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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017 or tax year beginning 07/01, 2017, and ending 06/30, 2018

Name of foundation: SIDNEY R BAER JR FOUNDATION

A Employer identification number: 43-6829338

Number and street (or P O box number if mail is not delivered to street address): PO BOX 0634

Room/suite:

B Telephone number (see instructions): 314-418-2643

City or town, state or province, country, and ZIP or foreign postal code: MILWAUKEE, WI 53201-0634

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 44,314,694.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc, received (attach schedule)				
	2	Check ▶ [X] if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	832,178.	824,378.		STMT 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	2,337,797.			
	b	Gross sales price for all assets on line 6a 7,201,231				
	7	Capital gain net income (from Part IV, line 2)		2,337,797.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	42,919.	42,919.		STMT 2
	12	**Total** Add lines 1 through 11	3,212,894.	3,205,094.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	634,778.	571,301.		63,477.
	14	Other employee salaries and wages		NONE	NONE	
	15	Pension plans, employee benefits		NONE	NONE	
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) STMT 3	2,500.	NONE	NONE	2,500.
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions) STMT 4	30,085.	18,219.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings		NONE	NONE	
	22	Printing and publications		NONE	NONE	
	23	Other expenses (attach schedule) STMT 5	31,192.	6,711.		24,481.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	698,555.	596,231.	NONE	90,458.
	25	Contributions, gifts, grants paid	2,649,988.			2,649,988.
	26	**Total expenses and disbursements** Add lines 24 and 25	3,348,543.	596,231.	NONE	2,740,446.
	27	Subtract line 26 from line 12.				
	a	**Excess of revenue over expenses and disbursements**	-135,649.			
	b	**Net investment income** (if negative, enter -0-)		2,608,863.		
	c	**Adjusted net income** (if negative, enter -0-)				

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JSA For Paperwork Reduction Act Notice, see instructions.
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Form **990-PF** (2017)

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets 1	Cash - non-interest-bearing	952.	-164.	-164.
2	Savings and temporary cash investments	2,318,699.	1,489,132.	1,489,132.
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶ NONE			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments - U S and state government obligations (attach schedule)			
b	Investments - corporate stock (attach schedule) STMT 6	21,763,571.	17,605,636.	35,943,329.
c	Investments - corporate bonds (attach schedule) STMT 10		4,832,048.	4,732,068.
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans			
13	Investments - other (attach schedule) STMT 11	1,452,018.	1,425,227.	2,150,329.
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	25,535,240.	25,351,879.	44,314,694.
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26, and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31			
27	Capital stock, trust principal, or current funds	25,535,240.	25,351,879.	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	25,535,240.	25,351,879.	
31	**Total liabilities and net assets/fund balances** (see instructions)	25,535,240.	25,351,879.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	25,535,240.
2	Enter amount from Part I, line 27a	2	-135,649.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	25,399,591.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 13	5	47,712.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	25,351,879.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a	7,201,231.		4,863,434.	2,337,797.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				2,337,797.
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	2,337,797.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) See instructions. If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	2,469,209.	39,734,955.	0.062142
2015	2,600,619.	38,757,353.	0.067100
2014	2,776,591.	42,168,908.	0.065845
2013	2,405,369.	42,058,682.	0.057191
2012	2,364,843.	41,541,598.	0.056927

2	**Total** of line 1, column (d)	2	0.309205
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.061841
4	Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	42,334,813.
5	Multiply line 4 by line 3	5	2,618,027.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	26,089.
7	Add lines 5 and 6	7	2,644,116.
8	Enter qualifying distributions from Part XII, line 4	8	2,740,446.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

3

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1 . . . Date of ruling or determination letter ______ (attach copy of letter if necessary - see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b			1	26,089.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)			2	NONE
3	Add lines 1 and 2			3	26,089.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)			4	NONE
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-			5	26,089.
6	Credits/Payments				
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	12,327.		
b	Exempt foreign organizations - tax withheld at source	6b	NONE		
c	Tax paid with application for extension of time to file (Form 8868)	6c	NONE		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	12,327.
8	Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached			8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	13,762.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2018 estimated tax** ▶ NONE **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered See instructions ▶ MA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

2

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ US BANK NA Telephone no ▶ (314)418-2643

