Form **990-PF**

EXTENDED TO MAY 15, 2019
Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information.

2949134202401:8

For calendar year 2017 or tax ye	ear beginning JUL	1, 2017	, and ending	JUN 30, 2018		
Name of foundation	A Employer identification	number				
SWORDSPOINT FO	59-3814672					
Number and street (or P O box number		address)	Room/suite	B Telephone number		
107 CHERRY ST	FL 2			(203)972-4	<u> 155</u>	
City or town, state or province, NEW CANAAN, CT		oostal code '	•	C if exemption application is pe	ending, check here	
G Check all that apply:	Initial return	Initial return of a f	ormer public charity	D 1 Foreign organizations	check here	
C check an that apply.	Final return	Amended return	ormer public charity	b i re-orgin organizacions	, oncok noro	
Ē	Address change	Name change		Foreign organizations me check here and attach coi	eting the 85% test,	
H Check type of organization:	X Section 501(c)(3) ex		211	E If private foundation sta	,	
Section 4947(a)(1) nonex	· · · · · · · · · · · · · · · · · · ·	Other taxable private found	ation $O^{\mathcal{A}}$	under section 507(b)(1)	(A), check here	
Fair market value of all assets		ing method: X Cash	Accrual	F If the foundation is in a		
(from Part II, col. (c), line 16)	o	ther (specify)		under section 507(b)(1)		
	70 , 173 . (Part I, colui	mn (d) must be on cash basi	s.)	, , , , ,	· · · · · · · · · · · · · · · · · · ·	
Analysis of Revenue (The total of amounts in c necessarily equal the amounts in c	and Expenses olumns (b), (c), and (d) may not ounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)	
1 Contributions, gifts, gr		16,675,999.	534021554 3 013413	ZEZN/AZZZZ	30. 承. 净. 海. 海. 海.	
	dation is not required to attach Sch. B			滋味 新教育	能减减减	
3 Interest on savings and ter cash investments	nporary				医心经经验性影響	
4 Dividends and interest	from securities	39,682.	39,682.	•	STATEMENT 1	
5a Gross rents					THE SECOND POSITION OF THE PARTY OF THE PART	
b Net rental income or (loss)	-	15.484 (M. 146.148) 444 (M. 146.148)	30000000000000000000000000000000000000	的一种的一种	30000000000000000000000000000000000000	
6a Net gain or (loss) from sale	of assets not on line 10	247,508.			12010 121 120 121 121 121 121 121 121 12	
b Gross sales price for all assets on line 6a 7 Capital gain net income (fro		ing the second of		RECE	VED:	
7 Capital gain net income (fro	om Part IV, line 2)		247,508.	THE WAS THE WAS THE		
o ivel Short-term capital	gain $igwedge$			DEC 1	2018	
9 Income modifications Gross sales less returns				Capacitania (State of Astronomy	[
10a and allowances			ACESTS SERVED DESCRIPTION OF THE	TERM AND TRAIN MALE WAS INCHES	TIS 13 TO SEC.	
Less Cost of goods sold				OGDE	15.016.72 12.54	
		13,304.	13,304.		STATEMENT 2	
O 11 Other income Total Add lines 1 thro	wah 11	16,976,493.	300,494.		STATEMENT Z	
R 13 Compensation of officers,		0.	0.		0.	
4.4 Other employee colors		-	<u> </u>		`	
14 Other employee salarie	-					
16a Legal fees	STMT 3	1,288.	644.		644.	
b Accounting fees	STMT 4	5,820.	2,910.		2,910.	
b Accounting fees c Other professional fee		16,947.	16,947.		0.	
9 17 Interest		25,712.	25,712.	,	0.	
17 Interest 18 Taxes 19 Depreciation and deple 20 Occupancy 21 Travel, conferences, as	STMT 6	1,192.	792.		400.	
19 Depreciation and deple	etion					
20 Occupancy						
	=					
22 Printing and publication		060 100	0.60 100			
	STMT 7	268,420.	268,420.		0.	
24 Total operating and a expenses Add lines 1		310 270	215 425		2 054	
expenses Add lines 1	-	319,379.	315,425.		3,954. 1,366,000.	
25 Contributions, gifts, gr 26 Total expenses and d		1,300,000.	enadesermeseres allaise	produce the profit of the printer	1,300,000.	
Add lines 24 and 25	ianai aciliciita	1,685,379.	315,425.	r	1,369,954.	
27 Subtract line 26 from	ine 12 ⁻					
a Excess of revenue over exp		15,291,114.	THE STATE OF THE S	PATRICIAN DE CATALANTE	Tarak 1 Tarak	
b Net investment incom			0.			
c Adjusted net income			THE MANAGEMENT OF STREET	N/A	Carlotte Carlotte Carlotte	



