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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2017

Open to Public Inspection

1807

For calendar year 2017 or tax year beginning 8/1/2017 **, and ending** 7/31/2018

Name of foundation: ANTHONY F BAUER TRUST FUND

A Employer identification number: 06-6348774

Number and street (or P O box number if mail is not delivered to street address): Wells Fargo Bank N A Trust Tax Dept - 6325 S RAINBOW BLVD STE 300

Room/suite:

B Telephone number (see instructions): 888-730-4933

City or town, state or province, country, and ZIP or foreign postal code: LAS VEGAS NV 89118

Foreign country name | Foreign province/state/county | Foreign postal code

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☒ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 2,575,331

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	44,779	44,779		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	105,123			
	b	Gross sales price for all assets on line 6a 617,009				
	7	Capital gain net income (from Part IV, line 2)		105,123		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	149,902	149,902	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	28,397	25,557		2,840
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	1,148			1,148
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	4,286	747		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	33,831	26,304	0	3,988
	25	Contributions, gifts, grants paid	109,787			109,787
	26	**Total expenses and disbursements.** Add lines 24 and 25	143,618	26,304	0	113,775
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	6,284			
	b	**Net investment income** (if negative, enter -0-)		123,598		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Form **990-PF** (2017)
HTA

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	54,813	96,882	96,882
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	2,227,630	2,193,298	2,478,449
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,282,443	2,290,180	2,575,331
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26, and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	2,282,443	2,290,180	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	2,282,443	2,290,180	
	31 **Total liabilities and net assets/fund balances** (see instructions)	2,282,443	2,290,180	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,282,443
2	Enter amount from Part I, line 27a	2	6,284
3	Other increases not included in line 2 (itemize) ▶ Recovery of Grants Paid	3	1,786
4	Add lines 1, 2, and 3	4	2,290,513
5	Decreases not included in line 2 (itemize) ▶ Mutual Fund Timing Difference	5	333
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,290,180

Part IV Capital Gains and Losses for Tax on Investment Income

	a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Attached Statement			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	105,123
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	128,087	2,421,522	0 052895
2015	126,765	2,379 041	0 053284
2014	118,946	2,625,535	0 045304
2013	67,046	2,539,283	0 026404
2012	81,956	2,364,235	0 034665

2	**Total** of line 1, column (d)	2	0 212552
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 042510
4	Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	2,528,473
5	Multiply line 4 by line 3	5	107,485
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,236
7	Add lines 5 and 6	7	108,721
8	Enter qualifying distributions from Part XII, line 4	8	113,775

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	1,236
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)			2	0
3	Add lines 1 and 2			3	1,236
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	1,236
6	Credits/Payments				
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	2,499		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	2,499
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	1,263
11	Enter the amount of line 10 to be **Credited to 2018 estimated tax** ▶ 1,236 **Refunded** ▶			11	27

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ _____ **(2)** On foundation managers ▶$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered See instructions ▶ CT			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ WELLS FARGO BANK N A Telephone no ▶ 888-730-4933

Located at ▶ 100 N MAIN ST MAC D4001-117, WINSTON SALEM NC ZIP+4 ▶ 27101

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year, did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☒ Yes ☐ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐	1b	X	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c	X	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year, did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	**5b**	N/A	
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**	N/A	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank, N A 6325 S RAINBOW BLVD STE 300 LAS VEGAS, NV 89	TRUSTEE 1 00	28,397		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	2,468,082
b	Average of monthly cash balances		1b	98,896
c	Fair market value of all other assets (see instructions)		1c	
d	**Total** (add lines 1a, b, and c)		1d	2,566,978
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e		
2	Acquisition indebtedness applicable to line 1 assets		2	
3	Subtract line 2 from line 1d		3	2,566,978
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see instructions)		4	38,505
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	2,528,473
6	**Minimum investment return.** Enter 5% of line 5		6	126,424

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	126,424
2a	Tax on investment income for 2017 from Part VI, line 5	2a	1,236		
b	Income tax for 2017 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,236
3	Distributable amount before adjustments Subtract line 2c from line 1			3	125,188
4	Recoveries of amounts treated as qualifying distributions			4	1,786
5	Add lines 3 and 4			5	126,974
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	126,974

