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Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

1806

OMB No 1545-0052

**2017**

Open to Public Inspection

For calendar year 2017 or tax year beginning JUL 1, 2017 , and ending JUN 30, 2018

| | | |
|---|---|---|
| Name of foundation<br>THE MARTIN FOUNDATION, INC. | | A Employer identification number<br>35-1070929 |
| Number and street (or P O box number if mail is not delivered to street address)<br>3200 BAILEY LN | Room/suite<br>199 | B Telephone number<br>864-885-1879 |
| City or town, state or province, country, and ZIP or foreign postal code<br>NAPLES, FL 34105 | | C If exemption application is pending, check here ▶ ☐ |

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**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

| | | Beginning of year | End of year | |
|---|---|---|---|---|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash - non-interest-bearing | 76,263. | 44,862. | 44,862. |
| | 2 Savings and temporary cash investments | 9,481,608. | 7,871,661. | 7,871,661. |
| | 3 Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U.S. and state government obligations | | | |
| | b Investments - corporate stock STMT 8 | 10,696,022. | 13,541,375. | 21,339,721. |
| | c Investments - corporate bonds STMT 9 | 6,478,257. | 8,192,058. | 8,149,220. |
| | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other STMT 10 | 1,611,185. | 1,814,744. | 1,887,202. |
| | 14 Land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 15 Other assets (describe ▶ ACCRUED INTEREST ) | 0. | 98,798. | 98,798. |
| | 16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I) | 28,343,335. | 31,563,498. | 39,391,464. |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶ ) | | | |
| | 23 **Total liabilities** (add lines 17 through 22) | 0. | 0. | |
| | **Foundations that follow SFAS 117, check here** ▶ ☐ | | | |

## Part IV | Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | PUBLICALLY TRADED SECURITIES | P | VARIOUS | 12/31/17 |
| b | AURORA PARTNERSHIP LTD | P | VARIOUS | 12/31/17 |
| c | CAPITAL GAINS DIVIDENDS | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) ((e) plus (f) minus (g)) |
|---|---|---|---|---|
| a | 8,264,685. | | 6,718,559. | 1,546,126. |
| b | 251,248. | | 99,233. | 152,015. |
| c | 54,252. | | | 54,252. |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

| | (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|---|---|---|
| a | | | | 1,546,126. |
| b | | | | 152,015. |
| c | | | | 54,252. |
| d | | | | |
| e | | | | |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } — 2 — 1,752,393.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 — 3 — N/A

## Part V | Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---|---|---|
| 2016 | 1,647,620. | 33,564,085. | .049089 |
| 2015 | 1,715,831. | 33,049,033. | .051918 |
| 2014 | 1,734,046. | 34,913,085. | .049668 |
| 2013 | 1,786,019. | 32,232,783. | .055410 |
| 2012 | 1,538,498. | 28,127,786. | .054697 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | .260782 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years | 3 | .052156 |
| 4 | Enter the net value of noncharitable-use assets for 2017 from Part X, line 5 | 4 | 38,012,588. |
| 5 | Multiply line 4 by line 3 | 5 | 1,982,585. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 24,534. |
| 7 | Add lines 5 and 6 | 7 | 2,007,119. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 1,709,526. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI | Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

| | | | | |
|---|---|---|---|---|
| **1a** Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary-see instructions)** | | | | |
| **b** Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | | | 1 | 49,068. |
| **c** All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b). | | | | |
| **2** Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | | | 2 | 0. |
| **3** Add lines 1 and 2 | | | 3 | 49,068. |
| **4** Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | | | 4 | 0. |
| **5** **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | | | 5 | 49,068. |
| **6** Credits/Payments: | | | | |
| **a** 2017 estimated tax payments and 2016 overpayment credited to 2017 | 6a | 48,360. | | |
| **b** Exempt foreign organizations - tax withheld at source | 6b | 0. | | |
| **c** Tax paid with application for extension of time to file (Form 8868) | 6c | 20,000. | | |
| **d** Backup withholding erroneously withheld | 6d | 0. | | |
| **7** Total credits and payments. Add lines 6a through 6d | | | 7 | 68,360. |
| **8** Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | | | 8 | 25. |
| **9** **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | | 9 | |
| **10** **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | | 10 | 19,267. |
| **11** Enter the amount of line 10 to be: **Credited to 2018 estimated tax** ▶ | | 19,267. **Refunded** ▶ | 11 | 0. |

