

For calendar year 2017, or tax year beginning 09-01-2017, and ending 08-31-2018

Name of foundation Seymour and Sylvia Rothchild Family 2004 Charitable Foundation % Foundation Source		A Employer identification number 20-6307347	
Number and street (or P O box number if mail is not delivered to street address) Foundation Source 501 Silverside Rd		Room/suite	B Telephone number (see instructions) (800) 839-1754
City or town, state or province, country, and ZIP or foreign postal code Wilmington, DE 198091377		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 4,320,517	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ☒ If the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,538	1,538		
	4 Dividends and interest from securities	76,598	73,043		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	136,138			
	b Gross sales price for all assets on line 6a				
		1,010,568			
	7 Capital gain net income (from Part IV, line 2)		136,138		
	8 Net short-term capital gain				
	9 Income modifications				
Operating and Administrative Expenses	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	1,917	1,917		
	12 Total. Add lines 1 through 11	216,191	212,636		
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	31,040	31,040		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	2,109	809		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	22,552	309		22,243
	24 Total operating and administrative expenses. Add lines 13 through 23	55,701	32,158		22,243
	25 Contributions, gifts, grants paid	165,000			165,000
	26 Total expenses and disbursements. Add lines 24 and 25	220,701	32,158		187,243
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-4,510			
	b Net investment income (if negative, enter -0-)		180,478		
c Adjusted net income(if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	147,153	112,900	112,900
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ 104,482 Less allowance for doubtful accounts ▶ _____		104,482	104,482
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	160,204	258,635	254,338
	b	Investments—corporate stock (attach schedule)	3,300,538	3,191,856	3,680,513
	c	Investments—corporate bonds (attach schedule)	130,093	168,670	168,284
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	103,065		
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,841,053	3,836,543	4,320,517	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable.			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule).			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	3,841,053	3,836,543	
	30	Total net assets or fund balances (see instructions)	3,841,053	3,836,543	
31	Total liabilities and net assets/fund balances (see instructions) .	3,841,053	3,836,543		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 3,841,053
2	Enter amount from Part I, line 27a	2 -4,510
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3	4 3,836,543
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6 3,836,543

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a Publicly-traded Securities			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,010,568		874,430	136,138
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			136,138
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	136,138
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	183,180	4,046,741	0 045266
2015	181,811	3,910,015	0 046499
2014	184,218	4,066,613	0 0453
2013	171,564	4,125,071	0 041591
2012	170,187	3,821,645	0 044532
2 Total of line 1, column (d)			2 0 223188
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 044638
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			4 4,278,362
5 Multiply line 4 by line 3			5 190,978
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,805
7 Add lines 5 and 6			7 192,783
8 Enter qualifying distributions from Part XII, line 4			8 187,243

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	3,610
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	3,610
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	3,610
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	2,992
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	3,671
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	61
11	Enter the amount of line 10 to be Credited to 2018 estimated tax ▶ 61 Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MA _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►	13	Yes	
14	The books are in care of ► Foundation Source Telephone no ► (800) 839-1754			

Located at ► 501 Silverside Road Suite 123 Wilmington DE ZIP+4 ► 198091377

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	☐		
	and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ►	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?	☐ Yes ☒ No		
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).		2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017).		3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
	Organizations relying on a current notice regarding disaster assistance check here.	☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No			
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No	
	<i>If "Yes" to 6b, file Form 8870</i>				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Alice Rothchild Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Trustee 1 0	0	0	0
Joseph Rothchild Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Trustee 1 0	0	0	0
Judith Rothchild Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Trustee 1 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances

Total number of other employees paid over \$50,000. ▶

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	4,055,018
b	Average of monthly cash balances.	1b	184,231
c	Fair market value of all other assets (see instructions).	1c	104,266
d	Total (add lines 1a, b, and c).	1d	4,343,515
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	4,343,515
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	65,153
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	4,278,362
6	Minimum investment return. Enter 5% of line 5.	6	213,918

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	213,918
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	3,610
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	3,610
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	210,308
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	210,308
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	210,308

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	187,243
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	187,243
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	187,243

