

EXTENDED TO MAY 15, 2019
Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Form **990-PF**Department of the Treasury
Internal Revenue Service

- ▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017 or tax year beginning **JUL 1, 2017**, and ending **JUN 30, 2018**

Name of foundation

A Employer identification number

HOLLOWELL DAWKINS FOUNDATION, INC.**51-0183517**

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

1022 WASHINGTON STREET, EAST

B Telephone number

304-645-5414

City or town, state or province, country, and ZIP or foreign postal code

LEWISBURG, WV 24901C If exemption application is pending, check here ☐

6

Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
1	Cash - non-interest-bearing		22,361.	87,643.	87,643.

Part IV Capital Gains and Losses for Tax on Investment IncomeWebsite address **▶ N/A**

14 The books are in care of **▶ ALLEN CARSON** Telephone no. **▶ 304-645-5414**
 Located at **▶ 1022 WASHINGTON STREET, EAST, LEWISBURG, WV** ZIP+4 **▶ 24901**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year **▶ 15** **N/A**

16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?
 See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country **▶**

	Yes	No
16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year, did the foundation (either directly or indirectly):

- (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No
- (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No
- (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No
- (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No
- (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No
- (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No

b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here

N/A
☐

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?

	Yes	No
1b		
1c		X

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☒ No

If "Yes," list the years **▶** _____, _____, _____

b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect

3

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1. Exempt organization foundation described in section 4940(d)(2)? check here ☐ and enter "N/A" on line 1

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Part VII-A Statements Regarding Activities *(continued)*

- 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions
- 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
- 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?

	Yes	No
11		X
12		X
13	X	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

5b

Organizations relying on a current notice regarding disaster assistance, check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7b

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair-market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	8,153,594.
b	Average of monthly cash balances	1b	848,849.
c	Fair market value of all other assets	1c	6,060,604.
d	Total (add lines 1a, b, and c)	1d	15,063,047.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	15,063,047.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	225,946.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	14,837,101.
6	Minimum investment return. Enter 5% of line 5	6	741,855.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	741,855.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	82,743.
b	Income tax for 2017. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	82,743.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	659,112.
4	Recoveries of amounts treated as qualifying distributions	4	35,000.
5	Add lines 3 and 4	5	694,112.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	694,112.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	920,053.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	920,053.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	82,743.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	837,310.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				694,112.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2017:				
a From 2012	56,386.			
b From 2013	52,049.			
c From 2014	59,288.			
d From 2015	34,453.			
e From 2016	73,447.			
f Total of lines 3a through e	275,623.			
4 Qualifying distributions for 2017 from Part XII, line 4: ▶ \$ 920,053.				
a Applied to 2016, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2017 distributable amount				694,112.
e Remaining amount distributed out of corpus	225,941.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	501,564.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7	56,386.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	445,178.			
10 Analysis of line 9:				
a Excess from 2013	52,049.			
b Excess from 2014	59,288.			
c Excess from 2015	34,453.			
d Excess from 2016	73,447.			
e Excess from 2017	225,941.			

Part XIV Private Operating Foundations (see instructions for Part XIV)

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

ALLEN CARSON, 304-645-5414

1022 WASHINGTON STREET, EAST, LEWISBURG, WV 24901

b The form in which applications should be submitted and information and materials they should include:

LETTER REQUESTS WITH DETAILED EXPLANATION OF WHAT GRANT IS NEEDED FOR.

Part XV . **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED SCHEDULE			SEE ATTACHED SCHEDULE	853,000.
Total			3a	853,000.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
PREMIER BANK	36.	36.	
TOTAL TO PART I, LINE 3	36.	36.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
INTEREST AND DIVIDENDS ON INVESTMENTS	209,584.	0.	209,584.	209,584.	
TO PART I, LINE 4	209,584.	0.	209,584.	209,584.	

FORM 990-PF RENTAL INCOME STATEMENT 3

KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
-------------------------------	--------------------	------------------------

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
PREMIER BANK	36.	36.	
TOTAL TO PART I, LINE 3	36.	36.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
INTEREST AND					

SURVEY FEES		1,536.	
CONSULTING FEES		3,414.	
- SUBTOTAL -	1		99,899.
TOTAL RENTAL EXPENSES			99,899.
NET RENTAL INCOME TO FORM 990-PF, PART I, LINE 5B			32,101.

FORM 990-PF	OTHER INCOME	STATEMENT	5
-------------	--------------	-----------	---

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
COLUMBIA GAS LEASE	118.	118.	
CNX GAS LEASE	1,829,934.	1,829,934.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,830,052.	1,830,052.	