**Part VII-A | Statements Regarding Activities**

| | | Yes | No |
|---|---|---|---|
| **1a** During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| **b** Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition | 1b | | X |
| If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. | | | |
| **c** Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| **d** Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0. | | | |
| **e** Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0. | | | |
| **2** Has the foundation engaged in any activities that have not previously been reported to the IRS? | 2 | | X |
| If "Yes," attach a detailed description of the activities. | | | |
| **3** Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | 3 | | X |
| **4a** Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| **b** If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| **5** Was there a liquidation, termination, dissolution, or substantial contraction during the year? | 5 | | X |
| If "Yes," attach the statement required by *General Instruction T.* | | | |
| **6** Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| **7** Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV | 7 | X | |
| **8a** Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ FL, IN | | | |

**b** If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)

**Part VII-A Statements Regarding Activities** *(continued)*

| | | Yes | No |
|---|---|---|---|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions | 11 | | X |

| | | |
|---|---|---|
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 13,541,375. | 21,339,721. |

FORM 990-PF CORPORATE BONDS STATEMENT 9

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| CORPORATE BONDS (SEE ATTACHED) | 8,192,058. | 8,149,220. |
| TOTAL TO FORM 990-PF, PART II, LINE 10C | 8,192,058. | 8,149,220. |

FORM 990-PF OTHER INVESTMENTS STATEMENT 10

| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|
| AURORA OFFSHORE | COST | 11,952. | 24,694. |
| COMMON FUND | COST | 1,588,901. | 1,653,070. |
| WINDSAIL | COST | 213,891. | 209,438. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 1,814,744. | 1,887,202. |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 5a During the year, did the foundation pay or incur any amount to: | | | | |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | [ ] Yes [X] No | | | |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | [ ] Yes [X] No | | | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? | [ ] Yes [X] No | | | |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions | [ ] Yes [X] No | | | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | [ ] Yes [X] No | | | |
| b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions | N/A | 5b | | |
| Organizations relying on a current notice regarding disaster assistance, check here | ▶ [ ] | | | |
| c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A | [ ] Yes [ ] No | | | |
| If "Yes," attach the statement required by Regulations section 53.4945-5(d). | | | | |
| 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | [ ] Yes [X] No | | | |
| b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | 6b | | X |
| If "Yes" to 6b, file Form 8870 | | | | |
| 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | [ ] Yes [X] No | | | |
| b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | N/A | 7b | | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, and foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| GERALDINE F. MARTIN<br>3200 BAILEY LN SUITE 199<br>NAPLES, FL 34105 | PRESIDENT<br>2.00 | 0. | 0. | 0. |
| CASPER MARTIN<br>3200 BAILEY LN SUITE 199<br>NAPLES, FL 34105 | SECRETARY<br>2.00 | 0. | 0. | 0. |
| JENNIFER L.MARTIN<br>3200 BAILEY LN SUITE 199<br>NAPLES, FL 34105 | TREASURER<br>2.00 | 0. | 0. | 0. |
| ELIZABETH L.MARTIN<br>3200 BAILEY LN SUITE 199<br>NAPLES, FL 34105 | VICE PRESIDENT<br>2.00 | 0. | 0. | 0. |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| HARRIS ASSOCIATES<br>TWO NORTH LASALLE STREET, CHICAGO, IL 60602 | INVESTMENT | 168,792. |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | 0 |

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B Summary of Program-Related Investments**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments. See instructions.<br>3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | | |
|---|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | | |
| a | Average monthly fair market value of securities | | 1a | 30,210,669. |
| b | Average of monthly cash balances | | 1b | 8,380,791. |
| c | Fair market value of all other assets | | 1c | |
| d | **Total** (add lines 1a, b, and c) | | 1d | 38,591,460. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | | 2 | 0. |
| 3 | Subtract line 2 from line 1d | | 3 | 38,591,460. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | | 4 | 578,872. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | | 5 | 38,012,588. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | | 6 | 1,900,629. |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

| | | | | |
|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | 1 | 1,900,629. |
| 2a | Tax on investment income for 2017 from Part VI, line 5 | 2a 49,068. | | |
| b | Income tax for 2017. (This does not include the tax from Part VI.) | 2b | | |
| c | Add lines 2a and 2b | | 2c | 49,068. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | 3 | 1,851,561. |
| 4 | Recoveries of amounts treated as qualifying distributions | | 4 | 0. |
| 5 | Add lines 3 and 4 | | 5 | 1,851,561. |
| 6 | Deduction from distributable amount (see instructions) | | 6 | 0. |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | 7 | 1,851,561. |