Balance Sheets Authorities the state Authorities to the agreement with Authorities Author			0-RF (2017) SWORDSPOINT FOUNDATION,	INC.		-3814672 Page 2
1 Caph - non-interest-bearing 2 Sovings and temporary cash investments 3 Accounts receivable	P	art	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only			
2 Servings and remonary cash mestments 3 Accounts remonable ▶ Less allowance for doubtful accounts ▶ 4 Padays reconsolate 5 Graft's receivable 6 Reconsolate but from officers, directors, trustees, and other decognified persons 7 Cone rate intestis steams 1 Early and the state of the state	=	1		(a) Book value	(b) Book value	(c) Fair Warket Value
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4 Pledges receivable Less allowance for doubtful accounts 5 Grants receivable 6 Receivables due from officers, directors, trustees, and other disqualified persons 7 Otherwise reviews errorses 1 Prepared represens and deferred charges 1 Investments - corporate boxobs 1 Investments - mortinge boxobs 1 To foot all assets (descoribe 1 To foot all assets (descoribe 2 Investments - mortinge boxobs 1 To foot assets (descoribe 2 Investments - mortinge boxobs 1 To foot assets (descoribe 2 Investments - mortinge boxobs 1 To foot assets (descoribe 2 Investments - mortinge boxobs 2 Investments - m		١		\$	William of Langton manager and an other fifth	4. 22. 4. 4 . 4 . 4 . 4 . 4 . 4 . 4 . 4
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9 19 Deferred revenue 21 Loans from officers, directors, trustees, and other disqualified persons 22 Other liabilities (describe ▶ 23 Total liabilities (add lines 17 through 22) 24 Unrestricted 25 Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31. 26 Unrestricted 27 Foundations that do not follow SFAS 117, check here 28 Pard-in or capital stock, trust principal, or current funds 29 Retained earnings, accumulated income, endowment, or other funds 29 Retained earnings, accumulated income, endowment, or other funds 30 Total net assets or fund balances 31 Total liabilities and net assets/fund balances 4 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 4 26, 477, 760 . 5 Decreases not included in line 2 (termize) ▶ 5 Decreases not included in line 2 (termize) ▶ 5 Decreases not included in line 2 (termize) ▶ 5 Decreases not included in line 2 (termize) ▶ 5 Decreases not included in line 2 (termize) ▶ 5 Decreases not included in line 2 (termize) ▶ 5 Decreases not included in line 2 (termize) ▶ 5 Decreases not included in line 2 (termize) ▶ 5 Decreases not included in line 2 (termize) ▶ 5 Decreases not included in line 2 (termize) ▶ 5 Decreases not included in line 2 (termize) ▶ 5 Decreases not included in line 2 (termize) ▶ 5 Decreases not included in line 2 (termize) ▶ 5 Decreases not included in line 2 (termize) ▶ 5 Decreases not included in line 2 (termize) ▶ 5 Decreases not included in line 2 (termize) ▶ 5 Decreases not included in line 2 (termize) ▶ 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 6 Total net assets or fund balances at end of year (lin			·			
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6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30						
				olumn (h) line 20		
	<u></u>	ı Uld		ordinar (n), anie 30		Form 990-PF (2017)