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				210,308
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			66,016	
b Total for prior years 2015, 2014, 2013				
3 Excess distributions carryover, if any, to 2017				
a From 2012.				
b From 2013.				
c From 2014.				
d From 2015.				
e From 2016.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ <u>187,243</u>				
a Applied to 2016, but not more than line 2a			66,016	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2017 distributable amount.				121,227
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				89,081
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2013.				
b Excess from 2014.				
c Excess from 2015.				
d Excess from 2016.				
e Excess from 2017.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	165,000
b <i>Approved for future payment</i>				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) (See instructions)
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	1,538	
4 Dividends and interest from securities. . . .			14	76,598	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	136,138	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a <u>INTEREST FROM NOTES RECEIVABLE</u>			14	1,917	
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e). .				216,191	
13 Total. Add line 12, columns (b), (d), and (e).					216,191

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	***** Signature of officer or trustee	2019-07-02 Date	***** Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No
--

Paid Preparer Use Only	Print/Type preparer's name Jeffrey D Haskell	Preparer's Signature	Date	Check if self-employed ☐	PTIN P01345770
	Firm's name ▶ Foundation Source				Firm's EIN ▶
	Firm's address ▶ One Hollow Ln Ste 212 Lake Success, NY 11042				Phone no (800) 839-1754

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
350ORG20 JAY ST STE 1010 BROOKLYN, NY 11201	N/A	PC	General & Unrestricted	2,000
A JEWISH VOICE FOR PEACE INC 1918 BONITA AVE STE A BERKELEY, CA 94704	N/A	PC	General & Unrestricted	1,500
AMERICAN CIVIL LIBERTIES UNION FOUNDATION INC 125 BROAD ST 18TH FL NEW YORK, NY 10004	N/A	PC	General & Unrestricted	7,500
Total ▶ 3a				165,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN JEWISH WORLD SERVICE INC 45 W 36TH ST 11TH FL NEW YORK, NY 10018	N/A	PC	General & Unrestricted	2,500
AMREF HEALTH AFRICA INC 4 W 43RD ST 2ND FL NEW YORK, NY 10036	N/A	PC	General & Unrestricted	4,000
BOSTON WOMENS HEALTH BOOK COLLECTIVE INC PO BOX 400135 CAMBRIDGE, MA 02140	N/A	PC	General & Unrestricted	1,000
Total ▶ 3a				165,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BROWN UNIVERSITYBOX 1976 PROVIDENCE, RI 02912	N/A	PC	General & Unrestricted	2,500
CENTER FOR CONSTITUTIONAL RIGHTS INC 666 BROADWAY 7TH FLR NEW YORK, NY 10012	N/A	PC	General & Unrestricted	6,000
CITIZENS FOR RESPONSIBILITY AND ETHICS IN WASHINGT 455 MASSACHUSETTS AVE NW WASHINGTON, DC 20001	N/A	PC	General & Unrestricted	3,000
Total ► 3a				165,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COMMUNITY ALLIANCE FOR GLOBAL JUSTICE 606 MAYNARD AVE S STE 102 SEATTLE, WA 98104	N/A	PC	General & Unrestricted	1,500
COMMUNITY MUSICWORKS 1392 WESTMINSTER ST PROVIDENCE, RI 02909	N/A	PC	General & Unrestricted	3,000
CONSERVATION LAW FOUNDATION INC 62 SUMMER ST BOSTON, MA 02110	N/A	PC	General & Unrestricted	2,000
Total ▶ 3a				165,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DEMOCRACY NOW PRODUCTIONS INC 207 W 25TH ST NEW YORK, NY 10001	N/A	PC	General & Unrestricted	8,000
FOOD PROJECT INC10 LEWIS ST LINCOLN, MA 01773	N/A	PC	General & Unrestricted	5,000
FRIENDS OF AL-ROWWAD USA INC 233 NEEDHAM ST STE 300 NEWTON, MA 02464	N/A	PC	General & Unrestricted	3,000
Total ▶ 3a				165,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
GAZA MENTAL HEALTH FOUNDATION INC 6 BENNETT ST CAMBRIDGE, MA 02138	N/A	PC	General & Unrestricted	5,000
GOT GREEN2514 S GRAHAM ST SEATTLE, WA 98108	N/A	PC	General & Unrestricted	1,000