**Part XII Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 1,709,526. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4 | 4 | 1,709,526. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 1,709,526. |

**Part XIII Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2016 | (c) 2016 | (d) 2017 |
|---|---|---|---|---|
| 1 Distributable amount for 2017 from Part XI, line 7 | | | | 1,851,561. |
| 2 Undistributed income, if any, as of the end of 2017 | | | | |
| a Enter amount for 2016 only | | | 0. | |
| b Total for prior years: ______, ______, ______ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2017: | | | | |
| a From 2012 161,113. | | | | |
| b From 2013 208,204. | | | | |
| c From 2014 56,888. | | | | |
| d From 2015 98,449. | | | | |
| e From 2016 107,753. | | | | |
| f Total of lines 3a through e | 632,407. | | | |
| 4 Qualifying distributions for 2017 from Part XII, line 4: ▶ $ 1,709,526. | | | | |
| a Applied to 2016, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2017 distributable amount | | | | 1,709,526. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a).) | 142,035. | | | 142,035. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 490,372. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2012 not applied on line 5 or line 7 | 19,078. | | | |
| 9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a | 471,294. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2013 208,204. | | | | |
| b Excess from 2014 56,888. | | | | |
| c Excess from 2015 98,449. | | | | |
| d Excess from 2016 107,753. | | | | |
| e Excess from 2017 | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year (a) 2017 | Prior 3 years (b) 2016 | (c) 2015 | (d) 2014 | (e) Total |
|---|---|---|---|---|---|
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

THE MARTIN FOUNDATION, INC., 239-649-4543
3200 BAILEY LN SUITE 199, NAPLES, FL 34105

b The form in which applications should be submitted and information and materials they should include:

WRITE FOR GUIDELINES TO ABOVE ADDRESS

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

WRITE FOR GUIDELINES TO ABOVE ADDRESS

**Part XV Supplementary Information** *(continued)*

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| THRESHOLDS<br>4101 NORTH RAVENSWOOD AVENUE<br>CHICAGO, IL 60613 | | PC | HOMELESS SERVICES PROGRAM | 10,000. |
| COMMUNITY FOUNDATION<br>2400 TAMIAMI TRAIL N SUITE 300<br>NAPLES, FL 34103 | | PC | SUPPORT FOR NEW OFFICE (3 OF 3) | 100,000. |
| ST. MATTHEW'S HOUSE<br>2001 AIRPORT ROAD SOUTH<br>NAPLES, FL 34112 | | PC | GENERAL SUPPORT | 5,000. |
| THE HOLOCAUST MUSEUM<br>4760 TAMIAMI TRAIL NORTH SUITE 7<br>NAPLES, FL 34103 | | PC | GENERAL SUPPORT | 10,000. |
| WGCU PUBLIC BROADCASTING<br>10501 FGCU BLVD. SOUTH<br>FT. MYERS, FL 33965 | | PC | GENERAL SUPPORT | 2,000. |
| **Total** SEE CONTINUATION SHEET(S) | | | ▶ 3a | 1,654,000. |
| **b** *Approved for future payment*<br><br>NONE | | | | |
| | | | | |
| | | | | |
| **Total** | | | ▶ 3b | 0. |

**Part XVI-A** Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 549,435. | |
| 4 Dividends and interest from securities | | | 14 | 295,352. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 1,752,393. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 2,597,180. | 0. |

13 **Total.** Add line 12, columns (b), (d), and (e) 13 2,597,180.

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B** Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|---|---|
| | |