	RDSPOINT FOUNDATI						59	-381	4672	Page 3
<u> </u>	and Losses for Tax on Inv			(b) H	low acquire	d T ,	Note of	aurad	(d) Date	
							;) Date ac (mo., day		(mo , da	
							1/01		12/31	
b SUN VALLEY GOLI			.		<u>P</u>	$\overline{}$	1/01		12/31	
c PRIVATE ADVISOR				-	P P		1/01 1/01		12/31	
d SUN VALLEY GOLI)			-	<u>P</u>	+⁺	1/01	/ 1 /	12/31	./_1/
(e) Gross sales price	(f) Depreciation allowed (or allowable)		st or other basis expense of sale			(n or (loss f) minus		
a										356.
<u>b</u>										335.
C										021.
<u>d</u>				\dashv					-22,	204.
Complete only for assets showing	g gain in column (h) and owned by t	he foundation	on 12/31/69.			(I) G	Sains (Co	I. (h) gain	minus	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) E×	cess of col. (1) col. (j), if any			còl. (I	c), but no	t less that rom col. (n -0-) or	
a									191,	356.
b										335.
C										021.
<u>d</u>									-22,	204.
е		· · · · · · · · ·		\rightarrow						
2 Capital gain net income or (net cap	pital loss) $ \begin{cases} \text{If gain, also enter} \\ \text{If (loss), enter -0-} \end{cases} $	ın Part I, line ın Part I, line	7 7	}	2				247,	508.
3 Net short-term capital gain or (los If gain, also enter in Part I, line 8,		d (6):		٦						
If (loss), enter -0- in Part I, line 8	. ,				3			N/A		
Part V Qualification U	nder Section 4940(e) for	Reduced	Tax on Net I	nve	stment	ncon	ne			
(For optional use by domestic private	foundations subject to the section 4	940(a) tax on	net investment ind	come.))					
If section 4940(d)(2) applies, leave th	us part blank.									
****	•		4b. b	- 40						V Na
Was the foundation liable for the sect If "Yes," the foundation doesn't qualify			•	1007					Yes	X No
	ach column for each year; see the in			ntries.		•				·
(a) Base period years Calendar year (or tax year beginnir	(b) Adjusted qualifying dist	ributions	Net value of no	(c) nchari	table-use as	ssets	(0	Distrit	(d) oution ratio rided by col. ('c))
2016	~	7,935.		11,	227,0	79.		V·/·		5891
2015		4,209.		9,	366,6					8279
2014		2,217.			767,2					4102
2013		4,416.	-		677,8					5745
2012	80	4,502.		8,	660,2	00.	<u> </u>		. 09	2896
2 Total of line 1, column (d)							2		2.44	6913
3 Average distribution ratio for the 5	5-year base period - divide the total o	on line 2 by 5.0), or by the numbe	er of ye	ears					
the foundation has been in existen	ice if less than 5 years						3		.48	9383
4 Enter the net value of noncharitable	le-use assets for 2017 from Part X, I	ine 5					4	2	6,818,	844.
5 Multiply line 4 by line 3							5	1	3,124,	686.
6 Enter 1% of net investment incom	e (1% of Part I, line 27b)						6			0.
7 Add lines 5 and 6							7	1	3,124,	686.
8 Enter qualifying distributions from	ı Part XII, line 4						8		1,369,	954.

Form 9	990-PF (2017) SWORDSPOINT FOUNDATION, INC. t VI Excise Tax Based on Investment Income (Section 4940)		59-3814 48 - see ir			Page 4	1
<u> </u>	exempt operating foundations described in section 4940(d)(2), check here and en	· · · · · · · · · · · · · · · · · · ·					
	Date of ruling or determination letter: (attach copy of letter if nece			•		1	
b [Domestic foundations that meet the section 4940(e) requirements in Part V, check here	and enter 1%	1			0.	
c	of Part I, line 27b					,	i i
c A	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4%	of Part I, line 12, col. (b). ノ			•		ļ
2]	ax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; other	ers, enter -0-)	2			<u>0.</u>	ı
	Add lines 1 and 2		3			0.	
	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; oth	ers, enter -0-)	4			0.	
	fax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5			0.	
	Credits/Payments:	1 2 001				.	i
	2017 estimated tax payments and 2016 overpayment credited to 2017	$\begin{array}{ c c c c c c c c c c c c c c c c c c c$				' }	ľ
	xempt foreign organizations - tax withheld at source					":	ı
	ax paid with application for extension of time to file (Form 8868)	6c U.				ŀ	,
	Backup withholding erroneously withheld Total credits and payments. Add lines 6a through 6d	60 0 -	7		2,0	01.	
	inter any penalty for underpayment of estimated tax. Check here if Form 2220 is attac	had	8	•	2,0	0.	
	ax due If the total of lines 5 and 8 is more than line 7, enter amount owed	nieu 🛌	9				
	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10		2,0	01.	
	inter the amount of line 10 to be: Credited to 2018 estimated tax	2,001. Refunded	11	<u> </u>	<u>.,</u>	0.	
	t VII-A Statements Regarding Activities	270020 Relatinged	''. \				
1a [During the tax year, did the foundation attempt to influence any national, state, or local legisla	ation or did it participate or intervene	ın		Yes	No	
	iny political campaign?			1a		X	
	Old it spend more than \$100 during the year (either directly or indirectly) for political purpose	es? See the instructions for the defin	tion	1b		X	
	f the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of						r
	listributed by the foundation in connection with the activities.	, ,					i
c [Did the foundation file Form 1120-POL for this year?			1c		X	
d E	inter the amount (if any) of tax on political expenditures (section 4955) imposed during the y	/ear:					
(1) On the foundation. \blacktriangleright \$ 0 . (2) On foundation managers.	▶ \$0.		3			
e E	nter the reimbursement (if any) paid by the foundation during the year for political expenditu	ure tax imposed on foundation					
r	nanagers. ► \$0 .						
2 ∤	las the foundation engaged in any activities that have not previously been reported to the IRS	S?		2		X	ı
	f "Yes," attach a detailed description of the activities.						
	las the foundation made any changes, not previously reported to the IRS, in its governing in	strument, articles of incorporation, o	•				I
	ylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes			3		_ <u>X</u> _	
	Old the foundation have unrelated business gross income of \$1,000 or more during the year?	,	37 / 3	4a		Х	
	f "Yes," has it filed a tax return on Form 990-T for this year?	•	N/A	4b			
	Vas there a liquidation, termination, dissolution, or substantial contraction during the year?			5		X	
	f "Yes," attach the statement required by General Instruction T						
	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied eith	ier.					
	 By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory 	directions that conflict with the state	law				
	emain in the governing instrument?	directions that conflict with the state	IdW	6	Х		I
	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," comple	ata Part II col (c) and Part W/		7	X		
, ,	one the foundation have at least \$5,000 in assets at any time during the year. If Tes, complete	ste Fait II, coi. (c), and Fait Av		\vdash			ĺ
8a F	nter the states to which the foundation reports or with which it is registered. See instruction	s. NONE					
Ja	and dialog to million the regionalism reports of with which it is registered. See histiaction						
ь I	f the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Att	corney General (or designate)					
	of each state as required by General Instruction G? If "No," attach explanation	(a. aaaigiiato)		8b	Х		
	s the foundation claiming status as a private operating foundation within the meaning of sections.	tion 4942(j)(3) or 4942(i)(5) for cale	ndar				7
	rear 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," comp			9		X	
	Old any persons become substantial contributors during the tax year? If "Yes," attach a schedule			10		X	
			Fo	rm 99 0)-PF	(2017)	

