200.000	29,242.01	30,854.98	(1,612.97)
Federal Realty Inv	06/05/18	1,200.000	27,562.42	30,434.13	(2,871.71)
Southern Co	06/05/18	1,200.000	29,920.96	31,546.96	(1,616.00)
First Trust Div Strength Ser 32	06/08/18	4,271.000	56,041.93	41,606.92	14,435.01
First Trust Equity Income Ser 49	06/08/18	4,061.000	55,032.13	41,981.40	13,050.73
Guggenheim Dow Jones Value Div Focus Ser 28	06/15/18	1,822.000	18,646.71	18,310.81	335.90
Chevron Corp	07/17/18	100.000	12,004.75	5,705.20	6,299.55
Exxon Mobil Corp	07/17/18	100.000	8,054.78	2,491.72	5,563.06
Johnson & Johnson	07/17/18	100.000	12,618.02	5,354.96	7,263.06
Verizon Communications	07/17/18	300.000	15,205.17	3,565.46	11,639.71
First Trust Sel Disp 2nd Q 2017 Ser c	07/16/18	10,038.000	108,666.37	99,376.70	9,289.67
First Trust Target VIP 2Q 17	07/09/18	8,056.000	90,968.35	79,754.40	11,213.95
AT&T Inc	08/28/18	1,200.000	29,036.46	32,181.80	(3,145.34)
Gabelli Dividend & Income Trust	08/28/18	200.000	4,669.23	3,763.13	906.10
Guggenheim Div Strength Ser 22	08/28/18	7,579.000	86,462.75	74,643.57	11,819.18
Guggenheim US High Div Strat 2Yr Ser 10 C	08/28/18	2,671.000	28,803.26	26,556.15	2,247.11
Guggenheim Dow Jones Value Div Focus Ser 29	09/28/18	8,294.000	85,184.57	84,977.54	207.03
<b>TOTAL</b>			<b>2,517,746.73</b>	<b>2,123,484.61</b>	<b>394,262.12</b>

**ANDERSON-PAFFARD FOUNDATION**  
**CONTRIBUTIONS**  
**9/30/2018**

	<u>September-18</u>	<u>September-17</u>
ALWAYS HOME, INC	\$ 3,000 ✓	\$ -
COMMUNITY FOUNDATION OF S.E. CT	47,000 ✓	45,000
CONN. EARLY MUSIC SOCIETY	9,000 ✓	18,000
CONN LYRIC OPERA	3,000 ✓	3,000
CONN. TRUST FOR HISTORIC PRESERVATION	4,000 ✓	4,000
DENISON PEQUOTSEPOS NAT. CENTER		-
GARDE ARTS THEATER	4,000 ✓	4,000
GROTON OPEN SPACE ASSOCIATION	14,000 ✓	20,000
L&M HOSPITAL	5,000 ✓	5,000
LOWER MYSTIC CEMETARY ASSOC.	2,500 ✓	2,500
METROPOLITAN OPERA	1,000 ✓	1,000
MYSTIC AREA SHELTER & HOSP		3,000
MYSTIC ARTS CENTER	5,000 ✓	5,000
MYSTIC NOANK LIBRARY	5,000 ✓	6,000
MYSTIC SEAPORT MUSEUM	12,000 ✓	12,000
NATCHAUG HOSPITAL	3,000 ✓	3,000
NEW LONDON COMMUNITY MEAL CENTER	2,000 ✓	2,000
NOANK BAPTIST CHURCH	15,000 ✓	5,000
NOANK HISTORICAL SOCIETY	20,000 ✓	12,000
PLANNED PARENTHOOD	13,000 ✓	13,000
RELIANCE HOUSE	3,500 ✓	2,000
SAFE FUTURES, INC	5,000 ✓	5,000
SALT MARSH OPERA	2,000 ✓	2,000
SALVATION ARMY	3,000 ✓	3,000
SMITH COLLEGE	1,000 ✓	1,000
TOWN OF GROTON (LIBRARY)		-
UNITED WAY OF SOUTHEAST CT	1,000 ✓	1,000
VISITING NURSE ASSOCIATION OF S.E. CT	3,000 ✓	3,000
WATERFORD COUNTRY SCHOOL		-
WILLIAMS SCHOOL		-
	<u>\$ 186,000</u>	<u>\$ 180,500</u>