FORM 990-PF	LEGAL FEES	STATEMENT	6
-------------	------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
-------------	------------------------------	-----------------------------------	-------------------------------	-------------------------------

LEWISBURG, WV 24901

DANIEL REAM	BOARD MEMBER			
P.O. BOX 787	0.00	0.	0.	0.
LEWISBURG, WV 24901				

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.
--	--	----	----	----

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	8
-------------	-------------------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING FEES - RENTAL	3,414.	3,414.		0.
TO FORM 990-PF, PG 1, LN 16C	3,414.	3,414.		0.

FORM 990-PF	TAXES	STATEMENT	9
-------------	-------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX ON DIVIDENDS	1,321.	1,321.		0.
PAYROLL TAXES	2,219.	1,443.		777.
LICENSE TAX	51.	33.		18.
EXCISE TAX	79,000.	0.		0.
PROPERTY TAXES - RENTAL	9,177.	9,177.		0.
TO FORM 990-PF, PG 1, LN 18	91,768.	11,974.		795.

FORM 990-PF	OTHER EXPENSES	STATEMENT	10
-------------	----------------	-----------	----

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE SUPPLIES	296.	192.		104.
OFFICE EXPENSES	827.	537.		290.
INSURANCE	1,545.	1,004.		541.
MEALS	279.	181.		98.
FOREIGN STOCK FEES	190.	190.		0.
REPAIRS	5,539.	3,600.		1,939.
BOND AMORTIZATION	45,192.	45,192.		0.
OTHER INVESTMENT EXPENSES	1,567.	1,567.		0.
LEGAL ADVERTISING FEE	60.	60.		0.
BANK FEES	26.	17.		9.
INVESTMENT ACCOUNT FEES	58,451.	58,451.		0.

Date Acq	Shares	Name	Book Value	Market Value
9/7/2017		120 Abbvie Inc	\$9,828 00	\$11,118 00
Various		35 Alphabet Inc CL A	\$20,485.30	\$39,521 65
9/7/2017		7 Amazon Com	\$6,857 54	\$11,898 60

SURVEY FEES - RENTAL	1,536.	1,536.	0.
TO FORM 990-PF, PG 1, LN 23	268,841.	220,833.	48,008.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 11

DESCRIPTION	AMOUNT
RECOVERY OF QUALIFYING DISTRIBUTIONS	35,000.
TOTAL TO FORM 990-PF, PART III, LINE 3	35,000.

FORM 990-PF CORPORATE STOCK STATEMENT 12

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED SCHEDULE	6,906,244.	7,753,864.
TOTAL TO FORM 990-PF, PART II, LINE 10B	6,906,244.	7,753,864.

FORM 990-PF CORPORATE BONDS STATEMENT 13

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED SCHEDULE	5,164,110.	5,128,526.
TOTAL TO FORM 990-PF PART II LINE 10C	5,164,110.	5,128,526.

Various	267 Microsoft Corp	\$11,114 50	\$26,328 87
Various	225 Novo Nordisk A S ADR	\$11,972 29	\$10,377 00
Various	573 Pfizer Inc	\$18,405 05	\$20,788 44

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 14

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ALLEN CARSON PO BOX 1790 LEWISBURG, WV 24901	PRESIDENT 0.00	0.	0.	0.
H. RICHARD MARSHALL 487 FARM ROAD LEWISBURG, WV 24901	VICE PRESIDENT 0.00	0.	0.	0.
ALYSON DOTSON 915 JEFFERSON STREET NORTH LEWISBURG, WV 24901	TREASURER 0.00	0.	0.	0.
MARSHALL MUSSER 294 CHURCH STREET LEWISBURG, WV 24901	SECRETARY 0.00	0.	0.	0.
GREG GLOVER 1160 BUNGERS MILL ROAD LEWISBURG, WV 24901	BOARD MEMBER 0.00	0.	0.	0.
JESSE O. GUILLS, JR. 143 JAMES RIVER ROAD LEWISBURG, WV 24901	BOARD MEMBER 0.00	0.	0.	0.
STUART MCMILLAN 600 QUARRIER STREET CHARLESTON, WV 25301	BOARD MEMBER 0.00	0.	0.	0.
MICHAEL MEADOWS 566 LAMPLIGHTER DRIVE LEWISBURG, WV 24901	BOARD MEMBER 0.00	0.	0.	0.
DANIEL REAM P.O. BOX 787 LEWISBURG, WV 24901	BOARD MEMBER 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

HOLLOWELL DAWKINS FOUNDATION, INC.

