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Form **990-PF**

Return of Private Foundation

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

2017

Open to Public Inspection

For calendar year 2017 or tax year beginning 07/01, 2017, and ending 06/30, 2018

Name of foundation THE PATTIS FAMILY FOUNDATION		A Employer identification number 36-3433310
Number and street (or P O box number if mail is not delivered to street address) 600 CENTRAL AVENUE, SUITE 316		B Telephone number (see instructions) (847) 432-8700
City or town, state or province, country, and ZIP or foreign postal code HIGHLAND PARK, IL 60035		C If exemption application is pending, check here. ☐ D 1 Foreign organizations, check here. ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here. ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. ☐
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change	☐ Initial return of a former public charity ☐ Amended return ☐ Name change	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 8,381,946. J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	116,295.			
	2 Check ☐ if the foundation is not required to attach Sch. B.				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	221,098.	221,098.		ATCH 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	362,950.			
	b Gross sales price for all assets on line 6a	362,950.			
	7 Capital gain net income (from Part IV, line 2)		362,950.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) ATCH. 2	-98,876.	70,400.		
	12 Total. Add lines 1 through 11	601,467.	654,448.		
	13 Compensation of officers, directors, trustees, etc.	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) [3]	16,760.		1,632.	28.
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH. 4	944.			
	24 Total operating and administrative expenses. Add lines 13 through 23.	17,704.		1,632.	28.
	25 Contributions, gifts, grants paid	378,675.			378,675.
	26 Total expenses and disbursements. Add lines 24 and 25	396,379.		1,632.	378,703.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	205,088.			
		b Net investment income (if negative, enter -0-)	654,448.		
		c Adjusted net income (if negative, enter -0-)			

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Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	46,548.	100,203.	100,203.
	2 Savings and temporary cash investments	808,730.	215,882.	215,882.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable.			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use.			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations (attach schedule).			
	b Investments - corporate stock (attach schedule) ATCH 5	1,656,284.	1,664,923.	1,664,923.
	c Investments - corporate bonds (attach schedule) ATCH 6	1,168,411.	201,526.	201,526.
	11 Investments - land, buildings, and equipment basis	14,650.		
Liabilities	Less accumulated depreciation (attach schedule) ▶	14,650.		
	12 Investments - mortgage loans.			
	13 Investments - other (attach schedule) ATCH 7	4,497,885.	6,199,412.	6,199,412.
	14 Land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	8,177,858.	8,381,946.	8,381,946.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons.			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here ☐			
	and complete lines 24 through 26, and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ X			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	8,176,858.	8,381,946.	
	30 Total net assets or fund balances (see instructions)	8,176,858.	8,381,946.	
	31 Total liabilities and net assets/fund balances (see instructions)	8,176,858.	8,381,946.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return).	1	8,176,858.
2 Enter amount from Part I, line 27a.	2	205,088.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	8,381,946.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8,381,946.

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Part IV Capital Gains and Losses for Tax on Investment Income(a) List and describe the kind(s) of property sold (for example, real estate,
2-story brick warehouse, or common stock, 200 shs MLC Co.)(b) How
acquired
P - Purchase
D - Donation(c) Date acquired
(mo., day, yr.)(d) Date sold
(mo., day, yr.)**1 a** SEE PART IV SCHEDULE**b****c****d****e**

(e) Gross sales price

(f) Depreciation allowed
(or allowable)(g) Cost or other basis
plus expense of sale(h) Gain or (loss)
(e) plus (f) minus (g)**a****b****c****d****e**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69

(j) Adjusted basis
as of 12/31/69(k) Excess of col. (i)
over col. (j), if any(l) Gains (Col. (h) gain minus
col. (k), but not less than -0-) or
Losses (from col. (h))**a****b****c****d****e****2** Capital gain net income or (net capital loss){ If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7 }**2**

362,950.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in
Part I, line 8 }**3**

0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	334,600.	7,781,527.	0.042999
2015	301,350.	6,805,620.	0.044280
2014	268,634.	6,518,587.	0.041210
2013	88,435.	5,812,666.	0.015214
2012	113,820.	4,557,514.	0.024974

2 Total of line 1, column (d)**2**

0.168677

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by
the number of years the foundation has been in existence if less than 5 years**3**

0.033735

4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5**4**

8,253,552.

5 Multiply line 4 by line 3.**5**

278,434.

6 Enter 1% of net investment income (1% of Part I, line 27b).**6**

6,544.

7 Add lines 5 and 6.**7**

284,978.

