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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017 or tax year beginning 07/01/17 , and ending 06/30/18

Name of foundation
THE ~~C & J~~ UNANUE FOUNDATION, INC.
C/O AUA FAMILY OFFICE MANAGEMENT

A Employer identification number
22-3382542

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
666 FIFTH AVENUE, 27TH FLOOR

B Telephone number (see instructions)
212-231-8600

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK NY 10103

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 16,855,972

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	10,828	10,828		
	4	Dividends and interest from securities	351,400	351,400		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10 STMT 1	676,161			
	b	Gross sales price for all assets on line 6a 4,878,049				
	7	Capital gain net income (from Part IV, line 2)		434,717		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) STMT 2	-74,564	20,577		
	12	**Total.** Add lines 1 through 11	963,825	817,522	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule) SEE STMT 3	2,075			
	b	Accounting fees (attach schedule) STMT 4	13,669	13,669		
	c	Other professional fees (attach schedule) STMT 5	81,909	81,068		
	17	Interest				
	18	Taxes (attach schedule) (see instructions) STMT 6	113,430	1,265		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (att sch) STMT 7	50,960	156		580
	24	**Total operating and administrative expenses.** Add lines 13 through 23	262,043	96,158	0	580
	25	Contributions, gifts, grants paid	913,467			913,467
	26	**Total expenses and disbursements** Add lines 24 and 25	1,175,510	96,158	0	914,047
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-211,685			
	b	**Net investment income** (if negative, enter -0-)		721,364		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets				
1	Cash – non-interest-bearing			
2	Savings and temporary cash investments	951,153	675,039	675,039
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (att. schedule) ▶			
	Less allowance for doubtful accounts ▶ 0			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments – U S and state government obligations (attach schedule) STMT 8	218,405	193,439	154,171
b	Investments – corporate stock (attach schedule) SEE STMT 9	13,133,975	13,536,466	15,084,604
c	Investments – corporate bonds (attach schedule) SEE STMT 10	59,985		
11	Investments – land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach sch) ▶			
12	Investments – mortgage loans			
13	Investments – other (attach schedule) SEE STATEMENT 11	1,195,117	942,158	942,158
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach sch) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	15,558,635	15,347,102	16,855,972
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	15,558,635	15,347,102	
28	Paid-in or capital surplus, or land, bldg, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	15,558,635	15,347,102	
31	**Total liabilities and net assets/fund balances** (see instructions)	15,558,635	15,347,102	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	15,558,635
2	Enter amount from Part I, line 27a	2	-211,685
3	Other increases not included in line 2 (itemize) ▶SEE STATEMENT 12	3	152
4	Add lines 1, 2, and 3	4	15,347,102
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	15,347,102

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE ATTACHED	P	VARIOUS	VARIOUS
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a	4,636,248		4,201,531	434,717
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				434,717
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } — 2 — 434,717

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8 — 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	887,729	18,359,595	0.048352
2015	897,309	17,847,327	0.050277
2014	796,007	18,207,190	0.043719
2013	806,438	17,361,898	0.046449
2012	717,335	15,990,295	0.044861

		Line	Amount
2	**Total** of line 1, column (d)	2	0.233658
3	Average distribution ratio for the 5-year base period – divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0.046732
4	Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	18,899,478
5	Multiply line 4 by line 3	5	883,210
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	7,214
7	Add lines 5 and 6	7	890,424
8	Enter qualifying distributions from Part XII, line 4	8	914,047

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	7,214
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0
3	Add lines 1 and 2	3	7,214
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	7,214
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017 — 6a: 6,586		
b	Exempt foreign organizations – tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	6,586
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	628
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2018 estimated tax** ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the Instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered See instructions ▶ NJ			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017? See instructions for Part XIV If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ AUA FAMILY OFFICE MANAGEMENT Telephone no ▶ 212-231-8600
666 FIFTH AVENUE, 27TH FLOOR
Located at ▶ NEW YORK NY ZIP+4 ▶ 10103

