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Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017, or tax year beginning 09-01-2017 , and ending 08-31-2018

Name of foundation
ANDREW JERGENS FOUNDATION

A Employer identification number
31-6038702

Number and street (or P O box number if mail is not delivered to street address)
200 W 4TH STREET

Room/suite

B Telephone number (see instructions)
(513) 241-2880

City or town, state or province, country, and ZIP or foreign postal code
CINCINNATI, OH 45202

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 13,716,845

J Accounting method ☐ Cash ☐ Accrual ☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	304,968	304,968		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,128,297			
	b Gross sales price for all assets on line 6a 8,216,290				
	7 Capital gain net income (from Part IV, line 2)		1,128,297		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	1,433,265	1,433,265		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	37,500			37,500
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	28,770	28,770		0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	17,797	6,863		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings	2,328	0	0	2,328
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	8,715	7,740		975
	24 **Total operating and administrative expenses.** Add lines 13 through 23	95,110	43,373	0	40,803
	25 Contributions, gifts, grants paid	612,113			612,113
	26 **Total expenses and disbursements.** Add lines 24 and 25	707,223	43,373	0	652,916
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	726,042			
	b **Net investment income** (if negative, enter -0-)		1,389,892		
	c **Adjusted net income**(if negative, enter -0-)			0	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	568,830	692,506	692,506
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶		0	0
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)	14,958	13,925	15,237
	b Investments—corporate stock (attach schedule)	3,383,294	4,620,055	5,625,692
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	6,619,134	5,985,694	7,383,410
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	10,586,216	11,312,180	13,716,845
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	10,570,700	11,309,711	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	15,516	2,469	
	30 **Total net assets or fund balances** (see instructions)	10,586,216	11,312,180	
	31 **Total liabilities and net assets/fund balances** (see instructions)	10,586,216	11,312,180	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,586,216
2	Enter amount from Part I, line 27a	2	726,042
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	11,312,258
5	Decreases not included in line 2 (itemize) ▶	5	78
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	11,312,180

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	1,128,297
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	665,763	12,362,381	0 053854
2015	620,649	11,911,800	0 052104
2014	595,225	12,594,686	0 04726
2013	518,156	12,107,572	0 042796
2012	1,032,435	11,242,247	0 091835

2	**Total** of line 1, column (d)	2	0 287849
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 05757
4	Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	13,254,694
5	Multiply line 4 by line 3	5	763,073
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	13,899
7	Add lines 5 and 6	7	776,972
8	Enter qualifying distributions from Part XII, line 4	8	652,916

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	27,798
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	27,798
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	27,798
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017 — 6a: 11,576		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	11,576
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	16,222
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2018 estimated tax** ▶ 0 **Refunded** ▶	11	0

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ _____ **(2)** On foundation managers ▶ $ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ OH			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b		No
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶ PNC BANK Telephone no ▶ (412) 768-9969

Located at ▶ 116 ALLEGHENY CENTER MALL PITTSBURGH PA ZIP+4 ▶ 15212

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		Yes	No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶	16		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ Yes ☐ No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No		
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No		
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?	☐ Yes ☑ No		
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No		
b	If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☑ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☑ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☑ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes	☑ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☑ No		
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?			**5b**	
	Organizations relying on a current notice regarding disaster assistance check here ▶	☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☑ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			**6b**	**No**
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☑ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			**7b**	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				

Total number of other employees paid over $50,000. . . . . ▶ 0

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	13,456,542
b	Average of monthly cash balances	1b	0
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	13,456,542
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	13,456,542
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	201,848
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	13,254,694
6	**Minimum investment return.** Enter 5% of line 5	6	662,735

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	662,735
2a	Tax on investment income for 2017 from Part VI, line 5	2a	27,798		
b	Income tax for 2017 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	27,798
3	Distributable amount before adjustments Subtract line 2c from line 1			3	634,937
4	Recoveries of amounts treated as qualifying distributions			4	0
5	Add lines 3 and 4			5	634,937
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	634,937

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	652,916
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	652,916
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	652,916

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				634,937
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			0	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2017				
a From 2012. 506,751				
b From 2013. 0				
c From 2014. 0				
d From 2015. 37,264				
e From 2016. 59,218				
f **Total** of lines 3a through e.	603,233			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ $ 652,916				
a Applied to 2016, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				634,937
e Remaining amount distributed out of corpus	17,979			
5 Excess distributions carryover applied to 2017	0			0
(If an amount appears in column (d), the same amount must be shown in column (a))				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	621,212			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions) . . .	506,751			
9 **Excess distributions carryover to 2018.** Subtract lines 7 and 8 from line 6a	114,461			
10 Analysis of line 9				
a Excess from 2013. . . . 0				
b Excess from 2014. . . . 0				
c Excess from 2015. . . . 37,264				
d Excess from 2016. . . . 59,218				
e Excess from 2017. . . . 17,979				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ANDREW JERGENS FOUNDATION
C/O GREATER CINCINNATI FDN 200 WES
CINCINNATI, OH 45202
(513) 241-2880

b The form in which applications should be submitted and information and materials they should include

Letter of inquiry required Refer to www gcfdn org for the on-line form (From the menu tab select Grants, Private Foundation Grants, and then the Andrew Jergens Foundation)

c Any submission deadlines

See instructions for grant application

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

See instructions for grant application

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . . ▶ **3a**				612,113
b *Approved for future payment* MINDPEACE CINCINNATI 5462 HAMILTON AVENUE CINCINNATI, OH 45224	NONE	PC	SERVICES FOR CHILDREN WITH	15,000
Total . . . . . ▶ **3b**				15,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	304,968	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	1,128,297	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				1,433,265	
13 **Total.** Add line 12, columns (b), (d), and (e).				13	1,433,265

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		No
	(2) Other assets	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
	(3) Rental of facilities, equipment, or other assets	1b(3)		No
	(4) Reimbursement arrangements	1b(4)		No
	(5) Loans or loan guarantees	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2018-10-19	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
TARA MCGUIRE		2018-10-19		P01585959

Firm's name ▶ PNC BANK NA	Firm's EIN ▶22-1146430
Firm's address ▶ 116 ALLEGHENY CENTER MALL PITTSBURGH, PA 15212	Phone no (412) 768-9969

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
90 L OREAL CO UNSPONSORED ADR		2006-05-11	2017-09-01
430 KRAFT HEINZ CO/THE		2016-08-22	2017-09-06
90 KRAFT HEINZ CO/THE		2016-09-14	2017-09-06
136 FIRST POTOMAC RLTY TR MERGED 10/02/17 @ $11 15 P/S			2017-09-13
32 NESTLE S A SPONSORED ADR REPSTG REG SH			2017-09-14
71 3 GOVERNMENT NATL MTG ASSN POOL 478451		1999-01-21	2017-09-15
350 TIME WARNER INC		2016-09-27	2017-09-20
169 ROYAL DUTCH SHELL PLC ADR A		2016-06-27	2017-09-21
1 064 TOTAL FINA S A			2017-09-22
35 936 TOTAL FINA S A			2017-09-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
3,816		1,674	2,142
34,699		38,708	-4,009
7,263		7,920	-657
1,510		1,490	20
2,682		2,359	323
71		72	-1
36,006		27,218	8,788
10		8	2
58		51	7
1,950		2,062	-112

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			2,142
			-4,009
			-657
			20
			323
			-1
			8,788
			2
			7
			-112

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
2797 ISHARES TR S&P 500/BARRA GROWTH INDEX FD		2016-06-15	2017-09-25
591 ISHARES INTERMEDIATE-TERM CORPORATE BOND		2016-03-28	2017-09-25
503 LINDE AG ADR MERGED 04/26/18 @ $20 440 P/S			2017-09-26
71 71 GOVERNMENT NATL MTG ASSN POOL 478451		1999-01-21	2017-09-30
21 GLAXO WELLCOME PLC SPONSORED ADR		2013-12-04	2017-10-02
23 TOKIO MARINE HOLDINGS ADR		2005-03-18	2017-10-02
33 NESTLE S A SPONSORED ADR REPSTG REG SH			2017-10-04
375 STERLING BANCORP		2009-03-04	2017-10-05
49 WPP PLC ADR			2017-10-05
1 TESCO PLC LITIGATION 14 CIV 8945-RMB		2001-01-01	2017-10-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
397,071		326,212	70,859
65,151		64,477	674
9,942		8,813	1,129
72		72	
857		1,094	-237
898		718	180
2,818		2,411	407
9		3	6
4,458		3,073	1,385
21			21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			70,859
			674
			1,129
			-237
			180
			407
			6
			1,385
			21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
62 KAO CORP ADS ADR		2005-06-17	2017-10-11
90 AT&T INC		2015-07-30	2017-10-12
90 ABBOTT LABORATORIES INC		2017-07-25	2017-10-12
40 ABBVIE INC		2017-07-12	2017-10-12
30 AETNA INC NEW		2017-06-19	2017-10-12
30 AMERICAN ELECTRIC POWER INC		2015-10-15	2017-10-12
50 AMERICAN WATER WORKS CO INC			2017-10-12
30 ANALOG DEVICES INC		2017-06-13	2017-10-12
400 BANK OF AMERICA CORP			2017-10-12
80 BANK NEW YORK MELLON CORP COM		2016-04-27	2017-10-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
3,645		1,472	2,173
3,290		3,132	158
4,890		4,576	314
3,643		2,877	766
4,650		4,564	86
2,192		1,758	434
4,234		2,443	1,791
2,666		2,433	233
10,221		9,486	735
4,390		3,304	1,086