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	113,775
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	113,775
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	1,236
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	112,539

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1	Distributable amount for 2017 from Part XI, line 7				126,974
2	Undistributed income, if any, as of the end of 2017				
a	Enter amount for 2016 only			96,842	
b	Total for prior years 20____, 20____, 20____				
3	Excess distributions carryover, if any, to 2017				
a	From 2012				
b	From 2013				
c	From 2014				
d	From 2015				
e	From 2016				
f	**Total** of lines 3a through e	0			
4	Qualifying distributions for 2017 from Part XII, line 4 ▶ $ 113,775				
a	Applied to 2016, but not more than line 2a			96,842	
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2017 distributable amount				16,933
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b	Prior years' undistributed income Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount—see instructions				
e	Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f	Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				110,041
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8	Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)				
9	**Excess distributions carryover to 2018.** Subtract lines 7 and 8 from line 6a				
10	Analysis of line 9				
a	Excess from 2013				
b	Excess from 2014				
c	Excess from 2015				
d	Excess from 2016				
e	Excess from 2017				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter (1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc , to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Attached Statement				
Total			▶ 3a	109,787
b *Approved for future payment* NONE				
Total			▶ 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	44,779	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	105,123	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		149,902	0
13 **Total.** Add line 12, columns (b), (d), and (e)				13	149,902

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	Not Applicable

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Brandi A Dobson VP — Signature of officer or trustee; Date 12/4/2018; ▶ VP Wells Fargo Bank N A — Title

May the IRS discuss this return with the preparer shown below? See instructions ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
JOSEPH J CASTRIANO		12/4/2018		P01251603

Firm's name ▶ PricewaterhouseCoopers, LLP — Firm's EIN ▶ 13-4008324

Firm's address ▶ 600 GRANT STREET, PITTSBURGH, PA 15219-2777 — Phone no 412-355-6000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name	Street	City	State	Zip Code	Foreign Country	Relationship	Foundation Status	Purpose of grant/contribution	Amount
EASTER SEALS CONNECTICUT	120 HOLCOMB STREET	HARTFORD	CT	06112		NONE	PC	GENERAL SUPPORT GRANT	12,712
CONNECTICUT INST FOR THE BLIND	120 HOLCOMB STREET	HARTFORD	CT	06129		NONE	PC	GENERAL SUPPORT GRANT	6,350
E C SCRANTON MEM LIBRARY	801 BOSTON POST ROAD	MADISON	CT	06443		NONE	PC	GENERAL SUPPORT GRANT	12,712
CONNECTICUT HOSPICE INC	100 DOUBLE BEACH ROAD	BRANFORD	CT	06405		NONE	PC	GENERAL SUPPORT GRANT	8,137
CONNECTICUT CHILDREN'S MED CTR	282 WASHINGTON STREET	HARTFORD	CT	06106		NONE	PC	GENERAL SUPPORT GRANT	31,763
SAINT FRANCIS MISSN, LITLE SIOUX	POST OFFICE BOX 499	SAINT FRANCIS	SD	57572-0149		NONE	PC	GENERAL SUPPORT GRANT	6,350

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name				
NATIONAL CAMPS FOR BLIND CHILDREN				
Street				
POST OFFICE BOX 6097				
City	**State**	**Zip Code**	**Foreign Country**	
LINCOLN	NE	68506		
Relationship	**Foundation Status**			
NONE	PC			
Purpose of grant/contribution				**Amount**
GENERAL SUPPORT GRANT				31,763

Name				
Street				
City	**State**	**Zip Code**	**Foreign Country**	
Relationship	**Foundation Status**			
Purpose of grant/contribution				**Amount**

Name				
Street				
City	**State**	**Zip Code**	**Foreign Country**	
Relationship	**Foundation Status**			
Purpose of grant/contribution				**Amount**

Name				
Street				
City	**State**	**Zip Code**	**Foreign Country**	
Relationship	**Foundation Status**			
Purpose of grant/contribution				**Amount**

