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Department of the Treasury Internal Revenue Service

### **Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public. ► Go to www.irs gov/Form990PF for instructions and the latest information.

For calendar year 2017 or tax year beginning JUL	1, 2017	, and ending	JUN 30, 2018	
Name of foundation			A Employer identification	
Carey Family Foundation			20-1970720	
Number and street (or P O box number if mail is not delivered to street a	B Telephone number			
c/o Vogel & Co. 685 Post Ro	oad		(203) 655-	2200
City or town, state or province, country, and ZIP or foreign p Darien, CT 06820	ostal code		C If exemption application is po	ending, check here
G Check all that apply: Initial return	Initial return of a fo	ormer public charity	D 1 Foreign organizations	s, check here
Final return	Amended return		2 Foreign organizations me	eting the 85% test.
Address change	Name change		2 Foreign organizations me check here and attach co	mputation
H Check type of organization: X Section 501(c)(3) ex			E If private foundation sta	
	Other taxable private foundang method; X Cash	Accrua	under section 507(b)(1)	
· 1 —		Accruai	F If the foundation is in a	
(from Part II, col. (c), line 16) ►\$ 18,646,368. (Part I, colun	her (specify)		under section 507(b)(1)	(b), check here
Part I Analysis of Revenue and Expenses			(a) Adjusted not	(d) Disbursements
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received	2,007,457.		N/A	
2 Check I if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	832.	832.	<del> </del>	Statement 1
4 Dividends and interest from securities	319,234.	319,234.		Statement 2
5a Gross rents				
b Net rental income or (loss)	<b>61.</b> 300			
62 Net gain or (loss) from sale of assets not on line 10 Gross sales price for all 0 201 220	71,389.			
D assets on line 6a 9,301,230.		71 200		(FD)
7 Capital gain net income (from Part IV, line 2)		71,389.	RECE	/ <u>FD</u>
o Net Short-term capital gain				80
9 Income modifications Gross sales less returns			NOV 07	
10a and allowances			2 NOV 0 7	2018 6
b Less Cost of goods sold				
c Gross profit or (loss)			OGDE	<u>                                     </u>
11 Other income 12 Total Add lines 1 through 11	2,398,912.	391,455.		
12 Total Add lines 1 through 11 13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages	40,573.	0.		40,573.
15 Pension plans, employee benefits				
Ø 40 - 1 and fano		- d - d d d	······	
b Accounting fees Stmt 3	18,000.	12,000.		6,000.
b Accounting fees Stmt 3 c Other professional fees Stmt 4	113,638.	113,638.		0.
ய 17 Interest				
17 Interest 18 Taxes Stmt 5 19 Depreciation and depletion 20 Occupancy 21 Travel, conferences, and meetings	5,539.	5,539.		0.
19 Depreciation and depletion				
[ 20 Occupancy				
22 Printing and publications				
23 Other expenses				
24 Total operating and administrative				
23 Other expenses 24 Total operating and administrative expenses Add lines 13 through 23 25 Contributions of the grante paid	177,750.	131,177.		46,573. 511,670.
of the expenses  Add lines 13 through 23  Of the expenses Add lines 13 through 23  Of the expenses and disbursements  Of the expenses and disbursements  Of the expenses and disbursements	511,670.			<u>511,670.</u>
26 Total expenses and disbursements		404 4==		F50 045
I Add lines 24 alid 25	689,420.	131,177.		558,243.
27 Subtract line 26 from line 12:	, , , , , , , , , , , , , , , , , , , ,			
27 Subtract line 26 from line 12:  a Excess of revenue over expenses and disbursements  b Net investment income (if negative, enter -0-)  c Adjusted net income (if negative, enter -0-)  723501 01-03-18	1,709,492.	260 252		
b Net investment income (if negative, enter -0-)		260,278.	37 / 3	ļ
c Adjusted net income (if negative, enter -0-)	s. see instructions		N/A	Form <b>990-PF</b> (2017)

LHA For Paperwork Reduction Act Notice, see instructions

•		•			
Fo	rm 99	OO-PF (2017) Carey Family Foundation			1970720 Page 2
ΓĒ	art	Balance Sheets Allached schedules and amounts in the description	Beginning of year	End o	
느	-	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
		Cåsh - non-interest-bearing	2.	3.	3.
	2	Savings and temporary cash investments	189,933.	104,733.	104,733.
	3	Accounts receivable -		ton p * 1	· ·
		Less, allowance for doubtful accounts			<del></del>
	4	Pledges receivable -	* .	-	
		Less; allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
		disqualified persons	-		· · · · · · · · · · · · · · · · · · ·
	7	Other notes and toans receivable	-		
		Less: allowance for doubtful accounts			
ets	8	Inventories for sale or use		<del></del>	
Assets	9	Prepaid expenses and deferred charges	· · · · · · · · · · · · · · · · · · ·		···
_	1'00	Investments - U.S. and state government obligations			
	1	Investments - corporate stock			
	1	Investments - corporate bonds			***************************************
	11	Investments - land, buildings, and equipment basis			
	12	Less accumulated depreciation  Investments - mortgage loans		: -	· · · · · · · · · · · · · · · · · · ·
	13	Investments - other Stmt 7	14,775,840.	18,541,632.	18,541,632.
	14	Land, buildings, and equipment basis			
	``	Less accumulated depreciation			
	15	Other assets (describe ►			
	16	Total assets (to be completed by all filers - see the			<del></del>
		instructions. Also, see page 1, item 1)	14,965,775.	18,646,368.	18,646,368.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
es	19	Deferred revenue			
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons			
iat	21	Mortgages and other notes payable	0.	249.	
_	22	Other liabilities (describe ► Payroll Withholdin)	0.1	247.	
	23	Total liabilities (add lines 17 through 22)	0.	249.	,
_	2.0	Foundations that follow SFAS 117, check here			
		and complete lines 24 through 26, and lines 30 and 31			
ės	24	Unrestricted			
anc	25	Temporarily restricted			
Bal	26	Permanently restricted			
P		Foundations that do not follow SFAS 117, check here			
Ī		and complete lines 27 through 31		_	·
tso	27	Capital stock, trust principal, or current funds	0.	0.	
Net Assets or Fund Balances	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
Ä	29	Retained earnings, accumulated income, endowment, or other funds	14,965,775.	18,646,119. 18,646,119.	
ž	30	Total net assets or fund balances	14,903,773.		
	31	Total liabilities and net assets/fund balances	14,965,775.	18,646,368.	
P	art	III Analysis of Changes in Net Assets or Fund Ba	lances		
1	Tota	net assets or fund balances at beginning of year - Part II, column (a), line	30		
		st agree with end-of-year figure reported on prior year's return)		1	14,965,775.
2	Ente	amount from Part I, line 27a		2	1,709,492.

