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Form **990-PF**

Department of the Treasury
Internal Revenue Service

C&E 927

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017 or tax year beginning Aug 1, 2017, and ending Jul 31, 2018

Name of foundation: ROBERT HOAG RAWLINGS FOUNDATION

A Employer identification number: 84-1090907

Number and street (or P O box number if mail is not delivered to street address): 301 N MAIN STREET — Room/suite: 204

B Telephone number (see instructions): (719) 544-2566

City or town, state or province, country, and ZIP or foreign postal code: PUEBLO CO 81003

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☒ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 9,315,642.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue					
1	Contributions, gifts, grants, etc, received (attach schedule)	4,144,646.			
2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments	6,686.	6,686.		
4	Dividends and interest from securities	135,595.	135,595.		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10				
b	Gross sales price for all assets on line 6a				
7	Capital gain net income (from Part IV, line 2)		70,159.		
8	Net short-term capital gain			10,740.	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) See Stmt	68,505.			
12	**Total.** Add lines 1 through 11	4,355,432.	212,440.	10,740.	
Operating and Administrative Expenses					
13	Compensation of officers, directors, trustees, etc.				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule) L-16a Stmt	2,339.	2,339.		
b	Accounting fees (attach schedule) L-16b Stmt	1,850.	1,850.		
c	Other professional fees (attach schedule)	5,753.	5,753.		
17	Interest				
18	Taxes (attach schedule) (see instructions) See Stmt	7,014.	712.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy	4,991.	4,991.		
21	Travel, conferences, and meetings	9,016.	7,909.		
22	Printing and publications				
23	Other expenses (attach schedule) See Stmt	25,333.	25,333.		
24	**Total operating and administrative expenses.** Add lines 13 through 23	56,296.	48,887.		
25	Contributions, gifts, grants paid	593,205.			593,205.
26	**Total expenses and disbursements.** Add lines 24 and 25	649,501.	48,887.		593,205.
27	Subtract line 26 from line 12:				
a	**Excess of revenue over expenses and disbursements**	3,705,931.			
b	**Net investment income** (if negative, enter -0-)		163,553.		
c	**Adjusted net income** (if negative, enter -0-)			10,740.	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	1,735,530.	420,064.	420,064.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less. allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges		14.	14.
	10a	Investments—U.S. and state government obligations (attach schedule) L-10a Stmt		967,936.	970,586.
	b	Investments—corporate stock (attach schedule) L-10b Stmt	1,892,053.	5,811,980.	7,278,637.
	c	Investments—corporate bonds (attach schedule) L-10c Stmt	470,587.	600,927.	609,494.
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule) L-13 Stmt	17,704.	20,884.	36,847.
	14	Land, buildings, and equipment basis ▶			
		Less. accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	4,115,874.	7,821,805.	9,315,642.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26, and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	4,115,874.	7,821,805.	
	30	**Total net assets or fund balances** (see instructions)	4,115,874.	7,821,805.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	4,115,874.	7,821,805.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,115,874.
2	Enter amount from Part I, line 27a	2	3,705,931.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	7,821,805.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	7,821,805.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SCHEDULE ATTACHED-MORGAN STANLEY	P	05/29/2018	06/27/2018
b	SCHEDULE ATTACHED-MORGAN STANLEY	P	05/29/2018	07/31/2018
c	SCHEDULE ATTACHED-MORGAN STANLEY	D	03/24/2017	02/01/2018
d	SCHEDULE ATTACHED-2884 (MONBERG) - RBC	P	08/08/2017	07/20/2018
e	See Statement			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a	3,609.		3,521.	88.
b	3,611.		3,427.	184.
c	273,342.		271,718.	1,624.
d	41,278.		36,993.	4,285.
e	388,086.		324,108.	63,978.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				88.
b				184.
c				1,624.
d				4,285.
e				63,978.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	70,159.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) See instructions. If (loss), enter -0- in Part I, line 8	3	10,740.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	176,500.	3,699,236.	0.047713
2015	145,865.	3,114,393.	0.046836
2014	182,724.	3,274,200.	0.055807
2013	177,062.	3,198,635.	0.055355
2012	127,674.	2,916,325.	0.043779