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year, did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? **(Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance, check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	N/A	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement – see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions N/A	5b		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CARMEN UNANUE NEW YORK 666 FIFTH AVENUE, 27TH FLOOR NY 10103	PRES EMERITU 0.25	0	0	0
ANDREW UNANUE NEW YORK 666 FIFTH AVENUE, 27TH FLOOR NY 10103	PRES/TREAS 0.25	0	0	0
MARIE UNANUE NEW YORK 666 FIFTH AVENUE, 27TH FLOOR NY 10103	SECRETARY 0.25	0	0	0
MICHELLE MALLOY NEW YORK 666 FIFTH AVENUE, 27TH FLOOR NY 10103	ASST. SECY 0.25	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ **0**

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
AUA CAPITAL MANAGEMENT CAMP HILL 2331 MARKET STREET, SUITE L PA 17011	INVESTMENT FEES	81,068
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

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Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	15,545,973
b	Average of monthly cash balances		1b	779,325
c	Fair market value of all other assets (see instructions)		1c	2,861,989
d	**Total** (add lines 1a, b, and c)		1d	19,187,287
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	19,187,287
4	Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see instructions)		4	287,809
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	18,899,478
6	**Minimum investment return.** Enter 5% of line 5		6	944,974

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	944,974
2a	Tax on investment income for 2017 from Part VI, line 5	2a 7,214		
b	Income tax for 2017 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	7,214
3	Distributable amount before adjustments Subtract line 2c from line 1		3	937,760
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	937,760
6	Deduction from distributable amount (see instructions)		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	937,760

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	914,047
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	914,047
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	7,214
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	906,833

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7					937,760
2 Undistributed income, if any, as of the end of 2017					
a Enter amount for 2016 only				912,268	
b Total for prior years 20____ , 20____ , 20____					
3 Excess distributions carryover, if any, to 2017					
a From 2012					
b From 2013					
c From 2014					
d From 2015					
e From 2016					
f Total of lines 3a through e					
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ $ 914,047					
a Applied to 2016, but not more than line 2a				912,268	
b Applied to undistributed income of prior years (Election required – see instructions)					
c Treated as distributions out of corpus (Election required – see instructions)					
d Applied to 2017 distributable amount					1,779
e Remaining amount distributed out of corpus					
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a).)					
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5					
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount – see instructions					
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount – see instructions					
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018					935,981
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)					
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)					
9 **Excess distributions carryover to 2018.** Subtract lines 7 and 8 from line 6a					
10 Analysis of line 9					
a Excess from 2013					
b Excess from 2014					
c Excess from 2015					
d Excess from 2016					
e Excess from 2017					

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Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test – enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc , to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

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Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* SEE STATEMENT 13				913,467
Total			▶ 3a	913,467
b *Approved for future payment* N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	10,828	
4 Dividends and interest from securities			14	351,400	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory	900099	241,444	18	434,717	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b LITIGATION SETTLEMENT			1	20,577	
c AUA KABR, LLC	900099	-104,433			
d AUA PRIVATE EQUITY FAMILY INV	900099	9,292			
e					
12 Subtotal Add columns (b), (d), and (e)		146,303		817,522	0

13 **Total.** Add line 12, columns (b), (d), and (e) 13 963,825

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

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Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Michelle Malloy 11/13/2018 Assistant Secretary

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below? See instructions ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed
ROBERT A ABEL		11/14/18	
Firm's name ▶ BROWN SCHULTZ SHERIDAN & FRITZ		PTIN	P00054272
Firm's address ▶ 210 GRANDVIEW AVE CAMP HILL, PA 17011-1706		Firm's EIN ▶	25-1644159
		Phone no	717-761-7171