. 3	Continued)	Lieuw water		
			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of	,		v
40	section 512(b)(13)? If "Yes," attach schedule. See instructions	11		<u> </u>
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?	40		х
12	If "Yes," attach statement. See instructions Put the foundation comply with the public increasing requirements for its applied returns and exemption complying the public increasing and exemption complying and exemptio	12	X	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13		
14	The books are in care of ► TAXPAYER Telephone no. ► (203)	972	-41	5.5
17	Located at ▶ 107 CHERRY STREET FL 2, NEW CANAAN, CT ZIP+4 ▶06	840		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here			$\overline{\Box}$
	and enter the amount of tax-exempt interest received or accrued during the year	N	/A	
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank,		Yes	No
	securities, or other financial account in a foreign country?	_16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the		樂海	學的學
	foreign country	Figure		表。海
Pa	art VII_B Statements Regarding Activities for Which Form 4720 May Be Required	L		
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	制制:	Yes	No
1a	During the year, did the foundation (either directly or indirectly):	1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1	1	影响
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?		i si	· 學 : 50
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)	E vigo		\$600,0000 1000 - 1000 2000 - 1000 2000 - 1000
	a disqualified person? Yes X No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No		2. 138% 2. 138%	
			greater. Referen	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available	4.44		
	for the benefit or use of a disqualified person)?	25 Pr.	i i i i i i i i i i i i i i i i i i i	
_	(6) Agree to pay money or property to a government official? (Exception Check "No"	200		
	If the foundation agreed to make a grant to or to employ the official for a period after	ee xx		
	termination of government service, if terminating within 90 days.) Yes X No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations	Y. Wart		2 (4) 2 (4)
	section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions N/A	1b		
	Organizations relying on a current notice regarding disaster assistance, check here		**************************************	ie "de
Ç	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected	00%Z.4		
	before the first day of the tax year beginning in 2017?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation			
	defined in section 4942(j)(3) or 4942(j)(5)):	1988		
а	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning		33 S	Grand,
	before 2017?		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
	If "Yes," list the years , , , , , , , , , , , , , , , , , , ,		高麗 (五麗)	
D	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect	7 6 7 9	TO THE SECOND	(congri)
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	4,5,5,4-1	K1 12.2.3
r	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	.33: S		, ,
·			- 3	and a second
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time	130.73	relies.	3 7485
	during the year?		7. T. T.	3
b	of "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after			
	May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose	A. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2.		
	of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,	1	1.	1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1
	Form 4720, to determine if the foundation had excess business holdings in 2017.)	3b	ı	Х
	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that		# 12 X	, 178°, 43
	had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b	, DE	(0047)

Form **990-PF** (2017)

0

Total number of other employees paid over \$50,000

(a) Name and address of each person paid more than \$50,000 (b) Type of service (c) (c)	Compensation
	Compensation
	Compensation
NONE	
Total number of others receiving over \$50,000 for professional services	0
Part IX-A Summary of Direct Charitable Activities	
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the	neae
number of organizations and other benoticianos convol, controlleros convened, research papers produced, ex	
1 N/A	
2	
Part IX-B Summary of Program-Related Investments	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. Amount of the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	unt
N/A	·· · ·
All other program-related investments. See instructions.	
Total Add lines 1 through 3	

Form **990-PF** (2017)