FORM 990PF-2017

51-0183517

Part II, Line 10B - Investments - Corporate Stock (Funds)

Date Acq	Shares	Name	End of Year Book Value	End of Year Market Value
12/14/2017	2755	Blackrock En Int Div Tr	\$17,823 33	\$15,813 70
Various	1877	Ishares Inc MSCI Japan ETF	\$113,335 34	\$108,697 07
Various	1201	Ishares Intl Select Div ETF	\$41,145.07	\$38,492 05
Various	4650	Ishares MSCI Euro Financial	\$108,331 78	\$94,069.50
Various	3415	Ishares MSCI Germany ETF	\$112,455 27	\$102,381 70
Various	2916	Ishares MSCI Taiwan ETF	\$105,624 83	\$104,976 00
Various	1500	Ishares Short-Term Bond ETF	\$175,500 30	\$175,000 40
1/25/2016	275	Automatic Data Processing	\$22,219 86	\$36,888.50
10/28/2016	1400	Bank of America Corp	\$23,515 24	\$39,466.00
Various	900	Bank of New York Mellon Corp	\$37,726 44	\$48,537 00
6/10/2009	200	Berkshire Hathaway Inc Del Cl B	\$11,754 00	\$37,330 00
1/26/2016	200	Boeing Co	\$25,434 60	\$67,102 00
5/25/2018	250	Caterpillar	\$39,231 93	\$33,917.50
2/12/2018	200	Citigroup	\$28,380 50	\$25,120.60
4/30/2018	11157 43	MSIF Ultra Short Income A	\$111,690.08	\$111,685.91
1/1/2013	869 42	Mudrick Distressed Opp Fund	\$1,000,000.00	\$1,427,299.90
Subtotal of Page 1			\$1,785,936 43	\$2,179,236 31

HOLLOWELL DAWKINS FOUNDATION, INC.

FORM 990PF-2017

51-0183517

Part II. Line 10B - Investments - Corporate Stock

2/12/2018	125 General Dynamics Corp	\$26,210 41	\$23,301 25
Various	250 Home Depot	\$41,061.99	\$48,775.00
2/12/2018	1100 HP Inc	\$22,439.89	\$24,959.00
1/25/2016	380 JP Morgan Chase & Co	\$21,537 07	\$39,596 00
Various	1692 Liberty Media C Ser C SiriusXM	\$21,586 78	\$76,749 12
Various	155 Lockheed Martin Corp	<u>\$46,717 24</u>	<u>\$45,791 65</u>
Subtotal of Page 2		\$915,636 99	\$1,262,546 90

HOLLOWELL DAWKINS FOUNDATION, INC.
FORM 990PF-2017
51-0183517
Part II, Line 10B - Investments - Corporate Stock