2	**Total** of line 1, column (d)	2	0.249490
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.049898
4	Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	7,473,453.
5	Multiply line 4 by line 3	5	372,910.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,636.
7	Add lines 5 and 6	7	374,546.
8	Enter qualifying distributions from Part XII, line 4	8	593,205.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter. ______ (attach copy of letter if necessary—see instructions)		N/A
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	1,636.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0.
3	Add lines 1 and 2	3	1,636.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	1,636.
6	Credits/Payments:		
a	2017 estimated tax payments and 2016 overpayment credited to 2017 — 6a: 4,160.		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	4,160.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	2,524.
11	Enter the amount of line 10 to be: **Credited to 2018 estimated tax** ▶ 2,524. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b,** attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered See instructions. ▶			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G?* If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ https://rawlingsfoundation.com			
14	The books are in care of ▶ SCHMIDT VALENTINE WHITTEMORE & CO PC Telephone no. ▶ (719)543-2066			
	Located at ▶ 119 COLORADO AVENUE, PUEBLO, CO ZIP+4 ▶ 81004-4213			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year, did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year, did the foundation pay or incur any amount to.			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JANE L RAWLINGS 624 DITTMER AVENUE PUEBLO CO 81005	PRESIDENT 5.00	0.	0.	0.
BOB D WERTZ 45 LEHIGH AVE PUEBLO CO 81005	TREASURER 1.00	0.	0.	0.
DAVID CARDINAL 3022 THUNDER LAKE CIRCLE LAFAYETTE CO 80026	DIRECTOR 1.00	0.	0.	1,648.
See Statement	2.00	0.	0.	4,244.

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	0.
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	5,811,110.
b	Average of monthly cash balances	1b	1,776,152.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	7,587,262.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	7,587,262.
4	Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see instructions)	4	113,809.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,473,453.
6	**Minimum investment return.** Enter 5% of line 5	6	373,673.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	373,673.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	1,636.		
b	Income tax for 2017. (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,636.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	372,037.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	372,037.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	372,037.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	593,205.
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8; and Part XIII, line 4	4	593,205.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	1,636.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	591,569.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1	Distributable amount for 2017 from Part XI, line 7				372,037.
2	Undistributed income, if any, as of the end of 2017:				
a	Enter amount for 2016 only			4,135.	
b	Total for prior years: 20___,20___,20___				
3	Excess distributions carryover, if any, to 2017:				
a	From 2012 ... 0.				
b	From 2013 ... 0.				
c	From 2014 ... 0.				
d	From 2015 ... 0.				
e	From 2016 ... 0.				
f	**Total** of lines 3a through e	0.			
4	Qualifying distributions for 2017 from Part XII, line 4: ▶ $ 593,205.				
a	Applied to 2016, but not more than line 2a			4,135.	
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2017 distributable amount				372,037.
e	Remaining amount distributed out of corpus	217,033.			
5	Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	217,033.			
b	Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable amount—see instructions		0.		
e	Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount—see instructions			0.	
f	Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				0.
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8	Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)	0.			
9	**Excess distributions carryover to 2018.** Subtract lines 7 and 8 from line 6a	217,033.			
10	Analysis of line 9:				
a	Excess from 2013 ... 0.				
b	Excess from 2014 ... 0.				
c	Excess from 2015 ... 0.				
d	Excess from 2016 ... 0.				
e	Excess from 2017 ... 217,033.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