**Part XVII** **Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

**d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| AUDUBON OF THE WESTERN EVERGLADES<br>1020 8TH AVENUE SOUTH SUITE 2<br>NAPLES, FL 34102 | | PC | SUPPORT OF BRAD CORNELL | 10,000. |
| SPORTS CLUB<br>3275 PINE RIDGE ROAD<br>NAPLES, FL 34109 | | PC | TECHNOLOGY LAB | 50,000. |
| GOODWILL INDUSTRIES OF SW FLORIDA<br>5100 TICE STREET<br>FORT MYERS, FL 33905 | | PC | OPERATING EXPENSES FOR MOBILE JOB LINK | 15,000. |
| CATCHING THE DREAM<br>8200 MOUNTAIN ROAD NE SUITE 103<br>ALBURQUERQUE, NM 87110 | | PC | GENERAL SUPPORT | 5,000. |
| CONSERVANCY OF SOUTHWEST FLORIDA<br>1495 SMITH PRESERVE WAY<br>NAPLES, FL 34102 | | PC | MASTER SITE PLANNING 2.0 | 99,000. |
| SWFL LAND PRESERVATION TRUST<br>PO BOX 2465<br>NAPLES, FL 34106 | | PC | GRG OPERATING SUPPORT (2 OF 2) | 18,000. |
| SHELTER FOR ABUSED WOMEN<br>P.O. BOX 10102<br>NAPLES, FL 34101 | | PC | ENDOWMENT FOR MARTIN COTTAGE | 25,000. |
| COMMUNITY FOUNDATION<br>2400 TAMIAMI TRAIL N SUITE 300<br>NAPLES, FL 34103 | | PC | HURRICANE IRMA RELIEF | 20,000. |
| IMMOKALEE CHILD CARE CENTER<br>3775 AIRPORT PULLING RD. N. UNIT B<br>NAPLES, FL 34105 | | PC | EARLY EDUCATION PRE-SCHOOL CURRICULUM | 5,000. |
| LITERACY VOLUNTEERS OF COLLIER COUNTY<br>8833 TAMIAMI TRAIL EAST<br>NAPLES, FL 34113 | | PC | MOM'S ENGLISH PROGRAM | 12,500. |
| **Total from continuation sheets** | | | | 1,527,000. |

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| FGCU WINGS OF HOPE<br>FGCU BOUEVARD SOUTH<br>FORT MYERS, FL 33965 | | PC | FGCU WINGS OF HOPE | 5,000. |
| NCH HEALTHCARE FOUNDATION<br>PO BOX 234<br>NAPLES, FL 34106 | | PC | BREAST CANCER NAVIGATOR (2 OF 2) | 61,000. |
| ARTIS NAPLES<br>5833 PELICAN BAY BOULEVARD<br>NAPLES, FL 34108 | | PC | FIRST CHAIR FLUTE | 20,000. |
| GUADALUPE CENTER<br>509 HOPE CIRCLE<br>IMMOKALEE, FL 34142 | | PC | CAMPUS NAMING OPPORTUNITY (1 OF 3) | 100,000. |
| FLORIDA WILDLIFE FEDERATION<br>2590 GOLDEN GATE PKWY STE 105<br>NAPLES, FL 34105 | | PC | ENHANCE HABITAT CONNECTIVITY SOUTHWEST FL | 12,500. |
| CANCER ALLIANCE OF NAPLES<br>3384 WOODS EDGE CIRCLE SUITE #102<br>BONITA SPRINGS, FL 34134 | | PC | CONTINUED SUPPORT | 25,000. |
| HOPE FOR HAITI<br>1021 5TH AVENUE NORTH<br>NAPLES, FL 34102 | | PC | 25TH ANNIVERSARY (4 OF 5) | 10,000. |
| EDUCATION FOUNDATION OF COLLIER COUNTY<br>3606 ENTERPRISE AVENUE SUITE 150<br>NAPLES, FL 34104 | | PC | STUDENT PROGRAMS SUPPORT | 25,000. |
| UNITED ARTS COUNCIL OF COLLIER COUNTY<br>1495 PINE RIDGE RD #5<br>NAPLES, FL 34109 | | PC | AFTER-SCHOOL ENRICHMENT IN IMMOKALEE - MIRACLE PROJECT | 10,000. |
| MARCO ISLAND HISTORICAL SOCIETY<br>180 S. HEATHWOOD DRIVE<br>MARCO ISLAND, FL 34145 | | PC | EXTERNAL MUSEUM SIGNAGE (2 OF 2) | 15,000. |
| Total from continuation sheets | | | | |