Date Acq	Shares	Name	End of Year Book Value	End of Year Market Value
1/25/2016	250	Mastercard Inc CL A	\$22,019.13	\$49,130.00
Various	850	Merck & Co Inc	\$46,235.75	\$51,595.00
1/25/2016	425	Microsoft Corp	\$22,328.01	\$41,909.25
7/31/2017	375	Nucor Corporation	\$21,560.36	\$23,437.50
2/3/2016	675	Paypal Hldgs Inc	\$25,060.25	\$56,207.25
1/25/2016	230	Pepsico inc	\$22,228.70	\$25,040.10
Various	240	Raytheon Co	\$33,553.57	\$46,363.20
1/25/2016	275	Royal Caribbean Cruises Ltd	\$23,125.58	\$28,490.00
Various	530	Suntrust Bks Inc	\$22,226.79	\$34,990.60
Various	470	Texas Instruments	\$45,209.45	\$51,817.50
5/14/2018	200	Thermo Fisher Scientific	\$43,470.86	\$41,428.00
Various	380	Travelers Companies Inc	\$43,097.92	\$46,489.20
Various	330	United Technologies Corp	\$38,207.87	\$41,259.90
8/4/2016	175	UnitedHealth Group Inc	\$24,939.13	\$42,934.50
Various	550	WalMart	\$53,105.66	\$47,107.50
Various	245	Whirlpool Corp	\$39,560.75	\$35,826.35
Various	1118	Anheuser Busch Inbev SA Spon	\$108,031.03	\$112,649.68
Various	2611	BP PLC ADS	\$113,791.64	\$119,218.26
Various	2277	British Amer Tob Spon ADR	\$125,856.51	\$114,874.65
Various	2502	Cisco Systems	\$106,252.61	\$107,661.06
Various	1568	Diageo PLC Spon ADR	\$222,265.49	\$225,807.68
Various	1535	DowDupont	\$99,756.60	\$101,187.20
Various	1459	Intl Business Machines	\$215,340.51	\$203,822.30
Various	2015	JPMorgan Chase & Co	\$216,571.59	\$209,963.00
Various	178	Lochheed Martin Corp	\$56,014.99	\$52,586.54
Various	1195	Microsoft Corp	\$109,391.07	\$117,838.95
Various	2778	Mondelez Intl	\$111,292.72	\$113,898.00
Various	1911	National Grid PLC Spon ADR	\$111,073.60	\$106,729.35
Various	2908	Novartis Ag ADR	\$227,285.56	\$219,670.32
Various	4817	Oracle Corp	\$225,695.33	\$212,237.02
Various	1027	Pepsico	\$107,640.44	\$111,809.49
Various	6333	Pfizer	\$225,162.20	\$229,761.24
6/15/2018	1355	Philip Morris Intl	\$110,597.81	\$109,402.70
Various	4944	RELX PLC Spon ADR	\$109,676.18	\$107,482.56
Various	2866	Royal Dutch Shell PLC CL B	\$200,930.78	\$208,214.90
Various	1625	Schlumberger LTD	\$108,000.46	\$108,923.75
Various	12295	Sumitomo Mitsui Finl Group	\$102,822.01	\$95,532.15
5/18/2018	3153	Telus Corp	\$111,683.36	\$111,963.03
6/7/2018	2266	Verizon Communications	\$111,085.89	\$114,002.46
Various	3987	Vodafone Group PLC	\$118,748.49	\$96,923.97
Various	1117	Walt Disney Co Hldg Co	\$111,873.77	\$117,072.77
Various	3947	Wells Fargo & Co	\$211,900.43	\$218,821.68
Subtotal of Page 3			<u>\$4,204,670.85</u>	<u>\$4,312,080.56</u>
GRAND TOTAL OF CORPORATE STOCK & FUNDS			\$6,906,244.27	\$7,753,863.77

51-0183517

Hollowell Dawkins Foundation, Inc.						
Form 990-PF-2017						
51-0183517						
Part IV - Long Term Capital Gains and Losses						
		Date	Date	Sales	Purchase	Gain
Shares	Description	Purch.	Sold	Price	Price	(Loss)
12	United Parcel Ser CL-B	1/29/2015	6/19/2018	\$1,372.00	\$1,185.00	\$187.00
3	Alphabet Inc CL A	1/29/2015	6/19/2018	\$3,503.00	\$1,521.00	\$1,982.00
7	Cdn Pacific Ry Ltd	1/29/2015	6/19/2018	\$1,324.00	\$1,229.00	\$95.00
28	Comcast	4/7/2017	6/19/2018	\$917.00	\$1,064.00	(\$147.00)
10	Martin Marietta Materials	1/29/2015	9/7/2017	\$2,086.00	\$1,058.00	\$1,028.00
5	Martin Marietta Materials	6/18/2015	9/7/2017	\$1,043.00	\$744.00	\$299.00
7	Martin Marietta Materials	11/3/2015	9/7/2017	\$1,460.00	\$989.00	\$471.00
6	Martin Marietta Materials	11/3/2015	6/19/2018	\$1,371.00	\$848.00	\$523.00
200	Corning	1/29/2015	9/8/2017	\$5,718.00	\$4,676.00	\$1,042.00
39	Corning	1/29/2015	6/19/2018	\$1,104.00	\$912.00	\$192.00
28	Microsoft Corp	1/29/2015	6/19/2018	\$2,797.00	\$1,153.00	\$1,644.00
139	Intel Corp	1/29/2015	9/7/2017	\$4,931.00	\$4,681.00	\$250.00
18	Intel Corp	1/29/2015	6/19/2018	\$941.00	\$606.00	\$335.00
10	Intel Corp	6/8/2015	6/19/2018	\$522.00	\$313.00	\$209.00
37	American Airlines Group	6/8/2015	9/8/2017	\$1,621.00	\$1,477.00	\$144.00