Located at ▶ PO BOX 387, ST LOUIS, MO ZIP+4 ▶ 63166

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year, did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☒ No If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year, did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
US BANK PO BOX 387, ST LOUIS, MO 63166	TRUSTEE 1	507,822.	-0-	-0-
GEORGE B HANDRAN PO BOX 8009, BOSTON, MA 02114	CO-TRUSTEE 1	126,956.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over $50,000 for professional services . . . ▶ NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a	Average monthly fair market value of securities	1a	42,353,057.
b	Average of monthly cash balances	1b	626,449.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	42,979,506.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	42,979,506.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	644,693.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	42,334,813.
6	**Minimum investment return.** Enter 5% of line 5	6	2,116,741.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	2,116,741.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	26,089.		
b	Income tax for 2017 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	26,089.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	2,090,652.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	2,090,652.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	2,090,652.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,740,446.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the.		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,740,446.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	26,089.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,714,357.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				2,090,652.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			NONE	
b Total for prior years 20____,20____,20____		NONE		
3 Excess distributions carryover, if any, to 2017				
a From 2012 . . . 292,019.				
b From 2013 . . . 305,927.				
c From 2014 . . . 680,858.				
d From 2015 . . . 615,463.				
e From 2016 . . . 494,801.				
f **Total** of lines 3a through e	2,389,068.			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ $ 2,740,446.				
a Applied to 2016, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2017 distributable amount				2,090,652.
e Remaining amount distributed out of corpus	649,794.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,038,862.			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)	292,019.			
9 **Excess distributions carryover to 2018.** Subtract lines 7 and 8 from line 6a	2,746,843.			
10 Analysis of line 9				
a Excess from 2013 . . . 305,927.				
b Excess from 2014 . . . 680,858.				
c Excess from 2015 . . . 615,463.				
d Excess from 2016 . . . 494,801.				
e Excess from 2017 . . . 649,794.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc , Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc , to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 14

b The form in which applications should be submitted and information and materials they should include·

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 24				2,649,988.
Total . . . . . . ▶ **3a**				2,649,988.
b *Approved for future payment*				
Total . . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	832,178.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	2,337,797.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b IDR CORE EQUITY ST			1	42,919.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				3,212,894.	

13 Total. Add line 12, columns (b), (d), and (e) . . . . . 13 3,212,894.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Barbara Stokey | 11/04/2018 | TRUSTEE

Signature of officer or trustee | Date | Title

U.S. BANK, N.A. BY:

May the IRS discuss this return with the preparer shown below? See instructions ☒ Yes ☐ No