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30		
	(must agree with end-of-year figure reported on prior year's return)	1	14,965,775.
2	Enter amount from Part I, line 27a	2	1,709,492.
3	Other increases not included in line 2 (itemize)  See Statement 6	3	1,970,852.
4	Add lines 1, 2, and 3	4	18,646,119.
5	Decreases not included in line 2 (itemize)	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	18,646,119.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Form **990-PF** (2017)

7 Add lines 5 and 6

Enter 1% of net investment income (1% of Part I, line 27b)

Enter qualifying distributions from Part XII, line 4

6

7

2,603.

651,676.

558,243.

	990-PF (2017) Carey Family Foundation rt VI Excise Tax Based on Investment Income (Section 4940	)(a), 4940(b), 4940(e), or		970720 ee instruct	Page 4	
1a	Exempt operating foundations described in section 4940(d)(2), check here and el					
	Date of ruling or determination letter: (attach copy of letter if nec	l l				
	Domestic foundations that meet the section 4940(e) requirements in Part V, check here		1	5	,206.	
	of Part I, line 27b					
	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4%	6 of Part I, line 12, col. (b). 丿				
	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; other		2		0.	
3	Add lines 1 and 2		3	5	,206.	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; of	thers, enter -0-)	4		0.	
5	Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	5	,206.	
6	Credits/Payments:					
а	2017 estimated tax payments and 2016 overpayment credited to 2017	6a 6,324	_			
b	Exempt foreign organizations - tax withheld at source	6b 0	_			
C	Tax paid with application for extension of time to file (Form 8868)	6c 0				
d	Backup withholding erroneously withheld	6d 0				
	Total credits and payments. Add lines 6a through 6d		7	6	,324.	
	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is atta	ached	8		0.	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	•	9		110	
	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	1 110	10		,118.	
	Enter the amount of line 10 to be: Credited to 2018 estimated tax	1,118. Refunded	11		0.	
	rt VII-A Statements Regarding Activities				res No	
1a	During the tax year, did the foundation attempt to influence any national, state, or local legis	station or did it participate or interve	ne in	<u> </u>	X	
	any political campaign?	O Coo the restaurations for the de-	t.an	1a	$\frac{x}{x}$	
þ	Did it spend more than \$100 during the year (either directly or indirectly) for political purpos		IIIIIIIIIII	1b	<del>-   ^</del> -	
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of	or any materials published or			1	
	distributed by the foundation in connection with the activities.			1c	$\frac{1}{x}$	
	Did the foundation file Form 1120-POL for this year?	Noor!		10	<del>-                                     </del>	
a	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the	s, year. s. ► \$ 0				
_	(1) On the foundation $\blacktriangleright$ \$ (2) On foundation managers Enter the reimbursement (if any) paid by the foundation during the year for political expendi	· · · · · · · · · · · · · · · · · · ·	<u>•</u>			
е	^	itale tax imposed on roundation				
•	managers.   \$ U .  Has the foundation engaged in any activities that have not previously been reported to the IF	RS?		2	X	
2	If "Yes," attach a detailed description of the activities.	no.				
2	Has the foundation made any changes, not previously reported to the IRS, in its governing in	instrument articles of incorporation	or			
J	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	month and the control of the control of	, 0.	3	X	
Aa	Did the foundation have unrelated business gross income of \$1,000 or more during the year	r?		4a	Х	
	If "Yes," has it filed a tax return on Form 990-T for this year?	•	N/	A 4b		
	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	,		5	X	
·	If "Yes," attach the statement required by General Instruction T					
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied er	ither:				
-	By language in the governing instrument, or					
	By state legislation that effectively amends the governing instrument so that no mandatory	y directions that conflict with the sta	ate law			
	remain in the governing instrument?			6	X	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," comp	olete Part II, col. (c), and Part XV		7	X	
8a	Enter the states to which the foundation reports or with which it is registered. See instruction	ons. ►				
	CT			·		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the A	Attorney General (or designate)				
	of each state as required by General Instruction G2 If "No," attach explanation			8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of se	ection 4942(j)(3) or 4942(j)(5) for ca	llendar			)
	year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," com			9	<u> X</u>	_
10	Did any persons become substantial contributors during the tax year? if "Yes," attach a schedu	ule listing their names and addresses		10	<u> </u>	
				Form <b>990</b> -	·PF (2017)	