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			2,173
			158
			314
			766
			86
			434
			1,791
			233
			735
			1,086

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
50 BERRY GLOBAL GROUP INC		2016-08-15	2017-10-12
40 CDW CORP/DE		2014-07-10	2017-10-12
40 CVS CAREMARK CORP		2017-07-07	2017-10-12
60 CARNIVAL CORP SEDOL 2523044		2015-11-13	2017-10-12
40 CHEVRON CORPORATION		2014-10-10	2017-10-12
80 CISCO SYS INC COM		2011-09-13	2017-10-12
100 CITIGROUP INC		2017-07-25	2017-10-12
120 CITIZENS FINANCIAL GROUP		2016-11-01	2017-10-12
80 COMCAST CORPORATION CL A		2009-09-04	2017-10-12
10 CONSTELLATION BRANDS INC CL A		2014-07-09	2017-10-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
2,950		2,177	773
2,756		1,274	1,482
2,955		3,131	-176
4,023		3,102	921
4,770		4,578	192
2,672		1,298	1,374
7,326		6,816	510
4,475		3,198	1,277
2,897		649	2,248
2,080		903	1,177

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			773
			1,482
			-176
			921
			192
			1,374
			510
			1,277
			2,248
			1,177

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
40 CROWN HOLDINGS INC		2017-06-19	2017-10-12
20 CUMMINS INC		2017-05-30	2017-10-12
20 DTE ENERGY CO		2016-08-12	2017-10-12
40 DIAMOND BACK ENERGY INC		2017-01-26	2017-10-12
40 DOLLAR TREE INC		2017-09-20	2017-10-12
50 DOWDUPONT INC		2015-04-29	2017-10-12
30 EXXON MOBIL CORP		1989-06-01	2017-10-12
10 GENERAL DYNAMICS CORP		2015-05-21	2017-10-12
20 HOME DEPOT INC COM		2017-06-19	2017-10-12
20 HONEYWELL INTL INC		2015-02-05	2017-10-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
2,441		2,326	115
3,445		3,148	297
2,206		1,930	276
4,047		4,305	-258
3,638		3,341	297
3,574		2,576	998
2,468		297	2,171
2,135		1,406	729
3,296		3,169	127
2,860		2,028	832

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			115
			297
			276
			-258
			297
			998
			2,171
			729
			127
			832

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
20 ILLINOIS TOOL WORKS INC COM		2016-04-21	2017-10-12
20 INGREDION INC		2016-10-10	2017-10-12
90 INTEL CORP		2015-10-22	2017-10-12
720 ISHARES TR S&P 500/BARRA GROWTH INDEX FD		2016-06-15	2017-10-12
50 J P MORGAN CHASE & CO COM		2017-08-10	2017-10-12
60 J P MORGAN CHASE & CO COM		2004-10-18	2017-10-12
20 JOHNSON & JOHNSON COM		2016-08-01	2017-10-12
40 JOHNSON & JOHNSON COM		2017-08-10	2017-10-12
130 KEYCORP NEW		2016-11-10	2017-10-12
10 LAM RESEARCH CORP		2016-01-28	2017-10-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
3,055		2,116	939
2,452		2,692	-240
3,529		3,090	439
105,084		83,973	21,111
4,796		4,612	184
5,756		2,341	3,415
2,735		2,509	226
5,469		5,333	136
2,387		2,051	336
1,887		696	1,191

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			939
			-240
			439
			21,111
			184
			3,415
			226
			136
			336
			1,191

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
10 MCDONALDS CORP COM		2017-08-10	2017-10-12
50 MERCK & CO INC		2016-11-28	2017-10-12
20 MOHAWK INDS INC		2017-08-03	2017-10-12
100 MORGAN STANLEY		2017-07-25	2017-10-12
10 NORTHROP GRUMMAN CORPORATION		2015-01-29	2017-10-12
70 ORACLE CORP		2017-07-25	2017-10-12
20 PARKER HANNIFIN CORP		2017-03-21	2017-10-12
30 PEPSICO INC COM		2016-09-14	2017-10-12
90 PFIZER INC COM		2010-08-12	2017-10-12
60 PINNACLE FOODS INC		2017-05-10	2017-10-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
1,640		1,568	72
3,185		3,093	92
5,152		5,010	142
4,877		4,769	108
2,972		1,571	1,401
3,382		3,577	-195
3,587		3,153	434
3,358		3,155	203
3,271		1,455	1,816
3,422		3,493	-71

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			72
			92
			142
			108
			1,401
			-195
			434
			203
			1,816
			-71

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
30 POST HOLDINGS INC-W CLASS I		2017-09-01	2017-10-12
40 PRICE T ROWE GROUP INC COM		2017-08-28	2017-10-12
90 PROLOGIS INC			2017-10-12
40 PRUDENTIAL FINANCIAL INC COM		2017-05-02	2017-10-12
10 RAYTHEON COMPANY		2015-12-03	2017-10-12
30 S&P GLOBAL INC		2016-05-24	2017-10-12
50 STATE STR CORP COM		2016-09-01	2017-10-12
70 SUNTRUST BANKS INC COM		2016-05-23	2017-10-12
30 T-MOBILE US INC		2017-05-12	2017-10-12
40 TEXAS INSTRS INC COM		2016-05-04	2017-10-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
2,612		2,579	33
3,774		3,366	408
5,823		5,325	498
4,386		4,298	88
1,890		1,230	660
4,836		3,333	1,503
4,946		3,500	1,446
4,199		2,972	1,227
1,843		1,978	-135
3,720		2,264	1,456

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			33
			408
			498
			88
			660
			1,503
			1,446
			1,227
			-135
			1,456

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
20 THERMO ELECTRON CORP COM			2017-10-12
90 TOTAL FINA S A		2015-08-14	2017-10-12
90 TRANSCANADA CORP (HOLDING CO)		2017-01-26	2017-10-12
40 TYSON FDS INC COM		2016-09-14	2017-10-12
30 UNITED RENTALS INC COM		2017-02-03	2017-10-12
20 UNITEDHEALTH GROUP INC COM		2017-08-10	2017-10-12
40 VALERO ENERGY CORP NEW COM		2016-11-10	2017-10-12
40 WEC ENERGY GROUP INC		2017-08-10	2017-10-12
50 XCEL ENERGY INC COM		2015-02-26	2017-10-12
80 ZIONS BANCORP		2017-08-25	2017-10-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
3,863		3,070	793
4,872		4,424	448
4,510		4,363	147
2,828		2,932	-104
4,290		3,856	434
3,891		3,856	35
3,102		2,522	580
2,609		2,583	26
2,426		1,772	654
3,762		3,584	178

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			793
			448
			147
			-104
			434
			35
			580
			26
			654
			178

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
40 INGERSOLL-RAND PLC SEDOL B633030		2016-06-15	2017-10-12
34 BP PLC SPONSORED ADR			2017-10-16
12 ROYAL DUTCH SHELL PLC ADR B		2016-02-16	2017-10-19
19 UNITED OVERSEAS BK LTD SPONSORED ADR		2015-05-05	2017-10-19
1620 KEYCORP NEW		2016-11-10	2017-10-20
47 NESTLE S A SPONSORED ADR REPSTG REG SH			2017-10-23
302 EXXON MOBIL CORP		1989-06-01	2017-10-24
8 AIR LIQUIDE ADR		2006-05-11	2017-10-25
470 CVS CAREMARK CORP			2017-10-30
21 CENTENE CORP			2017-10-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
3,663		2,578	1,085
1,332		2,381	-1,049
751		538	213
680		694	-14
29,802		25,564	4,238
3,953		3,376	577
25,216		2,994	22,222
20		11	9
32,159		36,664	-4,505
1,975		565	1,410