P	art X Minimum Investment Return (All domestic foundations must complete this part. Foreign four	dations,	see instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
а	Average monthly fair market value of securities	1a	0.
-	Average of monthly cash balances	1b	244,452.
	Fair market value of all other assets	1c	26,982,801.
d	Total (add lines 1a, b, and c)	1d	27,227,253.
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	27,227,253.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	408,409.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	26,818,844.
6	Minimum investment return Enter 5% of line 5	6	1,340,942.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations an	d certain	
_	foreign organizations, check here 🕨 🔲 and do not complete this part.)		
1	Minimum investment return from Part X, line 6	1	1,340,942.
2a	Tax on investment income for 2017 from Part VI, line 5		
þ	Income tax for 2017. (This does not include the tax from Part VI.)		
C	Add lines 2a and 2b	2c	0.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,340,942.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,340,942.
6	Deduction from distributable amount (see instructions)	6	0.
7_	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,340,942.
P	art XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
а	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	1,369,954.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
а	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	1,369,954.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	income. Enter 1% of Part I, line 27b	_5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,369,954.
	Note: The amount on line 6 will be used in Part V. column (b), in subsequent years when calculating whether the foundation of	ualifies fo	r the section

Form **990-PF** (2017)

4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1	Distributable amount for 2017 from Part XI, line 7				1,340,942.
2	Undistributed income, if any, as of the end of 2017				
á	Enter amount for 2016 only			0.	
ŧ	Total for prior years:		0.		
3	Excess distributions carryover, if any, to 2017:		0.		
á	a From 2012 371,492.				
ı	From 2013 570,525.				
(From 2014 1,483,857.				
(From 2015 545,876.				
•	From 2016 1,078,382.				
1	Total of lines 3a through e	4,050,132.			
4	Qualifying distributions for 2017 from				
	Part XII, line 4: ► \$ 1,369,954.				
á	Applied to 2016, but not more than line 2a			0.	
	Applied to undistributed income of prior				
	years (Election required - see instructions)		0.		
(Treated as distributions out of corpus				
	(Election required - see instructions)	0.			
(Applied to 2017 distributable amount				1,340,942.
	Remaining amount distributed out of corpus	29,012.		· · · · · · · · · · · · · · · · · · ·	
_	Excess distributions carryover applied to 2017	0.			0.
	(If an amount appears in column (d), the same amount must be shown in column (a))	İ			
6	Enter the net total of each column as indicated below:				
á	Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,079,144.			
t	Prior years' undistributed income. Subtract				
	line 4b from line 2b		0.		
(: Enter the amount of prior years'				
	undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
	Subtract line 6c from line 6b. Taxable				
١	amount - see instructions		0.		
	Undistributed income for 2016. Subtract line				
٠	4a from line 2a. Taxable amount - see instr.			0.	
•	Undistributed income for 2017. Subtract				
•	lines 4d and 5 from line 1. This amount must				
	be distributed in 2018	İ			0.
7	Amounts treated as distributions out of	-			
	corpus to satisfy requirements imposed by				
	section 170(b)(1)(F) or 4942(g)(3) (Election				
	may be required - see instructions)	0.			
8	Excess distributions carryover from 2012				
•	not applied on line 5 or line 7	371,492.			
9	Excess distributions carryover to 2018.		······································		
	Subtract lines 7 and 8 from line 6a	3,707,652.			
10	Analysis of line 9:		····		
	Excess from 2013 570,525.		•		
	Excess from 2014 1,483,857.				
	Excess from 2015 545,876.				
	Excess from 2016 1,078,382.				
	Excess from 2017 29,012.	<u></u>			