Hollowell Dawkins Foundation, Inc.						
Form 990-PF-2017						
51-0183517						
Part IV - Long Term Capital Gains and Losses						
		Date	Date	Sales	Purchase	Gain
Shares	Description	Purch.	Sold	Price	Price	(Loss)
33000	Goldman Sachs 5.950 1-18-18	1/31/2012	1/18/2018	\$33,000.00	\$33,000.00	\$0.00
6000	Goldman Sachs 5.950 1-18-18	12/4/2012	1/18/2018	\$6,000.00	\$6,000.00	\$0.00
5000	Goldman Sachs 5.950 1-18-18	11/19/2014	1/18/2018	\$5,000.00	\$5,000.00	\$0.00
4000	Goldman Sachs 5.950 1-18-18	5/4/2015	1/18/2018	\$4,000.00	\$4,000.00	\$0.00
49000	GECC 3.450 5-15-24	2/19/2015	3/27/2018	\$47,956.00	\$50,801.00	(\$2,845.00)
4000	GECC 3.450 5-15-24	5/4/2015	3/27/2018	\$3,915.00	\$4,169.00	(\$254.00)
125000	AT&T Inc 3.900 3-11-24	1/28/2016	6/20/2018	\$123,099.00	\$127,553.00	(\$4,454.00)
140	Intl Business Machines Corp	11/22/2016	1/23/2018	\$23,045.00	\$22,776.00	\$269.00
450	Wells Fargo & Co	1/25/2016	8/23/2017	\$23,460.00	\$21,729.00	\$1,731.00
125000	Time Warner 4.000 1-15-22	1/28/2016	6/20/2018	\$126,476.00	\$128,117.00	(\$1,641.00)
97	Newell Brands	11/11/2010	10/10/2017	\$4,167.00	\$1,632.00	\$2,535.00
413.11	Newell Brands	12/16/2010	10/10/2017	\$17,746.00	\$6,681.00	\$11,065.00
676.89	Newell Brands	7/28/2011	10/10/2017	\$29,077.00	\$10,815.00	\$18,262.00
300.00	Kraft Heinz Co	1/25/2016	10/20/2017	\$22,913.00	\$21,736.00	\$1,177.00
275	Walgreens Boots Alliance	1/25/2016	10/11/2017	\$19,075.00	\$21,793.00	(\$2,718.00)
10000	US Tsy Note 1-1/4, 4-30-19	6/17/2015	8/21/2017	\$9,988.00	\$9,934.00	\$54.00
77000	US Tsy Note 3/4, 10-31-17	8/7/2014	8/9/2017	\$76,931.00	\$76,263.00	\$668.00
4000	US Tsy Note 3/4, 10-31-17	11/19/2014	8/9/2017	\$3,996.00	\$3,978.00	\$18.00
7000	US Tsy Note 3/4, 10-31-17	5/4/2014	8/9/2017	\$6,994.00	\$7,000.00	(\$6.00)
SUBTOTAL LONG TERM CAPITAL GAIN				\$586,838.00	\$562,977.00	\$23,861.00
GRAND TOTAL LONG TERM CAPITAL GAIN				\$701,474.00	\$667,949.00	\$33,525.00

206 Main Street W.
Ronceverte, WV 24970

of 2 lots into greenspace area

Ronceverte Presbyterian Church
261 Locust Street

Window replacement

\$7,500.00

Hollowell Dawkins Foundation, Inc.						
Form 990-PF-2017						
51-0183517						
Part IV - Short Term Capital Gains and Losses						
		Date	Date	Sales	Purchase	Gain
Shares	Description	Purch.	Sold	Price	Price	(Loss)
87	Microsoft Corp	12/14/2017	3/16/2018	\$8,183.00	\$7,378.00	\$805.00
76	Microsoft Corp	12/14/2017	6/18/2018	\$7,668.00	\$6,445.00	\$1,223.00
176	Cisco Systems	12/15/2017	3/16/2018	\$7,954.00	\$6,708.00	\$1,246.00
145	Cisco Systems	12/15/2017	6/18/2018	\$6,427.00	\$5,526.00	\$901.00
141	Verizon Communications	6/17/2018	6/18/2018	\$6,663.00	\$6,912.00	(\$249.00)
385	Pfizer	12/14/2017	6/18/2018	\$13,862.00	\$14,005.00	(\$143.00)
67	Pfizer	12/14/2017	6/18/2018	\$2,412.00	\$2,437.00	(\$25.00)
271	Apple	12/14/2017	1/12/2018	\$47,857.00	\$46,681.00	\$1,176.00
300	Qualcomm	11/10/2016	7/31/2017	\$15,960.00	\$19,796.00	(\$3,836.00)
150	Expedia	4/4/2017	10/30/2017	\$17,998.00	\$18,764.00	(\$766.00)
75000	AT&T 3.9%, 3/11/24	6/6/2018	6/20/2018	\$73,859.00	\$74,371.00	(\$512.00)
13	Intl Business Machines Corp	12/14/2017	6/18/2018	\$1,877.00	\$2,001.00	(\$124.00)
93	Intl Business Machines Corp	12/14/2017	6/18/2018	\$13,431.00	\$14,312.00	(\$881.00)
340	Wells Fargo & Co	12/14/2017	6/7/2018	\$18,935.00	\$20,188.00	(\$1,253.00)
49	Wells Fargo & Co	12/14/2017	6/18/2018	\$2,700.00	\$2,909.00	(\$209.00)
242	Wells Fargo & Co	12/14/2017	6/18/2018	\$13,337.00	\$14,369.00	(\$1,032.00)
170	Equifax	5/5/2017	9/15/2017	\$15,954.00	\$23,440.00	(\$7,486.00)
200	Philip Morris Intl	7/31/2017	2/12/2018	\$20,376.00	\$23,551.00	(\$3,175.00)
83	Philip Morris Intl	6/15/2018	6/18/2018	\$6,615.00	\$6,775.00	(\$160.00)
350	American Intl Grp	3/2/2017	11/3/2017	\$21,783.00	\$22,482.00	(\$699.00)
6494.16	MSIF Ultra Short Income A	4/30/2018	6/13/2018	\$65,000.00	\$65,009.00	(\$9.00)
346	Relx PLC Sponsored ADR	12/14/2017	6/18/2018	\$7,599.00	\$8,169.00	(\$570.00)
173	Telus Corp	5/18/2018	6/18/2018	\$6,062.00	\$6,128.00	(\$66.00)