1 Al any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of saction 51/20/13/9 If "Yes," attach schedulis. See instructions   11	P	art VII-A   Statements Regarding Activities (continued)			
section 51/20/(137)* If Ives, attach schedule. See instructions  If Yes, attach statement. See instructions  See Statement 9 See Statement 10  If Yes, attach statement. See instructions  See Statement 9 See Statement 10  If Yes, attach statement. See instructions  See Statement 9 See Statement 10  If the boundation comply with the public inspection requirements for its annual returns and exemption application?  Website address > N/A  If The books are in care of Yoge1 & Co Located at Po 655 Post Road, Darien, CT  If eliphone no Yoge1 & See Statement 10  See Statement 9 See Statement 10  See Statement 10  If eliphone no Yoge1 & See Statement 10  See Statement 10  If eliphone no Yoge1 & See Statement 10  If eliphone no Yoge1 & See Statement 10  See In the statement see Statement 10  See In				Yes	No
section 51/20/(137)* If Ives, attach schedule. See instructions  If Yes, attach statement. See instructions  See Statement 9 See Statement 10  If Yes, attach statement. See instructions  See Statement 9 See Statement 10  If Yes, attach statement. See instructions  See Statement 9 See Statement 10  If the boundation comply with the public inspection requirements for its annual returns and exemption application?  Website address > N/A  If The books are in care of Yoge1 & Co Located at Po 655 Post Road, Darien, CT  If eliphone no Yoge1 & See Statement 10  See Statement 9 See Statement 10  See Statement 10  If eliphone no Yoge1 & See Statement 10  See Statement 10  If eliphone no Yoge1 & See Statement 10  If eliphone no Yoge1 & See Statement 10  See In the statement see Statement 10  See In	11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
12			111		х
If If Yes, attach statement. See instructions  See Statement 9 See Statement 10   12   X    Whoshes address   N/A    The books are in care of   Voge1 & Co   70   70   70   70   70   70   70   7	12		<u> </u>		
13   X			12	x	
Website address   N/A   14 The books are name of   Vogel & Co   Telephone no   (203) 655-2200   15 Section 4947(a)(1) nonexempt characteristic strusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year   16 At any time during calendar year 2017, doll the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and filing requirements for FinCEN Form 114. If Yes, enter the name of the togen country   Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any term is checked in the "Yes" column, unless an exception applies.  1a During the year, did the foundation (lettle directly or indirectly):  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money fron, lend money to, or otherwise extend credit to (or accept the form 1470) and adequalitied person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person or lend to the benefit or use of a disqualified person?  (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation of powerment service, if ferminating within 90 days; or second after termination of powerment service, if ferminating within 90 days?  (7) In the foundation engage in a prior year in any of the acts fall to quality under the exceptions described in Regulations section 3494(1)-3 or in a current notice regarding disaster assistance, check hare  (b) If the next of tax year 2017, did the foundation have any undstributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning the foundation had more than a 22% direct or indirect interest in any buseness enteriers at any time during t	13	<b>,</b>			
14 The books are in care of ▶ Voge1 & Co Located at ▶ 685 Post Road, Darien, CT  15 Section 947(a)(1) in onesempt charitable trusts hing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tak-exempt interest received or accrued during the year  A ray time during cleanery area 2017, do the toundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and hinging regurements for FinCEN Form 114. If Yes, "enter the name of the Jarragin country.  Peart VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 a During the year, did the foundation (either directly or indirectly);  1 Engage in the sale or exchange, or lesson gof property with a disqualited person?  (2) Borrow money fron, lend money ro, or otherwise extend credit to (or accept it from) a disqualited person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualited person?  (4) Pay compensation for, or pay or remibures the expenses of, a disqualited person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualited person?  (4) Pay compensation for, or pay or remibures the expenses of, a disqualited person?  (5) Transfer any incomer or assets or a disqualited person)?  (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation of powerment service, if terminating within 90 days;  (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation engage in a prior year in any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(0)-3 or in a current notice regarding dissate assistance? See instructions  (5) Agree to pay money or proprety or proprety in the provisions of section 4942(a)(2) (relating to morried before the first day of the tax yes abspinned					
Located at   \$685 Post Road, Darien, CT   Section 4947(a) (1) nonexempt charitable trusts filling Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-everight interest received or accrued during the year   16. At any time during calendary year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other hinancial scount in a foreign country?   16. X   X   X   X   X   X   X   X   X   X	14		655	-22	0.0
15 Section 4947(a)(1) nonexempt charitable trusts fluing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year  16. At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  See the institutions for exceptions and filing requirements for FinCEN Form 114. If "yes," enter the name of the forming country.  Perry VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 boung the year, did the foundation (ather directly or indirectly):  (1) Engage in the sale or excellange, or leasing of property with a disqualited person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)  a disqualified person?  (3) Furnish poods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or remitives the expenses of, a disqualified person?  (5) Transfer any income or assets to a descualified person (if make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay morey or property to a poverment official" (Exception, Check "No" if the foundation argient on make a grant to or to employ the official for a period after termination of oper-miner service, if lettermating within 90 days.)  If any answers is "Yes" to 161/16, old any of the acts facts fold in 18, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?  If "Yes, list the year's beginning in 2017?  If "Yes, list the year's being a payor year in any of the accidescribed in 18, other than excepted acts, that were not corrected before the first day of the fax year beginning in 2017 as a result of (1) any purchase by	••	Located at > 685 Post Road Darien CT 7/P-4 >0	$\frac{6820}{6820}$		
and enter the amount of tax-exempt interest received or accrued during the year  6 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank,  8 securities, or other financial account in a foreign country?  See the instructions for exceptions and fining requirements for FinCEN Form 114. If Yes,* enter the name of the  16	15		<del>•••</del>		$\overline{\Box}$
16 A any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  Sea the instructions for exceptions and thing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country.  Foreign country.  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 by Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 by Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 by Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 by Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 by Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 by Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" kind in the foundation (checked person)?  2 by Statements Regarding Activities for Which Form 4720 May Be Required  3 by If any answer in the sace as to a disqualified person (or make any or other available to a part to use of a disqualified person (or make any or other available to a part to use of a disqualified person (or make any or or poperty to a government official? (Exception. Check No.)  1 che make any interest to a second any or or poperty to a government official? (Exception. Check No.	10	•	N	/A	
See the instructions for exceptions and hing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country?    Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required    File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	16				No
See the mistructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the forgon country ▶  Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1a During the year, did the foundation (either directly) or indirectly):  (1) Engage in the sale or exchange, or lessing of property with a disqualified person? Yes No  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)  a disqualified person? Yes No  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No  (5) Transfer any income or assets to a disqualified person (or make any) of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception, Check 'No'  if the foundation agreed to make a grant to ro! employ the official for a period after termination of operament service, if terminating within 90 days.)  b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(c)-3 or in a current notice regarding disaster assistance, check here  before the first day of the lax year beginning in 2017?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942((a)) 40 are accepted acts, that were not corrected before the first day of the tax year beginning in 2017?  2 Taxes on failure to distribute income (section 4942) (does not applying the provisions of section 4942(a) (a) and a distance of the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?  If 'Yes Is the years sisted in 2a for which the foundation is not applying the provisions of section 4942(a)	10		16		
Foreign country   Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required   File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.   Yes   No     1a During the year, did the foundation (either directly or indirectly):   (1) Engage in the sale or exchange, or leasing of property with a disqualified person?   Yes   No     (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)   a disqualified person?   Yes   No     (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?   Yes   No     (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?   Yes   No     (5) Transfer any norme or assets to a disqualified person (or make any of either available for the behalf or use of a disqualified person)?   Yes   No     (6) Agree to pay money or property to a government official? (Exception, Check 'No'   the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)   Yes   No     D I array answer is 'Yes' to 1a(1)-(6), did any of the acts fat to quality under the exceptions described in Regulations section 53.491(0)-3 or in a current notice regarding disaster assistance? See instructions   Ni/A   Organizations relying on a current notice regarding disaster assistance? See instructions   Ni/A   Organizations relying on a current notice regarding disaster assistance, check here   Original to the foundation in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?   If Yes, Its the year's undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?   Yes   No   Yes			1	L	
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 a During the year, did the foundation (either directly or indirectly):  (1) Engage in the sale or exchange, or leasing of property with a disqualitied person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualitied person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualitied person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualited person?  (5) Transfer any income or assets to a disqualitied person or make any of either available for the benefit or use of a disqualitied person or make any of either available for the benefit or use of a disqualitied person or make any of either available for the benefit or use of a disqualitied person or make any of either available for the benefit or use of a disqualitied person or make any of either available for the benefit or use of a disqualitied person or make any of either available for the benefit or use of a disqualitied person or make any of either available for the benefit or use of a disqualitied person or make any of either available for the benefit or use of a disqualitied person or make any of either available for the benefit or use of a disqualitied person or make any of either available for the benefit or use of a disqualitied person or make any of either available for the benefit or use of a disqualitied person or make any of either available for the benefit or use of a disqualitied person or make any of either available for the benefit or use of a disqualitied person or make any of either available for the first day of the act use of a disqualitied person or make any of either available for the first day of the tax year degrating disaster assistance, check here  1 but any answers "Vest" to 161/16, dar any of the acts fast to quality under the exceptions described in Regulations  1 but end of tax year 2017, did the foundation and year any of the acts as to quality of					
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Organizations relying on a current notice regarding disaster assistance, check here  c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)):  a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?  If "Yes," list the years   Yes   X No   Yes	b	AT / B	<del> </del>	-	
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before the first day of the tax year beginning in 2017?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):  a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?  If "Yes," list the years   b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.  3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)  4a Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?  4b X					
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statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	b				
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		37/3	<u> </u>		
Joint the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?    Yes   X   No		,	2b		
during the year?  b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)  4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  4b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?  4b X	C	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	1		
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4aDid the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?4aXbDid the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?4bX					
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?  4b X		•			
had not been removed from jeopardy before the first day of the tax year beginning in 2017?		· · · · · · · · · · · · · · · · · · ·	4a		<u> </u>
	b				
				لييا	