Name				
Street				
City	**State**	**Zip Code**	**Foreign Country**	
Relationship	**Foundation Status**			
Purpose of grant/contribution				**Amount**

Name				
Street				
City	**State**	**Zip Code**	**Foreign Country**	
Relationship	**Foundation Status**			
Purpose of grant/contribution				**Amount**

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount	Totals	Gross Sales	Cost or Other Basis, Expenses, Depreciation and Adjustments	Net Gain or Loss
Long Term CG Distributions	62,119	Capital Gains/Losses	617,009	511,886	105,123
Short Term CG Distributions	0	Other sales	0	0	0

	Description	CUSIP #	Check 'X' to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1	MERGER FUND-INST #301	589509207	X				9/22/2016	10/23/2017	20,101	19,376				0	725
2	MERGER FUND-INST #301	589509207	X				8/11/2016	10/23/2017	5,752	5,530				0	222
3	MERGER FUND-INST #301	589509207	X				6/23/2016	10/23/2017	5,380	5,182				0	198
4	MERGER FUND-INST #301	589509207	X				5/5/2016	10/23/2017	5,458	5,200				0	258
5	MFS VALUE FUND-CLASS I #	552983694	X				8/11/2016	1/25/2018	9,741	8,177				0	1,564
6	MFS VALUE FUND-CLASS I #	552983694	X				8/11/2016	10/23/2017	11,450	10,094				0	1,356
7	HARBOR CAPITAL APRCTION	411511504	X				9/22/2016	1/25/2018	2,358	1,911				0	447
8	HARBOR CAPITAL APRCTION	411511504	X				9/22/2016	10/23/2017	7,647	6,412				0	1,235
9	HARBOR CAPITAL APRCTION	411511504	X				8/11/2016	8/24/2017	29,079	25,213				0	3,866
10	JP MORGAN MID CAP VALUE	339128100	X				12/17/2010	1/25/2018	3,882	2,186				0	1,696
11	JP MORGAN MID CAP VALUE	339128100	X				12/17/2010	10/23/2017	2,081	1,223				0	858
12	EATON VANCE GLOBAL MAC	277923728	X				4/24/2017	10/23/2017	1,415	1,412				0	3
13	EATON VANCE GLOBAL MAC	277923728	X				11/4/2016	10/23/2017	13,965	13,828				0	137
14	EATON VANCE GLOBAL MAC	277923728	X				9/22/2016	10/23/2017	40,117	39,942				0	175
15	EATON VANCE GLOBAL MAC	277923728	X				8/11/2016	10/23/2017	6,365	6,295				0	70
16	EATON VANCE GLOBAL MAC	277923728	X				6/23/2016	10/23/2017	5,999	5,907				0	92
17	EATON VANCE GLOBAL MAC	277923728	X				5/5/2016	10/23/2017	6,018	5,900				0	118
18	DODGE & COX INCOME FD C	256210105	X				11/1/2007	1/25/2018	16,259	14,957				0	1,302
19	DODGE & COX INCOME FD C	256210105	X				10/23/2017	1/25/2018	4,037	4,066				0	-29
20	DODGE & COX INCOME FD C	256210105	X				1/18/2017	1/25/2018	7,026	6,970				0	56
21	DODGE & COX INCOME FD C	256210105	X				3/6/2007	1/25/2018	5,342	4,960				0	382
22	DODGE & COX INT'L STOCK	256206103	X				9/11/2012	1/25/2018	4,073	2,650				0	1,423
23	DODGE & COX INT L STOCK	256206103	X				9/11/2012	10/23/2017	8,767	6,119				0	2,648
24	ARTISAN MID CAP FUND-INS	04314H600	X				8/11/2016	10/23/2017	3,179	3,004				0	175
25	ARTISAN MID CAP FUND-INS	04314H600	X				8/11/2016	8/24/2017	4,871	4,770				0	101
26	AQR MANAGED FUTURES ST	00203H859	X				8/11/2016	1/25/2018	4,042	4,339				0	-297