ROBERT H. RAWLINGS

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

See Supplementary Information Statement

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ADAMS STATE COLLEGE FOUNDATION 208 EDGEMONT BLVD ALAMOSA CO 81102		PUBLIC	SCHOLARSHIPS	4,000.
BENT COUNTY EARLY LEARNING CENTER 810 3RD STREET LAS ANIMAS CO 81054		PUBLIC	SCHOLARSHIPS FOR CHILDCARE FOR NEEDY FAMILIES	10,000.
BOYS & GIRLS CLUB OF FREMONT COUNTY P O BOX 1537 CANON CITY CO 81215		PUBLIC	SUPPORT OF CHILDREN INVOLVED IN PROGRAMS	7,000.
BOYS & GIRLS CLUB OF CHAFFEE COUNTY P O BOX 1430 SALIDA CO 81201		PUBLIC	SUPPORT OF CHILDREN INVOLVED IN PROGRAMS	3,000.
BOYS & GIRLS CLUB OF THE SAN LUIS VALLEY P O BOX 1032 ALAMOSA CO 81101		PUBLIC	SUPPORT OF CHILDREN INVOLVED IN PROGRAMS	5,000.
CENTER FOR AMERICAN VALUES 101 S MAIN ST, STE 101 PUEBLO CO 81003		PUBLIC	ON VALUES SPEAKER SERIES 2018/2019	7,500.
CITY OF PUEBLO 211 WEST D STREET PUEBLO CO 81003		PUBLIC	I-25 GATEWAY ART PROJECT	100,000.
COLORADO STATE UNIV-PUEBLO FOUNDATION 2200 BONFORTE BLVD PUEBLO, CO 81001		PUBLIC	SCHOLARSHIPS	24,000.
COLORADO STATE UNIV-PUEBLO FOUNDATION 2200 BONFORTE BLVD PUEBLO CO 81001		PUBLIC	CAPITAL CAMPAIGN FOR IMPROVEMENTS (DONOR WALL)	25,000.
See Statement				407,705.
Total ▶ **3a**				593,205.
b *Approved for future payment*				
CITY OF PUEBLO 211 WEST D STREET PUEBLO CO 81003		PUBLIC	I-25 GATEWAY PARK ART PROJECT	200,000.
COLORADO STATE UNIV-PUEBLO FOUNDATION 2200 BONFORTE BLVD PUEBLO CO 81001		PUBLIC	CAPITAL CAMPAIGN FOR IMPROVEMENTS (DONOR WALL)	25,000.
PUEBLO ZOOLOGICAL SOCIETY 3455 NUCKOLLS PUEBLO CO 81005		PUBLIC	MONKEY/AFRICAN DOG EXHIBITS-QUARANTINE BUILDINGS	29,000.
SANGRE DE CRISTO ARTS & CONFERENCE CENTER 210 N SANTA FE AVE PUEBLO CO 81003		PUBLIC	SPONSOR SUMMER 2019 LUSTER ART EXHIBIT	20,000.
Total ▶ **3b**				274,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	6,686.	
4 Dividends and interest from securities			14	135,595.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	70,159.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				212,440.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 212,440.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 12/12/18 Title: PRESIDENT

May the IRS discuss this return with the preparer shown below? See instructions ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Virginia D. Whittemore	[signature]	12/10/2018		P00014156
Firm's name ▶ Schmidt, Valentine, Whittemore & Co. P.C.			Firm's EIN ▶ 84-0612359	
Firm's address ▶ 119 Colorado Avenue Pueblo CO 81004			Phone no (719) 543-2066	

REV 10/16/18 PRO

Form 990-PF: Return of Private Foundation

Part XV, Line 2: Supplementary Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc. Continuation Statement

Name and Address Information	Form Information	Submission Information	Restrictions
PAULETTE STUART 301 N MAIN STREET. SUITE 204 PUEBLO, CO 81003 719-544-2566	BY LETTER EXPLAINING PURPOSE, AMOUNT OF REQUEST AND SUPPORTING INFORMATION	BY EARLY NOVEMBER OR EARLY MAY	GENERALLY LIMITED TO THE SOUTHERN COLORADO AREA