Paid Preparer Use Only				
Print/Type preparer's name: JOSEPH J. CASTRIANO	Preparer's signature	Date: 11/04/2018	Check ☒ if self-employed	PTIN: P01251603
Firm's name ▶ PRICEWATERHOUSECOOPERS LLP			Firm's EIN ▶ 13-4008324	
Firm's address ▶ 600 GRANT STREET PITTSBURGH, PA 15219			Phone no 412-355-6000	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
USGI REPORTED AS NONQUALIFIED DIVIDENDS	5,029.	5,029.
FOREIGN DIVIDENDS	186,101.	186,101.
NONDIVIDEND DISTRIBUTIONS	7,800.	
DOMESTIC DIVIDENDS	316,750.	316,750.
NONQUALIFIED FOREIGN DIVIDENDS	11,634.	11,634.
NONQUALIFIED DOMESTIC DIVIDENDS	304,863.	304,863.
CORPORATE INTEREST	1.	1.
TOTAL	832,178.	824,378.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
IDR CORE EQUITY STRATEGY 2010	42,919.	42,919.
TOTALS	42,919.	42,919.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE (NON-ALLOC	2,500.			2,500.
TOTALS	2,500.	NONE	NONE	2,500.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	16,725.	16,725.
FEDERAL TAX PAYMENT - PRIOR YE	2,625.	
FEDERAL ESTIMATES - PRINCIPAL	9,241.	
FOREIGN TAXES ON NONQUALIFIED	1,494.	1,494.
TOTALS	30,085.	18,219.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
INVESTMENT FEES	2,802.	2,802.	
WIRE FEE	20.	20.	
WEBSITE MAINTENANCE	4,900.		4,900.
OTHER CHARITABLE EXPENSES	19,581.		19,581.
IDR CORE EQUITY STRATEGY 2010	3,889.	3,889.	
TOTALS	31,192.	6,711.	24,481.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
03073E105 AMERISOURCEBERGEN CO	151,296.	151,296.	341,080.
620076307 MOTOROLA SOLUTIONS I	95,975.		
49456B101 KINDER MORGAN INC	163,400.		
00206R102 ATT INC	64,900.		
263534109 DU PONT E I DE NEMOU	165,688.		
913017109 UNITED TECHNOLOGIES	54,851.	33,043.	125,030.
595017104 MICROCHIP TECHNOLOGY	147,372.	56,406.	181,900.
30231G102 EXXON MOBIL CORP	179,995.		
464287804 I SHARES S P SMALLC	345,053.	345,053.	417,300.
46625H100 J P MORGAN CHASE CO	133,038.	133,038.	416,800.
464287234 ISHARES MSCI EMERGIN	1,662,155.	1,662,155.	1,733,200.
009158106 AIR PRODS CHEMICALS	34,749.		
723787107 PIONEER NAT RES CO	393,468.	393,468.	756,960.
91324P102 UNITED HEALTH GROUP	59,139.	159,158.	490,680.
166764100 CHEVRON CORPORATION	201,390.		
921910501 VANGUARD INTL GRWTH	300,000.	300,000.	439,835.
02079K107 ALPHABET INC CL C	148,652.	148,652.	558,941.
001317205 AIA GROUP LTD ADR	203,082.	203,082.	528,772.
025816109 AMERICAN EXPRESS CO	239,220.	239,220.	392,000.
580135101 MCDONALDS CORP	93,057.	93,057.	391,725.
713448108 PEPSICO INC	204,351.	240,529.	435,480.
437076102 HOME DEPOT INC	203,973.	203,973.	768,694.
577933104 MAXIMUS INC	22,956.		
91913Y100 VALERO ENERGY CORP	113,780.		
G1151C101 ACCENTURE PLC CL A	228,274.	228,274.	572,565.
29444U700 EQUINIX INC	268,958.	165,421.	429,890.
64110L106 NETFLIX.COM INC	208,866.	301,654.	978,575.
037833100 APPLE INC	483,696.	483,696.	2,313,875.
579780206 MCCORMICK CO NON VTG	115,791.	115,791.	232,180.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
806857108 SCHLUMBERGER LTD	259,861.	259,861.	323,956.
654106103 NIKE INC	122,125.	122,125.	717,120.
001055102 AFLAC INC	201,884.	102,625.	215,100.
731068102 POLARIS INDS INC	176,752.	141,345.	244,360.
358029106 FRESENIUS MED AKTIEN	231,578.	231,578.	352,450.
101121101 BOSTON PPTYS INC	572,518.	572,518.	627,100.
502117203 LOREAL UNSPONSORED A	236,322.	236,322.	537,794.
89417E109 TRAVELERS COS INC	189,892.	158,243.	305,850.
02079K305 ALPHABET INC CL A	290,430.	533,241.	1,129,190.
143658300 CARNIVAL CORP	243,317.	243,317.	286,550.
641069406 NESTLE SA SPONSORED	281,519.	281,519.	486,957.
66987V109 NOVARTIS AG A D R	201,579.	201,579.	226,620.
74442J307 PRUDENTIAL SHORT DUR	515,348.		
G29183103 EATON CORP PLC	328,188.	320,388.	485,810.
670678507 NUVEEN REAL ESTATE S	1,729,416.	1,158,229.	1,067,312.
024835100 AMERICAN CAMPUS COMM	242,610.	350,332.	321,600.
16119P108 CHARTER COMMUNICATIO	94,271.	94,271.	146,605.
03076C106 AMERIPRISE FINL INC	85,989.	58,055.	139,880.
254687106 DISNEY WALT CO	410,854.	410,854.	419,240.
918204108 V F CORP	220,886.	177,540.	407,600.
294429105 EQUIFAX INC	202,884.		
594918104 MICROSOFT CORP	177,195.	177,195.	739,575.
806407102 SCHEIN HENRY INC COM	104,611.		
518439104 LAUDER ESTEE COS INC	216,908.	134,358.	428,070.
428291108 HEXCEL CORP NEW	171,453.		
136375102 CANADIAN NATL RY CO	125,801.	62,900.	122,625.
09247X101 BLACKROCK INC	189,814.	189,814.	548,944.
21036P108 CONSTELLATION BRANDS	164,711.	164,711.	218,870.
988498101 YUM BRANDS INC	115,681.		