27	VANGUARD REAL ESTATE E	922908553	X				1/25/2018	7/11/2018	3,955	3,838				0	117
28	VANGUARD REAL ESTATE E	922908553	X				12/17/2010	7/11/2018	36,251	21,563				0	14,688
29	VANGUARD INFLAT-PROT SE	922031737	X				1/18/2017	1/25/2018	3,442	3,465				0	-23
30	VANGUARD INFLAT-PROT SE	922031737	X				6/6/2007	1/25/2018	8,199	7,508				0	691
31	VANGUARD INFLAT-PROT SE	922031737	X				6/8/2009	1/25/2018	4,714	4,335				0	379
32	STERLING CAPITAL STRATT	85917K546	X				6/23/2016	10/23/2017	2,374	1,944				0	430
33	SPDR DJ WILSHIRE INTERNA	78463X863	X				5/3/2016	7/11/2018	196	211				0	-15
34	SPDR DJ WILSHIRE INTERNA	78463X863	X				6/21/2016	7/11/2018	10,401	11,274				0	-873
35	SPDR DJ WILSHIRE INTERNA	78463X863	X				6/21/2016	1/25/2018	4,469	4,510				0	-41
36	SPDR DJ WILSHIRE INTERNA	78463X863	X				8/9/2016	1/25/2018	337	342				0	-5
37	T ROWE PR REAL ESTATE-I	779919307	X				1/18/2017	7/11/2018	32,543	32,806				0	-263
38	T ROWE PR REAL ESTATE-I	779919307	X				10/23/2017	7/11/2018	6,382	6,422				0	-40
39	T ROWE PR REAL ESTATE-I	779919307	X				8/11/2016	7/11/2018	10,975	11,666				0	-691
40	T ROWE PR REAL ESTATE-I	779919307	X				5/5/2016	7/11/2018	11,396	11,500				0	-104
41	T ROWE PR REAL ESTATE-I	779919307	X				6/23/2016	7/11/2018	11,318	11,541				0	-223
42	T ROWE PR REAL ESTATE-I	779919307	X				9/22/2016	7/11/2018	9,805	10,350				0	-545
43	T ROWE PR OVERSEAS STO	77956H435	X				4/24/2017	1/25/2018	3,504	2,917				0	587
44	T ROWE PR OVERSEAS STO	77956H435	X				4/24/2017	10/23/2017	7,734	6,843				0	891
45	BOSTON P LNG/SHRT RES-IN	74925K581	X				5/24/2017	10/23/2017	19,257	18,591				0	666
46	BOSTON P LNG/SHRT RES-IN	74925K581	X				8/11/2016	10/23/2017	6,000	5,523				0	477
47	BOSTON P LNG/SHRT RES-IN	74925K581	X				6/23/2016	10/23/2017	6,817	6,201				0	616
48	BOSTON P LNG/SHRT RES-IN	74925K581	X				5/5/2016	10/23/2017	6,908	6,200				0	708
49	OPPENHEIMER DEVELOPING	683974604	X				1/18/2017	1/25/2018	10,734	7,592				0	3,142
50	OPPENHEIMER DEVELOPING	683974604	X				6/23/2016	10/23/2017	3,095	2,311				0	784
51	OPPENHEIMER DEVELOPING	683974604	X				6/23/2016	8/24/2017	14,111	10,820				0	3,291
52	OPPENHEIMER DEVELOPING	683974604	X				8/11/2016	8/24/2017	4,218	3,450				0	768
53	NEUBERGER BERMAN LONG	64128R608	X				10/23/2017	1/25/2018	4,945	4,672				0	273
54	ASG GLOBAL ALTERNATIVES	63872T885	X				8/11/2016	1/25/2018	3,463	2,922				0	541
55	MET WEST TOTAL RETURN E	592905509	X				11/4/2016	1/25/2018	7,990	8,269				0	-279
56	MET WEST TOTAL RETURN E	592905509	X				9/22/2016	1/25/2018	9,659	10,052				0	-393
57	MET WEST TOTAL RETURN E	592905509	X				8/11/2016	1/25/2018	41,165	42,838				0	-1,673
58	MERGER FUND-INST #301	589509207	X				5/24/2017	10/23/2017	7,254	7,164				0	90
59	MERGER FUND-INST #301	589509207	X				11/4/2016	10/23/2017	6,875	6,623				0	252