Form 990-PF: Return of Private Foundation

Part XV, Line 3a: Grants and Contributions Paid During the Year

Continuation Statement

Recipient name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
COLORADO STATE UNIV-PUEBLO FOUNDATION 2200 BONFORTE BLVD PUEBLO, CO 81001		PUBLIC	AID FOR POOR/ DISADVANTAGED CHILDREN AT CENTAL HIGH SCHOOL & FREED MIDDLE SCHOOL	215,965.
COLORADO STATE UNIV-PUEBLO FOUNDATION 2200 BONFORTE BLVD PUEBLO, CO 81001		PUBLIC	ARKANSAS VALLEY WATER VIDEO ADDITIONS	7,000.
JOHN W RAWLINGS HERITAGE CENTER 206 6TH STREET LAS ANIMAS, CO 81054		PUBLIC	THREE PARK PROJECTS	9,800.
JUNIOR ACHIEVEMENT 601 N MAIN ST, SUITE 221 PUEBLO, CO 81003		PUBLIC	PROGRAMS AT ELEMENTARY SCHOOLS	5,440.
LA PUENTE HOME INC P O BOX 1235 ALAMOSA, CO 81101		PUBLIC	SERVICES FOR HOMELESS & IMPOVERISHED	10,000.
LAMAR COMMUNITY COLLEGE FOUNDATION 2401 S. MAIN LAMAR, CO 81052		PUBLIC	SCHOLARSHIPS	4,000.
LAMAR COMMUNITY COLLEGE FOUNDATION 2401 S MAIN LAMAR, CO 81052		PUBLIC	EMT SCHOLARSHIP PROGRAM	10,000.
OTERO COMMUNITY COLLEGE FOUNDATION 1802 COLORADO LA JUNTA, CO 81050		PUBLIC	SCHOLARSHIPS	4,000.
PUEBLO CITY-COUNTY LIBRARY DISTRICT 100 E ABRIENDO AVENUE PUEBLO, CO 81004		PUBLIC	INFOZONE NEWS MUSEUM EXHIBIT DESIGN & FABRICATION	25,000.
PUEBLO CITY-COUNTY LIBRARY DISTRICT 100 E ABRIENDO AVENUE PUEBLO, CO 81004		PUBLIC	ASSISTANCE WITH EXHIBITS	5,000.
PUEBLO COMMUNITY COLLEGE FOUNDATION 900 W ORMAN PUEBLO, CO 81004		PUBLIC	SCHOLARSHIPS	8,000.
PUEBLO COUNTY UNITED WAY 301 E ABRIENDO AVE FL3 STE 300 PUEBLO, CO 81004		PUBLIC	CURRENT CAMPAIGN GRANT	20,000.
PUEBLO ZOOLOGICAL SOCIETY 3455 NUCKOLLS PUEBLO, CO 81005		PUBLIC	EXHIBIT FOR DEBRAZZA MONKEYS	15,000.
SANGRE DE CRISTO ARTS CENTER 210 N SANTA FE AVENUE PUEBLO, CO 81003		PUBLIC	ANALYSIS/ DEVELOPMENT OF BUSINESS/ MARKET STUDY	28,000.

Form 990-PF: Return of Private Foundation

Part XV, Line 3a: Grants and Contributions Paid During the Year

Continuation Statement

Recipient name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SANGRE DE CRISTO ARTS CENTER 210 N SANTA FE AVENUE PUEBLO, CO 81003		PUBLIC	ASSISTANCE WITH EXHIBIT IN MUSEUM	25,000.
TRINIDAD STATE JR CLLEGE FOUNDATION 600 PROSPECT ST TRINIDAD, CO 81082		PUBLIC	SCHOLARSHIPS	4,000.
USS PUEBLO CONVENTION C/O PUEBLO CHAMBER OF COMMERCE PUEBLO, CO 81003		PUBLIC	CONVENTION LUNCHEON SPONSORSHIP	1,500.
WET MOUNTAIN WILDLIFE REHAB INC 743 CRESTVIEW DRIVE FLORENCE, CO 81226		PUBLIC	REHAB FOR ABANDONED AND INJURED WILDLIFE	10,000.
				407,705.