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			1,085
			-1,049
			213
			-14
			4,238
			577
			22,222
			9
			-4,505
			1,410

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
72 13 GOVERNMENT NATL MTG ASSN POOL 478451		1999-01-21	2017-10-31
60 CHEVRON CORPORATION		2017-06-01	2017-11-03
411 CHEVRON CORPORATION			2017-11-03
79 TAIWAN SEMICONDUCTOR MTG CO ADR			2017-11-03
7 VALERO ENERGY CORP NEW COM		2016-11-10	2017-11-03
179 VALERO ENERGY CORP NEW COM		2016-11-10	2017-11-03
17 VALERO ENERGY CORP NEW COM		2016-11-10	2017-11-03
200 INGERSOLL-RAND PLC SEDOL B633030		2016-06-15	2017-11-03
7 INGERSOLL-RAND PLC SEDOL B633030		2016-06-15	2017-11-03
217 VALERO ENERGY CORP NEW COM			2017-11-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
72		72	
6,902		6,215	687
47,275		35,165	12,110
3,317		765	2,552
567		441	126
14,491		11,287	3,204
1,372		1,072	300
17,266		12,889	4,377
602		451	151
17,656		13,545	4,111

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			687
			12,110
			2,552
			126
			3,204
			300
			4,377
			151
			4,111

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
4 INGERSOLL-RAND PLC SEDOL B633030		2016-06-15	2017-11-06
199 INGERSOLL-RAND PLC SEDOL B633030		2016-06-15	2017-11-06
140 AETNA INC NEW			2017-11-09
5 COHERENT INC			2017-11-09
180 MCDONALDS CORP COM		2017-07-07	2017-11-09
100 TEXAS INSTRS INC COM		2016-05-04	2017-11-09
26 SAP SE SPONSORED ADR			2017-11-10
140 ABBOTT LABORATORIES INC		2016-09-01	2017-11-16
60 ABBOTT LABORATORIES INC		2017-07-25	2017-11-16
100 ABBVIE INC		2017-07-12	2017-11-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
344		258	86
17,217		12,824	4,393
24,237		20,031	4,206
1,477		303	1,174
30,018		27,994	2,024
9,673		5,660	4,013
2,923		1,952	971
7,737		5,898	1,839
3,316		3,051	265
9,449		7,192	2,257

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			86
			4,393
			4,206
			1,174
			2,024
			4,013
			971
			1,839
			265
			2,257

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
29 ADVANCED ENERGY INDS INC		2016-01-14	2017-11-16
46 ENTEGRIS, INC			2017-11-16
22 GLOBAL PAYMENTS INC-W/I			2017-11-16
161 BANCO SANTANDER S A ADR		2005-03-18	2017-11-17
16 MONOLITHIC POWER SYSTEMS INC			2017-11-17
8 COHERENT INC		2013-03-26	2017-11-20
198 GRUPO TELEVISA SA DE CV SPONSORED ADR REPSTG ORD PARTN		2016-05-24	2017-11-20
84 PENN NATL GAMING INC		2017-09-22	2017-11-20
97 HONDA MOTOR CO LTD AMERICAN SHARES			2017-11-28
72 55 GOVERNMENT NATL MTG ASSN POOL 478451		1999-01-21	2017-11-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
2,414		778	1,636
1,449		465	984
2,209		501	1,708
1		2	-1
1,962		548	1,414
2,492		449	2,043
3,671		5,296	-1,625
2,325		1,892	433
3,227		3,335	-108
73		73	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

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(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			1,636
			984
			1,708
			-1
			1,414
			2,043
			-1,625
			433
			-108

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
1 BANK OF AMERICA CORP		2001-01-01	2017-12-01
1 CVS CAREMARK CORPORATION 126650100		2001-01-01	2017-12-07
6 CABOT MICROELECTRONICS CORP		2011-03-11	2017-12-08
4 LITTELFUSE INC			2017-12-08
10 ADVANCED ENERGY INDS INC		2016-01-14	2017-12-18
4 MONOLITHIC POWER SYSTEMS INC		2013-10-08	2017-12-18
72 CANON INC SPONS ADR		2005-03-18	2017-12-20
163 973 DIAMOND HILL LONG-SHORT FUND CL I		2017-12-15	2017-12-21
7604 455 DIAMOND HILL LONG-SHORT FUND CL I			2017-12-21
62753 899 DODGE & COX INCOME FUND			2017-12-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
124			124
20		20	
561		284	277
786		263	523
720		268	452
457		121	336
2,759		2,554	205
4,347		4,293	54
201,594		181,760	19,834
860,983		865,000	-4,017

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			124
			277
			523
			452
			336
			205
			54
			19,834
			-4,017

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
749 ISHARES TR S&P 500/BARRA GROWTH INDEX FD			2017-12-21
5858 ISHARES INTERMEDIATE-TERM CORPORATE BOND			2017-12-21
55 502 TEMPLETON GLOBAL BOND FUND AD FUND		2014-12-17	2017-12-21
966 993 TEMPLETON GLOBAL BOND FUND AD FUND			2017-12-21
26767 808 TEMPLETON GLOBAL BOND FUND AD FUND			2017-12-21
7745 933 WELLS FARGO CORE BOND FUND CLASS I		2017-10-12	2017-12-21
54273 572 WELLS FARGO CORE BOND FUND CLASS I			2017-12-21
32 CENTENE CORP		2013-01-11	2017-12-28
163 973 DIAMOND HILL LONG-SHORT FUND CL I		2015-08-26	2017-12-28
10460 251 METROPOLITAN WEST UNCONSTRAINED BOND FUND CLASS I		2014-10-30	2017-12-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
115,285		82,586	32,699
637,705		634,619	3,086
664		682	-18
11,575		11,744	-169
320,411		348,289	-27,878
99,380		100,000	-620
696,330		694,411	1,919
3,284		666	2,618
4,340		3,840	500
125,000		125,000	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

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(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			32,699
			3,086
			-18
			-169
			-27,878
			-620
			1,919
			2,618
			500

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
27 SBA COMMUNICATIONS CORP			2017-12-28
219 214 TEMPLETON GLOBAL BOND FUND AD FUND			2017-12-28
72 98 GOVERNMENT NATL MTG ASSN POOL 478451		1999-01-21	2017-12-31
1 TESCO PLC LITIGATION 14 CIV 8945-RMB		2001-01-01	2018-01-04
1 PRUDENTIAL FINANCIAL 744320102		2001-01-01	2018-01-05
35 RWE AG SPONSORED ADR REPSTG ORD PAR		2015-04-28	2018-01-05
54 GLOBAL PAYMENTS INC-W/I		2005-03-17	2018-01-08
313 MITSUBISHI ESTATE UNSPON ADR		2013-09-30	2018-01-10
780 ABBOTT LABORATORIES INC			2018-01-11
64 GULFPORT ENERGY CORP NEW		2016-04-13	2018-01-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
4,395		701	3,694
2,598		2,401	197
73		73	
13			13
109		25	84
740		887	-147
5,614		787	4,827
5,838		9,364	-3,526
45,751		30,621	15,130
827		1,895	-1,068

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

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(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			3,694
			197
			13
			84
			-147
			4,827
			-3,526
			15,130
			-1,068

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
187 NATIONAL GRID GROUP PLC SEDOL BZ8FYV0		2005-03-18	2018-01-11
70 THERMO ELECTRON CORP COM		2016-07-12	2018-01-11
24 ZURICH INS GROUP LTD ADR		2015-12-07	2018-01-12
260 DTE ENERGY CO		2016-08-12	2018-01-16
570 TRANSCANADA CORP (HOLDING CO)		2016-10-10	2018-01-16
480 TRANSCANADA CORP (HOLDING CO)			2018-01-16
43 ROYAL DUTCH SHELL PLC ADR B		2016-02-16	2018-01-25
73 4 GOVERNMENT NATL MTG ASSN POOL 478451		1999-01-21	2018-01-31
151 ROYAL DUTCH SHELL PLC ADR A		2016-06-27	2018-02-01
6 RAYMOND JAMES FINANCIAL INC			2018-02-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
11		11	
14,483		10,746	3,737
775		623	152
27,015		25,096	1,919
27,414		27,051	363
23,085		22,788	297
3,186		1,928	1,258
73		74	-1
10		7	3
550		151	399

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

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(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			3,737
			152
			1,919
			363
			297
			1,258
			-1
			3
			399