Form 990-PF (2017) Carey Family Foundation	4700 May Da D	2	20-197072	0	Page 6
Part VII-B   Statements Regarding Activities for Which F	orm 4/20 May Be R	equirea <sub>(contin</sub>	ued)	- IV	- NI -
5a During the year, did the foundation pay or incur any amount to:			}	res	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section	` ''		es X No	.   .	.
(2) Influence the outcome of any specific public election (see section 4955); o	r to carry on, directly or indire			`	
any voter registration drive?			es X No	1.	1 1
(3) Provide a grant to an individual for travel, study, or other similar purposes		Ye	es 🗓 No		,
(4) Provide a grant to an organization other than a charitable, etc., organization	n described in section				
4945(d)(4)(A)? See instructions			es 🗓 No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, the prevention of cruelty to children or animals?	or educational purposes, or fo		es X No	_	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und	der the exceptions described i	n Regulations		1	
section 53.4945 or in a current notice regarding disaster assistance? See instru	uctions	-	N/A 5	ь	
Organizations relying on a current notice regarding disaster assistance, check h	nere		▶□ [	T	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption fr	om the tax because it maintai	ned			
expenditure responsibility for the grant?		Γ/A □ Υ∈	es 🔲 No		١٠
If "Yes," attach the statement required by Regulations section 53.4945-5(d).					
6a Did the foundation, during the year, receive any funds, directly or indirectly, to	pay premiums on		İ	.   .	1 1
a personal benefit contract?	, p		es 🗶 No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a p	ersonal benefit contract?		6	<u> </u>	X
If "Yes" to 6b, file Form 8870.	orbonal bonom bonnadi		<u> </u>		<del>                                     </del>
7a At any time during the tax year, was the foundation a party to a prohibited tax s	helter transaction?		s X No	ĺ	[ [
b If "Yes," did the foundation receive any proceeds or have any net income attribu		٠, ـــــ	N/A 7		<del> </del>
Part VIII Information About Officers, Directors, Truste Paid Employees, and Contractors	es, Foundation Mar	nagers, Highly			
1 List all officers, directors, trustees, and foundation managers and the	neir compensation.	,			<u>.</u>
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Exp account allowa	, other
Charles G. Carey	Trustee				
107 Long Neck Point Road					
Darien, CT 06820	0.00	0.	0.		0.
	Trustee				
107 Long Neck Point Road		1			
Darien, CT 06820	0.00	٥.	0.		0.
Compensation of five highest-paid employees (other than those incl	uded on line 1). If none, e	enter "NONE."		- '	
	(b) Title, and average		(d) Contributions to employee benefit plans and deferred	(e) Exp	enșe
(a) Name and address of each employee paid more than \$50,000	hours per week devoted to position	(c) Compensation	compensation	account allowa	other nces
	Director of R	ſ	4	1 ^	1.0
685 Post Road, Darien, CT 06820	30.00	39,354.	0.	1,2	<u> 19.</u>
<del></del>					
			<del></del>		
			1		
			[		
			Ŧ		
Total number of other employees paid over \$50,000					0