Form 990-PF: Return of Private Foundation

Part IV: Capital Gains and Losses for Tax on Investment Income

Continuation Statement

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired	(d) Date sold
SCHEDULE ATTACHED-2884 (MONBERG) - RBC	P	07/31/14	07/20/18
7 AUTOMATIC DATA PROCESSING	P	10/06/16	08/08/17
72 GENERAL ELECTRIC COMPANY	P	08/08/17	10/27/17
13 MICROSOFT CORP	P	01/27/17	10/27/17
14 TEXAS INSTRUMENTS INC	P	08/08/17	10/27/17
20 APPLE INC	P	12/09/15	08/08/17
59 AUTOMATIC DATA PROCESSING INC	P	07/31/14	08/08/17
18 AUTOMATIC DATA PROCESSING INC	P	10/02/14	08/08/17
133 DOWDUPONT INC	P	07/31/14	12/08/17
13 DOWDUPONT INC	P	10/06/16	12/08/17
273 GENERAL ELECTRIC COMPANY	P	07/31/14	10/27/17
35 GENERAL ELECTRIC COMPANY	P	10/06/16	10/27/17
4 JOHNSON & JOHNSON	P	07/31/14	08/08/17
37 MCDONALDS CORPORATION	P	07/31/14	08/08/17
26 QUALCOMM INC	P	07/31/14	12/08/17
13 TORONTO-DOMINION BANK	P	07/31/14	10/27/17
3 UNITEDHEALTH INC	P	07/31/14	10/27/17
5 3M COMPANY	P	07/31/14	10/27/17
SCHEDULE ATTACHED-6186 - RBC	P	02/13/17	01/30/18
SCHEDULE ATTACHED-6186 - RBC	P	05/29/14	01/30/18
SCHEDULE ATTACHED-3033 - RBC	P	04/09/18	04/10/18
SCHEDULE ATTACHED-9923 - RBC	P	07/12/13	05/30/18
.6 DOWDUPONT INC	P	10/26/06	09/01/17
1500 NEXTERA ENERGY CAPITAL SOB DEB	P	08/13/14	10/23/17
1800 PROTECTIVE LIFE CORP SUB DEB	P	08/15/12	09/11/17

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
166,460.		130,397.	36,063.
767.		612.	155.
1,501.		1,860.	-359.
1,100.		855.	245.
1,342.		1,166.	176.
3,226.		2,339.	887.
6,465.		4,198.	2,267.
1,973.		1,304.	669.
9,426.		6,878.	2,548.
921.		684.	237.
5,693.		6,928.	-1,235.
730.		1,023.	-293.
569.		404.	165.
2,621.		1,617.	1,004.
1,685.		1,939.	-254.
733.		684.	49.
636.		243.	393.
1,168.		710.	458.
13,200.		8,890.	4,310.
32,929.		22,169.	10,760.
2,401.		2,369.	32.
50,000.		44,766.	5,234.
40.		25.	15.
37,500.		37,048.	452.

Form 990-PF: Return of Private Foundation

Part IV: Capital Gains and Losses for Tax on Investment Income

Continuation Statement

45,000.		45,000.	0.
388,086.	**0.**	**324,108.**	**63,978.**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			36,063.
			155.
			-359.
			245.
			176.
			887.
			2,267.
			669.
			2,548.
			237.
			-1,235.
			-293.
			165.
			1,004.
			-254.
			49.
			393.
			458.
			4,310.
			10,760.
			32.
			5,234.
			15.
			452.
			0.
0.	**0.**	**0.**	**63,978.**

Form 990-PF: Return of Private Foundation

Part VIII: Information about Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors — Continuation Statement

Name and address	Title, and average hours per week devoted to position	Compensation	Contributions to employee benefit plans and deferred compensation	Expense account, other allowances
ROBERT RAWLINGS, JR 455 LIBERTY ST ASHLAND, OR 97520	CO VICE-PRES 0.50	0.	0.	1,659.
DAVID B SHAW 37 CALLE DEL SOL RD PUEBLO, CO 81008	DIRECTOR 0.50	0.	0.	0.
JOHN G RAWLINGS 3138 LAUREL STREET SAN DIEGO, CA 92104	CO VICE-PRES 0.50	0.	0.	1,680.
CAROLYN TEMPLE 2721 MESA AVE DURANGO, CO 81301	SECRETARY 0.50	0.	0.	905.
		0.	0.	4,244.

Additional information from your Form 990-PF: Return of Private Foundation

Form 990-PF: Return of Private Foundation

Other Income

Continuation Statement

Description	Revenue and Expense per Book	Net Investment Income	Adjusted Net Income
NET CAPITAL GAIN	70,159.		
PARTNERSHIP INCOME (LOSS)	-1,654.		
Total	68,505.		

Form 990-PF: Return of Private Foundation

Taxes

Continuation Statement

Description	Revenue and Expense per Book	Net Investment Income	Adjusted Net Income	Disbursement for charitable purpose
EXCISE	6,302.			
FOREIGN	712.	712.		
Total	7,014.	712.		