GRASSROOTS INTERNATIONAL INC 179 BOYLSTON ST 4TH FL BOSTON, MA 02130	N/A	PC	General & Unrestricted	7,500
Total ▶ 3a				165,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
INDIVISIBLE2040 S ST NW WASHINGTON, DC 20009	N/A	PC	General & Unrestricted	1,000
LOW INCOME HOUSING INSTITUTE 2407 1ST AVE STE 200 SEATTLE, WA 98121	N/A	PC	General & Unrestricted	1,250
MIDDLE EAST CHILDRENS ALLIANCE 1101 8TH ST BERKELEY, CA 94710	N/A	PC	General & Unrestricted	2,500
Total ▶ 3a				165,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
MIDDLEBRIDGE SCHOOL INC 333 OCEAN RD NARRAGANSETT, RI 02882	N/A	PC	General & Unrestricted	12,500
MITCHELL COLLEGE437 PEQUOT AVE NEW LONDON, CT 06320	N/A	PC	Bentsen Learning Resource Center	2,500
NATIONAL IMMIGRATION LAW CENTER 3435 WILSHIRE BLVD STE 1600 213-6 LOS ANGELES, CA 90010	N/A	PC	General & Unrestricted	2,500
Total ▶ 3a				165,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NATURAL RESOURCES DEFENSE COUNCIL INC 40 W 20TH ST NEW YORK, NY 10011	N/A	PC	General & Unrestricted	2,500
NEW ENGLAND GRASSROOTS ENVIRONMENT FUND INC PO BOX 611 NEWMARKET, NH 03857	N/A	PC	General & Unrestricted	10,000
NEW ISRAEL FUND 2100 M ST NW STE 619 WASHINGTON, DC 20037	N/A	PC	General & Unrestricted	2,500
Total ▶ 3a				165,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
PHYSICIANS FOR A NATIONAL HEALTH PROGRAM 29 E MADISON ST STE 1412 CHICAGO, IL 60602	N/A	PC	General & Unrestricted	2,000
PHYSICIANS FOR REPRODUCTIVE HEALTH INC 1430 BROADWAY FL 16 STE 1614 NEW YORK, NY 10018	N/A	PC	General & Unrestricted	2,000
PHYSICIANS FOR SOCIAL RESPONSIBILITY INC 4500 9TH AVE NE STE 92 SEATTLE, WA 98105	N/A	PC	anti-nuclear war work Program	2,500
Total ▶ 3a				165,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PLANNED PARENTHOOD FEDERATION OF AMERICA INC 123 WILLIAMS ST 10TH FL NEW YORK, NY 10038	N/A	PC	General & Unrestricted	3,000
PONHEARY LY FOUNDATION PO BOX 17034 AUSTIN, TX 78760	N/A	PC	General & Unrestricted	6,250
PUGET SOUND SAGE 220 2ND AVE S STE 310 SEATTLE, WA 98104	N/A	PC	General & Unrestricted	1,000
Total ▶ 3a				165,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SACRAMENTO LOAVES AND FISHES 1321 N C ST SACRAMENTO, CA 95811	N/A	PC	General & Unrestricted	2,500
SEATTLE HOUSING AND RESOURCE EFFORT PO BOX 2548 SEATTLE, WA 98111	N/A	PC	General & Unrestricted	1,500
SOCIAL JUSTICE FUND NORTHWEST 1904 3RD AVE STE 806 SEATTLE, WA 98101	N/A	PC	General & Unrestricted	3,000
Total ▶ 3a				165,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SOUTHERN CENTER FOR HUMAN RIGHTS 83 POPLAR ST NW ATLANTA, GA 30303	N/A	PC	General & Unrestricted	4,000
SOUTHERN POVERTY LAW CENTER INC 400 WASHINGTON AVE MONTGOMERY, AL 36104	N/A	PC	General & Unrestricted	1,000
THE ASPERGER-AUTISM NETWORK INC 51 WATER ST 206 WATERTOWN, MA 02472	N/A	PC	General & Unrestricted	2,500
Total ▶ 3a				165,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
THE CODEX FOUNDATION2203 4TH ST BERKELEY, CA 94710	N/A	PC	General & Unrestricted	1,000
THE HOME FOR LITTLE WANDERERS INC 10 GUEST ST STE 3 BOSTON, MA 02135	N/A	PC	General & Unrestricted	5,000
THE PROJECT ON GOVERNMENT OVERSIGHT INC 1100 G ST NW STE 500 WASHINGTON, DC 20005	N/A	PC	General & Unrestricted	1,000
Total ▶ 3a				165,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
UNION OF CONCERNED SCIENTISTS INC 2 BRATTLE SQ STE 6 CAMBRIDGE, MA 02138	N/A	PC	raising awareness of climate change program	1,500
VIOLENCE POLICY CENTER 1025 CONNECTICUT AVE NW STE 1210 WASHINGTON, DC 20036	N/A	PC	General & Unrestricted	1,000
WELCOME PROJECT INC 530 MYSTIC AVE 111 SOMERVILLE, MA 02145	N/A	PC	General & Unrestricted	10,000
Total 				165,000
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WEST END HOUSE INC105 ALLSTON ST ALLSTON, MA 02134	N/A	PC	General & Unrestricted	10,000
YOUTHCARE2500 NE 54TH ST SEATTLE, WA 98105	N/A	PC	General & Unrestricted	1,000
Total ▶ 3a				165,000