8 Enter qualifying distributions from Part XII, line 4.**8**

378,703.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the
Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	6,544.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	
3 Add lines 1 and 2		3	6,544.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	6,544.
6 Credits/Payments			
a 2017 estimated tax payments and 2016 overpayment credited to 2017	6a	8,367.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	5,500.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	13,867.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	7,323.	
11 Enter the amount of line 10 to be Credited to 2018 estimated tax ☒ 7,323. Refunded ☐ ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ (2) On foundation managers ☒ \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes. ATTCH 8	X	
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions ☒ IL, ☐		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	X	
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ MARK R. PATTIS Telephone no ▶ 847-432-8700 Located at ▶ 600 CENTRAL AVENUE, SUITE 316 HIGHLAND PARK, IL ZIP+4 ▶ 60035		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year		15
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		1b
Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?	☐ Yes ☒ No	
If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)		2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)		3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		4b X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year, did the foundation pay or incur any amount to		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No	
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes	☒ No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No	
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.	5b		
	Organizations relying on a current notice regarding disaster assistance, check here	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No	
	If "Yes," attach the statement required by Regulations section 53.4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		
	If "Yes" to 6b, file Form 8870	☒		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No	
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	

Total. Add lines 1 through 3 ▶

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	7,117,564.
b	Average of monthly cash balances	1b	1,211,180.
c	Fair market value of all other assets (see instructions).	1c	50,497.
d	Total (add lines 1a, b, and c)	1d	8,379,241.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	8,379,241.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions).	4	125,689.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	8,253,552.
6	Minimum investment return. Enter 5% of line 5	6	412,678.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	412,678.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	6,544.
b	Income tax for 2017 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	6,544.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	406,134.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	406,134.
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	406,134.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	378,703.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	378,703.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	6,544.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	372,159.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				406,134.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			366,525.	
b Total for prior years 20 15 , 20 14 , 20 13				
3 Excess distributions carryover, if any, to 2017				
a From 2012				
b From 2013				
c From 2014				
d From 2015				
e From 2016				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 378,703.				
a Applied to 2016, but not more than line 2a			366,525.	
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions).				
d Applied to 2017 distributable amount.				12,178.
e Remaining amount distributed out of corpus.				
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018.				393,956.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2013				
b Excess from 2014				
c Excess from 2015				
d Excess from 2016				
e Excess from 2017				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year				(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year ATCH 12				
Total			▶ 3a	378,675.
b Approved for future payment				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments .					
4 Dividends and interest from securities			14	221,097.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	525990	157.	14	-99,032.	
8 Gain or (loss) from sales of assets other than inventory			18	362,950.	
9 Net income or (loss) from special events . . .					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		157.		485,015.	
13 Total. Add line 12, columns (b), (d), and (e)					485,172.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- | | Yes | No |
|--|-------|----|
| a Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| (1) Cash | 1a(1) | X |
| (2) Other assets | 1a(2) | X |
| b Other transactions | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| (4) Reimbursement arrangements | 1b(4) | X |
| (5) Loans or loan guarantees | 1b(5) | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
- d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

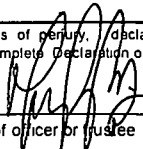
(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

- 2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b If "Yes," complete the following schedule

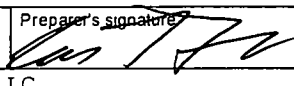
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, declare that I have examined this return, including accompanying schedules and statements and to the best of my knowledge and belief it is true, correct and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here  Date 2/18/19 Title President

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below? See instructions ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature 	Date	Check ☐ if self-employed	PTIN
	CONOR LEFEVOUR		2/13/19		P01497330
	Firm's name	ANDERSEN TAX LLC		Firm's EIN	33-1197384
	Firm's address	71 SOUTH WACKER DRIVE, SUITE 2600 CHICAGO, IL 60606		Phone no	312-357-3950

Form 990-PF (2017)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2017

Name of the organization

THE PATTIS FAMILY FOUNDATION

Employer identification number

36-3433310

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **THE PATTIS FAMILY FOUNDATION**Employer identification number
36-3433310**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	BETTE L. PATTIS 600 CENTRAL AVENUE SUITE 316 HIGHLAND PARK, IL 60035	\$ 116,295.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Employer identification number

36-3433310

Part II

[illegible]

Name of organization THE PATTIS FAMILY FOUNDATION

Employer identification number

36-3433310

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

ATTACHMENT 1FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
ORBIMED	4,843.	4,843.
CVI CREDIT VALUE FUND	14,729.	14,729.
CIP VI INSTITUTIONAL FEEDER	356.	356.
VOGEN FUNDING	523.	523.
BURNHAM SECURITIES	77,104.	77,104.
CAPITALSPRING DIRECT LENDING	32,424.	32,424.
FIDELITY	75,446.	75,446.
WESTERN	363.	363.
RIVER NORTH INSTITUTIONAL PARTNERS	15,310.	15,310.
TOTAL	<u>221,098.</u>	<u>221,098.</u>