Form 990-PF: Return of Private Foundation

Other Expenses

Continuation Statement

Description	Revenue and Expense per Book	Net Investment Income	Adjusted Net Income	Disbursement for charitable purpose
OFFICE & MISCELLANEOUS	6,621.	6,621.		
INVESTMENT MANAGEMENT FEES	10,174.	10,174.		
CONTRACT SERVICES	8,000.	8,000.		
INSURANCE	538.	538.		
Total	25,333.	25,333.		

Form 990-PF
Part I, Lines 16a - 16c

Legal and Professional Fees

2017

Name	Employer Identification No
ROBERT HOAG RAWLINGS FOUNDATION	84-1090907

Line 16a - Legal Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SUSEMIHL MCDE	CONSULTATION	2,339.	2,339.		
Total to Form 990-PF, Part I, Line 16a		2,339.	2,339.		

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SCHMIDT VALEN	BOOKKEEPING &	1,850.	1,850.		
Total to Form 990-PF, Part I, Line 16b		1,850.	1,850.		

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Total to Form 990-PF, Part I, Line 16c					

Name	Employer Identification No
ROBERT HOAG RAWLINGS FOUNDATION	84-1090907

Line 10a - Investments - US and State Government Obligations:	End of Year State and Local Obligations Book Value	End of Year State and Local Obligations FMV	End of Year US Government Obligations Book Value	End of Year US Government Obligations FMV
500000 U S TREASURY BI			498,392.	499,795.
400000 U S TREASURY BI			398,534.	399,836.
11000 U S TREASURY NOT			10,891.	10,888.
See L-10a Stmt			60,119.	60,067.
Tot to Fm 990-PF, Pt II, Ln 10a			967,936.	970,586.

Line 10b - Investments - Corporate Stock:	End of Year Book Value	End of Year Fair Market Value
3M COMPANY 140 SHS	28,306.	29,725.
ABBVIE INC 206 SHS	14,486.	18,999.
ALPHABET INC CL A 135 SHS	113,398.	165,675.
See L-10b Stmt	5,655,790.	7,064,238.
Totals to Form 990-PF, Part II, Line 10b	5,811,980.	7,278,637.

Line 10c - Investments - Corporate Bonds:	End of Year Book Value	End of Year Fair Market Value
ALLSTATE CORP LEOPARDS 6.625% 2000 SHS	50,000.	51,520.
AT&T INC 5.625% SR GL NOTES 5000 SHS	125,000.	125,000.
BANK OF AMERICA CORP 6.375% DEP 1000 SHS	25,000.	25,350.
See L- 10c Stmt	400,927.	407,624.
Totals to Form 990-PF, Part II, Line 10c	600,927.	609,494.

Line 12 - Investments - Mortgage loans:	End of Year Book Value	End of Year Fair Market Value
Totals to Form 990-PF, Part II, Line 12		

Line 13 - Investments - Other:	End of Year Book Value	End of Year Fair Market Value
ENTERPRISE PRODUCT PARTNERS LP 647 UNITS	7,463.	18,763.
MAGELLAN MIDSTREAM PARTNERS LP 252 UNITS	13,421.	18,084.
Totals to Form 990-PF, Part II, Line 13	20,884.	36,847.

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Go to *www.irs.gov/Form990* for the latest information.**

OMB No 1545-0047

2017

Name of the organization	**Employer identification number**
ROBERT HOAG RAWLINGS FOUNDATION	84-1090907

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . ▶ $ ______________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
ROBERT HOAG RAWLINGS FOUNDATION	84-1090907

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROBERT H RAWLINGS ESTATE 624 DITTMER AVENUE PUEBLO CO 810051212	$ 4,144,646.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
ROBERT HOAG RAWLINGS FOUNDATION	84-1090907

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	

Name of organization	Employer identification number
ROBERT HOAG RAWLINGS FOUNDATION	84-1090907

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e) and** the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Additional information from your 2017 Federal Exempt Tax Return

Form 990-PF: Return of Private Foundation

Line 1(a) **Itemization Statement**

Description	Amount
ROBERT H RAWLINGS ESTATE	4,144,646.
Total	**4,144,646.**

Form 990-PF Part II Line 10, 12 and 13 Investments

L-10a Stmt **Continuation Statement**

Line 10a Description	Line 10a Bk St & Loc	Line 10a FMV St & Loc	Line 10a Book US	Line 10a FMV US
10000 U S TREASURY BONDS			10,447.	10,320.
47000 FHLMC 30 YR GOLD			34,153.	34,178.
14000 FNMA POOLS			12,523.	12,560.
3000 MEXICO GOVT INTL BOND			2,996.	3,009.
Total			60,119.	60,067.