Form 990-PF (2017) SWORDSP	OINT FOUNDA'	rion, inc.			314.672 Page 10
Part-XIV Private Operating F			-A, question 9)	N/A	
1 a If the foundation has received a ruling of					
foundation, and the ruling is effective fo			▶ ∟	7 10 10 10 10	10.40(.)(5)
b Check box to indicate whether the found		ig foundation described i		4942(j)(3) or	1942(1)(5)
2 a Enter the lesser of the adjusted net	Tax year	(h) 2016	Prior 3 years (c) 2015	(d) 2014	(e) Total
income from Part I or the minimum	(a) 2017	(b) 2016	(c) 2015	(0) 2014	(e) Total
investment return from Part X for					
each year listed			<u> </u>	/	
b 85% of line 2a			ļ		
 Qualifying distributions from Part XII, 			~ /	'	
line 4 for each year listed			* · · · · · · · · · · · · · · · · · · ·		
d Amounts included in line 2c not	İ				
used directly for active conduct of					
exempt activities					
e Qualifying distributions made directly					
for active conduct of exempt activities.					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:			y		
(1) Value of all assets	-		1		
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter					
2/3 of minimum investment return					
shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross					
investment income (interest,					
dividends, rents, payments on					
securities loans (section				1	
512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt					
organizations as provided in					
section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from					
an exempt organization					
(4) Gross investment income Part:XVa Supplementary Info	ermation (Comple	te this part only	if the foundation	had \$5,000 or mo	re in assets
at any time during t	he year-see instr	uctions.)	The Touridation		
1 Information Regarding Foundation	on Managers:				
a List any managers of the foundation wh	no have contributed more	than 2% of the total cont	ributions received by the	e foundation before the clo	ose of any tax
year (but only if they have contributed i	more than \$5,000). (See s	ection 507(d)(2).)			
ROBERT J. BISHOP					
b List any managers of the foundation wh	o own 10% or more of th	e stock of a corporation	(or an equally large porti	on of the ownership of a	partnership or
other entity) of which the foundation ha	is a 10% or greater interes	st.			
NONE					
2 Information Regarding Contribut	ion, Grant, Gift, Loan,	Scholarship, etc., Pi	ograms:		
Check here ► X if the foundation	only makes contributions	to preselected charitable	organizations and does	not accept unsolicited req	uests for funds. If
the foundation makes gifts, grants, etc.	, to individuals or organiza	ations under other condi	tions, complete items 2a	, b, c, and d.	
a The name, address, and telephone num	ber or email address of th	ne person to whom appli	cations should be addres	ssed:	
b The form in which applications should	be submitted and informa	tion and materials they s	hould include:		
Annual de de de de de de de de de de de de de					
c Any submission deadlines:					
d Any restrictions or limitations on award	ds, such as by geographic	al areas, charitable fields	, kınds of ınstitutions, or	other factors:	

▶ 3b

Total

Excluded by section 512, 513, or 514

Analysis of Income-Producing Activities Part XVI-A

Enter aross	s amounts unless otherwise indicated.	Unrelate	ed business income		by section 512, 513, or 514	(e)
		(a) Business	(b)	(C) Exclu-	(d)	Related or exempt
1 Program	m service revenue:	code	Amount	sion code	Amount	function income
-			-			
			-			
				+		
				 -		
е				+		
f		-		+		
g Fees	s and contracts from government agencies			+		
2 Membe	rship dues and assessments					
3 Interest	on savings and temporary cash					
investm	nents					
4 Dividen	ds and interest from securities			14	39,682.	
5 Net ren	tal income or (loss) from real estate:					
	t-financed property					
	debt-financed property					
	tal income or (loss) from personal			1 1		
				1 1		
propert	~			14	1,624.	11,680.
	nvestment income		-	14	1,024.	11,000.
8 Gain or	(loss) from sales of assets other				0.45 500	
than inv	ventory			18	247,508.	
9 Net inco	ome or (loss) from special events	<u> </u>				
IO Gross p	profit or (loss) from sales of inventory					
I1 Other re	evenue:]			
a						
_						<u> </u>
. —						
6	al. Add columns (b), (d), and (e)		0	7	288 814	11.680.
				<u>• </u>	12	11,680. 300,494.
	Add line 12, columns (b), (d), and (e)				'°—	300/1310
	sheet in line 13 instructions to verify calculations.)				 	
Part X	VI-B Relationship of Activities to	the Acco	emplishment of E	xempt P	Purposes	
Line No.	Explain below how each activity for which incor	me is renorted	in column (e) of Part XVI	-A contribut	ted importantly to the accomp	lishment of
Eme No. ▼	the foundation's exempt purposes (other than it			71 0011111001	to the decomp	normone or
	N/A		, , , , , , , , , , , , , , , , , , , ,			
-	N/A		 			
					•	
			· · · · · · · · · · · · · · · · · · ·			
	-					
					 	
	·					Form 990-PF (2017

Unrelated business income

Form 99	0-PF.(20	017) SWORD	SPOINT FO	OUNDATIO	N, INC.		59-381 <u>46</u>	72	Pa	ge 13
Part	XVII	Information Re Exempt Organ		nsfers to an	d Transactions an	d Relationships V	Vith Noncharitat	ole		•
4 D.	d the er			u of the following	with any other organization	n described in costion 501	1(0)	F	Yes	No
					with any other organization to political organizations?	ii described iii section 30 i	-	1		
		from the reporting found	-					ļ		
_	Cash	nom the reporting rounds	Ition to a nononant	abic exempt orge	anzation of.		-	la(1)		X
٠.	Other	2022						la(2)		X
٠.		sactions:					-			
-		of assets to a noncharita	hle exemnt organiz	ation			-	lb(1)		X
	-	ases of assets from a no						lb(2)		Х
		of facilities, equipment,		o. gamaan				lb(3)		Х
٠.		oursement arrangements						lb(4)		X
	•	or loan guarantees						Ib(5)		Х
		mance of services or me	mbership or fundra	aising solicitation	IS		[-	lb(6)		Х
		facilities, equipment, ma	•	-				1c		X
					iule. Column (b) should alw	vays show the fair market	value of the goods, othe	er asse	ets,	
or	services	s given by the reporting fo	oundation. If the fo	undation received	d less than fair market value	e in any transaction or sha	ring arrangement, show	ın /		
CO	lumn (d)) the value of the goods,	other assets, o <u>r ser</u>	rvices received.						
(a) Line r	10	(b) Amount involved	(c) Name	of noncharitable	exempt organization	(d) Description of trans	sfers, transactions, and shar	ng ama	ngemen	its
				N/A						
					 					