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
741503403 THE PRICELINE GROUP	117,027.		
68381K606 OPPENHEIMER SENIOR F	529,852.		
19765N518 COLUMBIA INCOME FD C	1,011,506.		
369604103 GENERAL ELECTRIC CO	90,758.		
907818108 UNION PACIFIC CORP	136,393.	136,393.	637,560.
775711104 ROLLINS INC	48,007.	34,291.	262,900.
237545108 DASSAULT SYSTEMES SA	64,737.	64,737.	280,710.
023135106 AMAZON.COM INC	393,386.	393,386.	2,124,750.
151020104 CELGENE CORPORATION	67,175.	67,175.	198,550.
949746101 WELLS FARGO CO	124,515.	82,875.	194,040.
009126202 AIR LIQUIDE S A A D	261,574.	261,574.	401,626.
464287465 ISHARES MSCI EAFE ET	359,171.	359,171.	428,608.
317485100 FINANCIAL ENGINES IN	155,980.	155,980.	336,750.
12572Q105 CME GROUP INC	106,100.	106,100.	327,840.
00287Y109 ABBVIE INC	216,838.	216,838.	463,250.
024932600 AMER CENT DIVERSIFI	1,345,432.		
H1467J104 CHUBB LTD	286,764.	286,764.	254,040.
Y09827109 PAVONIA LTD	260,635.		
74340W103 PROLOGIS INC	294,978.	909,930.	985,350.
002824100 ABBOTT LABORATORIES	70,987.		
717081103 PFIZER INC	230,580.	230,580.	453,500.
742718109 PROCTER GAMBLE CO	203,442.		
156782104 CERNER CORP COM	76,245.	42,802.	179,370.
235851102 DANAHER CORP	168,585.	168,585.	493,400.
803054204 SAP SE SPONSORED A D	259,221.	259,221.	535,159.
099724106 BORG WARNER INC	53,362.		
874039100 TAIWAN SEMICONDUCTOR	38,799.	38,799.	152,455.
74460D109 PUBLIC STORAGE INC		483,024.	567,150.
03027X100 AMERICAN TOWER CORP		353,776.	360,425.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
26078J100 DOWDUPONT INC		201,993.	329,600.
79466L302 SALESFORCE COM INC		334,094.	341,000.
11135F101 BROADCOM INC		260,635.	1,819,800.
09857L108 BOOKING HOLDINGS INC		117,027.	810,836.
TOTALS	21,763,571.	17,605,636.	35,943,329.

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
72369L107 PIONEER ILS INTERVAL	500,000.	512,685.
74442J406 PRUDENTIAL SHORT DUR	1,355,960.	1,343,592.
19765N518 COLUMBIA INCOME FD C	1,598,196.	1,552,980.
024932600 AMER CENT DIVERSIFI	1,377,892.	1,322,811.
TOTALS	4,832,048.	4,732,068.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
02079K9ET CALL ON GOOG 05/30/1	C	197.		
4642879MF PUT ON EFA 01/26/18	C	39,942.		
2358519EW CALL ON DHR 05/30/18	C	5,484.		
09247X9EW CALL ON BLK 05/30/18	C	3,777.		
2944299EW CALL ON EFX 05/30/18	C	8,761.		
Y098279EE CALL ON AVGO 05/30/1	C	-123,127.		
7134489EW CALL ON PEP 05/30/18	C	4,904.		
G1151C9EW CALL ON ACN 05/30/18	C	4,088.		
Y098279EW CALL ON AVGO 05/30/1	C	104,937.		
02079K9EW CALL ON GOOGL 05/30/	C	635.		
4642879MM PUT ON EFA 01/26/18	C	-20,881.		
98MSCDF44 GCA CREDIT OPP FUND	C	1,000,000.	1,000,000.	1,425,688.
6541069EW CALL ON NKE 05/30/18	C	687.		
2546879EW CALL ON DIS 05/30/18	C	2,649.		
7415039EW CALL ON PCLN 05/30/1	C	-3,133.		
0231359EW CALL ON AMZN 05/30/1	C	-11,470.		
3174859EW CALL ON FNGN 05/30/1	C	-7,087.		
9078189EW CALL ON UNP 05/30/18	C	-1,289.		
4370769EW CALL ON HD 05/30/18	C	4,360.		
7170819EW CALL ON PFE 05/30/18	C	4,106.		
G291839EW CALL ON ETN 05/30/18	C	1,803.		
0378339EW CALL ON AAPL 05/30/1	C	-7,300.		
5949189EW CALL ON MSFT 05/30/1	C	1,713.		
4642879EW CALL ON EEM 05/30/18	C	11,633.		
98MSCFFU1 IDR CORE EQUITY RE S	C	434,259.	425,112.	756,570.
7237879EW CALL ON PXD 05/30/18	C	-7,630.		
4642879MG PUT ON EFA 01/24/19	C		-7,183.	-9,293.
4642879A0 CALL ON EFA 01/24/19	C		-44,263.	-42,691.
4642879AH CALL ON EFA 01/08/16	C		-22,016.	-31,904.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
4642879AG CALL ON EFA 01/09/17	C		2,897.	
4642879MH PUT ON EFA 01/08/16	C		70,680.	51,959.
TOTALS		1,452,018.	1,425,227.	2,150,329.