Form 990-PF Part II Line 10, 12 and 13 Investments

L-10b Stmt **Continuation Statement**

Line 10b Description	Line 10b Book	Line 10b FMV
ALTRIA GROUP INC 315 SHS	13,097.	18,484.
AMAZON COM INC 41 SHS	37,040.	72,875.
AMERICAN ELECTRIC POWER CO 276 SHS	13,814.	19,635.
AMGEN INC 163 SHS	27,915.	32,038.
APPLE INC 749 SHS	130,963.	142,527.
AT&T INC 750 SHS	25,097.	23,978.
BANK OF AMERICA CORP 2000 SHS	56,125.	61,760.
BANK OF MONTREAL 227 SHS	13,219.	17,997.
BB & T CORP 96 SHS	5,065.	4,878.
BCE INC NEW 1503 SHS	66,503.	63,772.
BERKSHIRE HATHAWAY CL B 5000 SHS	846,175.	989,350.
BLACKROCK INC 10 SHS	5,232.	5,028.
BRISTOL MYERS SQUIBB CO 2000 SHS	54,211.	117,500.
CATERPILLER INC 125 SHS	12,918.	17,975.
CHEMOURS COMPANY (THE) 160 SHS	2,678.	7,330.
CHEVRON CORP 3473.392 SHS	242,435.	438,585.
CISCO SYSTEMS INC 571 SHS	19,508.	24,148.
CITIGROUP INC 200 SHS	100,134.	14,378.
COCA COLA CO 2205 SHS	69,804.	102,819.
COLGATE-PALMOLIVE CO 2000 SHS	55,341.	134,020.
COMCAST CORP (NEW) CL A 3495 SHS	130,115.	125,051.
CROWN CASTLE INTERNATIONAL 201 SHS	21,935.	22,277.

Form 990-PF Part II Line 10, 12 and 13 Investments

L-10b Stmt **Continuation Statement**

Line 10b Description	Line 10b Book	Line 10b FMV
DIGITAL REALTY TRUST INC 170 SHS	12,147.	20,641.
DOWDUPONT INC 2025 SHS	91,211.	139,259.
DUKE ENERGY CORPORATION 242 SHS	17,771.	19,752.
EATON CORP PLC 227 SHS	11,872.	18,880.
EMERSON ELECTRIC CO 355 SHS	24,126.	25,659.
ESTEE LAUDER CO INC 186 SHS	16,046.	25,099.
EXXON MOBIL CORP 3643.458 SHS	204,390.	296,978.
FACEBOOK INC CL A	21,319.	25,369.
GENERAL ELECTRIC CO 2000 SHS	32,875.	27,260.
GLAXOSMITHKLINE PLC 505 SHS	21,969.	21,003.
HOME DEPOT INC 35 SHS	6,479.	6,913.
HONEYWELL INT'L INC 41 SHS	6,074.	6,546.
HSBC HOLDINGS PLC 344 SHS	15,305.	16,656.
IAC INTERACTIVE CORP 150 SHS	10,896.	22,088.
INTEL CORP 2000 SHS	73,914.	96,200.
INTUIT INC 121 SHS	14,504.	24,713.
JOHNSON & JOHNSON 3436.396 SHS	432,059.	455,391.
JPMORGAN CHASE & CO 52 SHS	5,504.	5,977.
LOCKHEED MARTIN CORP 68 SHS	8,975.	22,175.
MCDONALDS CORP 167 SHS	27,081.	26,310.
MEDTRONIC PLC 2330 SHS	177,774.	210,236.
MERCK & CO INC 308 SHS	13,686.	20,288.
MICROSOFT CORP 6451 SHS	407,644.	684,322.
NEXTERA ENERGY INC 178 SHS	29,047.	29,822.
NIKE INC B 308 SHS	17,211.	23,688.
NUCOR CORP 396 SHS	24,170.	26,504.
PACCAR INC 1500 SHS	79,468.	98,580.
PHILIP MORRIS INTERNATIONAL 318 SHS	27,662.	27,444.
PROCTOR & GAMBLE CO 1000 SHS	54,678.	80,880.
QUALCOMM INC 504 SHS	26,375.	32,301.
ROLLINS INC 718 SHS	25,916.	39,447.
ROYAL DUTCH SHELL PLC 879 SHS	68,944.	62,444.
ROYAL GOLD INC 2000 SHS	134,890.	169,220.
SIMON PROPERTY GROUP INC 125 SHS	21,982.	22,026.
STARBUCKS CORP WA 333 SHS	18,721.	17,446.
TEMPLETON GROWTH FUND 15880.998 SHS	339,423.	436,886.
TEXAS INSTRUMENTS INC 303 SHS	31,696.	33,730.
TORONTO-DOMINION BANK 429 SHS	24,168.	25,388.
UNITED PARCEL SVC INC 236 SHS	25,855.	28,294.
UNITEDHEALTH GROUP INC 115 SHS	26,585.	29,120.