Various	380 Travelers Companies Inc	\$43,097.92	\$46,489.20
Various	330 United Technologies Corp	\$38,207.87	\$41,259.90
8/4/2016	175 UnitedHealth Group Inc	\$24,939.13	\$42,934.50
Various	550 WalMart	\$53,105.66	\$47,107.50
Various	245 Whirlpool Corp	\$39,560.75	\$35,826.35
Various	1118 Anheuser Busch Inbev SA Spon	\$108,031.03	\$112,649.68
Various	2611 BP PLC ADS	\$113,791.64	\$119,218.26
Various	2277 British Amer Tob Spon ADR	\$125,856.51	\$114,874.65

Hollowell Dawkins Foundation, Inc.						
Form 990-PF-2017						
51-0183517						
Part IV - Short Term Capital Gains and Losses						
			Date	Date	Sales	Purchase
Shares	Description		Purch.	Sold	Price	Price
168	Ishares MSCI Taiwan ETF		12/14/2017	6/18/2018	\$6,084.00	\$6,148.00
86	Royal Dutch Shell PLC CL B		12/14/2017	1/12/2018	\$6,216.00	\$5,719.00
130	Royal Dutch Shell PLC CL B		12/14/2017	4/11/2018	\$9,119.00	\$8,645.00
294	Royal Dutch Shell PLC CL B		12/14/2017	5/18/2018	\$22,363.00	\$19,551.00
38	Royal Dutch Shell PLC CL B		12/14/2017	6/18/2018	\$2,715.00	\$2,527.00
188	Royal Dutch Shell PLC CL B		12/14/2017	6/18/2018	\$13,436.00	\$12,502.00
56	DowDupont		12/14/2017	6/18/2018	\$3,802.00	\$3,928.00
51	DowDupont		12/14/2017	6/18/2018	\$3,463.00	\$3,578.00
157	Mondelez Intl Inc		12/14/2017	6/18/2018	\$6,290.00	\$6,725.00
29	Diageo PLC Spon ADR		12/14/2017	4/11/2018	\$4,114.00	\$4,114.00
19	Diageo PLC Spon ADR		12/14/2017	6/18/2018	\$2,774.00	\$2,695.00
90	Diageo PLC Spon ADR		12/14/2017	6/18/2018	\$13,141.00	\$12,768.00
120	Ishares Inc MSCI Japan ETF		12/15/2017	6/18/2018	\$7,184.00	\$7,183.00
1531	Wisdomtree Trust JAPN		12/14/2017	12/15/2017	\$89,828.00	\$89,249.00
113	Schlumberger Ltd		12/14/2017	1/12/2018	\$8,749.00	\$7,065.00
80	Schlumberger Ltd		12/14/2017	5/18/2018	\$5,958.00	\$5,001.00
96	Schlumberger Ltd		12/14/2017	6/18/2018	\$6,451.00	\$6,002.00
139	Oracle Corp		12/14/2017	3/16/2018	\$7,287.00	\$6,987.00
65	Oracle Corp		12/14/2017	6/18/2018	\$3,001.00	\$3,267.00
324	Oracle Corp		12/14/2017	6/18/2018	\$14,960.00	\$16,286.00
15	IShares Short Tsy BD ETF		12/14/2017	3/16/2018	\$1,654.00	\$1,654.00
49	IShares Short Tsy BD ETF		12/14/2017	6/7/2018	\$5,403.00	\$5,404.00
21	IShares Short Tsy BD ETF		12/14/2017	6/18/2018	\$2,317.00	\$2,316.00
99	IShares Short Tsy BD ETF		12/14/2017	6/18/2018	\$10,924.00	\$10,918.00
350	Pepsico Inc		12/14/2017	4/11/2018	\$38,065.00	\$41,344.00
69	Pepsico Inc		12/14/2017	6/18/2018	\$7,277.00	\$8,151.00
1394	Vodafone Group Pl C		12/14/2017	3/16/2018	\$39,672.00	\$43,631.00