Form 990-PF		20-	1970720 P	Page 7
Part VIII	Information About Officers, Directors, Trustees, Foundation Paid Employees, and Contractors (continued)	on Managers, Highly		
3 Five hig	hest-paid independent contractors for professional services. If none, enter "l	NONE."		
	(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compen	sation
	NONE			
		1	•	
		<u> </u>		
		4		
	<u> </u>			
	14-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-			
		4		
Total number	er of others receiving over \$50,000 for professional services	<u> </u>		0
Part IX-	A Summary of Direct Charitable Activities	<del></del>		<u> </u>
	ndation's four largest direct charitable activities during the tax year. Include relevant statistic	al information such as the		
number of c	organizations and other beneficiaries served, conferences convened, research papers produc	ed, etc.	Expenses	
1	N/A			
2				
			1	
3				
<del></del>				
			<del></del>	
4			•	
Part IX-	B Summary of Program-Related Investments			
	e two largest program-related investments made by the foundation during the tax year on lin	es 1 and 2.	Amount	
1	N/A			
2				
All sales as				
	ogram-related investments. See instructions.			
3				
Fotal. Add I	lines 1 through 3	<b></b>		0.
			Form <b>990-PF</b>	(2017)

P	art X Minimum Investment Return (All domestic foundations must complete this part. Foreign four	ndations,	see instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		<del> </del>
а		1a	16,618,193.
b	Average of monthly cash balances	1b	16,618,193. 177,806.
C	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	16,795,999.
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation)   1e   0 •		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	16,795,999.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	251,940.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	16,544,059.
6	Minimum investment return Enter 5% of line 5	6	827,203.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations an	d certain	
_	foreign organizations, check here  and do not complete this part.)		827,203.
1	Minimum investment return from Part X, line 6  Tax on investment income for 2017 from Part VI. line 5  2a 5, 206.	<u> </u>	027,203.
2a	, and the same of		
b	Income tax for 2017. (This does not include the tax from Part VI.)		E 206
C	Add lines 2a and 2b	2c	5,206. 821,997.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	021,997.
4	Recoveries of amounts treated as qualifying distributions	4	821,997.
5	Add lines 3 and 4	5	021,997.
6	Deduction from distributable amount (see instructions)	7	821,997.
<del></del>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		021,997.
P	art XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
а	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	558,243.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:	<u> </u>	
а	Suitability test (prior IRS approval required)	3a	
þ	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	558,243.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment	[	_
	income Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	558,243.
	Note: The amount on line 6 will be used in Part V. column (b), in subsequent years when calculating whether the foundation of	inalifies fo	or the section

Form **990-PF** (2017)

4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

6	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI,	00.700	1 0 d. 0 p. 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1 0 1		
line 7				821,997.
2 Undistributed income, if any, as of the end of 2017			0.5.00	
a Enter amount for 2016 only			97,500.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2017		0.		
a From 2012				İ
b From 2013				+
c From 2014	-		İ	,
d From 2015				
e From 2016				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2017 from				
Part XII, line 4. ► \$ 558, 243.				
a Applied to 2016, but not more than line 2a			97,500.	
<b>b</b> Applied to undistributed income of prior				
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus	0.			
(Election required - see instructions)	<u> </u>			460,743.
d Applied to 2017 distributable amount	0.			400,743.
e Remaining amount distributed out of corpus	0.			0.
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below.				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
<b>b</b> Prior years' undistributed income. Subtract		•		
line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of				
deficiency has been issued, or on which				
the section 4942(a) tax has been previously		0.		
assessed d Subtract line 6c from line 6b. Taxable				
amount - see instructions		0.		
e Undistributed income for 2016. Subtract line				
4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2017. Subtract				
lines 4d and 5 from line 1. This amount must				254 254
be distributed in 2018	<u></u> -			361,254.
7 Amounts treated as distributions out of	•			,
corpus to satisfy requirements imposed by				
section 1/0(b)(1)(I) or 4942(g)(3) (Election	Ö.	•		'
may be required - see instructions)	U			,
8 Excess distributions carryover from 2012	0.			
not applied on line 5 or line 7  9 Excess distributions carryover to 2018				
9 Excess distributions carryover to 2018 Subtract lines 7 and 8 from line 6a	0.	4 6 4 1		,
10 Analysis of line 9.	, ,		1	
a Excess from 2013			-	· '
b Excess from 2014				
c Excess from 2015	' 'v <sub>1</sub>		÷ .	
d Excess from 2016				
e Excess from 2017				