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
EXPIRED PUT & CALL OPTIONS	26,614.
EATON CORP PLC ADJ FED TAX COST 2016 ROC	14,178.
MICROCHIP TECHNOLOGY INC ADJ FED TAX COS	6,357.
WASH SALE ADJUSTMENT	121.
COST BASIS ADJUSTMENT	442.
TOTAL	47,712.

RECIPIENT NAME:
GEORGE B HANDRAN
ADDRESS:
6 BEACON STREET STE 920
BOSTON, MA 02108
RECIPIENT'S PHONE NUMBER: 617-523-1855
FORM, INFORMATION AND MATERIALS:
LETTER OF INQUIRY
SUBMISSION DEADLINES:
NONE
RESTRICTIONS OR LIMITATIONS ON AWARDS:
TO 501(C)(3) ORGANIZATIONS THAT ENGAGE OR
INVOLVE IN MENTAL HEALTH

RECIPIENT NAME:
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
ADDRESS:
9500 GILMAN ROAD MAIL CODE 0804
OAKLAND, CA 94607
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 65,000.

RECIPIENT NAME:
CLUB NOVA COMMUNITY, INC
ADDRESS:
103 D. WEST MAIN STREET
CARRBORO, NC 27510
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP FUND
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 25,000.

RECIPIENT NAME:
THE UMASS MEMORIAL FOUNDATION
ADDRESS:
333 SOUTH STREET
SHREWBURY, MA 01545
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SIBLING SUPPORT PROG/DEV EARLY PSYCHOSIS
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 130,479.

RECIPIENT NAME:
UNIVERSITY OF SOUTHERN CALIFORNIA
DEPT. OF CONTRACTS AND GRANTS
ADDRESS:
3720 SOUTH FLOWER STREET
LOS ANGELES, CA 90089-1147
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PILOT RESEARCH PROGRAM/MENTAL HEALTH LAW,
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 125,000.

RECIPIENT NAME:
BRIGHAM AND WOMENS HOSPITAL, INC
ADDRESS:
116 HUNTINGTON AVENUE - 3RD FLOOR
BOSTON, MA 02116
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
NEUROPSYCHIATRY FELLOWSHIP PROGRAM & FACULTY
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 125,000.

RECIPIENT NAME:
MCLEAN HOSPITAL CORPORATION
ADDRESS:
115 MILL STREET, MAIL STOP 126
BELMONT, MA 02478-9106
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
BEHAVIORAL NEUROLOGY & NEUROPSYCHIATRY
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 95,000.

RECIPIENT NAME:
MAGNOLIA CLUBHOUSE INC
ADDRESS:
11101 MAGNOLIA DR
CLEVELAND, OH 44106
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 10,000.

RECIPIENT NAME:
NAMI ST. LOUIS
ADDRESS:
1810 CRAIG ROAD
MARYLAND HEIGHTS, MO 63146
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 5,000.

RECIPIENT NAME:
ST ANTHONY'S CHARITABLE FOUNDATION
ADDRESS:
10010 KENNERLY ROAD
ST LOUIS, MO 63128
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 25,000.

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RECIPIENT NAME:
CATHOLIC FAMILY SERVICES
ADDRESS:
9200 WATSON ROAD
ST LOUIS, MO 63126
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 25,000.

RECIPIENT NAME:
TRUSTEES OF BOSTON UNIVERSITY
ADDRESS:
940 COMMONWEALTH AVENUE WEST
BOSTON, MA 02215
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 100,000.