Date	Face			Due	End of Year	End of Year
Acquired	Value	Name	Rate	Date	Book Value	Mkt Value
6/17/2015	79000	US Treasury Note	1.250%	4/30/2019	\$78,475.65	\$78,305.59
12/17/2015	87000	US Treasury Note	1.250%	10/31/2019	\$86,096.30	\$85,637.58
3/16/2016	50000	Marriott Intl	3.000%	3/1/2019	\$50,271.09	\$50,006.00
1/28/2016	125000	Western Union Co	3.350%	5/22/2019	\$125,781.10	\$125,488.75
Various	44000	Bunge Ltd Finance Corp	8.500%	6/15/2019	\$45,661.05	\$46,217.16
2/16/2016	50000	Goldman Sachs Group	2.550%	10/23/2019	\$50,020.18	\$49,693.50
Various	49000	JP Morgan Chase & Co	4.400%	7/22/2020	\$50,118.26	\$50,192.66
Various	200000	Omnicom Group	4.450%	8/15/2020	\$207,476.50	\$204,822.00

HOLLOWELL DAWKINS FOUNDATION, INC.**FORM 990PF-2017****51-0183517****PART XV, LINE 3 GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR****A (Paid during the Year)**

Name & Address	Purpose	Amount
Alderson July 4th Celebration P.O. Box 128 Alderson, WV 24910	Indoor/Outdoor Sound System	\$5,000.00
Alderson Snacks in Packs P.O. Box 650 Alderson, WV 24910	Snacks for needy children	\$5,000.00
Town of Alderson P.O. Box 179 Alderson, WV 24910	Instructor fees/supplies for Alderson Artisans Gallery and Park Benches & Trash Receptacles	\$2,500.00 \$4,000.00
Alzheimer's Assn-WV Chapter 1601 2nd Ave. Charleston, WV 25387	Greenbrier Valley Health Care Provider Outreach Program	\$2,000.00
Appalachia Service Project 4523 Bristol Highway Johnson City, TN 37601	Rebuilding Rainelle Project	\$10,000.00
Carnegie Hall, Inc. 611 Church St. Lewisburg, WV 24901	Arts and Education Program	\$50,000.00
CASA 11th Judicial Circuit P.O. Box 1142 Lewisburg, WV 24901	Volunteer recognition, public relations, financial review, supplies	\$20,000.00
Central Greenbrier Little League P.O. Box 1379 Lewisburg, WV 24901	City Park & Umpire Fees; equipment	\$2,500.00
Child & Youth Advocacy Ctr 112 Courtney Drive Lewisburg, WV 24901	Salaries and insurance	\$15,000.00
Childrens Home Society 9579 Seneca Trail South Fairlea, WV 24901	Permanency Program	\$50,000.00

Communities in Schools P.O. Box 1188 Lewisburg, WV 24901	Personnel Costs	\$30,000.00
Davis-Stuart, Inc. 163 Cottage Drive Lewisburg, WV 24901	Dishwasher & Range	\$12,712.00
E. Greenbrier Middle School 403 Knight Drive Ronceverte, WV 24970	Band Uniforms	\$5,000.00
Family Refuge Center P.O. Box 249 Lewisburg, WV 24901	Night monitors' salaries	\$10,000.00
Gateway Industries, Inc. 787 Edgar Avenue Ronceverte, WV 24970	Commercial cleaning equipment for workers' use on local, state and federal contracts	\$9,000.00
Fire Dept.-Clintonville 18918 Midland Trail West Clintonville, WV 24931	Aluminum air bottles and turnout gear	\$5,000.00
Fire Dept.-Fairlea Volunteer 250 Third Street Fairlea, WV 24901	Lockers	\$5,000.00
Fire Dept.-Lewisburg Volunteer P.O. Drawer 151 Lewisburg, WV 24901	Diver drysuits and accessories	\$5,000.00
Fire Dept.-Ronceverte Volunteer P.O. Drawer 35 Ronceverte, WV 24970	Equipment	\$4,401.00
Frankford Elementary School 21692 Seneca Trail Frankford, WV 24938	Frankford Autumnfest Event expenses	\$2,500.00
Greenbrier Co. Board of Education 197 Chestnut Street Lewisburg, WV 24901	Greenbrier East High School Soccer Program, soccer only field	\$65,000.00