DAA

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets

Description (Whom Sold)	Date Acquired	Date Sold	How Received / Sale Price	Cost	Expense	Depreciation	Net Gain / Loss
AUA KABR LLC - SECTION 1231 GAIN			PURCHASE				
	VARIOUS	VARIOUS	$ 142,001	$	$	$	$ 142,001
AUA KABR LLC - LONG TERM CAPITAL GA			PURCHASE				
	VARIOUS	VARIOUS	99,800				99,800
AUA PRIVATE EQUITY SECTION 1231 LOSS			PURCHASE				
	VARIOUS	VARIOUS		357			-357
TOTAL			$ 241,801	$ 357	$ 0	$ 0	$ 241,444

Statement 2 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
LITIGATION SETTLEMENT	$ 20,577	$ 20,577	$
AUA KABR, LLC	-104,433		
AUA PRIVATE EQUITY FAMILY INV	9,292		
TOTAL	$ -74,564	$ 20,577	$ 0

Statement 3 - Form 990-PF, Part I, Line 16a - Legal Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
AUA KABR, LLC	$ 2,075	$	$	$
TOTAL	$ 2,075	$ 0	$ 0	$ 0

Federal Statements

Statement 4 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
BROWN SCHULTZ SHERIDAN FRITZ	$ 13,669	$ 13,669	$	$
TOTAL	$ 13,669	$ 13,669	$ 0	$ 0

Statement 5 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INVESTMENT FEES - AUA CAPITAL MG	$ 81,068	$ 81,068	$	$
PROFESSIONAL FEES - AUA PE K-1	841			
TOTAL	$ 81,909	$ 81,068	$ 0	$ 0

Statement 6 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FOREIGN TAX WITHHELD	$ 1,265	$ 1,265	$	$
FEDERAL INCOME TAX	112,165			
TOTAL	$ 113,430	$ 1,265	$ 0	$ 0

Federal Statements

Statement 7 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	$	$	$	$
EXPENSES				
WIRE & CHECK FEES	156	156		
OTHER EXPENSES - FROM K-1	17,947			
CHARITABLE CONTRIBUTIONS - FR	152			152
SECTION 179 - FROM K-1	828			
OTHER EXPENSES	27,460			
REGISTRATION FEE - FROM K-1	495			
CHARITABLE CONTRIBUTIONS - FR	428			428
NONDEDUCTIBLE EXP - FROM K-1	3,494			
TOTAL	$ 50,960	$ 156	$ 0	$ 580

Statement 8 - Form 990-PF, Part II, Line 10a - US and State Government Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SEE ATTACHED SCHEDULE	$ 218,405	$ 193,439	COST	$ 154,171
TOTAL	$ 218,405	$ 193,439		$ 154,171

Statement 9 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SEE ATTACHED SCHEDULE	$ 13,133,975	$ 13,536,466	COST	$ 15,084,604
TOTAL	$ 13,133,975	$ 13,536,466		$ 15,084,604

Federal Statements

Statement 10 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SEE ATTACHED SCHEDULE	$ 59,985	$	COST	$
TOTAL	$ 59,985	$ 0		$ 0

Statement 11 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
AUA KABR, LLC	$ 661,532	$ 323,015	COST	$ 323,015
AUA PRIVATE EQUITY FAMILY INVESTORS	533,585	619,143	COST	619,143
TOTAL	$ 1,195,117	$ 942,158		$ 942,158