Part I, Line 16b (990-PF) - Accounting Fees

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		1,148	0	0	1,148
1	TAX PREP FEES	1,148			1,148

Part I, Line 18 (990-PF) - Taxes

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		4,286	747	0	0
1	FOREIGN TAXES PAID	747	747		
2	PY EXCISE TAXES PAID	1,040			
3	ESTIMATED EXCISE TAXES PAID	2,499			

Part II, Line 13 (990-PF) - Investments - Other

	Asset Description	Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
			0	2,193,298	2,478,449
1				0	
2	DODGE & COX INCOME FD COM 147		0	63,823	69,323
3	HARBOR CAPITAL APRCTION-INST 2012		0	221,653	295,288
4	ARTISAN MID CAP FUND-INSTL 1333		0	112,668	122,335
5	MET WEST TOTAL RETURN BOND CL I 51		0	168,409	161,824
6	FID ADV EMER MKTS INC- CL I 607		0	155,108	146,813
7	DODGE & COX INT'L STOCK FD 1048		0	43,893	82,763
8	AMER CENT SMALL CAP GRWTH INST 33		0	80,941	105,692
9	TCW EMRG MKTS INCM-I 4721		0	127,607	128,563
10	JP MORGAN MID CAP VALUE-I 758		0	66,157	116,274
11	STERLING CAPITAL STRATTON SMALL C		0	91,212	96,503
12	T ROWE PR OVERSEAS STOCK-I #521		0	76,301	86,688
13	T ROWE PR REAL ESTATE-I #432		0	63,630	63,377
14	ASG GLOBAL ALTERNATIVES-Y 1993		0	43,961	50,264
15	AQR MANAGED FUTURES STR-I		0	81,262	70,772
16	JPMORGAN HIGH YIELD FUND SS 3580		0	37,068	35,304
17	T ROWE PRICE INST FLOAT RATE 170		0	15,519	15,578
18	EATON VANCE GLOB MACRO ADV-I 208		0	80,211	75,099
19	BLACKROCK GL L/S CREDIT-K #1940		0	87,744	91,286
20	OPPENHEIMER DEVELOPING MKT-I 799		0	107,234	145,243
21	JOHN HANCOCK II-CURR STR-I 3643		0	53,702	49,685
22	MFS VALUE FUND-R5		0	235,844	274,938
23	SPDR DJ WILSHIRE INTERNATIONAL REA		0	61,446	63,703
24	NEUBERGER BERMAN LONG SH-INS #183		0	117,905	131,134