Form 990-PF (2017) Carey F	amily Founda	ation			970720 Page 10
Part XIV Private Operating F			A, question 9)	N/A	
1 a If the foundation has received a ruling of		· · · · · · · · · · · · · · · · · · ·			
foundation, and the ruling is effective fo	·	•	▶ ـ		
<b>b</b> Check box to indicate whether the found	dation is a private operatin	g foundation described in		4942(j)(3) or4	1942(j)(5)
2 a Enter the lesser of the adjusted net	Tax year		Prior 3 years		
income from Part I or the minimum	(a) 2017	<b>(b)</b> 2016	(c) 2015	(d) 2014	(e) Total
investment return from Part X for	]			J	
each year listed					1
<b>b</b> 85% of line 2a					<u> </u>
c Qualifying distributions from Part XII,					
line 4 for each year listed	[				
d Amounts included in line 2c not					
used directly for active conduct of	ţ.				
exempt activities					
e Qualifying distributions made directly	,	-			T
for active conduct of exempt activities.					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the	<del></del>	<u> </u>		<del></del>	<del>                                     </del>
alternative test relied upon:					
<ul><li>a "Assets" alternative test - enter</li><li>(1) Value of all assets</li></ul>					
			/	<del> </del>	<del></del>
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				ļ	
b "Endowment" alternative test - enter 2/3 of minimum investment return					
shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross					
investment income (interest,					
dividends, rents, payments on securities loans (section					
512(a)(5)), or royalties)					1
(2) Support from general public					
and 5 or more exempt					
organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from					T
an exempt organization					
(4) Gross investment income					
Part XV   Supplementary Info	rmation (Complet	e this part only it	the foundation	had \$5,000 or mo	re in assets
at any time during t	he year-see instru	uctions.)			
1 Information Regarding Foundation	n Managers:	<u></u>			
a List any managers of the foundation wh year (but only if they have contributed in			ibutions received by the	foundation before the clo	se of any tax
See Statement 11	1010 111011 40,000/1 (000 00	νοιιοίν σον (σ)(=).			
b List any managers of the foundation wh	a own 109/ or more of the	stock of a corporation (	or an aqually large portio	n of the ownership of a r	artnership or
other entity) of which the foundation ha			or arrequally large portion	it of the ownership of a p	artificistip of
None	-				
2 Information Regarding Contributi	on. Grant. Gift. Loan.	Scholarship, etc., Pro	ograms:		
Check here X if the foundation of the foundation makes gifts, grants, etc.,	only makes contributions to	o preselected charitable o	organizations and does n		uests for funds. If
a The name, address, and telephone numl	<del></del>				
a The hame, address, and telephone humi	Jei Oi eman address of the	e person to whom applica	ations should be address	ъи <b>.</b>	
<b>b</b> The form in which applications should b	e submitted and informati	on and materials they sh	ould include:		
c Any submission deadlines:					
d Any restrictions or limitations on awards	s, such as by geographical	areas, charitable fields, l	kinds of institutions, or o	ther factors	
723601 01-03-18	· · · · · · · · · · · · · · · · · · ·			<del></del>	Form <b>990-PF</b> (2017)

3 Grants and Contributions Paid During the Y		Payment		
Recipient			T	
Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year	Or Substantial Contributor	, roopen		
,				
City Squash		PC	General Use	
602 E. 187th St. #204				
Bronx, NY 10458	<del> </del>	-		10,000
Darien Community Association		₽C	General Use	
274 Middlezex Road			50	
Darien, CT 06820				10,000.
Darien EMS		PC	General Use	
0 Ledge Road Darien, CT 06820	]			5,000.
	****	<del> </del>	<u> </u>	
Future 5		PC	General, Use	
135 Atlantic Street				
Stamford, CT 06901				301,670.
Lake Sunapee Protective Association 72 Main Street		PC	General Use	
72 Main Street Sunapee, NH 03782				10,000.
	ntinuation shee	t(s)	<b>▶</b> 3a	511,670.
Approved for future payment				
None				
·		<u> </u>		
			.	
-				
			·	
•			]	
Total		<u> </u>	► 3b	0.
10101			▶ 30	000 DE

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated	Unrelated business income		ded by section 512, 513, or 514	(e)
,	(a)	(b)	(C) Exclu-	(d)	Related or exempt
1 Program service revenue	Business code	Amount	sion	Amount	function income
•	Code	*****	+	<u> </u>	· · · · · · · · · · · · · · · · · · ·
· · · · · · · · · · · · · · · · · · ·	- <del> </del> +	· · · · · · · · · · · · · · · · · · ·	+		
		· · · · · · · · · · · · · · · · · · ·	+-	<del></del>	<del></del>
			+-	<del> </del>	
			+		<del></del>
е	_		┼		
Formand and an American form	- }	<del></del>	┿		
g Fees and contracts from government agencies	$\vdash$	<del></del>	+	<del> </del>	<del></del>
2 Membership dues and assessments	-	<del></del>	┼		<del></del>
3 Interest on savings and temporary cash					022
investments	<del></del>	<del></del>	<del> </del>		832.
4 Dividends and interest from securities			—		319,234.
5 Net rental income or (loss) from real estate:			—		
a Debt-financed property			<b>-</b>		<del>_</del> .
b Not debt-financed property	<u> </u>	<del> </del>	—		
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other					
than inventory			1		71 <u>,</u> 389.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a	1				
b			1		
c	I I				
d					
ρ	-				·····
12 Subtotal. Add columns (b), (d), and (e)	-	0.		0.	391,455.
13 Total Add line 12, columns (b), (d), and (e)			4	13	391,455.
(See worksheet in line 13 instructions to verify calculations.)	<b>\</b>				

#### Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Ente NO	the foundation's exempt purposes (other than by providing funds for such purposes).									
3			<u> </u>				to	fund	charitable	purposes.
4									charitable	
7	Passive	income	earned	solely	to	continue	to	fund	charitable	purposes.
8	Passive	income	earned	solely	to	continue	to	fund	charitable	purposes.
									<u>.</u>	
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	ļ <u>.</u>									
	ļ	<del></del>	<del></del>					<del> </del>		
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							<del></del>	<del></del>		
	<u> </u>						<del></del> -		<del></del>	Form <b>990-PF</b> (2017)
723621 01-0	3-18									Form 330-PF (201/)

Part XVII	Information Re Exempt Organ		and Transactions ar	nd Relationships With Noncha	ritable
1 Did the or		rectly engage in any of the follow	ung with any other organization	on described in section 501(c)	Yes No
		izations) or in section 527, relati		3550.1555 5551.5 55 1(6)	
-		ation to a noncharitable exempt o			
(1) Cash		·	•		1a(1) X
(2) Othe	r assets				1a(2) X
<b>b</b> Other trai	nsactions:				
(1) Sales	s of assets to a noncharital	ble exempt organization			1b(1) X
(2) Purc	hases of assets from a nor	ncharitable exempt organization			1b(2) X
	al of facilities, equipment,	or other assets			1b(3) X
• •	nbursement arrangements				1b(4) X
• •	s or loan guarantees				1b(5) X 1b(6) X
• •		mbership or fundraising solicitat			
		iling lists, other assets, or paid e		ways show the fair market value of the good	
or service	es given by the reporting fo		ved less than fair market valu	e in any transaction or sharing arrangement	
(a) Line no	(b) Amount involved	(c) Name of noncharitat		(d) Description of transfers, transactions, as	nd sharing arrangements
<del></del>		N/A			
	·—·				
				- <u>-</u>	
			<u> </u>		
					·
		<del></del>			
				<del></del>	
			<del></del>	<del></del>	<del></del>
					-
			· · ·		
·			· <u>-</u>		
ın section	501(c) (other than section	tly affiliated with, or related to, or n 501(c)(3)) or in section 527?	ne or more tax-exempt organi	zations described	Yes X No
b it yes, c	omplete the following sche (a) Name of org		(b) Type of organization	(c) Description of relation	nshin
	N/A	dilization	(b) Type of organization	(U) Description of relation	лапр
		<u> </u>			
Sign Here			an taxpayer) is based on all informal	atements, and to the best of my knowledge then of which preparer has any knowledge  Trustee	May the IRS discuss this return with the preparer shown below? See instr
	Print/Type preparer's na	me Peparer's	Date	Title Check if PTI	N
	Timo type preparer 5 Na	T) Epalei S	orginatur o	self- employed	•••
Paid	George Voge	el Georg	e Vogel	1 1	00008119
Preparer		el & Company	- <u> </u>	Firm's EIN ▶ 06 -	
Use Only					<del></del>
	Firm's address ▶ 68	5 Post Road			
	Da	rien, CT 06820		Phone no. (203	) 655-2200
			<del></del>		Form <b>990-PF</b> (2017)

3 Grants and Contributions Paid During the				
Recipient	If recipient is an individual,	Foundation	Purpose of grant or	
Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	status of recipient	Purpose of grant or contribution	Amount
Skidmore College		PC	General Use	
815 North Broadway				100,00
Saratoga Springs, NY 12866				100,00
The Bob Woodruff Foundation		PC	General Use	
100 Wall Street, 2nd Floor				
New York, NY 10005				50,00
Nho Bolla			General Use	
The Fells 456 Route 103A		PC	Peneral Ase	
Newbury, NH 03255				25,00
			<del></del>	, , ,
<u>.                                    </u>				
		•		
				<u></u> .
		1		
Total from continuation sheets	·	<del>-</del>	• · · · · · · · · · · · · · · · · · · ·	175,00

# Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Name of the organization

## **Schedule of Contributors**

➤ Attach to Form 990, Form 990-EZ, or Form 990-PF.

➤ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2017

Carey Family Foundation

Employer identification number

20-1970720

Schedule B (Form 990, 990-EZ, or 990-PF) (2017)

Organization type (check one)							
Filers of	:	Section:					
Form 99	0 or 990-EZ	501(c)( ) (enter number) organization					
		4947(a)(1) nonexempt charitable trust not treated as a private foundation					
		527 political organization					
Form 99	0-PF	X 501(c)(3) exempt private foundation					
		4947(a)(1) nonexempt charitable trust treated as a private foundation					
•		501(c)(3) taxable private foundation					
Note: O	nly a section 501(c)(	covered by the <b>General Rule</b> or a <b>Special Rule</b> .  7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions					
General	For an organization	filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.					
Special	Rules	•					
	sections 509(a)(1) a any one contributor	described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from r, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, line 1 Complete Parts I and II					
	year, total contribut	described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the tions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for ruelty to children or animals. Complete Parts I, II, and III					
	year, contributions is checked, enter he purpose Don't com	described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000 If this box ere the total contributions that were received during the year for an exclusively religious, charitable, etc., inplete any of the parts unless the General Rule applies to this organization because it received nonexclusively etc., contributions totaling \$5,000 or more during the year					
but it <b>mi</b>	ıst answer "No" on l	at isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to be filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)					

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Name of organization

Employer identification number

Carey Family Foundation	20-1970720

Part I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed					
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution			
1	Charles & Wendy Carey  107 Long Neck Point Road  Darien, CT 06820	\$ <u>2,007,457.</u>	Person X Payroll			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution			
		\$	Person Payroll Occupate Part II for noncash contributions )			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution			
		\$	Person Payroll Oncash Occash (Complete Part II for noncash contributions )			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution			
		\$	Person Payroll Oncash (Complete Part II for noncash contributions )			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution			
		\$	Person Payroll Oncash Occash (Complete Part II for noncash contributions )			
(a) No.	(b) Name, address, and ZIP + 4	, (c) Total contributions	(d) Type of contribution			
		\$	Person Payroll Noncash (Complete Part II for noncash contributions )			