Form 990-PF Part II Line 10, 12 and 13 Investments

L-10b Stmt **Continuation Statement**

Line 10b Description	Line 10b Book	Line 10b FMV
USBANCORP 511 SHS	25,938.	27,088.
VERIZON COMMUNICATIONS 329 SHS	15,335.	16,990.
WALGREENS BOOTS ALLIANCE INC 3179 SHS	263,778.	214,964.
WALMART STORES INC 2402.503 SHS	167,842.	214,375.
WELLS FARGO & CO 7647.595 SHS	317,256.	438,131.
WELLTOWER INC 314 SHS	18,637.	19,656.
HELD AT MORGAN STANLEY-130 STOCKS INDIVIDUALLY UNDER $5,000	195,428.	204,259.
WESTERN ASSET SMASH SER C - MUTUAL FUND 2952 SHS	28,140.	28,132.
WESTERN ASSET SMASH SER M - MUTUAL FUND 4066 SHS	43,700.	43,303.
Total	5,655,790.	7,064,238.

Form 990-PF Part II Line 10, 12 and 13 Investments

L- 10c Stmt **Continuation Statement**

Ln 10c Description	Line 10c Book	Line 10c FMV
BANK OF AMERICA CORP 6.0% DEP 4000 SHS	100,000.	103,280.
BANK OF AMERICA CORP 4.125% 3000 SHS	3,070.	3,058.
BB&T CORPORATION 5.2% DEP 2000 SHS	50,000.	50,340.
BP CAPITAL MARKETS PLC 3.588% 4000 SHS	3,974.	3,981.
CAPITAL ONE FINANCIAL CORP DEP 1800 SHS	45,000.	45,900.
CITIGROUP INC 3.688% 3000 SHS	2,871.	2,865.
ENTERPRISE PRODUCTS OPERATING LLC 5.7% 2000 SHS	2,298.	2,294.
GENERAL ELECTRIC CO 5.875% 3000 SHS	3,419.	3,467.
GOLDMAN SACHS GROUP INC 4.00% 3000 SHS	3,025.	3,068.
JPMORAN CHASE & CO 4.4% 3000 SHS	3,079.	3,074.
MCDONALD'S CORP 2.75% 2000 SHS	1,998.	1,993.
MICROSOFT CORP 4.25% 3000 SHS	3,195.	3,247.
STANLEY BLACK & DECKER INC 5.75% S/D 1500 SHS	38,135.	38,055.
TELEPHONE & DATA SYSTEMS INC 6.875% S/N 1500 SHS	37,772.	37,875.
VERIZON COMMUNICATIONS INC 5.15% 3000 SHS	3,222.	3,279.
WELLS FARGO & CO 5.25% DEP 2000 SHS	47,866.	49,720.
WELLS FARGO & CO 5.50% DEP 2000 SHS	50,000.	50,100.
WELLS FARGO & CO 3.50% 2000 SHS	2,003.	2,028.
Total	400,927.	407,624.