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2017 Depreciation Schedule

Name: Seymour and Sylvia Rothchild Family 2004

Charitable Foundation

EIN: 20-6307347

TY 2017 Investments Corporate Bonds Schedule

Name: Seymour and Sylvia Rothchild Family 2004

Charitable Foundation

EIN: 20-6307347

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ABBVIE INC - 2.900% - 11/06/20	4,872	4,880
AMERICAN EXPRESS - 0.024% - 05	9,975	9,875
ANHEUSER BUSCH INBEV BOND - 3.	4,974	4,896
AT&T INC - 4.125% - 02/17/2026	4,904	4,941
BANK OF AMERICA SR NOTE - 2.25	4,997	4,939
CITIGROUP INC - 3.200% - 10/21	4,665	4,694
COMCAST CORP - 3.150% - 03/01/	9,462	9,533
CVS HEALTH CORP NOTE - 4.100%	4,981	5,007
DUKE ENERGY CAROLINAS LLC - 4.	15,080	15,369
GE CAP CORP - 4.625% - 01/07/2	14,858	15,436
GENERAL MLS INC NOTE - 2.600%	4,938	4,836
GEORGIA PWR CO - 2.850% - 05/1	15,226	14,690
GOLDMAN SACHS GROUP - 2.550% -	5,034	4,982
JPMORGAN CHASE &CO NOTE - 2.95	9,317	9,366
MS GLBL - 2.375% - 07/23/2019	5,021	4,982
SCHLUMBERGER - 3.650% - 12/01/	15,223	15,212
TORONTO DOMINION BANK - 2.125%	9,715	9,737
TOYOTA MTR CRD CORP MTN BE - 2	15,010	14,641
VERIZON COMMUNICATIONS INC - 5	5,328	5,365
WELLS FARGO - 2.500% - 03/04/2	5,090	4,903

TY 2017 Investments Corporate Stock Schedule

Name: Seymour and Sylvia Rothchild Family 2004
 Chantable Foundation
EIN: 20-6307347

Name of Stock	End of Year Book Value	End of Year Fair Market Value
A P MOLLAR MAERSK A	396	279
ABB LTD	1,453	1,481
AC ALTERNATIVES MARKET NEUTRAL	127,908	127,046
ACCOR SA	383	382
ACS ACTIVIDADES CO	404	423
ADECCO S.A.	386	306
ADIDAS AG	1,355	1,491
AEGON N V ORD AMER REG	311	327
AGL ENERGY SPON ADS	350	276
AIA GROUP LTD	621	620
AJINOMOTO INC	350	287
AKZO NOBEL NV-ADR	578	593
ALFA LAVAL AB	326	375
ALLIANCE BERNSTEIN NATL MUN IN	11,042	10,491
ALLIANCEBERNSTEIN GLOBAL HIGH	2,972	2,813
ALLIANZ SE ADR	2,877	2,891
AMADEUS IT HLDS SA	681	1,016
AMCOR LTD	398	329
AMG TIMESSQUARE INTERNATIONAL	276,152	295,645
ANGLO AM PLC ADR	590	711
ANHEUSER BUSCH COS INC	246	186
APOLLO TACTICAL INCOME FUND IN	11,009	10,667
ARCELORMITTAL	424	483
ARES DYNAMIC CR ALLOCATION FD	11,961	11,645
ARIEL FUND INVESTOR CL	168,627	187,981
ARKEMA S/ADR	351	377
ARTISAN INTERNATIONAL VALUE FU	122,331	158,058
ASAHI KAISAI CP ADR	418	528
ASHTED GROUP PLC	348	499
ASML HOLDING NV NY REG SHS	1,748	2,256