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
74 UNITED OVERSEAS BK LTD SPONSORED ADR			2018-02-08
420 CROWN HOLDINGS INC		2017-06-19	2018-02-15
40 CROWN HOLDINGS INC		2017-06-19	2018-02-15
108 IBERDROLA SA SPON ADR		2008-10-24	2018-02-15
220 INGREDION INC		2016-10-10	2018-02-20
90 INGREDION INC		2017-10-30	2018-02-20
300 PROLOGIS INC		2017-06-15	2018-02-22
730 PROLOGIS INC			2018-02-22
35 SAP SE SPONSORED ADR			2018-02-27
101 02 GOVERNMENT NATL MTG ASSN POOL 478451		1999-01-21	2018-02-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
2,910		2,638	272
21,573		24,425	-2,852
2,041		2,326	-285
3		3	
28,478		29,617	-1,139
11,650		11,279	371
18,021		17,530	491
43,850		38,954	4,896
3,648		2,323	1,325
101		101	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

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(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			272
			-2,852
			-285
			-1,139
			371
			491
			4,896
			1,325

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
93 NASPERS LTD ADR		2015-06-29	2018-02-28
330 PEPSICO INC COM			2018-03-06
9 NOVARTIS AG SPONSORED ADR		2016-04-01	2018-03-07
31 NOVARTIS AG SPONSORED ADR		2017-05-26	2018-03-07
355 TESCO PLC SPON ADR			2018-03-08
9 68 ROYAL DUTCH SHELL PLC ADR A			2018-03-09
32 ROYAL DUTCH SHELL PLC ADR A			2018-03-09
800 ORACLE CORP			2018-03-12
210 STANLEY BLACK & DECKER INC			2018-03-12
430 DOLLAR TREE INC		2017-09-20	2018-03-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
5,153		2,814	2,339
35,944		34,053	1,891
734		639	95
2,527		2,501	26
3,091		7,178	-4,087
611		482	129
20		19	1
42,299		40,230	2,069
33,179		34,501	-1,322
40,934		35,918	5,016

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			2,339
			1,891
			95
			26
			-4,087
			129
			1
			2,069
			-1,322
			5,016

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
1590 022 BLACKROCK FDS HIGH YIELD BD PORTFOLIO			2018-03-14
90 754 BLACKROCK FDS HIGH YIELD BD PORTFOLIO		2017-12-22	2018-03-14
13083 426 BLACKROCK FDS HIGH YIELD BD PORTFOLIO			2018-03-14
552 938 BLACKROCK FDS HIGH YIELD BD PORTFOLIO			2018-03-14
884 ISHARES TR S&P 500/BARRA GROWTH INDEX FD		2013-08-20	2018-03-14
4900 VANGUARD FTSE EUROPE ETF			2018-03-14
325 AMERICAN ELECTRIC POWER INC			2018-03-16
45 AMERICAN ELECTRIC POWER INC		2015-10-14	2018-03-16
10 BANK OF AMERICA CORP		2016-12-21	2018-03-16
450 BANK OF AMERICA CORP			2018-03-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
12,243		12,420	-177
699		707	-8
100,742		102,634	-1,892
4,258		4,481	-223
144,210		77,394	66,816
289,196		276,638	12,558
21,941		18,961	2,980
3,028		2,617	411
323		226	97
14,556		11,341	3,215

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			-177
			-8
			-1,892
			-223
			66,816
			12,558
			2,980
			411
			97
			3,215

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
110 BANK OF AMERICA CORP		2016-12-21	2018-03-16
21 POST HOLDINGS INC-W CLASS I		2017-09-01	2018-03-20
1 POST HOLDINGS INC-W CLASS I		2017-09-01	2018-03-20
411 POST HOLDINGS INC-W CLASS I			2018-03-20
27 POST HOLDINGS INC-W CLASS I		2017-10-30	2018-03-20
1 CLIFFS NATURAL RESOURCES, INC (18683K101)		2001-01-01	2018-03-22
139 ALLIANZ SE SPON ADR		2017-05-30	2018-03-27
10 271 UNITED OVERSEAS BK LTD SPONSORED ADR		2017-06-23	2018-03-29
60 729 UNITED OVERSEAS BK LTD SPONSORED ADR			2018-03-29
101 93 GOVERNMENT NATL MTG ASSN POOL 478451		1999-01-21	2018-03-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
3,558		2,490	1,068
1,562		1,805	-243
74		86	-12
30,607		34,635	-4,028
2,011		2,229	-218
10		10	
3,137		2,664	473
427		289	138
2,526		2,047	479
102		102	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			1,068
			-243
			-12
			-4,028
			-218
			473
			138
			479

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
180 MOHAWK INDS INC			2018-04-05
160 AETNA INC NEW		2017-04-25	2018-04-19
44 ILLINOIS TOOL WORKS INC COM			2018-04-19
176 ILLINOIS TOOL WORKS INC COM		2016-04-21	2018-04-19
2362 TURKIYE GARANTI BANKASI ADR			2018-04-27
102 36 GOVERNMENT NATL MTG ASSN POOL 478451		1999-01-21	2018-04-30
76 PACIFIC PREMIER BANCORP INC		2018-01-08	2018-04-30
520 UNUMPROVIDENT CORP COM		2018-01-16	2018-05-03
27 SAP SE SPONSORED ADR			2018-05-07
59 TOKIO MARINE HOLDINGS ADR		2017-10-12	2018-05-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
43,105		45,016	-1,911
28,263		21,443	6,820
6,967		4,631	2,336
27,876		18,498	9,378
5,402		12,456	-7,054
102		103	-1
3,038		2,999	39
20,305		29,425	-9,120
3,087		1,993	1,094
2,846		2,350	496

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			-1,911
			6,820
			2,336
			9,378
			-7,054
			-1
			39
			-9,120
			1,094
			496

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
410 BERRY GLOBAL GROUP INC			2018-05-17
170 BERRY GLOBAL GROUP INC		2016-08-12	2018-05-17
190 HONEYWELL INTL INC		2015-02-05	2018-05-17
45 SHIRE PLC SPONSORED ADR			2018-05-18
34 SHIRE PLC SPONSORED ADR		2016-11-25	2018-05-18
58 DASSAULT SYSTEMS SA SPON ADR			2018-05-21
25 SAP SE SPONSORED ADR			2018-05-25
320 ANALOG DEVICES INC		2017-06-13	2018-05-29
17 TOKIO MARINE HOLDINGS ADR		2017-10-12	2018-05-29
43 TOKIO MARINE HOLDINGS ADR		2005-03-18	2018-05-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
19,489		17,778	1,711
8,066		7,235	831
27,941		19,265	8,676
7,618		8,110	-492
5,756		5,961	-205
7,560		1,586	5,974
2,852		1,824	1,028
30,213		25,951	4,262
818		677	141
2,068		1,342	726

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			1,711
			831
			8,676
			-492
			-205
			5,974
			1,028
			4,262
			141
			726

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
72 ENTEGRIS, INC		2012-09-12	2018-05-30
165 CITIGROUP INC			2018-05-31
115 CITIGROUP INC			2018-05-31
910 CITIGROUP INC			2018-05-31
102 96 GOVERNMENT NATL MTG ASSN POOL 478451		1999-01-21	2018-05-31
314 TESCO PLC SPON ADR			2018-05-31
230 CUMMINS INC		2017-05-30	2018-06-01
30 CUMMINS INC		2017-08-10	2018-06-01
360 DIAMOND BACK ENERGY INC			2018-06-01
120 DIAMOND BACK ENERGY INC		2017-06-01	2018-06-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
2,530		654	1,876
10,890		11,716	-826
7,641		7,796	-155
60,467		54,801	5,666
103		103	
3,093		6,144	-3,051
33,048		36,205	-3,157
4,311		4,680	-369
41,754		36,028	5,726
13,918		11,284	2,634

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			1,876
			-826
			-155
			5,666
			-3,051
			-3,157
			-369
			5,726
			2,634

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
82 CANON INC SPONS ADR		2005-03-18	2018-06-05
25 SAP SE SPONSORED ADR		2013-10-16	2018-06-06
41 SMUCKER J M CO COM NEW		2018-03-06	2018-06-12
229 SMUCKER J M CO COM NEW		2018-03-06	2018-06-12
144 BLOOMIN BRANDS INC			2018-06-19
59 BLOOMIN BRANDS INC		2017-11-16	2018-06-19
34 KONINKLIJKE AHOLD DELHAIZE ADR SEDOL BD9FL92		2017-06-28	2018-06-25
14 KONINKLIJKE AHOLD DELHAIZE ADR SEDOL BD9FL92		2014-09-04	2018-06-27
87 KONINKLIJKE AHOLD DELHAIZE ADR SEDOL BD9FL92		2017-06-28	2018-06-27
1 L3 COMMUNICATIONS 502424104		2001-01-01	2018-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
2,799		2,908	-109
2,913		1,817	1,096
4,337		5,289	-952
24,256		29,544	-5,288
3,209		2,449	760
1,315		1,054	261
805		661	144
329		248	81
2,045		1,690	355
860		25	835