ATTACHMENT 2FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
RUSSIA PARTNERS II EPAM FUND, LP	88,409.	88,409.
VOGEN FUNDING, LP	1,478.	1,478.
CAPITALSPRING DIRECT LENDING	-1,540.	-1,540.
RIVERNORTH INSTITUTIONAL PARTNERS	-11,928.	-11,928.
OAKSTREET REAL ESTATE FUND LC LP	-119.	-119.
ROYALTY INCOME - MCGRAW HILL	1.	1.
ORBIMED PARTNERS	-8,762.	-8,762.
NEWSER		-25.
ROYALTY INCOME - ORBIMED PARTNERS	337.	337.
CVI CREDIT VALUE FUND	-20.	-20.
CIP VI INSTITUTIONAL FEEDER FUND	2,569.	2,569.
RUSSIA PARTNERS II EPAM FUND, LP	-264,054.	
VOGEN FUNDING, LP	-1,641.	
ARCUS ZENSEN FUND	12,320.	
CAPITALSPRING	-5,800.	
OAK STREET REAL ESTATE IV	3,270.	
ALDEN GLOBAL CRE OPP FUND CAYMAN	-24,523.	
CITADEL	108,983.	
FIRST LIGHT FOCUS FUND LP	38,925.	
DALTON HIGH YIELD OFFSHORE	68,628.	
ORBIMED PARTNERS	29,177.	
RIVER NORTH INST. PRNRS	17,137.	
WHITEBOX RELATIVE VALUE	24,874.	
FIDELITY	-108,915.	
WESTERN	-78,911.	
CERBERUS VI INSTITUTIONAL	-38,138.	
CVI CREDIT VALUE FUND	40,901.	
CVI CREDIT VALUE FUND B IV	1,443.	
HILDENE OPPORTUNITIES FUND	7,023.	
TOTALS	<u>-98,876.</u>	<u>70,400.</u>

ATTACHMENT 3FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
FILING FEE	28.		28.
FEDERAL TAXES PAID	15,100.		
OTHER TAX	1,632.	1,632.	
TOTALS	<u>16,760.</u>	<u>1,632.</u>	<u>28.</u>

ATTACHMENT 4FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
MISC. EXPENSES	944.
TOTALS	<u>944.</u>

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 5

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SPDR TRUST UNIT SR 1	617,162.	617,162.
SOLAR SENIOR CAP	73,395.	73,395.
SPDR GOLD TR GOLD SHS	234,334.	234,334.
RANGE RESOURCES CORP.	194,570.	194,570.
SOLAR CAP LTD COM	40,880.	40,880.
VANECK VECTORS ETF TR GOLD	64,699.	64,699.
BLACKSTONE MORTGAGE TRU-CL A	154,007.	154,007.
ISHARES S&P 100 EFT	170,430.	170,430.
WISDOMTREE TRUST JAPAN HEDGE	35,087.	35,087.
SPDR SER TR S&P OIL & GAS	43,060.	43,060.
EPAM SYS INC COM	37,299.	37,299.
TOTALS	<u>1,664,923.</u>	<u>1,664,923.</u>

FORM 990PF, PART II - CORPORATE BONDSATTACHMENT 6

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
THIRD AVENUE FOCSED CREDIT INV	1,549.	1,549.
FEDL NATL MTG ASSN SER SMBS-34	461.	461.
CWALT INC.	1,924.	1,924.
CSMC MORTGAGE BACKED	591.	591.
GREENPOINT MORTGAGE	73,482.	73,482.
GSAMP TRUST SERIES	36,035.	36,035.
INDYMAC INDX	879.	879.
RASC SERIES	21.	21.
PROV DE BUENOS AIRES	83,899.	83,899.
INDYMAC INDX	248.	248.
FIRST HORIZON	755.	755.
CWMB	415.	415.
BANC AMER FDG	483.	483.
CWALT	341.	341.
COUNTRYWIDE HOME LOANS	443.	443.
TOTALS	<u>201,526.</u>	<u>201,526.</u>