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ASSA ABLOY UNSP/ADR	559	528
ASSOCIATED BRITISH FOODS PLC	401	300
ASTELLAS PHARMA INC	690	914
ASTRAZENECA	2,248	2,760
ATLANTIA SPA ADR	370	248
ATLAS COPCO AB A SHS ADR	622	485
ATOS SE UNSPONS ADR	400	313
AUSTRALIA & N Z ADS	859	765
AVIVA PLC	721	628
AXA ADS	1,700	1,513
BAE SYSTEMS PLC	650	638
BANCO BILBAO ARG SA	1,578	1,122
BAYER A G SPONSORED ADR	1,912	1,446
BAYERISCHE MOTOREN WERKE AG AD	739	775
BHP BILLITON LIMITED	1,456	1,633
BHP BILLITON SP ADR	1,227	1,370
BLACKROCK CORPORATE HIGH YIELD	13,613	13,204
BLACKROCK INVESTMENT QUALITY M	465	467
BLACKROCK MULTI-SECTOR INCOME	10,520	10,319
BLACKROCK MUNI INTERMEDIATE DU	19,678	18,545
BLACKROCK MUNICIPAL INCOME QUA	405	406
BLACKSTONE/GSO STRATEGIC C	1,169	1,148
BNP PARIBAS SPONS ADR	2,367	1,876
BOC HK HLDGS LTD S/ADR	499	489
BOSTON COMMON INTERNATIONAL	236,468	266,530
BRAMBLES LTD UNSP AD	346	365
BRENNTAG AG UNSP ADR	365	397
BRIDGESTONE CORP UNSP ADR	697	605
BRITISH LAND CO S/ADR	364	344
BROWN ADVISORY SUSTAINABILITY	188,678	293,014

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BT GROUP PLC ADS	841	568
BUNZL PLC SPONSORED ADR	310	314
BURBERRY GROUP PLC	327	409
CALRSBERG AS SP ADR REP B	361	390
CANON INC	913	834
CARNIVAL CORP PLC	341	305
CARREFOUR S.A	383	273
CENTRICA	407	282
CHR HANSEN HOLDINGS	380	407
CLP HOLDINGS LTD	476	513
COCA-COLA EUROPEAN PARTNERS PL	363	384
COCHLEAR ORD PLC	368	384
COHEN & STEERS PREFRRD SECS &	123,365	124,096
COLOPLAST AS ADR	322	395
COMMERZBANK AG-SPONS ADR	399	284
COMMONWEALTH BK AUS-SP ADR	2,556	1,952
COMPAGNIE DE SAINT G	618	476
COMPAGNIE FINANCIERE RICHEMONT	1,378	1,431
COMPASS GROUP PLC ADR	830	830
CONTL AG SPONS ADR	635	513
CREDIT AGRICOLE SA	496	380
CREDIT SUISSE GROUP ADR	634	613
CRH PLC - AMERICAN DEPOSITARY	357	331
DAIICHI SANKYO CO LTD SPONSORE	398	701
DAIKIN INDS LTD ADR	641	764
DAITO TRUST CONST SPON ADR	442	412
DAIWA HOUSE IND LTD	529	456
DAIWA SECURITIES GROUP	310	323
DANSKE BANK A S SPON	961	748
DEBT STRATEGY FUND	14,494	14,175

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DENSO CORP ADR	629	626
DEUTSCHE BANK AG	612	383
DEUTSCHE BOERSE ADR	547	716
DEUTSCHE POST AG	897	837
DEUTSCHE TELE AG ADS	1,759	1,605
DIAGEO PLC ADS	2,544	2,649
DNB NOR ASA SPONSOR ADR	515	532
DREYFUS MUNICIPAL BOND INFRAST	5,527	5,300
E.ON AG SPON ADR REP 1/3 ORD N	530	565
EAST JAPAN RAILWAY C	787	741
EATON VANCE LIMITED DURATION I	7,255	6,614
EATON VANCE MUNICIPAL BOND FUN	10,047	9,330
EDF UNSP ADR	339	404
EDP-ELECTRICIDADE DE PORTUGAL	397	392
EISAI LTD	382	634
ENEL SOCIETA PER AZI	906	773
ENI S.P.A	1,284	1,449
EQUINOR ASA	530	722
ERICSSON L M TEL CO	525	681
ERSTE BK DER OEST SP	358	340
ESSILOR INTL ADR	641	718
EXPERIAN GROUP LTD S/ADR	523	646
FAST RETAILING CO LT	452	699
FERGUSON PLC ADR	424	531
FERROVIAL SA	329	323
FIAT CHRYSLER AUTOMOBILES NV	331	462
FUJIFILM HOLDINGS ADR NPV	445	507
FUJITSU LTD ADR OTC	417	401
GALP ENERGIA	387	413
GAS NATURAL ADR	388	394