Federal Statements

Statement 12 - Form 990-PF, Part III, Line 3 - Other Increases

Description	Amount
COMMON STOCK BASIS ADJUSTMENT	$ 152
TOTAL	$ 152

Federal Statements

Statement 13 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name / Address	Address / Relationship	Status	Purpose	Amount
THE TRUSTEES OF COLUMBIA UNIVERSITY NEW YORK NY 10032	630 W 168TH STREET NONE	PC	GENERAL PURPOSE FUND	25,000
THE ASPEN INSTITUTE ASPEN CO 81611	1000 N THIRD STREET NONE	PC	GENERAL PURPOSE FUND	35,000
BAY HEAD & MANTOLOKING PBA MANTOLOKING NJ 08742	PO BOX 247 NONE	PC	GENERAL PURPOSE FUND	10,000
CENTRAL PARK CONSERVANCY NEW YORK NY 10059	105 ST AND 5TH AVE NONE	PC	GENERAL PURPOSE FUND	38,000
AMERICAN HEART ASSOCIATION DALLAS TX 75231	7272 GREENVILLE AVENUE NONE	PC	GENERAL PURPOSE FUND	5,000
HOLY NAME MEDICAL CENTER FOUNDATION TEANECK NJ 07666	718 TEANECK ROAD NONE	PC	GENERAL PURPOSE FUND	500,000
TRI STATE ITALIAN AMERICAN ASSOC PARAMUS NJ 07652	36 FAIRVIEW TERRACE NONE	PC	GENERAL PURPOSE FUND	10,800
ARCHDIOCESE OF NEW YORK NEW YORK NY 10022	1011 FIRST AVENUE NONE	PC	GENERAL PURPOSE FUND	250,000
COUNCIL OF NEW JERSEY GRANTMAKERS TRENTON NJ 08608	111 WEST STATE STREET NONE	PC	GENERAL PURPOSE FUND	3,167
NEW HORIZONS DAY CAMP LIVINGSTON NJ 07039	PO BOX 536 NONE	PC	GENERAL PURPOSE FUND	1,500
THE ALFRED E. SMITH MEMORIAL FD NEW YORK NY 10022	1011 FIRST AVENUE NONE	PC	GENERAL PURPOSE FUND	25,000
COMMUNITY FOODBANK OF NEW JERSEY HILLSIDE NJ 07205	31 EVANS TERMINAL NONE	PC	GENERAL PURPOSE FUND	10,000
TOTAL				913,467

Form **990-PF**

Underdistribution and Excess Distributions for Part XIII

2017

For calendar year 2017, or tax year beginning 07/01/17 , ending 06/30/18

Name
THE C & J UNANUE FOUNDATION, INC.
C/O AUA FAMILY OFFICE MANAGEMENT

Employer Identification Number
22-3382542

Undistributed Income Carryovers

Form 990-PF, Part XIII

Tax Year	Prior Undistributed Income: Nontaxable or Previously Taxed	Prior Undistributed Income: Taxable in 2017	Prior Undistributed Income: Total per Year	Current Year Decreases	Next Year Carryover: Nontaxable or Previously Taxed	Next Year Carryover: Taxable in 2018
Years prior						
20 13						
20 14						
20 15						
2016		912,268	912,268	912,268		
2017			937,760	1,779		935,981
Total Carryover to Next Year						935,981

* Carryover amount includes 4942(a) amounts

Excess Distribution Carryovers

Form 990-PF, Part XIII

Preceding Tax Year Excess Distributions	Current Year Decreases	Next Year Carryover
2012		
2013		
2014		
2015		
2016		
Current Year Excess Distribution Generated (2017)		0
Total Carryover to Next Year		0