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			-109
			1,096
			-952
			-5,288
			760
			261
			144
			81
			355
			835

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
900 407 PRINCIPAL MID CAP FUND CLASS INS			2018-06-28
887 223 PRINCIPAL MID CAP FUND CLASS INS			2018-06-28
103 56 GOVERNMENT NATL MTG ASSN POOL 478451		1999-01-21	2018-06-30
50 CDW CORP/DE		2014-07-10	2018-07-05
120 CDW CORP/DE		2018-05-29	2018-07-05
361 CARNIVAL CORP SEDOL 2523044			2018-07-05
329 CARNIVAL CORP SEDOL 2523044		2016-04-14	2018-07-05
60 CONSTELLATION BRANDS INC CL A		2014-07-09	2018-07-05
80 J P MORGAN CHASE & CO COM		2004-10-18	2018-07-05
60 J P MORGAN CHASE & CO COM			2018-07-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
25,184		20,513	4,671
24,816		24,141	675
104		104	
4,077		1,593	2,484
9,785		9,746	39
20,523		18,660	1,863
18,673		16,983	1,690
12,877		5,417	7,460
8,318		3,122	5,196
6,239		5,941	298

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

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(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			4,671
			675
			2,484
			39
			1,863
			1,690
			7,460
			5,196
			298

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
10 PRUDENTIAL FINANCIAL INC COM		2017-05-02	2018-07-05
150 PRUDENTIAL FINANCIAL INC COM		2017-11-09	2018-07-05
510 BANK OF AMERICA CORP		2016-12-21	2018-07-09
120 CONSTELLATION BRANDS INC CL A		2014-07-09	2018-07-09
140 GENERAL DYNAMICS CORP		2015-05-21	2018-07-09
27 SAP SE SPONSORED ADR		2014-10-08	2018-07-09
140 THERMO ELECTRON CORP COM		2016-07-12	2018-07-09
49 WGL HLDGS INC MERGED 07/09/18 @ $88 25 P/S			2018-07-11
1 OMNIVISION TECHNOLOGIES INC 682128103		2001-01-01	2018-07-20
90 CANON INC SPONS ADR		2005-03-18	2018-07-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
941		1,074	-133
14,121		16,917	-2,796
14,739		11,544	3,195
26,022		10,834	15,188
26,836		19,679	7,157
3,218		1,843	1,375
29,542		21,492	8,050
4,324		1,509	2,815
18		18	
2,875		3,192	-317

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			-133
			-2,796
			3,195
			15,188
			7,157
			1,375
			8,050
			2,815
			-317

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
30 PARKER HANNIFIN CORP		2017-08-10	2018-07-25
150 PARKER HANNIFIN CORP		2017-03-21	2018-07-25
540 STATE STR CORP COM			2018-07-25
450 TYSON FDS INC COM			2018-07-25
180 TYSON FDS INC COM		2017-10-30	2018-07-25
76 81 GOVERNMENT NATL MTG ASSN POOL 478451		1999-01-21	2018-07-31
24 SAP SE SPONSORED ADR			2018-08-02
6 SNAP-ON INC COM			2018-08-06
286 IBERDROLA SA SPON ADR		2008-10-24	2018-08-09
630 PINNACLE FOODS INC		2017-05-10	2018-08-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
4,858		4,680	178
24,289		23,650	639
46,966		37,557	9,409
28,082		29,905	-1,823
11,233		12,906	-1,673
77		77	
2,771		1,630	1,141
1,011		321	690
9		8	1
41,718		36,672	5,046

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

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(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			178
			639
			9,409
			-1,823
			-1,673
			1,141
			690
			1
			5,046

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
110 TRANSDIGM GROUP INC		2018-04-19	2018-08-09
110 AT&T INC		2015-07-30	2018-08-10
40 ABBVIE INC		2017-07-12	2018-08-10
70 ALTRIA GROUP INC		2018-02-20	2018-08-10
1 AMERICAN INTERNATIONAL GROUP INC		2001-01-01	2018-08-10
60 AMERICAN WATER WORKS CO INC		2014-06-24	2018-08-10
10 AMGEN INC		2018-01-11	2018-08-10
70 ARCHER DANIELS MIDLAND CO		2018-08-09	2018-08-10
450 BANK OF AMERICA CORP		2018-07-25	2018-08-10
120 BANK NEW YORK MELLON CORP COM		2018-05-03	2018-08-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
39,650		35,941	3,709
3,543		3,828	-285
3,838		2,877	961
4,122		4,522	-400
19			19
5,278		2,920	2,358
1,949		1,822	127
3,497		3,505	-8
14,049		13,893	156
6,132		6,428	-296

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

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(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			3,709
			-285
			961
			-400
			19
			2,358
			127
			-8
			156
			-296

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
60 BAXTER INTERNATIONAL INC		2018-05-03	2018-08-10
70 BORG WARNER INC		2017-11-10	2018-08-10
150 CBRE GROUP INC		2018-02-22	2018-08-10
40 CDW CORP/DE		2014-07-10	2018-08-10
50 CSX CORP COM		2018-07-25	2018-08-10
20 CATERPILLAR INC		2018-06-01	2018-08-10
30 CELANESE CORP		2018-05-17	2018-08-10
30 CENTENE CORP		2018-04-19	2018-08-10
40 CHEVRON CORPORATION		2018-06-12	2018-08-10
90 CISCO SYS INC COM		2011-09-13	2018-08-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
4,305		4,187	118
3,073		3,656	-583
7,110		6,760	350
3,412		1,274	2,138
3,620		3,501	119
2,714		3,067	-353
3,502		3,419	83
4,166		3,350	816
4,916		5,117	-201
3,937		1,460	2,477

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			118
			-583
			350
			2,138
			119
			-353
			83
			816
			-201
			2,477

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
140 CITIZENS FINANCIAL GROUP			2018-08-10
100 COMCAST CORPORATION CL A		2009-09-04	2018-08-10
150 CONOCOPHILLIPS			2018-08-10
30 CULLEN FROST BANKERS INC		2018-05-31	2018-08-10
170 DISCOVERY INC CLASS A		2018-07-05	2018-08-10
60 DOWDUPONT INC			2018-08-10
30 EASTMAN CHEM CO		2018-02-15	2018-08-10
10 FEDEX CORPORATION		2018-05-17	2018-08-10
10 GOLDMAN SACHS GROUP INC COM		2018-07-25	2018-08-10
30 HOME DEPOT INC COM		2017-06-19	2018-08-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
5,652		3,731	1,921
3,507		811	2,696
10,755		9,668	1,087
3,353		3,470	-117
4,400		4,693	-293
4,090		2,922	1,168
3,008		2,999	9
2,416		2,493	-77
2,308		2,355	-47
5,905		4,754	1,151

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			1,921
			2,696
			1,087
			-117
			-293
			1,168
			9
			-77
			-47
			1,151

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
140 INTEL CORP		2018-05-29	2018-08-10
120 J P MORGAN CHASE & CO COM		2004-10-18	2018-08-10
60 JACOBS ENGINEERING GROUP INC		2018-08-09	2018-08-10
70 JOHNSON & JOHNSON COM		2016-08-01	2018-08-10
60 KELLOGG CO		2018-07-25	2018-08-10
80 KOHLS CORP COM		2018-03-13	2018-08-10
20 LAM RESEARCH CORP		2016-01-28	2018-08-10
40 LILLY ELI & CO		2018-07-09	2018-08-10
30 MCCORMICK & CO INC COM NON VTG		2018-08-09	2018-08-10
60 MERCK & CO INC		2016-11-28	2018-08-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
6,857		7,732	-875
13,873		4,682	9,191
4,307		4,369	-62
9,143		8,781	362
4,285		4,240	45
6,095		5,152	943
3,588		1,391	2,197
4,095		3,522	573
3,626		3,641	-15
3,958		3,712	246

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			-875
			9,191
			-62
			362
			45
			943
			2,197
			573
			-15
			246