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## Part XV Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| HABITAT FOR HUMANITY<br>11145 TAMIAMI TRAIL EAST<br>NAPLES, FL 34113 | | PC | GIVE TO THE MAX CHALLENGE | 50,000. |
| PLANNED PARENTHOOD OF SW AND CENTRAL FLORIDA<br>736 CENTRAL AVENUE<br>SARASOTA, FL 34236 | | PC | LEAD GIFT - MATCHING GRANT | 25,000. |
| ENVIRONMENTAL DEFENSE FUND<br>257 PARK AVENUE SOUTH<br>NEW YORK, NY 10010 | | PC | GENERAL SUPPORT | 25,000. |
| EARTHJUSTICE<br>50 CALIFORNIA STREET SUITE 500<br>SAN FRANCISCO, CA 94111 | | PC | GENERAL SUPPORT | 35,000. |
| FUN TIME EARLY CHILDHOOD ACADEMY, INC.<br>102 12TH STREET NORTH<br>NAPLES, FL 34102 | | PC | GENERAL SUPPORT | 30,000. |
| SHELTER FOR ABUSED WOMEN<br>P.O. BOX 10102<br>NAPLES, FL 34101 | | PC | IMMOKALEE SHELTER (1 OF 3 KITCHENS) | 100,000. |
| AMERICAN BIRD CONSERVANCY<br>4249 LOUDOUN AVE<br>THE PLAINS, VA 20198 | | PC | SEABIRD PROGRAM | 7,000. |
| AMERICAN DIABETES ASSOCIATION<br>8604 ALLISONVILLE ROAD SUITE 140<br>INDIANAPOLIS, IN 46250 | | PC | CAMPERSHIPS - CAMP JOHN WARVEL | 5,000. |
| COLLIER SENIOR RESOURCES<br>4755 TAMIAMI TRAIL NORTH STE 140<br>NAPLES, FL 34103 | | PC | GENERAL SUPPORT | 5,000. |
| SERTOMA CAMP ENDEAVOR, INC.<br>PO BOX 910<br>DUNDEE, FL 33838 | | PC | CONTINUED SUPPORT | 2,000. |
| **Total from continuation sheets** | | | | |

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| LEE MEMORIAL HEALTH SYSTEM FDT.<br>9800 S. HEALTHPARK DRIVE SUITE 210<br>FORT MYERS, FL 33908 | | PC | CAPITAL CAMPAIGN (3 OF 3) | 100,000. |
| VT COLLEGE OF FINE ARTS<br>36 COLLEGE STREET<br>MONTPELIER, VT 05602 | | PC | VT COLLEGE OF FINE ARTS (5 OF 5) (CENTER) | 50,000. |
| VT COLLEGE OF FINE ARTS<br>36 COLLEGE STREET<br>MONTPELIER, VT 05602 | | PC | VT COLLEGE (BALANCE ON 3 OF 8) (ARTIST FUND) | 50,000. |
| VT COLLEGE OF FINE ARTS<br>36 COLLEGE STREET<br>MONTPELIER, VT 05602 | | PC | VT COLLEGE OF FINE ARTS (PARTIAL TOWARDS 8TH) ARTIST FUND)) | 50,000. |
| SAMARITAN INSTITUTE<br>2696 S. COLORADO BLVD. STE 380<br>DENVER, CO 80222 | | PC | SAMARITAN INSTITUTE | 50,000. |
| UNIVERSITY OF MINNESOTA<br>200 OAK STREET SW SUITE 500<br>MINNEAPOLIS, MN 55455 | | PC | UNIVERSITY OF MINNESOTA (4 OF 5) | 100,000. |
| CENTER FOR REPRODUCTIVE RIGHTS<br>199 WALTER STREET 22ND FLOOR<br>NEW YORK, NY 10038 | | PC | CENTER FOR REPRODUCTIVE RIGHTS (2 OF 3) | 75,000. |
| UNION OF CONCERNED SCIENTISTS<br>TWO BRATTLE SQUARE<br>CAMBRIDGE, MA 02138 | | PC | UNION OF CONCERNED SCIENTISTS | 25,000. |
| OCEAN CONSERVANCY<br>1300 19TH STREET NW 8TH FLOOR<br>WASHINGTON, DC 20036 | | PC | OCEAN CONSERVANCY - "TRASH FREE SEAS" | 50,000. |
| THE STORY OF STUFF<br>1442 A WALNUT STREET #272<br>BERKELEY, CA 94709 | | PC | THE STORY OF STUFF "BREAK FREE FROM PLASTIC" | 50,000. |
| **Total from continuation sheets** | | | | |

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**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| SURFRIDER FOUNDATION<br>942 CALLE NEGOCIO STE 350<br>SAN CLEMENTE, CA 92673 | | PC | SURFRIDER FOUNDATION - "RISE ABOVE PLASTICS" | 25,000. |
| NORTHWEST STRAITS FOUNDATION<br>1155 NORTH STATE STREET STE 402<br>BELLINGHAM, WA 98225 | | PC | NORTHWEST STRAITS FOUNDATION "DERELICT GEAR" | 25,000. |
| SEA EDUCATION ASSOCIATION<br>PO BOX 6<br>WOODS HOLE, MA 02543 | | PC | SEA - SEA EDUCATION ASSOCIATION - CONTINUED SUPPORT | 12,500. |
| OCEAN RECOVERY ALLIANCE<br>1471 S BEDFORD STREET #3<br>LOS ANGELES, CA 90035 | | PC | OCEAN RECOVERY ALLIANCE | 12,500. |
| ALGALITA MARINE RESEARCH AND EDUCATION<br>148 NORTH MARINA DR<br>LONG BEACH, CA 90808 | | PC | ALGALITA MARINE RESEARCH AND EDUCATION | 12,500. |
| THE 5 GYRES INSTITUTE<br>5792 WEST JEFFERSON BLVD.<br>LOS ANGELES, CA 90016 | | PC | THE 5 GYRES INSTITUTE | 12,500. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| **Total from continuation sheets** | | | | |