THE C&J UNANUE FOUNDATION, INC
SUMMARY OF REALIZED GAINS
FORM 990-PF
FYE 6/30/18

Number of Shares	Description	Date Acq'd	Date Sold	Proceeds	Cost or Other Basis	Adjustments per G/L statements	Gain/ (Loss)
GOVERNMENT BONDS							
25,000	GOVT OF ONTARIO 1 1% - DUE 10/25/17GOVT OF ONT	10/18/2012	10/25/17	25,000 00	24,966 00		34 00
50,000	US TREASURY BILL18 - DUE 06/07/18	3/8/2018	06/07/18	50,000 00	49,795 25		204.75
400,000	US TREASURY BILL18 - DUE 06/14/18	3/19/2018	06/14/18	400,000 00	398,344 50		1,655 50
				475,000 00	473,105 75	0.00	1,894.25
CORP BONDS							
							0 00
25,000	DOMINION RESOURCE 1 4% - DUE 09/15/17	9/11/2012	09/15/17	25,000 00	24,978 25		21 75
35,000	WALT DISNEY CO 1.1% - DUE 12/01/17	2/27/2013	12/01/17	35,000 00	35,007 35		(7 35)
				60,000.00	59,985 60	0 00	14 40
STOCKS							
950	TARGET CORPORATION	10/31/2013	06/30/17	49,692 68	52,856 00		(3,163 32)
436	REYNOLDS AMERICAN INC(BRIT AMER TOBACCO)	6/12/2015	07/25/17	12,835 84	0 00		12,835 84
0 3360	REYNOLDS AMERICAN INC(BRIT AMER TOBACCO)	6/12/2015	7/26/2017	23.14	23 04		0 10
2,481	ISHARES 1-3 YEAR TREASURY BOND ETF	12/15/2016	09/25/17	209,645.50	209,059 49		586 01
2,495	VANGUARD SHORT TERM ETF	2/16/2017	09/25/17	199,851 66	198,742 40		1,109 26
2,254	ISHARES 1-3 YEAR TREASURY BOND ETF	1/5/2015	09/25/17	190,463 91	190,544 02		(80 11)
565	ISHARES CORE US	3/28/2017	02/22/18	60,103 60	61,238 81		(1,135.21)
940	ISHARES CORE US	5/5/2017	02/22/18	99,995.37	102,164.15		(2,168 78)
1,865	ISHARES TR BOND 7-10 YEAR TREAS BOND ETF	1/5/2015	02/05/18	191,018 67	199,659.44		(8,640 77)
700	BROADCOM LTD	10/31/2013	02/07/18	167,498.52	24,960.40		142,538 12
121	ISHARES CORE S&P MID CAP ETF	11/1/2013	02/07/18	22,558 67	15,554 55		7,004.12
137	ISHARES CORE S&P MID CAP ETF	11/1/2013	02/07/18	25,541 64	17,612 44		7,929 20
1,212	ISHARES RUSSELL 2000 ETF	11/1/2013	02/07/18	181,790 85	131,453 13		50,337 72
700	BROADCOM LTD	10/31/2013	02/14/18	173,395.04	24,960.40		148,434 64
29	REYNOLDS AMERICAN INC(BRIT AMER TOBACCO)	7/25/2017	04/03/18	1,692 50	2,008 25		(315 75)
100	REYNOLDS AMERICAN INC(BRIT AMER TOBACCO)	7/25/2017	04/03/18	5,836 21	6,925 00		(1,088.79)
100	REYNOLDS AMERICAN INC(BRIT AMER TOBACCO)	7/25/2017	04/03/18	5,836 21	6,925 00		(1,088 79)
1,005	ISHARES IBOXX HIGH YIELD	4/28/2017	04/03/18	85,410 24	88,598 79		(3,188 55)
13,938	FIDELITY TOTAL BOND FUND	9/26/2017	05/18/18	143,545 00	149,707 86		(6,162 86)
2,121	ISHARES IBOXX HIGH YIELD	4/28/2017	09/07/17	186,989 64	186,983 10		6 54
2,083	ISHARES IBOXX HIGH YIELD	5/22/2017	09/07/17	183,639 51	184,152 98		(513 47)
336	ISHARES IBOXX HIGH YIELD	5/31/2017	09/07/17	29,622 12	29,793 53		(171 41)
3,018	ISHARES IBOXX HIGH YIELD	4/28/2017	11/10/17	262,767 15	266,060 82		(3,293 67)
1,405	ISHARES TR BOND 7-10 YEAR TREAS BOND ETF	5/22/2017	02/05/18	143,903.31	150,238 30		(6,334 99)
116	ISHARES TR BOND 7-10 YEAR TREAS BOND ETF	5/31/2017	02/05/18	11,880 98	12,450.21		(569 23)
454	ISHARES MSCI EMERGING MARKETS ETF	5/31/2017	02/13/18	21,485 72	18,687 46		2,798 26
5,545	ISHARES MSCI EMERGING MARKETS ETF	1/31/2017	02/13/18	262,419 24	206,843 14		55,576 10
1,668	ENERGY SELECT SECTOR	6/16/2017	09/07/17	108,319 81	110,436 06		(2,116 25)
833	SPDR FUND MATERIALS SELECT SECTOR	9/30/2016	02/07/18	49,820 14	39,868 52		9,951 62
215	SPDR FUND MATERIALS SELECT SECTOR	12/30/2016	02/07/18	12,858 74	10,668 80		2,189 94
212	SPDR FUND MATERIALS SELECT SECTOR	9/30/2016	06/12/18	12,908.57	10,146 61		2,761.96
835	SPDR FUND MATERIALS SELECT SECTOR	10/21/2016	06/12/18	50,842 72	39,827 05		11,015.67
400,000	SCHWAB GOVERNMENT MONEY FUND	3/2/2018	3/20/18	400,000 00	400,000 00		0 00
250,000	SCHWAB GOVERNMENT MONEY FUND	3/2/2018	04/10/18	250,000.00	250,000 00		0 00
7,991	ROBECO BOSTON PARTNERS GLBL	VARIOUS	05/31/18	91,000 79	81,344 76		9,656.03
950	AMERICAN INTL GROUP NEW	10/31/2013	06/12/18	51,658 99	38,956 00		12,702.99
1	AGRIUM INC (NUTRIEN LTD)	12/31/2015	01/03/18	29 29	21 28		8 01
1	CALATLANTIC GROUP(LENNAR CORP)	12/31/2015	02/20/18	58 50	21 18		37.32
1	CALATLANTIC GROUP(LENNAR CORP)	12/31/2015	02/20/18	29 64	41 31		(11 67)
23	ALASKA AIR GROUP INC	12/31/2015	04/03/18	1,369 27	1,860 48		(491 21)