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	62,119
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gains Minus Excess FMV Over Adj Basis or Losses
						554,890	0	0	511,886	43,004	0	0	0	43 004
1	MERGER FUND-INST #301	589509207		9/22/2016	10/23/2017	20,101		0	19,376	725	0	0	0	725
2	MERGER FUND-INST #301	589509207		8/11/2016	10/23/2017	5,752		0	5,530	222	0	0	0	222
3	MERGER FUND-INST #301	589509207		6/23/2016	10/23/2017	5,380		0	5,182	198	0	0	0	198
4	MERGER FUND-INST #301	589509207		5/5/2016	10/23/2017	5 458		0	5,200	258	0	0	0	258
5	MFS VALUE FUND-CLASS I #8	552983694		8/11/2016	1/25/2018	9,741		0	8,177	1,564	0	0	0	1,564
6	MFS VALUE FUND-CLASS I #8	552983694		8/11/2016	10/23/2017	11,450		0	10,094	1,356	0	0	0	1,356
7	HARBOR CAPITAL APRCTION	411511504		9/22/2016	1/25/2018	2 358		0	1,911	447	0	0	0	447
8	HARBOR CAPITAL APRCTION	411511504		9/22/2016	10/23/2017	7,647		0	6,412	1,235	0	0	0	1,235
9	HARBOR CAPITAL APRCTION	411511504		8/11/2016	8/24/2017	29,079		0	25,213	3,866	0	0	0	3,866
10	JP MORGAN MID CAP VALUE	339128100		12/17/2010	1/25/2018	3,882		0	2,186	1,696	0	0	0	1,696
11	JP MORGAN MID CAP VALUE	339128100		12/17/2010	10/23/2017	2,081		0	1 223	858	0	0	0	858
12	EATON VANCE GLOBAL MAC	277923728		4/24/2017	10/23/2017	1 415		0	1,412	3	0	0	0	3
13	EATON VANCE GLOBAL MAC	277923728		11/4/2016	10/23/2017	13,965		0	13,828	137	0	0	0	137
14	EATON VANCE GLOBAL MAC	277923728		9/22/2016	10/23/2017	40,117		0	39,942	175	0	0	0	175
15	EATON VANCE GLOBAL MAC	277923728		8/11/2016	10/23/2017	6,365		0	6,295	70	0	0	0	70
16	EATON VANCE GLOBAL MAC	277923728		6/23/2016	10/23/2017	5 999		0	5,907	92	0	0	0	92
17	EATON VANCE GLOBAL MAC	277923728		5/5/2016	10/23/2017	6,018		0	5,900	118	0	0	0	118
18	DODGE & COX INCOME FD C	256210105		11/1/2007	1/25/2018	16,259		0	14,957	1,302	0	0	0	1,302
19	DODGE & COX INCOME FD C	256210105		10/23/2017	1/25/2018	4,037		0	4,066	-29	0	0	0	-29
20	DODGE & COX INCOME FD C	256210105		1/18/2017	1/25/2018	7,026		0	6,970	56	0	0	0	56
21	DODGE & COX INCOME FD C	256210105		3/6/2007	1/25/2018	5,342		0	4,960	382	0	0	0	382
22	DODGE & COX INT'L STOCK F	256206103		9/11/2012	1/25/2018	4,073		0	2,650	1,423	0	0	0	1,423
23	DODGE & COX INT'L STOCK F	256206103		9/11/2012	10/23/2017	8,767		0	6,119	2,648	0	0	0	2,648
24	ARTISAN MID CAP FUND-INS	04314H600		8/11/2016	10/23/2017	3,179		0	3,004	175	0	0	0	175
25	ARTISAN MID CAP FUND-INS	04314H600		8/11/2016	8/24/2017	4 871		0	4,770	101	0	0	0	101
26	AQR MANAGED FUTURES ST	00203H859		8/11/2016	1/25/2018	4,042		0	4,339	-297	0	0	0	-297
27	VANGUARD REAL ESTATE ET	922908553		1/25/2018	7/11/2018	3,955		0	3,838	117	0	0	0	117
28	VANGUARD REAL ESTATE ET	922908553		12/17/2010	7/11/2018	36,251		0	21,563	14,688	0	0	0	14,688
29	VANGUARD INFLAT-PROT SE	922031737		1/18/2017	1/25/2018	3,442		0	3,465	-23	0	0	0	-23
30	VANGUARD INFLAT-PROT SE	922031737		6/6/2007	1/25/2018	8 199		0	7,508	691	0	0	0	691
31	VANGUARD INFLAT-PROT SE	922031737		6/8/2009	1/25/2018	4,714		0	4,335	379	0	0	0	379
32	STERLING CAPITAL STRATTO	85917K546		6/23/2016	10/23/2017	2,374		0	1,944	430	0	0	0	430
33	SPDR DJ WILSHIRE INTERNA	78463X863		5/3/2016	7/11/2018	196		0	211	-15	0	0	0	-15