Employer identification number

Carev' Family Foundation

20-1970720

arey	Family Foundation		1970720
art II	Noncash Property (see instructions) Use duplicate copies of Part I	I if additional space is needed	
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
	56.965 Shares Twenty-First Century Fox, Inc.	_	
_1	· · · · · · · · · · · · · · · · · · ·	_	
		\$ 2,007,457.	12/22/17
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
arti	-		
		   \$	
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		_	
		s	
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
(a) No. ' from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		_	
		_	
		\ \$	90, 990-EZ, or 990-PF) (2

Name of or	ganization			Employer identification number
Caresi	Family Foundation			20-1970720
Part III	Exclusively religious, charitable, etc., control the year from any one contributor. Complete completing Part III, enter the total of exclusively religious.  Use duplicate copies of Part III if additional	columns (a) through (e) and the follo s, charitable, etc., contributions of \$1,000 or	owina line entry	(c)(7), (8), or (10) that total more than \$1,000 for
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift		(d) Description of how gift is held
		(e) Transfer of gi	ft	
	Transferee's name, address, a	nd ZIP + 4	Relatio	onship of transferor to transferee
(a) No.				
from Part I	(b) Purpose of gift	(c) Use of gift		(d) Description of how gift is held
	,	(e) Transfer of git	ft	
	Transferee's name, address, ar			onship of transferor to transferee
	<del></del>			
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift		(d) Description of how gift is held
			_	
}	Market 11 - 2 - 2 - 2 - 2 - 2 - 2 - 2 - 2 - 2	(e) Transfer of git	ft	- 1 - 1 - 1 - 1
	Transferee's name, address, ar	nd ZIP + 4	Relatio	onship of transferor to transferee
(a) Na				
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift		(d) Description of how gift is held
			_	
ļ		(e) Transfer of gif	ft	
	Transferee's name, address, ar	nd ZIP + 4	Relatio	onship of transferor to transferee
ļ				The second of the second of

Form 990-PF Intere	st on Savi	ngs and Tem	porary	Cash In	vestments	Statement 1
Source		.(a Reve Per B	nue		(b) vestment come	(c) Adjusted Net Income
Merrill Lynch			832.		832.	
Total to Part I, li	ne 3		832.		832.	
Form 990-PF	Dividend	s and Inter	est fr	om Secur	ities	Statement 2
Source	Gross Amount	Capital Gains Dividend	R	(a) evenue r Books	(b) Net Invest ment Incom	
Merrill Lynch	319,234	•	0.	319,234.	319,234	1.
To Part I, line 4	319,234	•	0.	319,234.	319,234	1.
Form 990-PF		Accounti (a) Expenses	(	b)	(c) Adjusted	Statement 3  (d) Charitable
Description	<u></u>	Per Books		Income	Net Income	
Accounting Fees		18,000.		12,000.		6,000
To Form 990-PF, Pg	1, ln 16b =	18,000.	=	12,000.		6,000
Form 990-PF	0	ther Profes	sional	Fees		Statement 4
Description		(a) Expenses Per Books	Net I	b) nvest- Income	(c) Adjusted Net Income	(d) Charitable Purposes
Merrill Lynch Fees Fees	/ Bank	113,638.	1	13,638.		0.
To Form 990-PF, Pg	1, ln 16c	113,638.	1	13,638.		0.

Form 990-PF .	Tax	es		Statement 5
Description ,	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Foreign Tax on Dividends	5,539.	5,539.		0.
To Form 990-PF, Pg 1, ln 18	5,539.	5,539.		0.
Form 990-PF Other Increase	s in Net Ass	ets or Fund Ba	alances	Statement 6
Description				Amount

Total to Form 990-PF, Part III	, line	3 .		1,970,852.
Form 990-PF	Other	Investments		Statement 7
Description		Valuation Method	Book Value	Fair Market Value
See Stmt Attached		COST	18,541,632.	18,541,632.
Total to Form 990-PF, Part II,	line :	13	18,541,632.	18,541,632.

Form 990-PF Other Liabili	ties	Statement 8
Description	BOY Amount	EOY Amount
Payroll Withholding	0.	249.
Total to Form 990-PF, Part II, line 22	0.	249.

Form 990-PF Explanation Concerning Part VII-A, Line 12 'Statement 9
Qualifying Distribution Statement

#### Explanation

The Foundation has transferred assets to a Fidelity Investments Charitable Gift Fund. This Foundation believes that this is more administratively efficient to accomplish its purpose described in Section 170(c)(2)(B).

Form 990-PF. Explanation Concerning Part VII-A, Line 12 Statement 10 Section 170(c)(2)(B) Statement

#### Explanation

This Foundation believes that this is more administratively efficient to accomplish its purpose described in Section 170(c)(2)(B).

Form 990-PF Part XV - Line 1a Statement 11
List of Foundation Managers

#### Name of Manager

Charles G. Carey Wendy G. Carey

## Carey Family Foundation EIN: 20-1970720 Balance Sheet (as of 6/30/2018) Form 990-PF

## Part II, Line 13

Cash & Money						
	<u>Funds</u>		<b>Equities/Funds</b>		<u>Total</u>	
Merrill Lynch Acct # 861-07026	\$	25,742	\$	14,170,084	\$	14,195,826
Merrill Lynch Acct # 861-07039		62,418		-		62,418
Merrill Lynch Acct # 861-07083		12,300		2,531,574		2,543,874
Merrill Lynch Acct # 861-07084		4,273		1,839,974		1,844,247
Total	\$	104,733	\$	18,541,632	\$_	18,646,366