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
30 MICROSOFT CORP		2018-07-05	2018-08-10
140 MORGAN STANLEY		2017-10-20	2018-08-10
50 MOTOROLA SOLUTIONS INC		2018-05-29	2018-08-10
10 NORTHROP GRUMMAN CORPORATION		2015-01-29	2018-08-10
70 OCCIDENTAL PETE CORP COM		2018-05-31	2018-08-10
170 PFIZER INC COM		2017-11-16	2018-08-10
50 PRICE T ROWE GROUP INC COM		2017-08-28	2018-08-10
50 PRUDENTIAL FINANCIAL INC COM		2017-05-02	2018-08-10
20 RAYTHEON COMPANY		2015-12-03	2018-08-10
220 REGIONS FINANCIAL CORP		2018-03-16	2018-08-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
3,282		2,981	301
6,807		7,061	-254
6,078		5,502	576
2,930		1,571	1,359
5,508		5,893	-385
6,938		6,028	910
5,882		4,208	1,674
4,857		5,372	-515
3,933		2,460	1,473
4,216		4,322	-106

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			301
			-254
			576
			1,359
			-385
			910
			1,674
			-515
			1,473
			-106

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
100 ROYAL DUTCH SHELL PLC ADR A		2017-11-03	2018-08-10
30 S&P GLOBAL INC		2016-05-24	2018-08-10
120 SERVICE CORP INTL COM		2018-04-05	2018-08-10
30 SIMON PROPERTY GROUP INC		2018-07-09	2018-08-10
110 SUNCOR ENERGY INC ISIN CA8672241079 SEDOL B3NB1P2		2018-06-01	2018-08-10
90 SUNTRUST BANKS INC COM		2016-05-23	2018-08-10
50 SYNOVUS FINANCIAL CORP		2018-05-31	2018-08-10
40 T-MOBILE US INC		2017-05-12	2018-08-10
30 TEXAS INSTRS INC COM		2016-05-04	2018-08-10
100 TOTAL FINA S A			2018-08-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
6,458		6,412	46
6,037		3,333	2,704
4,824		4,577	247
5,257		5,160	97
4,481		4,441	40
6,486		3,821	2,665
2,482		2,718	-236
2,600		2,637	-37
3,308		1,698	1,610
6,161		4,879	1,282

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			46
			2,704
			247
			97
			40
			2,665
			-236
			-37
			1,610
			1,282

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
30 UNITED RENTALS INC COM		2017-02-03	2018-08-10
110 US FOODS HOLDING CORP		2018-03-20	2018-08-10
30 UNITEDHEALTH GROUP INC COM		2017-06-13	2018-08-10
10 WEC ENERGY GROUP INC		2009-06-24	2018-08-10
40 WEC ENERGY GROUP INC		2017-08-10	2018-08-10
40 WASTE MANAGEMENT INC		2018-03-12	2018-08-10
60 XCEL ENERGY INC COM		2015-02-26	2018-08-10
110 ZIONS BANCORP		2017-10-20	2018-08-10
30 LYONDELLBASELL INDUSTRIES N V ISIN NL0009434992 SEDOL B3SPXZ3		2018-07-09	2018-08-10
4000 ISHARES TR S&P 500/BARRA GROWTH INDEX FD		2013-08-20	2018-08-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
4,475		3,856	619
3,633		3,798	-165
7,813		5,410	2,403
670		201	469
2,679		2,583	96
3,599		3,471	128
2,854		2,127	727
5,858		5,148	710
3,388		3,281	107
683,440		350,199	333,241

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			619
			-165
			2,403
			469
			96
			128
			727
			710
			107
			333,241

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
919 ISHARES TR RUSSELL MIDCAP INDEX FD		2017-09-25	2018-08-13
670 ISHARES TR RUSSELL MIDCAP INDEX FD		2014-03-26	2018-08-13
150 LAM RESEARCH CORP		2016-01-28	2018-08-13
11725 PRINCIPAL MID CAP FUND CLASS INS			2018-08-13
31 FLUOR CORP		2018-08-13	2018-08-15
24 SAP SE SPONSORED ADR		2015-03-12	2018-08-15
62 HERSHEY FOODS CORP COM		2018-08-13	2018-08-16
121 DAVITA HEALTHCARE PARTNERS INC		2018-08-13	2018-08-17
67 AIA GROUP LTD SPONSORED ADR		2014-10-14	2018-08-20
21 ALFA LAVAL AB-UNSPON ADR ADR SEDOL B3F2F06		2016-02-12	2018-08-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
199,190		180,045	19,145
145,220		103,416	41,804
26,792		10,433	16,359
336,390		223,937	112,453
1,723		1,727	-4
2,707		1,629	1,078
6,234		6,069	165
8,781		8,717	64
2,283		1,442	841
547		328	219

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

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(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			19,145
			41,804
			16,359
			112,453
			-4
			1,078
			165
			64
			841
			219

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
3 BAIDU INC			2018-08-20
13 DBS GROUP HLDGS LTD SPONSORED ADR		2011-07-20	2018-08-20
33 FANUC CORP ADR		2016-02-11	2018-08-20
13 FRESENIUS MED CARE SPONSORED ADR		2016-07-20	2018-08-20
50 FUCHS PETROLUB SE-PREF ADR ADR SEDOL B70BT82		2014-02-28	2018-08-20
51 ITAU UNIBANCO BANCO HOLDING SPONSERED ADR		2012-09-25	2018-08-20
17 JGC CORP UBSPONSORED ADR		2012-12-05	2018-08-20
23 MONOTARO CO LTD - UNSP ADR ADR SEDOL BCFGY60		2013-11-08	2018-08-20
22 NESTLE S A SPONSORED ADR REPSTG REG SH		2006-05-11	2018-08-20
20 ROYAL DUTCH SHELL PLC ADR B		2016-03-10	2018-08-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
676		621	55
952		641	311
652		460	192
635		582	53
698		620	78
549		562	-13
656		1,156	-500
1,119		262	857
1,813		682	1,131
1,316		956	360

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			55
			311
			192
			53
			78
			-13
			-500
			857
			1,131
			360

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
10 SCHLUMBERGER LTD COM		2008-02-13	2018-08-20
25 SONOVA HLDG AG ADR		2011-03-25	2018-08-20
36 SYMRISE AG UNSPON ADR		2014-06-04	2018-08-20
17 SYSMEX CORP UNSPONSORED ADR		2012-05-18	2018-08-20
26 TAIWAN SEMICONDUCTOR MTG CO ADR		2012-12-05	2018-08-20
20 JOHNSON & JOHNSON COM		2016-02-11	2018-08-21
220 JOHNSON & JOHNSON COM			2018-08-21
22 KOHLS CORP COM		2018-08-13	2018-08-22
53 BED BATH AND BEYOND		2018-08-13	2018-08-27
56 NEWS CORP/NEW-CL A-W/I		2018-08-13	2018-08-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
635		844	-209
896		494	402
800		483	317
689		162	527
1,042		443	599
2,718		2,020	698
29,815		16,856	12,959
1,803		1,687	116
1,010		964	46
751		762	-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			-209
			402
			317
			527
			599
			698
			12,959
			116
			46
			-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
31 ZOETIS INC		2018-08-13	2018-08-29
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
2,776		2,832	-56
			58,672

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			-56

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week **(b)** devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	Expense account, **(e)** other allowances
ANDREW MACAOIDH JERGENS C/O GREATER CINCINNATI FOUNDATION CINCINNATI, OH 45202	TRUSTEE 1	0		
CONSUELO W HARRIS C/O GREATER CINCINNATI FOUNDATION CINCINNATI, OH 45202	SECRETARY 1	0		
ERIC H KEARNEY C/O GREATER CINCINNATI FOUNDATION CINCINNATI, OH 45202	VICE PRESIDENT 1	0		
GREATER CINCINNATI FOUNDATION 200 WEST 4TH STREET CINCINNATI, OH 45202	FOUNDATION ADMINISTRATOR 8	37,500		
JOYCE JENKINS KEESHIN C/O GREATER CINCINNATI FOUNDATION CINCINNATI, OH 45202	TREASURER 1	0		
LINDA BUSKEN JERGENS C/O GREATER CINCINNATI FOUNDATION CINCINNATI, OH 45202	TRUSTEE 1	0		
MARY ANN HAYS C/O GREATER CINCINNATI FOUNDATION CINCINNATI, OH 45202	TRUSTEE 1	0		
MICHAEL B HAYS C/O GREATER CINCINNATI FOUNDATION CINCINNATI, OH 45202	CHAIRMAN 1	0		
PETER H DINE-JERGENS C/O GREATER CINCINNATI FOUNDATION CINCINNATI, OH 45202	PRESIDENT 1	0		
CAROL BORAM-HAYS C/O GREATER CINCINNATI FOUNDATION CINCINNATI, OH 45202	TRUSTEE 1	0		
MAGGIE DINE-JERGENS C/O GREATER CINCINNATI FOUNDATION CINCINNATI, OH 45202	TRUSTEE 1	0		