34	SPDR DJ WILSHIRE INTERNA	78463X863		6/21/2016	7/11/2018	10,401		0	11,274	-873	0	0	0	-873
35	SPDR DJ WILSHIRE INTERNA	78463X863		6/21/2016	1/25/2018	4 469		0	4,510	-41	0	0	0	-41
36	SPDR DJ WILSHIRE INTERNA	78463X863		8/9/2016	1/25/2018	337		0	342	-5	0	0	0	-5
37	T ROWE PR REAL ESTATE-I #	779919307		1/18/2017	7/11/2018	32,543		0	32,806	-263	0	0	0	-263
38	T ROWE PR REAL ESTATE-I #	779919307		10/23/2017	7/11/2018	6,382		0	6,422	-40	0	0	0	-40
39	T ROWE PR REAL ESTATE-I #	779919307		8/11/2016	7/11/2018	10,975		0	11,666	-691	0	0	0	-691
40	T ROWE PR REAL ESTATE-I #	779919307		5/5/2016	7/11/2018	11,396		0	11,500	-104	0	0	0	-104
41	T ROWE PR REAL ESTATE-I #	779919307		6/23/2016	7/11/2018	11,318		0	11,541	-223	0	0	0	-223
42	T ROWE PR REAL ESTATE-I #	779919307		9/22/2016	7/11/2018	9,805		0	10,350	-545	0	0	0	-545
43	T ROWE PR OVERSEAS STO	77956H435		4/24/2017	1/25/2018	3 504		0	2,917	587	0	0	0	587
44	T ROWE PR OVERSEAS STO	77956H435		4/24/2017	10/23/2017	7 734		0	6,843	891	0	0	0	891
45	BOSTON P LNG/SHRT RES-IN	74925K581		5/24/2017	10/23/2017	19,257		0	18,591	666	0	0	0	666
46	BOSTON P LNG/SHRT RES-IN	74925K581		8/11/2016	10/23/2017	6,000		0	5,523	477	0	0	0	477
47	BOSTON P LNG/SHRT RES-IN	74925K581		6/23/2016	10/23/2017	6,817		0	6,201	616	0	0	0	616
48	BOSTON P LNG/SHRT RES-IN	74925K581		5/5/2016	10/23/2017	6,908		0	6,200	708	0	0	0	708
49	OPPENHEIMER DEVELOPING	683974604		1/18/2017	1/25/2018	10,734		0	7,592	3,142	0	0	0	3,142
50	OPPENHEIMER DEVELOPING	683974604		6/23/2016	10/23/2017	3 095		0	2,311	784	0	0	0	784
51	OPPENHEIMER DEVELOPING	683974604		6/23/2016	8/24/2017	14,111		0	10,820	3,291	0	0	0	3,291
52	OPPENHEIMER DEVELOPING	683974604		8/11/2016	8/24/2017	4,218		0	3,450	768	0	0	0	768
53	NEUBERGER BERMAN LONG	64128R608		10/23/2017	1/25/2018	4 945		0	4,672	273	0	0	0	273
54	ASG GLOBAL ALTERNATIVES	63872T885		8/11/2016	1/25/2018	3,463		0	2,922	541	0	0	0	541
55	MET WEST TOTAL RETURN E	592905509		11/4/2016	1/25/2018	7 990		0	8,269	-279	0	0	0	-279
56	MET WEST TOTAL RETURN E	592905509		9/22/2016	1/25/2018	9,659		0	10,052	-393	0	0	0	-393
57	MET WEST TOTAL RETURN E	592905509		8/11/2016	1/25/2018	41,165		0	42,838	-1,673	0	0	0	-1,673
58	MERGER FUND-INST #301	589509207		5/24/2017	10/23/2017	7,254		0	7,164	90	0	0	0	90
59	MERGER FUND-INST #301	589509207		11/4/2016	10/23/2017	6,875		0	6,623	252	0	0	0	252

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
										28,397	0	0
1	Wells Fargo Bank N A Trust Tax D	X	6325 S RAINBOW BLVD STE 300	LAS VEGAS	NV	89118		TRUSTEE	1 00	28,397		

Part VI, Line 6a (990-PF) - Estimated Tax Payments

		Date		Amount
1	Credit from prior year return		1	0
2	First quarter estimated tax payment	12/12/2017	2	625
3	Second quarter estimated tax payment	1/10/2018	3	625
4	Third quarter estimated tax payment	4/11/2018	4	624
5	Fourth quarter estimated tax payment	7/11/2018	5	625
6	Other payments		6	0
7	Total		7	2,499

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2016 that remained undistributed at the beginning of the 2017 tax year	1	96,842
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	96,842