RECIPIENT NAME:
MASSACHUSETTS GENERAL HOSPITAL
ADDRESS:
55 FRUIT STREET
BOSTON, MA 02114
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
YOUNG INVESTIGATOR/ENHANCEMENT/PR
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 188,696.

RECIPIENT NAME:
BAY COVE HUMAN SERVICES, INC
ADDRESS:
66 CANAL STREET
BOSTON, MA 02114
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PHASE II CAREER
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 50,000.

RECIPIENT NAME:
PLACES FOR PEOPLE INCORPORATED
ADDRESS:
4130 LINDELL BLVD
ST LOUIS, MO 63110
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 50,000.

RECIPIENT NAME:
AMERICAN NEUROPSYCHIATRIC ASSOC
ADDRESS:
12301 MAIN STREET
HOUSTON, TX 77035
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
ADVANCEMENT OF 2 FELLOWSHIPS
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 20,000.

RECIPIENT NAME:
RHODE ISLAND HOSPITAL
ADDRESS:
593 EDDY STREET -APC 9
PROVIDENCE, RI 02903
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 80,000.

RECIPIENT NAME:
TRUSTEES OF THE UNIVERSITY OF
PENNSYLVANIA
ADDRESS:
3451 WALNUT STREET, P-221
PHILADELPHIA, PA 19104-6205
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 80,000.

RECIPIENT NAME:
INDEPENDENCE CENTER
ADDRESS:
4245 FOREST PARK AVENUE
ST LOUIS, MO 63108
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EDUCATION PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 55,000.

RECIPIENT NAME:
FOUNTAIN HOUSE INC
ADDRESS:
425 WEST 47TH STREET
NEW YORK, NY 10036
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 206,369.

RECIPIENT NAME:
THE UNIVERSITY OF ARIZONA
ADDRESS:
P O BOX 210109
TUCSON, AZ 85721
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 87,500.

RECIPIENT NAME:
THE CENTER FOR REINTEGRATION, INC.
ADDRESS:
347 W. 37TH STREET, FLOOR 1
NEW YORK, NY 10018
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIPS, SALARIES, JUDGES, OTHER EXPENSE
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 300,000.

RECIPIENT NAME:
CHILDRENS HOSPITAL CORPORATION
ADDRESS:
300 LONGWOOD AVENUE
BOSTON, MA 02115
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
BAER PREVENT. INITIATIVE
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 180,000.

RECIPIENT NAME:
RX OUTREACH INC
ADDRESS:
3171 RIVERFRONT TECH DRIVE
MARYLAND HEIGHTS, MO 63043
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 75,000.

RECIPIENT NAME:
ICAHN SCHOOL OF MEDICINE MOUNT SINAI
ADDRESS:
ONE GUSTAVE L. LEVY PLACE
NEW YORK, NY 10029
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
COGNITIVE BEHAVIORAL PREVENTION PROGRAM FOR Y
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 75,000.

RECIPIENT NAME:
BETH ISRAEL DEACONESS MEDICAL CENTER
ADDRESS:
330 BROOKLINE AVENUE (BR)
BOSTON, MA 02115
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SOCIAL & ROLE FUNCTIONING-EARLY PSYCHOSIS
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 245,030.

RECIPIENT NAME:
THE HERON FUND
D/B/A THE AQUA EFFECT
ADDRESS:
14798 BROOK HILL DRIVE
CHESTERFIELD, MO 63017
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 100,000.

RECIPIENT NAME:
ST. PATRICK CENTER
ADDRESS:
800 NORTH TUCKER
ST. LOUIS, MO 63101
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
M.H. IMPROVEMENT
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 25,000.

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RECIPIENT NAME:
GENESIS CLUB HOUSE, INC
ADDRESS:
274 LINCOLN STREET
WORCESTER, MA 01605
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EDUCATION & SUPPORT PROG
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 50,000.

RECIPIENT NAME:
CURATORS OF THE UNIVERSITY OF MO
ADDRESS:
ONE UNIVERSITY BLVD
ST LOUIS, MO 63121
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 36,914.

RECIPIENT NAME:
VENTURE HOUSE, INC
ADDRESS:
150-10 HILLSIDE AVENUE
JAMAICA, NY 11432
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 15,000.

TOTAL GRANTS PAID: 2,649,988.