							_			
	_									
			ļ <u>-</u>							
					·-·			_		
	_			 						
	_		ļ			_				
										
					.					
	L_									
			•		or more tax-exempt organiz	zations described			<u> </u>	٦
		501(c) (other than section		section 5277	•			Yes	X	_ No
<u>p</u> 11.	Yes," co	mplete the following sch (a) Name of org			(b) Type of organization	(a) Do	scription of relationship			
			janization		(b) Type of organization	(c) De	Scription of relationship			
		N/A								
					-			··		
										_
										_
	Under	penalties of periury. I declare	that I have examined th	is return, includina	accompanying schedules and sta	I atements, and to the best of my	knowledge			
Sign		lief, it is true, correct, and con	plete. Deglaration of p	oreparer (other than t	axpayer) is based on all informat	tion of which preparer has any k	nowledge May the	with the	iscuss to prepare	er
Here		4117	5///		1124-18	PRES/TREA			See ins	_
		nature of officer or trustee	79 -		Date	Title	LA	162		_l No
	I Sign	Print/Type preparer's na		Preparer's		Date / Chec	k if PTIN			
			· ·	MI	400	/	employed			
Paid		DAVID WEIS	S	DAVID	WEISS	1/26/18	P009	962	062	

Phone no. 212-695-5771 Form **990-PF** (2017)

Firm's EIN ► 46-5082756

Preparer

Use Only

Firm's name ► DAVID WEISS CPA, PLLC

Firm's address ► 183 MADISON AVE SUITE 803

NEW YORK, NY 10016-4403

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF. ► Go to www.irs.gov/Form990 for the latest information. OMB No 1545-0047

Name of the organization

Employer identification number

59-3814672

Schedule B (Form 990, 990-EZ, or 990-PF) (2017)

	SW	ORDSPOINT FOUNDATION, INC.	<u>59-3814672</u>				
Organiza	tion type (check o	ne)					
Filers of:		Section:					
Form 990 or 990-EZ		501(c)() (enter number) organization					
		4947(a)(1) nonexempt charitable trust not treated as a private foundation					
		527 political organization					
Form 990	-PF	X 501(c)(3) exempt private foundation					
		4947(a)(1) nonexempt charitable trust treated as a private foundation					
		501(c)(3) taxable private foundation					
-	ly a section 501(c)(s covered by the General Rule or a Special Rule . 7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule	See instructions				
	•	n filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling one contributor. Complete Parts I and II. See instructions for determining a contributor's					
Special R	Rules	·					
9	sections 509(a)(1) a any one contributo	n described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support to and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amountine 1 Complete Parts I and II	r 16b, and that received from				
,	For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III						
; !	For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, chantable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose Don't complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling \$5,000 or more during the year.						
but it mu	Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)						

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Name of organization

Employer identification number

•			
SWORDSPOINT FOUNDATION,	INC.	59-3814672	
		 	_

Part I	Contributors (see instructions) Use duplicate copies of Part I if additional	l space is needed	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROBERT J. BISHOP 503 SILVERMINE ROAD NEW CANAAN, CT 06840	\$ <u>16,000,000</u> .	Person X Payroll
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2	IMPALA ASSET MANAGEMENT, LLC 134 MAIN STREET NEW CANAAN, CT 06840	\$ 675,999.	Person X Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Oncash Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Oncash Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
	,	\$	Person Payroll Noncash Complete Part II for noncash contributions)

Employer identification number

SWORDSPOINT FOUNDATION, INC.

59-3814672

Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed				
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received		
		- - - \$			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received		
		- - - \$	<u>;</u>		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received		
		\$			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received		
		- - - - - - - - - - - - -			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received		
		- - - - - \$			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received		
		- - - - \$			

ame of organ	ization		Employer identification number
WORDSP	OINT FOUNDATION, INC.		59-3814672
Part III	Exclusively religious, charitable, etc., contributine year from any one contributor. Complete colucompleting Part III, enter the total of exclusively religious, of Use duplicate copies of Part III if additional specified.	mns (a) through (e) and the follow naritable, etc., contributions of \$1,000 or lea	section 501(c)(7), (8), or (10) that total more than \$1,000 for ing line entry. For organizations
(a) No. from			
Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_	Transferred and address and	(e) Transfer of gift	Deletionabin of transferon to transferon
-	Transferee's name, address, and a	ZIP + 4	Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
		(e) Transfer of gift	
- - -	Transferee's name, address, and a	ZIP + 4	Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-		(e) Transfer of gift	
	Transferee's name, address, and a	ZIP + 4	Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	Transferee's name, address, and a	(e) Transfer of gift	Relationship of transferor to transferee
-			