FORM 990PF, PART II - OTHER INVESTMENTSATTACHMENT 7

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
NEWSER	3,317.	3,317.
VOGEN FUNDING, L.P.	47,180.	47,180.
WHITEBOX RELATIVE VALUE	569,640.	569,640.
CAPITALSPRING DIRECT LENDING P	354,340.	354,340.
DALTON HIGH YIELD OFFSHORE	788,730.	788,730.
RIVER NORTH INSTITUTIONAL PART	773,187.	773,187.
ALDEN GLOBAL OPS FUND CAYMAN	1,313.	1,313.
ORBIMED	331,715.	331,715.
CITADEL	729,276.	729,276.
CVI CREDIT VALUE FUND	584,090.	584,090.
CEREBERUS VI INSTITUTIONAL	224,367.	224,367.
HILDINE	507,023.	507,023.
OAK STREET REAL ESTATE IV	92,213.	92,213.
CVI CREDIT VALUE FUND B IV	51,341.	51,341.
LEOPARD FUND LIMITED	500,000.	500,000.
FIRST LIGHT FOCUS FUND	538,925.	538,925.
FIDELITY IRA	102,755.	102,755.
TOTALS	<u>6,199,412.</u>	<u>6,199,412.</u>

ATTACHMENT 8

FORM 990PF, PART VII-A, LINE 3 - CONFORMED COPY OF THE CHANGES

SEE ATTACHED

THE PATTIS FAMILY FOUNDATION

2017 FORM 990-PF 36-3433310

ATTACHMENT 9

FORM 990PF, PART VII-A -DISTRIBUTION TO A DONOR ADVISED FUND FOR QUALIFYING DISTRIBUTION

DONOR ADVISED FUND STATEMENT

THE PATTIS FAMILY FOUNDATION MADE A \$250,000 CONTRIBUTION TO THE
PATTIS FAMILY CHARITABLE FUND. THE ENTIRE DISTRIBUTION IS TREATED AS
A QUALIFYING DISTRIBUTION.

FORM 990PF, PART VII-A -DISTRIBUTION TO A DONOR ADVISED FUND FOR SECTION 170 C 2B

DONOR ADVISED FUND STATEMENT

THE PATTIS FAMILY FOUNDATION MADE A \$250,000 CONTRIBUTION TO THE
PATTIS FAMILY CHARITABLE FUND. THE PATTIS FAMILY CHARITABLE FUND IS A
DONOR ADVISED FUND OVER WHICH DISQUALIFIED PERSONS HAVE ADVISORY
PRIVILEGES. THE GOAL OF THE FOUNDATION IN MAKING THIS CONTRIBUTION
WAS TO FURTHER THE PHILANTROPIC MISSION OF THE PATTIS FAMILY'S
CHARITABLE OBJECTIVE AS DESCRIBED IN SECTION 170(C)(2)(B).

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 11

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS		EXPENSE ACCT AND OTHER ALLOWANCES
			TO EMPLOYEE BENEFIT PLANS		
MARK R. PATTIS 600 CENTRAL AVENUE, SUITE 316 HIGHLAND PARK, IL 60035	PRESIDENT 2.00				
ROBIN Q. PATTIS HIMOVITZ 600 CENTRAL AVENUE, SUITE 316 HIGHLAND PARK, IL 60035	VICE PRESIDENT 2.00				
LISA J. PATTIS 600 CENTRAL AVENUE, SUITE 316 HIGHLAND PARK, IL 60035	SECRETARY AND TREASURER 2.00				
MADELEINE A PATTIS 600 CENTRAL AVENUE, SUITE 316 HIGHLAND PARK, IL 60035	DIRECTOR 2.00				
GRAND TOTALS		0.	0.	0.	0.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE DREAM FOUNDATION 621 CHAPALA ST, STE 304 SANTA BARBARA, CA 93101	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	10,000
LYMPHOMA RESEARCH FOUNDATION 111 BROADWAY, STE 1301 NEW YORK, NY 10006	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000
INTERLOCHEN CENTER FOR THE ARTS PO BOX 199 INTERLOCHEN, MI 49643	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000
EVANSTON HOSPITAL ONCOLOGY FUND 1301 CENTRAL STREET EVANSTON, IL 60201	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	5,000
LAKE FOREST ACADEMY 1500 WEST KENNEDY ROAD LAKE FOREST, IL 60045	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	11,000
LAKE FOREST COUNTRY DAY SCHOOL 145 SOUTH GREEN BAY ROAD LAKE FOREST, IL 60045	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
WOMEN EMPLOYED 65 E WACKER PLACE, SUITE 1500 CHICAGO, IL 60601	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	27,525
NORTH SHORE COUNTRY DAY SCHOOL 310 GREEN BAY ROAD WINNETKA, IL 60093	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	12,000
WBZ LEADERSHIP CIRCLE 848 EAST GRAND AVENUE NAVY PIER CHICAGO, IL 60611	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,450
CHILDREN'S HOME AND AID 125 SOUTH WACKER DRIVE, 14TH FLOOR CHICAGO, IL 60606	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	5,000
PRINCETON UNIVERSITY OFFICE OF DEVELOPMENT, THE HELM BUILDING 330 ALEXANDER STREET PRINCETON, NJ 08540	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,500
THE CHICAGO COUNCIL ON GLOBAL AFFAIRS 332 S MICHIGAN AVENUE SUITE 1100 CHICAGO, IL 60604-4416	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
RAVINIA FESTIVAL 418 SHERIDAN ROAD HIGHLAND PARK, IL 60035	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	4,000
THE ORIENTAL INSTITUTE 1155 E 58TH ST CHICAGO, IL 60637	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	100
PATTIS FAMILY CHARITABLE FUND 100 CROSBY PARKWAY COVINGTON, KY 41015-9325	PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	250,000
NEWBERRY LIBRARY 60 W WALTON S CHICAGO, IL 60610	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	250
CARLETON COLLEGE 1 NORTH COLLEGE STREET NORTHFIELD, MN 55057	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	2,500
REFUGEE ONE 4753 N BROADWAY ST #401 CHICAGO, IL 60640	NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	250