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GIVAUDAN SA UNSP/ADR	483	582
GKN PLC SPONS ADR OTC	383	393
GROUPE DANONE ADS	1,376	1,453
HEIDELBERGCEMENT AG UNSPONSORE	401	317
HEINEKEN HLDG N V	301	285
HEINEKEN N V S ADR	631	594
HENKEL KGAA PFD SHS ADR	713	639
HITACHI LTD	832	782
HONG KONG & CHINA GAS SPD ADR	424	531
HONG KONG EX&CL UNSP/ADR	1,096	1,083
HOYA CORP SPONS ADR	626	645
IBERDROLA ADR	1,448	1,366
INDUSTRIA DE DISENO	1,344	1,059
INFINEON TECH ADS	634	738
ING GROUP N V SPONSORED ADR	2,073	1,616
INPEX HOLDINGS INC	296	326
INTERCONTINENTAL HOTELS GROUP	346	373
INTESA SANPAOLO SPA	1,342	993
INVESCO DYNAMIC CREDIT OPPORTU	11,264	10,864
INVESCO MUNICIPAL OPPORTUNITY	4,653	4,621
INVESCO MUNICIPAL TRUST	4,620	4,416
INVESCO QUALITY MUNICIPAL INCO	9,653	9,228
INVESCO VALUE MUNICIPAL INCOME	17,559	16,909
ISUZU MOTORS UNSP/ADR	321	360
ITOCHU CORP ADR OTC	633	698
ITV PLC SHS	365	335
J SAINSBURY	318	319
JAPAN EXCHANGE GROUP INC	310	297
JAPAN TOB INC	942	710
JPMORGAN SYSTEMATIC ALPHA FD C	123,064	121,639

Name of Stock	End of Year Book Value	End of Year Fair Market Value
JULIUS BAER GROUP LTD	494	455
KAO CORP	797	1,002
KBC GROUP SA UNSP ADR	542	462
KDDI CP UNSP ADR	651	644
KERING UNSPON ADR EA REPR 0.1	946	1,299
KINGFISHER PLC	288	260
KIRIN BREWERY LTD	490	542
KOMATSU LTD	650	680
KON KPN NV SPON ADR REP 1 ORD	359	246
KONE OYJ ADR B SHS	474	486
KONINKLIJKE AHOLD DELHAIZE NV	542	558
KONINKLIJKE DSM NV ADR	395	550
KONINKLIJKE PHILIPS ELECTRONIC	1,180	1,339
KUBOTA CORPORATION	529	469
KYOCERA CP	555	569
L'AIR LIQUIDE ADR OTC	1,406	1,532
L'OREAL ADR	1,592	1,772
LAFARGEHOLCIM LTD ADR	744	753
LEGAL & GENERAL GROUP	561	517
LEND LEASE CORP LTD	349	359
LLOYDS BANKING GROUP PLC	1,899	1,662
LONDON STK EXCHANGE GROUP	633	657
LONZA GROUP AG UNSP/ADR	363	482
MAKITA CORP	278	317
MARUBENI CORP ADR CNV INTO 10	336	411
MAZDA MOTOR CORP	290	218
MERCK KGAA SPON ADR EACH REPR	402	379
MICHELIN CIE GEN UNSP/ADR	629	543
MITSUBISHI ELEC UNSP/ADR	781	674
MITSUBISHI ESTATE CO ADR	605	547

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MITSUI & COMPANY, LTD. - ADR	588	665
MORGAN STANLEY EM DEBT FUND	4,066	3,521
MORRISON W SUPRMKT PLC	328	341
MS&AD INS GROUP ADR	496	428
MUENCHENER RUECKVERS	842	838
MURATA MFG INC UNSP/ADR	342	388
NATIONAL AUSTRALIA BK LTD	1,882	1,667
NATIONAL GRID TRANSCO PLC	1,342	1,166
NESTLE S.A	4,563	4,606
NEUBERGER BERMAN HIGH YIELD ST	2,261	2,121
NEWCREST MINING LTD-SPON ADR	375	318
NIDEC CORPORATION ADR	988	1,081
NIPPON STEEL & SUM ADR	522	439
NIPPON TELEGRAPH & TELEPHONE C	425	400
NITTO DENKO ADR	430	349
NOKIA	900	777
NOMURA HOLDINGS ADR	590	450
NORDEA BANK AB SPON ADR	930	794
NORSK HYDRO ASA	352	334
NOVARTIS AG ADR	2,389	2,407
NOVO NORDISK A S	2,432	2,754
NOVOZYMES A/S UNSP/ADR	328	383
NTT DOCOMO	818	907
NUVEEN AMT FREE QUALITY MUNICI	39,965	37,490
NUVEEN CREDIT STRATEGIES INCOM	10,766	10,550
NUVEEN DIVIDEND ADVANTAGE MUNI	40,971	38,386
NUVEEN GLOBAL HIGH INCOME FUND	5,436	5,161
NUVEEN INSD DVD ADV MUNI FD	10,283	9,970
NUVEEN SELECT TAX FREE INCOME	478	461
OLYMPUS CORP S/ADR	333	370