Number of Shares	Description	Date Acq'd	Date Sold	Proceeds	Cost or Other Basis	Adjustments per G/L statements	Gain/ (Loss)
100	ALASKA AIR GROUP INC	12/31/2015	04/03/18	5,953 34	8,089 18		(2,135 84)
59	ALLEGIANT TRAVEL CO	12/31/2015	04/03/18	10,203 96	9,966 96		237 00
35	ALLIANCE DATA SYSTEM	12/31/2015	04/03/18	7,201 46	9,706.02		(2,504.56)
95	TESORO CORPORATION(ANDEAVOR)	12/31/2015	04/03/18	9,682 84	10,037 06		(354 22)
230	AVNET INC	12/31/2015	04/03/18	9,396 82	9,898 72		(501.90)
206	BED BATH & BEYOND	12/31/2015	04/03/18	4,239 58	9,967 81		(5,728 23)
283	DICKS SPORTING GOODS	12/31/2015	04/03/18	9,516 03	10,012 72		(496.69)
98	GILEAD SCIENCES INC	12/31/2015	04/03/18	7,111 75	9,956 92		(2,845.17)
772	GRAPHIC PACKAGING HL	12/31/2015	06/12/18	11,515 76	9,928 38		1,587 38
438	JETBLUE AIRWAYS CORP	12/31/2015	06/12/18	8,239 72	9,980 02		(1,740.30)
116	MANPOWER GROUP	12/31/2015	06/12/18	10,733 53	9,832 41		901.12
350	MASCO CORP	12/31/2015	06/12/18	13,593 74	9,950 70		3,643 04
52	MOHAWK INDUSTRIES	12/31/2015	06/12/18	11,100 96	9,888 38		1,212.58
141	TEMPUR SEALY INTL	12/31/2015	06/12/18	7,315 28	9,992 74		(2,677.46)
8	TORO COMPANY	12/31/2015	06/12/18	510 60	293 64		216 96
80	TORO COMPANY	12/31/2015	06/12/18	5,105 73	2,936 39		2,169 34
80	TORO COMPANY	12/31/2015	06/12/18	5,105 74	2,936 39		2,169 35
100	TORO COMPANY	12/31/2015	06/12/18	6,382 17	3,670 49		2,711 68
				4,101,248 39	3,668,439 69	0 00	432,808 70
				4,636,248 39	4,201,531 04	-	434,717 35