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS		RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ARAB AMERICAN ASSOCIATION OF NEW YORK 7111 5TH AVE BROOKLYN, NY 11209		NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	250
PLANNED PARENTHOOD FEDERATION OF AMERICA 18 S MICHIGAN AVE CHICAGO, IL 60603		NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,500
SANTA BARBARA INTERNATIONAL FILM FAIR 1528 CHAPALA ST SANTA BARBARA, CA 93101		NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	5,000
AMERICAN CIVIL LIBERTIES UNION FOUNDATION 125 BROAD STREET, 18TH FLOOR NEW YORK, NY 10004		NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	10,000
LURIE CHILDREN'S FOUNDATION 225 E CHICAGO AVE CHICAGO, IL 60611		NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000
AIM AT MELANOMA 5729 LEBANON ROAD, SUITE 144-305 FRISO, TX 75034		NONE PC	TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	250

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
AL ROWAD USA NEWTON EXECUTIVE OFFICE CENTER 233 NEEDHAM ST, SUITE 300 NEWTON, MA 02464	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	250
ALZHEIMER'S ASSOCIATION OF ILLINOIS 225 N MICHIGAN AVE FLOOR 17 CHICAGO, IL 60601	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	250
AM YISRAEL 4 N HAPP RD NORTFIELD, IL 60093	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	500
CITY OF HIGHLAND PARK 1707 ST JOHNS AVENUE HIGHLAND PARK, IL 60035	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	2,850
GOODMAN THEATRE 170 N DEARBORN ST CHICAGO, IL 60601	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	2,500
HFS CHICAGO SCHOLARS 1019 S MAY ST CHICAGO, IL 60607	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	250

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
JEWISH WAR VETERANS 1811 R STREET NW WASHINGTON DC, DC 20009	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	500
LA CASA NORTE 3533 W NORTH AVENUE CHICAGO, IL 60647	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	250
LATINOS PROGRESANDO 3047 W CERMAK RD CHICAGO, IL 60623	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	250
MOISHE HOUSE 441 SAXONY ROAD, BARN 2 ENCINITAS, CA 92024	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	10,000
NATIONAL FAMILY PARTNERSHIP 2490 CORAL WAY MIAMI, FL 33145	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	250
NATIONAL MS SOCIETY 525 W MONROE ST, SUITE 1510 CHICAGO, IL 60661	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	500

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ORPHANS OF THE STORM 2200 RIVERWOODS RD DEERFIELD, IL 60015	NONE EOF		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	500
PRI 600 CENTRAL AVENUE, SUITE 316 HIGHLAND PARK, IL 60035	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000
THE LUSTGARTEN FOUNDATION 415 CROSSWAYS PARK DRIVE, SUITE D WOODBURY, NY 11797	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	250
THE NORA PROJECT PO BOX 664 HIGHLAND PARK, IL 60035	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	5,000
BENNINGTON COLLEGE 1 COLLEGE DRIVE BENNINGTON, VT 05201	NONE PC		TO SUPPORT PROGRAM AND OPERATIONAL EXPENSES	1,000
TOTAL CONTRIBUTIONS PAID				<u>128,675</u>

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL SHORT-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					107,123.	
		TOTAL LONG-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					255,827.	
TOTAL GAIN (LOSS)							<u>362,950.</u>	