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FOUNDATION CENTER 1422 EUCLID AVENUE STE 1600 CLEVELAND, OH 44115	NONE	PC	PROGRAMS IN THE CLEVELAND	300
OPERATION GIVE BACK 10891 MILLINGTON COURT BLUE ASH, OH 45242	NONE	PC	AFTERSCHOOL TUTORING AND	8,000
YWCA OF GREATER CINCINNATI 898 WALNUT STREET CINCINNATI, OH 45202	NONE	PC	SUPPORT THE 2017-18 FUND	2,000
Total . . . . . ▶ **3a**				612,113

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CANCER FAMILY CARE INC 4790 RED BANK EXPRESSWAY CINCINNATI, OH 45227	NONE	PC	TREEHOUSE CHILDREN'S	10,000
NEW LIFE FURNITURE INC 11431 WILLIAMSON DRIVE CINCINNATI, OH 45241	NONE	PC	FURNITURE FOR HOMELESS	10,000
DIOCESAN CATHOLIC CHILDRENS HOME INC 75 ORPHANAGE ROAD FT MITCHELL, KY 41017	NONE	PC	TREATMENT & THERAPEUTIC	17,000
Total . . . . . ▶ 3a				612,113

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
GREAT PARKS FOUNDATION 10245 WINTON ROAD CINCINNATI, OH 45231	NONE	PC	FREE SCHOOL PROGRAMS &	10,000
HOPE HOUSE RESCUE MISSION INC 34 SOUTH MAIN STREET MIDDLETOWN, OH 45044	NONE	PC	SUPPORT OF THE HOPE HOUSE	15,000
MUSIC RESOURCE CENTER-CINCINNATI 3032 WOODBURN AVENUE CINCINNATI, OH 45206	NONE	PC	SUPPORT MUSIC RESOURCE	8,000
Total . . . . . ▶ 3a				612,113

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CINCINNATI BALLET COMPANY INC 1555 CENTRAL PARKWAY CINCINNATI, OH 45214	NONE	PC	SUPPORT THE CINCYDANCE!	7,000
JOY OUTDOOR EDUCATION CENTER FOUNDATION INC 10117 OLD 3-C HIGHWAY CLARKSVILLE, OH 45113	NONE	PC	PROGRAM FOR UNDERSERVED	10,000
CINCINNATI PLAYHOUSE IN THE PARK 962 MT ADAMS CIRCLE CINCINNATI, OH 45202	NONE	PC	2017-18 SCHOOL OUTREACH	5,000
Total . . . . . ▶ 3a				612,113

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CWFF CHILD DEVELOPMENT CENTER 430 FOREST AVENUE CINCINNATI, OH 45229	NONE	PC	A GREAT START TO EARLY	10,000
INTERFAITH HOSPITALITY NETWORK OF GREATER CINCINNATI INC 990 NASSAU ST CINCINNATI, OH 45206	NONE	PC	CHILD ENRICHMENT PROGRAM	15,000
GIRLS ON THE RUN OF GREATER CINCINNATI INC3330 ERIE AVENUE CINCINNATI, OH 45208	NONE	PC	SUPPORT GIRLS ON THE RUN	5,000
Total . . . . . ▶ **3a**				612,113

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CINCINNATI SHAKESPEARE COMPANY 217 W 12TH STREET CINCINNATI, OH 45202	NONE	PC	SUPPORT EDUCATION PROGRAM	10,000
CINCINNATI WORKS INC 708 WALNUT STREET CINCINNATI, OH 45202	NONE	PC	SUPPORT NEXT STEP PROGRAM	12,500
CHILDREN INCORPORATED 333 MADISON AVENUE COVINGTON, KY 41011	NONE	PC	LITTLE CHILDREN, BIG IMPACT	20,000
Total . . . . . ▶ 3a				612,113

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MADISONVILLE EDUCATION AND ASSISTANCE CENTER4600 ERIE AVENUE CINCINNATI, OH 45227	NONE	PC	SUPPORT EARLY LITERACY	10,000
OHIO SPECIAL OLYMPICS HAMILTON COUNTY 4790 RED BANK EXPRESSWAY CINCINNATI, OH 45227	NONE	PC	SUPPORT SPECIAL OLYMPICS	5,000
NEST COMMUNITY LEARNING CENTER 11908 RICH ROAD LOVELAND, OH 45140	NONE	PC	EARLY CHILDHOOD TARGETED	10,000
Total . . . . . ▶ **3a**				612,113

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
GREATER CINCINNATI TELEVISION EDUCATIONAL FOUNDATION 1223 CENTRAL PARKWAY CINCINNATI, OH 45214	NONE	PC	RAISING READERS HELPING	7,500
CONTEMPORARY ARTS CENTER 44 EAST 6TH STREET CINCINNATI, OH 45202	NONE	PC	SUPPORT THE CAC SCHOOL	5,000
AXIS TEEN CENTERS 7568 WYANDOT LN 2 LIBERTY TOWNSHIP, OH 45044	NONE	PC	SUPPORT LIFE SKILLS ROGRAM	5,000
Total . . . . . ▶ 3a				612,113

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CINCINNATI UNION BETHEL 2401 READING ROAD CINCINNATI, OH 45202	NONE	PC	SPEECH-LANGUAGE-HEARING	25,000
CINCINNATI SYMPHONY ORCHESTRA 1241 ELM STREET CINCINNATI, OH 45202	NONE	PC	YOUNG PEOPLE'S CONCERT &	10,000
COUNCIL ON CHILD ABUSE OF SOUTHERN OHIO INC4531 READING ROAD CINCINNATI, OH 45229	NONE	PC	SUPPORT SCHOOL BASED	12,500
Total . . . . . ▶ 3a				612,113

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHILDREN'S LAW CENTER 1002 RUSSELL STREET COVINGTON, KY 41011	NONE	PC	HOLISTIC LEGAL	12,000
STEPPING STONES INC 5650 GIVEN ROAD CINCINNATI, OH 45243	NONE	PC	SATURDAY CLUBS FOR CHILDREN	7,000
NOTRE DAME URBAN EDUCATION CENTER 14 EAST 8TH STREET COVINGTON, KY 41011	NONE	PC	SUPPORT AFTER SCHOOL	7,000
Total . . . . . ▶ 3a				612,113

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
OHIO VALLEY VOICES INC 6642 BRANCH HILL - GUINEA PIKE LOVELAND, OH 45140	NONE	PC	EARLY OUTREACH SCREEN &	8,000
CHILDREN'S THEATRE OF CINCINNATI 4015 RED BANK ROAD CINCINNATI, OH 45227	NONE	PC	CINCINNATI PUBLIC SCHOOL	7,000
SCHOOL HOUSE SYMPHONY PO BOX 36494 CINCINNATI, OH 45236	NONE	PC	TEACHING TOMORROWS AUDIENCE	5,000
Total . . . . . ▶ **3a**				612,113

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
OUTDOOR ADVENTURE CLUBS OF GREATER CINCINNATI INC2692 MADISON ROAD CINCINNATI, OH 45208	NONE	PC	2018-19 OUTDOOR ADVENTURES	10,000
BREAKTHOUGH CINCINNATI INC 6905 GIVEN ROAD CINCINNATI, OH 45243	NONE	PC	ACADEMIC SCHOLARS PROGRAM	10,000
ECONOMICS CENTER FOR EDUCATION AND RESEARCH 225 CALHOUN STREET STE 370 CINCINNATI, OH 45219	NONE	PC	STUDENT ENTERPRISE PROGRAM	7,500
Total 3a . . . . . ▶				612,113

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHILDREN'S CHOIR OF GREATER CINCINNATI PO BOX 210236 CINCINNATI, OH 45221	NONE	PC	CINCINNATI CHORAL ACADEMY	4,000
FAMILY NURTURING CENTER OF KENTUCKY 8275 EWING BOULEVARD FLORENCE, KY 41042	NONE	PC	KIDS ON THE BLOCK PROGRAM	10,000
VALLEY INTERFAITH COMMUNITY RESOURCE CENTER420 W WYOMING AVE CINCINNATI, OH 45215	NONE	PC	NEIGHBORS EMPOWERED PROGRAM	10,000
Total . . . . . ▶ 3a				612,113

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ACTIVITIES BEYOND THE CLASSROOM 635 W 7TH STREET SUITE 301 CINCINNATI, OH 45203	NONE	PC	ABC AFTERSCHOOL CLUB	5,000
THE POINT ARC OF NORTHERN KENTUCKY INC104 W PIKE STREET COVINGTON, KY 41011	NONE	PC	ED & EMPLOYMENT SERVICES	11,000
SOCIETY OF ST VINCENT DE PAUL COUNCIL OF NORTHERN KENTUCKY INC 2655 CRESCENT SPRINGS ROAD COVINGTON, KY 41017	NONE	PC	SWEET DREAMS BED PROGRAM	6,000
Total . . . . . ▶ 3a				612,113