Name of Stock	End of Year Book Value	End of Year Fair Market Value
OMRON CORP S/ADR	304	268
OMV AG BEARER SH SPO	331	320
ONO PHARMACEUTICAL ADR	294	351
ORANGE	816	776
ORIX CORP ADS	585	560
ORKLA ASA SPON ADR	321	302
PANASONIC CORP	784	666
PANDORA A S SPON ADREACH REP 0	379	194
PEARSON PLC	394	402
PERNOD-RECARD SA	754	850
PERSIMMON PLC ADR	338	317
PEUGEOT	456	548
PIONEER MUNICIPAL HIGH INC TR	4,728	4,604
PRINCIPAL REAL ESTATE INCOME F	404	403
PRUDENTIAL GLOBAL SHORT DURATI	20,298	19,196
PRUDENTIAL SHORT DURATION HIGH	6,950	6,773
PUTNAM MANAGED MUNI INCOME TRU	2,624	2,567
PUTNAM MUNICIPAL OPPORTUNITIES	3,545	3,367
QIAGEN NV	360	390
RANDSTAD HOLDING	319	346
RANGOLD RESORCES LTD SO AFRICA	303	261
RBC EMERGING MARKETS EQUITY I	331,635	386,534
RECKITT BENCKISER GROUP	1,897	1,708
RED ELECTRICA DE ESPANA SA	294	281
RELX NV	554	578
RELX PLC	624	624
RENAULT SA	473	447
REPSOL YPF S A ADR	492	558
RIO TINTO PLC SPONSORED ADR	1,763	1,729
ROCHE HOLDING LTD ADR	956	929

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ROHM CO LTD UNSP ADR	334	354
ROLLS ROYCE GRP PLC S/ADR	555	621
ROYAL BANK SCOTLAND ADS	344	324
ROYAL DUTCH SHELL CL A	2,425	2,674
RSA INSURANCE GROUP	288	271
RWE AKTIENGESELLSCHAFT	320	379
RYOHIN KEIKAKU	340	297
SANDS CHINA LTD UNSP ADR	330	341
SANDVIK AB SPONS ADR	463	510
SAP AKTIENGESELL ADS	3,141	3,472
SCHNEIDER ELEC UNSP/ADR	1,403	1,427
SECOM CO LTD ADR OTC	454	492
SEVEN & I HOLDINGS C	773	770
SGS SA UNSP ADR	360	420
SHARP CORP ADR	358	381
SHIN-ETSU CHEMICAL C	899	915
SHIRE PLC	340	351
SHISEIDO CO LTD	395	776
SIEMENS AG	349	324
SKF AB ADR	311	327
SKY PLC SPON ADR	364	555
SMITH & NEPHEW PLC ADR	428	430
SMITHS GROUP ORD 37.5P	356	335
SOCIETE GENERLE FRNCE ADR	1,075	740
SODEXHO ALLIANCE SA SP ADR	336	290
SONIC HEALTHCARE LTD SHS	323	341
SONOVA HOLDING AG AD	386	417
SONY CORP ADR	1,645	2,160
SOUTH32 LTD	365	387
SSE PLC SPON ADR	413	356

Name of Stock	End of Year Book Value	End of Year Fair Market Value
STMICROELECTRONICS	325	393
STORA ENSO OYJ	426	409
SUMITOMO CHEMICAL CO	298	285
SUMITOMO METAL	392	340
SUMITOMO MITSUI TR HOLDINGS SP	383	405
SUN HUNG KAI PY LTD S/ADR	580	554
SVENSKA HANDELSBK UNS ADR	581	471
SWEDBANK AB S/ADR	604	536
SWISS RE LTD ADR	799	741
SWISSCOM AG S/ADR	397	357
SYMRISE AG UNSPONSORED	439	466
SYSMEX CORP UNSP ASDR	292	433
T&D HOLDINGS INC TOKYO	284	289
TAKEDA PHARMACEUTICAL CO LTD	599	438
TDK CORP	292	448
TECHTRONIC INDS SPD ADR	329	366
TELECOM ITALIA ADR	328	200
TENARIS SA-ADR	365	335
TERNA RETE ELETTRICA	340	329
TESCO PLC S ADR	513	695
THE WHARF HOLDINGS LIMITED	344	113
TOKIO MARINE HOLDINGS INC	764	851
TOKYO ELECTRON LTD	569	680
TOKYO GAS CO LTD ADR	338	294
TORAY INDUSTRIES INC	384	314
TOSHIBA CORP UNSP/ADR	480	480
TOTAL FINA ELF S.A	2,077	2,321
TOYOTA MTR CORP	4,414	4,715
TREASURY WINE ESTATES ADR	390	436
UBS AG	1,869	1,770