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04-01-17

# Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

# Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

**2017**

**Name of the organization**: THE MARTIN FOUNDATION, INC.

**Employer identification number**: 35-1070929

**Organization type** (check one):

| Filers of: | Section: |
|---|---|
| Form 990 or 990-EZ | ☐ 501(c)( ) (enter number) organization |
| | ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation |
| | ☐ 527 political organization |
| Form 990-PF | ☒ 501(c)(3) exempt private foundation |
| | ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | ☐ 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule.**
**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions.

**General Rule**

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year ▶ $ ______

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization: THE MARTIN FOUNDATION, INC.

Employer identification number: 35-1070929

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | GERALDINE MARTIN<br>3200 BAILEY LANE, SUITE 199<br>NAPLES, FL 34105 | $ 2,714,250. | Person [X]<br>Payroll [ ]<br>Noncash [X]<br>(Complete Part II for noncash contributions.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions.) |

| Name of organization | Employer identification number |
|---|---|
| THE MARTIN FOUNDATION, INC. | 35-1070929 |

**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|---|---|---|---|
| 1 | FIDELITY MUTUAL FUNDS | $ 2,311,898. | 11/29/17 |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|---|---|---|---|
| | | $ | |

Name of organization

THE MARTIN FOUNDATION, INC.

Employer identification number

35-1070929

**Part III** *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of $1,000 or less for the year (Enter this info once) ▶ $

Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE | (A) REVENUE PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|
| VARIOUS | 549,435. | 549,435. | |
| TOTAL TO PART I, LINE 3 | 549,435. | 549,435. | |

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | (A) REVENUE PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|---|---|
| VARIOUS | 295,352. | 0. | 295,352. | 295,352. | |
| VARIOUS | 54,252. | 54,252. | 0. | 0. | |
| TO PART I, LINE 4 | 349,604. | 54,252. | 295,352. | 295,352. | |

FORM 990-PF LEGAL FEES STATEMENT 3

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| LEGAL FEES | 6,731. | 3,365. | | 3,366. |
| TO FM 990-PF, PG 1, LN 16A | 6,731. | 3,365. | | 3,366. |

FORM 990-PF ACCOUNTING FEES STATEMENT 4

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| ACCOUNTING FEES | 38,000. | 19,000. | | 19,000. |
| TO FORM 990-PF, PG 1, LN 16B | 38,000. | 19,000. | | 19,000. |

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| INVESTMENT FEES | 168,792. | 84,396. | | 0. |
| ADVISOR FEES | 39,166. | 19,583. | | 19,583. |
| BANK FEES | 198. | 99. | | 99. |
| TO FORM 990-PF, PG 1, LN 16C | 208,156. | 104,078. | | 19,682. |

FORM 990-PF TAXES STATEMENT 6

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| EXCISE TAX | 153,586. | 0. | | 0. |
| FOREIGN TAX | 4,350. | 4,350. | | 0. |
| LICENSE | 509. | 0. | | 509. |
| TO FORM 990-PF, PG 1, LN 18 | 158,445. | 4,350. | | 509. |

FORM 990-PF OTHER EXPENSES STATEMENT 7

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| INSURANCE | 2,411. | 1,205. | | 1,206. |
| TELEPHONE | 1,757. | 878. | | 879. |
| SUPPLIES | 207. | 103. | | 104. |
| ADMIN | 355. | 177. | | 178. |
| TO FORM 990-PF, PG 1, LN 23 | 4,730. | 2,363. | | 2,367. |

THE MARTIN FOUNDATION, INC. 35-1070929

| FORM 990-PF | CORPORATE STOCK | STATEMENT 8 |
|---|---|---|

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| COMMON STOCK (SEE ATTACHED) | 11,135,059. | 18,492,326. |