FORM 990-PF	DIVIDENDS	AND I	INTERE	ST F	ROM SECU	RITIES	S.	ratement 1
SOURCE	GROSS AMOUNT	G2	PITAL AINS IDENDS		(A) REVENUE ER BOOKS	(B) NET INVE		(C) ADJUSTED NET INCOME
CHASE FORESTER OPP FOXHAVEN CAPITAL KINYAN CAPITAL LP PRIVATE ADVISORS SUN VALLEY GOLD	1. 6,906. 4,635. 25,184. 1. 2,955.		0 0 0 0 0	•	6,906 4,635 25,184	4,6 25,1	35. 84. 1.	
TO PART I, LINE 4	39,682.		0	<u> </u>	39,682	39,6	82.	
FORM 990-PF	-	OTI	HER IN	СОМЕ		***	S'.	TATEMENT 2
DESCRIPTION				(A REVE ER B	NUE	(B) NET INVEST MENT INCOM		(C) ADJUSTED NET INCOME
SUNVALLEY GOLD OTHER INCOME FOXHAVEN CAPITAL					1,624. 5,300. 6,380.	1,62 5,30 6,38	0.	
TOTAL TO FORM 990-PF	, PART I,	LINE :	11		13,304.	13,30	4. ====================================	
FORM 990-PF]	LEGAL	FEES			S'	TATEMENT 3
DESCRIPTION		(A EXPEN PER B	SES		(B) INVEST- INCOME	(C) ADJUSTE NET INCO		(D) CHARITABLE PURPOSES
LEGAL FEES		1	,288.		644	•		644.
TO FM 990-PF, PG 1,	LN 16A	1	,288.		644			644.

FORM, 990-PF	ACCOUNTI	NG FEES	STATEMENT 4		
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	5,820.	2,910.		2,910.	
TO FORM 990-PF, PG 1, LN 16B	5,820.	2,910.		2,910.	
FORM 990-PF C	THER PROFES	SIONAL FEES	S	TATEMENT 5	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OTHER PROFESSIONAL FEES	16,947.	16,947.		0.	
TO FORM 990-PF, PG 1, LN 16C	16,947.	. 16,947.		0.	
FORM 990-PF	TAX	ES	s	TATEMENT 6	
1011 330 11					
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES FEDERAL EXCISE TAX	792. 400.			0. 400.	
TO FORM 990-PF, PG 1, LN 18	1,192.	792.		400.	

FORM. 990-PF	OTHER E	XPENSES	STATEMENT 7		
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
DEDUCTIONS RELATED TO PORTFOLIO INCOME	130,437.	130,437.		0.	
INVESTMENT MANAGEMENT FEES	112,402.			0.	
FILING FEE	324.			0.	
STOCK LOAN EXPENSE	7,817.	7,817.		0.	
INCENTIVE FEES	2,386.	2,386.		0.	
INVESTMENT MANAGEMENT					
PERFORMANCE FEE	15,054.	15,054.		0.	
TO FORM 990-PF, PG 1, LN 23	268,420.	268,420.		0.	

FOOTNOTES

STATEMENT 8

WE ARE PAPER FILING THIS RETURN, DUE TO THE IRS TEMPORARY SUSPENSION OF E-FILING FOR NORMAL MAINTENANCE, PER IRS NOTICE IR-2018-218.

FORM 990-PF O	THER INVESTMENTS	· •	STATEMENT 9
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INVESTMENT-SUN VALLEY GOLD	COST	2,702,794.	1,646,212.
INVESTMENT-PRIVATE ADVISORS EQUI	TY COST	1,728,512.	2,575,147.
INVESTMENT-KINYAN CAPITAL	COST	1,552,931.	2,315,525.
INVESTMENT-FOXHAVEN CAPITAL	COST	1,207,076.	1,344,973.
INVESTMENT-INTERRITUS LTD	COST	1,618,889.	1,459,320.
INVESTMENT-FORESTER OPPORTUNITIE	S, COST		
LTD	·	2,379,686.	2,641,124.
INVESTMENT-IMPALA FUND	COST	5,000,000.	5,000,000.
INVESTMENT-FORESTER STRATEGIC	COST		•
OPPORTUNITIES, LTD		8,000,000.	8,000,000.
INVESTMENT-IMPALA RESOURCE FUND	COST	2,000,000.	2,000,000.
TOTAL TO FORM 990-PF, PART II, L	INE 13	26,189,888.	26,982,301.
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