Greenbrier County Committee on Aging P.O. Box 556 Rupert, WV 25984	Freezer & shelf stable foods	\$8,500.00
Greenbrier County Energy Express P.O. Box 586 Lewisburg, WV 24901	Summer Reading Program	\$8,000.00
Greenbrier Co Sheriffs Dept. 920 Court Street North Lewisburg, WV 24901	Ballistic Vests	\$14,000.00
Greenbrier County Youth Camp P.O. Box 210 Frankford, WV 24938	Portable Evaporative Air Coolers	\$8,000.00
Greenbrier East High School 273 Spartan Lane Lewisburg, WV 24901	Baseball program	\$25,000.00
Greenbrier East High School 273 Spartan Lane Lewisburg, WV 24901	Wrestling Program	\$8,812.00
Greenbrier Episcopal School 3100 Houfnaggle Rd. Lewisburg, WV 24901	Afterschool Program	\$5,000.00
Greenbrier Histoical Society 814 Washington St. W. Lewisburg, WV 24901	Window tint and blinds	\$1,000.00
Greenbrier Humane Society P.O. Box 305 Lewisburg, WV 24901	Vaccines, medications and supplies	\$12,000.00
Greenbrier Valley Theatre 1038 Washington St. E. Lewisburg, WV 24901	Youth Education Program	\$50,000.00
High Rocks Educational Corp. 195 Thompson Rd. Hillsboro, WV 24946	HUB Youth Center Mentorship Program	\$17,240.00

John Wesley United Methodist 350 Foster St. Lewisburg, WV 24901	Repair of Church Cupola	\$10,000.00
Joyful Noise After School P.O. Box 624 Lewisburg, WV 24901	Enrichment programs, educational materials, etc.	\$15,000.00
City of Lewisburg 942 Washington St. W. Lewisburg, WV 24901	Hollowell Park facility improvements	\$39,000.00
Old Stone Presbyterian 644 Church St. Lewisburg, WV 24901	Lewisburg/Fairlea Food Locker	\$3,000.00
The Lewisburg Foundation P.O. Box 1755 Lewisburg, WV 24901	Maintenance and beautification projects	\$3,500.00
Alderson Public Library 115 Walnut St. Alderson, WV 24910	Outdoor lighting, television, DVD player and office shelving	\$2,000.00

Grand Total		\$62,500.00
-------------	--	-------------

Make-A-Wish 888 Oakwood Rd., Suite 330 Charleston, WV 25314	Greenbrier County child's wish	\$500.00
NRCTC Foundation, Inc. 280 University Drive Beaver, WV 25813	Current Scholarships and Scholarship Endowment	\$30,000.00 \$100,000.00
N. Greenbrier Latchkey Kids P.O. Box 28 Frankford, WV 24938	Salaries & kitchen improvements	\$4,000.00
Pack a Snack Program 732 Main Street Rainelle, WV 25962	Snacks for needy children	\$3,000.00
Town of Rainelle P.O. Box 625 Rainelle, WV 25962	Visitor Information Center building construction	\$10,000.00
Renick Community Center 217 Huff Street Renick, WV 24966	Building debt retirement	\$10,000.00
City of Ronceverte P.O. Box 417 Ronceverte, WV 24970	Trench box system	\$5,265.00

Non-urgent Development Case

Landscaping and development

\$25,000.00

Wellspring of Greenbrier 524 Nicholas St. Rupert, WV 25984	Materials for home improvement projects	\$10,000.00
City of White Sulphur Springs 589 Main Street W. White Sulphur Springs, WV 24986	Electrical panel box upgrades and LED lighting at ball fields	\$30,000.00
Main St. White Sulphur Springs The Wish Center P.O. Box 181 White Sulphur Springs, WV 24986	Commercial kitchen equipment	\$7,070.00
Williamsburg Community Action P.O. Box 185 Williamsburg, WV 24991	Kitchen and restroom upgrades	\$12,000.00
Young Life Greenbrier County P.O. Box 1330 Lewisburg, WV 24901	Salaries, Administrative costs, program and fundraising expense	<u>\$15,000.00</u>
TOTAL		\$853,000.00