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LIVING ARRANGEMENTS FOR THE DEVELOPMENTALLY DISABLED INC 3603 VICOTRY PARKWAY CINCINNATI, OH 45229	NONE	PC	OVER THE RHINE	3,000
CHILDREN'S HOME OF NORTHERN KENTUCKY 200 HOME ROAD DEVOU PARK COVINGTON, KY 41011	NONE	PC	DAY TREATMENT SERVICES	12,500
PRICE HILL WILL 3724 ST LAWRENCE AVENUE CINCINNATI, OH 45205	NONE	PC	SUPPORT MYCINCINNATI	5,000
Total . . . . . ▶ **3a**				612,113

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ENSEMBLE THEATRE OF CINCINNATI 1127 VINE STREET CINCINNATI, OH 45202	NONE	PC	ED OUTREACH & COMMUNITY	10,000
CINCINNATI COLLEGE PREPARATORY ACADEMY 1425 LINN STREET CINCINNATI, OH 45214	NONE	PC	ELEMENTARY LIBRARY CATALOG	10,000
BEECH ACRES PARENTING CENTER 6881 BEECHMONT AVENUE CINCINNATI, OH 45230	NONE	PC	FAMILY PEER SUPPORT PROGRAM	10,000
Total . . . . . ▶ 3a				612,113

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
IMAGO700 ENRIGHT AVENUE CINCINNATI, OH 45205	NONE	PC	BRANCHING OUT EXPANSION	7,000
CINCINNATI THERAPEUTIC RIDING AND HORSEMANSHIP1342 US HIGHWAY 50 MILFORD, OH 45150	NONE	PC	2018 RIDERSHIPS SO EVERYONE	5,000
JOSH CARES INC 7870 E KEMPER RD STE 400 CINCINNATI, OH 45249	NONE	PC	JOSH CARES LIFE SPECIALIST	8,000
Total . . . . . ▶ 3a				612,113

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CINCYSMILES FOUNDATION 635 W 7TH ST STE 405 CINCINNATI, OH 45203	NONE	PC	CINCYSMILES EARLY CHILDHOOD	10,000
ENVISION CHILDREN8 ENFIELD ST CINCINNATI, OH 45218	NONE	PC	ACADEMIC SUMMER ENRICHMENT	5,000
MELODIC CONNECTIONS 407 VINE ST STE 112 CINCINNATI, OH 45202	NONE	PC	MUSICAL BOOKS PROGRAM	5,000
Total . . . . . ▶ 3a				612,113

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LYDIA'S HOUSE INCPO BOX 128808 CINCINNATI, OH 45212	NONE	PC	SUPPLEMENTAL CHILD CARE &	7,000
TIKKUN FARM INC7941 ELIZABETH ST CINCINNATI, OH 45231	NONE	PC	YEAR ROUND AFTER SCHOOL AND	15,000
WHOLE AGAIN INTERNATIONAL 4222 HAMILTON AVE CINCINNATI, OH 45223	NONE	PC	SUMMER FOOD AND ENRICHMENT	5,000
Total . . . . . ▶ 3a				612,113

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHILDHOOD FOOD SOLUTIONS 2573 ST LEO PLACE CINCINNATI, OH 45225	NONE	PC	FOOD SUPPORT FOR STUDENTS	12,000
BIG BROTHERS AND BIG SISTERS OF GREATER CINCINNATI INC 2400 READING RD CINCINNATI, OH 45202	NONE	PC	SITE BASED MENTORING	5,000
NORTHERN KENTUCKY SYMPHONY INC PO BOX 72810 NEWPORT, KY 41072	NONE	PC	2018-19 FREE EDUCATION	5,000
Total 3a . . . . . ▶				612,113

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
STARFIRE COUNCIL OF GREATER CINCINNATI INC5030 OAKLAWN DR CINCINNATI, OH 45227	NONE	PC	SUPPORT OF THE CHARITABLE	1,000
JOY OUTDOOR EDUCATION CENTER FOUNDATION INC 10117 OLD 3-C HIGHWAY CLARKSVILLE, OH 45113	NONE	PC	FOSTERING SUCCESS PROGRAM	1,000
CINCINNATI UNION COOPERATIVE INITIATIVE 215 E 14TH ST CINCINNATI, OH 45202	NONE	PC	SUPPORT OF THE CHARITABLE	1,000
Total . . . . . ▶ 3a				612,113

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NAT'L SOCIETY TO PREVENT BLINDNESS DBA PRVT BLINDNESS OH 5727 DRAGON WAY CINCINNATI, OH 45227	NONE	PC	SUPPORT STAR PUPILS PROGRAM	6,000
CINCINNATI MUSEUM CENTER 1301 WESTERN AVENUE CINCINNATI, OH 45203	NONE	PC	EARLY CHILDHOOD SCIENCE	10,000
UNITED NEGRO COLLEGE FUND 1805 7TH ST NW WASHINGTON, DC 20001	NONE	PC	2018 CAMPAIGN-CREDITED TO	2,000
Total . . . . . ▶ 3a				612,113

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Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MINDPEACE CINCINNATI 5642 HAMILTON AVENUE CINCINNATI, OH 45224	NONE	PC	SERVICES FOR CHILDREN WITH	15,000
LEGAL AID SOCIETY OF CINCINNATI 215 EAST NINTH STREET SUITE 200 CINCINNATI, OH 45202	NONE	PC	CHILD HELP A MEDICAL LEGAL	25,000
UNITED WAY OF GREATER CINCINNATI 2400 READING ROAD CINCINNATI, OH 45202	NONE	PC	SUCCESS BY 6	15,000
Total . . . . . ▶ **3a**				612,113

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CINCINNATI EARLY LEARNING CENTERS 1301 EAST MCMILLAN STREET CINCINNATI, OH 45206	NONE	PC	PRICE HILL CENTERS-PHASES	1,000
PHILANTHROPY OHIO 500 SOUTH FRONT ST COLUMBUS, OH 43215	NONE	PC	PROGRAM SUPPORT	813
CINCINNATI MUSEUM CENTER 1301 WESTERN AVENUE CINCINNATI, OH 45203	NONE	PC	MEMBERSHIP IN THE	500
Total . . . . . ▶ 3a				612,113

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHRISTIAN COMMUNITY HEALTH SERVICES dba CROSSROAD HEALTH 5 EAST LIBERTY STREET CINCINNATI, OH 45202	NONE	PC	PEDIATRIC MAXIMUM HEALTH	10,000
Total . . . . . ▶ 3a				612,113

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491306001118

TY 2017 Explanation of Non-Filing with Attorney General Statement

Name: ANDREW JERGENS FOUNDATION

EIN: 31-6038702

Statement:

This trust does not provide a copy of Form 990-PF to the Ohio Attorney General because, in lieu thereof, it is required to file an on-line annual report with the Ohio Attorney General's office at www .ohioattorneygeneral.gov.

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491306001118

TY 2017 Investments - Other Schedule

Name: ANDREW JERGENS FOUNDATION

EIN: 31-6038702

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUNDS - FIXED	AT COST	3,556,622	3,542,457
MUTUAL FUNDS - EQUITY	AT COST	2,429,072	3,840,953

TY 2017 Other Decreases Schedule

Name: ANDREW JERGENS FOUNDATION

EIN: 31-6038702

Description	Amount
COST ADJ FOR PRINCIPAL MID CAP FUND	72
AMORT ADJ FOR GNMA POOL #478451	6

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491306001118

TY 2017 Other Expenses Schedule

Name: ANDREW JERGENS FOUNDATION

EIN: 31-6038702

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE FILING FEES	200	0		200
MAP MANAGEMENT FEES	6,386	6,386		0
MEMBERSHIP RENEWAL FEES	525	0		525
OFFICE EXPENSES	250	0		250
ADR SERVICE FEES	1,354	1,354		0

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491306001118

TY 2017 Other Professional Fees Schedule

Name: ANDREW JERGENS FOUNDATION

EIN: 31-6038702

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PNC AGENT FOR TRUSTEE FEES	28,770	28,770		

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491306001118

TY 2017 Taxes Schedule

Name: ANDREW JERGENS FOUNDATION

EIN: 31-6038702

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	6,863	6,863		0
FEDERAL ESTIMATES - PRINCIPAL	10,934	0		0