Name of Stock	End of Year Book Value	End of Year Fair Market Value
UNICHARM CORP - SPN ADR	327	415
UNILEVER PLC AMER	2,176	2,218
UNITED UTILITS ADR	323	307
UPM-KYMMENE OYJ	413	579
VALEO SA SPONS ADR	457	295
VANGUARD SHORT-TERM BOND INDEX	181,133	176,589
VANGUARD SHORT-TERM CORPORATE	198,184	194,259
VESTAS WIND SYS UNSP/ADR	557	393
VIVENDI SA	577	621
VODAFONE GROUP PLC	2,271	1,729
VOLVO AS-A ADR	877	895
WALDEN SML CAP INNOVATIONS	152,704	191,467
WALDEN SOCIAL EQUITY FUND	367,400	585,385
WELLS FARGO ADVANTAGE INCOME O	9,653	9,045
WELLS FARGO ADVANTAGE MULTI SE	4,636	4,284
WEST JAPAN RAILWAY C	365	334
WESTERN ASSET EMERGING MARKETS	28,936	25,227
WESTERN ASSET GLOBAL HIGH INC	12,086	11,184
WESTERN ASSET HI YLD DEFINED	6,792	6,473
WESTERN ASSET HIGH INCOME FUND	393	364
WESTERN ASSET HIGH INCOME OPPO	12,555	11,905
WESTPAC BANKING CP	2,464	2,051
WOLTERS KLUWER S/ADR	405	570
WOODSIDE PETROL LTD ADR	471	530
WPP PLC	617	497
YARA INTERNATIONAL ASA	328	367
YASKAWA ELEC CORP	354	334
ZURICH INS GROU ADR	1,423	1,430

TY 2017 Investments Government Obligations Schedule

Name: Seymour and Sylvia Rothchild Family 2004
Charitable Foundation

EIN: 20-6307347

**US Government Securities - End
of Year Book Value:**

172,523

**US Government Securities - End
of Year Fair Market Value:**

170,370

**State & Local Government
Securities - End of Year Book
Value:**

86,112

**State & Local Government
Securities - End of Year Fair
Market Value:**

83,968

TY 2017 Other Expenses Schedule

Name: Seymour and Sylvia Rothchild Family 2004
Charitable Foundation

EIN: 20-6307347

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	22,208			22,208
Bank Charges	309	309		
State or Local Filing Fees	35			35

TY 2017 Other Income Schedule

Name: Seymour and Sylvia Rothchild Family 2004
Charitable Foundation

EIN: 20-6307347

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Interest from notes receivable	1,917	1,917	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

**TY 2017 Other
Notes/Loans Receivable
Long Schedule**

Name: Seymour and Sylvia Rothchild Family 2004
Charitable Foundation
EIN: 20-6307347

Borrower's Name	Relationship to Insider	Original Amount of Loan	Balance Due	Date of Note	Maturity Date	Repayment Terms	Interest Rate	Security Provided by Borrower	Purpose of Loan	Description of Lender Consideration	Consideration FMV
BLUEHUB LOAN FUND INC			25,000				0 %				
BLUEHUB LOAN FUND INC			25,000				0 %				
COOPERATIVE FUND OF NEW ENGLAND INC			27,809				0 %				
COOPERATIVE FUND OF NEW ENGLAND INC			26,673				0 %				

TY 2017 Other Professional Fees Schedule

Name: Seymour and Sylvia Rothchild Family 2004
Charitable Foundation

EIN: 20-6307347

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management Services	31,040	31,040		

TY 2017 Taxes Schedule

Name: Seymour and Sylvia Rothchild Family 2004
Charitable Foundation

EIN: 20-6307347

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990-PF Estimated Tax for 2018	1,300			
Foreign Tax Paid	809	809		