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Form 990

Return of Organization Exempt From Income Tax

OMB No 1545-0047

2017

Open to Public Inspection

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public

Information about Form 990 and its instructions is at [www.irs.gov/form990](#)

Department of the Treasury
Internal Revenue Service

A For the 2017 calendar year, or tax year beginning 07-01-2017 , and ending 06-30-2018

B Check if applicable

☐ Address change

☐ Name change

☐ Initial return

☐ Final return/terminated

☐ Amended return

☐ Application pending

C Name of organization

THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK
% JULIA SHANAHAN

Doing business as

Number and street (or P O box if mail is not delivered to street address)

615 WEST 131ST STREET MC 8741

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 100277922

F Name and address of principal officer

Lee C Bollinger
615 West 131st St MC 8741
New York, NY 100277922

H(a) Is this a group return for subordinates?

☐ Yes ☒ No

H(b) Are all subordinates included?

☐ Yes ☐ No

If "No," attach a list (see instructions)

H(c) Group exemption number ▶

D Employer identification number

13-5598093

E Telephone number

(212) 854-4684

G Gross receipts \$ 7,787,582,999

I Tax-exempt status

☒ 501(c)(3) ☐ 501(c) () ◀(insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶

www.columbia.edu

K Form of organization

☒ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation 1754

M State of legal domicile NY

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities

SEE ATTACHMENT 1

2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3 Number of voting members of the governing body (Part VI, line 1a)

3

23

4 Number of independent voting members of the governing body (Part VI, line 1b)

4

21

5 Total number of individuals employed in calendar year 2017 (Part V, line 2a)

5

35,984

6 Total number of volunteers (estimate if necessary)

6

18,232

7a Total unrelated business revenue from Part VIII, column (C), line 12

7a

-15,993,803

7b Net unrelated business taxable income from Form 990-T, line 34

7b

-12,942,663

Revenue

8 Contributions and grants (Part VIII, line 1h)

1,835,150,690

1,909,794,420

9 Program service revenue (Part VIII, line 2g)

3,034,989,870

3,182,331,958

10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)

667,385,182

683,069,747

11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)

122,946,783

112,899,433

12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)

5,660,472,525

5,888,095,558

Expenses

13 Grants and similar amounts paid (Part IX, column (A), lines 1–3)

651,189,270

708,841,506

14 Benefits paid to or for members (Part IX, column (A), line 4)

0

0

15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)

2,817,480,473

2,970,923,538

16a Professional fundraising fees (Part IX, column (A), line 11e)

2,174,516

2,066,434

b Total fundraising expenses (Part IX, column (D), line 25) ▶115,850,252

17 Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)

1,304,059,894

1,341,034,173

18 Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)

4,774,904,153

5,022,865,651

19 Revenue less expenses Subtract line 18 from line 12

885,568,372

865,229,907

Net Assets or Fund Balances

20 Total assets (Part X, line 16)

18,506,580,939

19,649,851,030

21 Total liabilities (Part X, line 26)

3,820,539,048

3,910,530,664

22 Net assets or fund balances Subtract line 21 from line 20

14,686,041,891

15,739,320,366

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

Signature of officer

2019-05-15

Date

MARK HAWKINS VP,FIN & CONTROLLER

Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name

ERIC M MCNEIL

Preparer's signature

ERIC M MCNEIL

Date

Check ☐ if self-employed

PTIN P00460263

Firm's name ▶ PricewaterhouseCoopers LLP

Firm's EIN ▶

Firm's address ▶ 300 Madison Avenue

Phone no (646) 471-3000

New York, NY 10017

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Cat No 11282Y

Form 990 (2017)

Part III Statement of Program Service AccomplishmentsCheck if Schedule O contains a response or note to any line in this Part III ☒**1** Briefly describe the organization's mission:

COLUMBIA UNIVERSITY IS ONE OF THE WORLD'S MOST IMPORTANT CENTERS OF RESEARCH AND AT THE SAME TIME A DISTINCTIVE AND DISTINGUISHED LEARNING ENVIRONMENT FOR UNDERGRADUATES AND GRADUATE STUDENTS IN MANY SCHOLARLY AND PROFESSIONAL FIELDS. THE UNIVERSITY RECOGNIZES THE IMPORTANCE OF ITS LOCATION IN NEW YORK CITY AND SEEKS TO LINK ITS RESEARCH AND TEACHING TO THE VAST RESOURCES OF A GREAT METROPOLIS. IT SEEKS TO ATTRACT A DIVERSE AND INTERNATIONAL FACULTY AND STUDENT BODY, TO SUPPORT RESEARCH AND TEACHING ON GLOBAL ISSUES, AND TO CREATE ACADEMIC RELATIONSHIPS WITH MANY COUNTRIES AND REGIONS. IT EXPECTS ALL AREAS OF THE UNIVERSITY TO ADVANCE KNOWLEDGE AND LEARNING AT THE HIGHEST LEVEL AND TO CONVEY THE PRODUCTS OF ITS EFFORTS TO THE WORLD.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a	(Code)	(Expenses \$	1,789,202,115	including grants of \$	553,426,393)	(Revenue \$	1,582,549,753)
See Additional Data							

4b	(Code)	(Expenses \$	994,394,418	including grants of \$	5,111,136)	(Revenue \$	1,211,139,335)
See Additional Data							

4c	(Code)	(Expenses \$	603,647,789	including grants of \$	146,439,190)	(Revenue \$	202,132,002)
See Additional Data							

See Additional Data Table

4d Other program services (Describe in Schedule O)

(Expenses \$	1,142,645,667	including grants of \$	3,864,787)	(Revenue \$	186,510,868)
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4e Total program service expenses 4,529,889,989

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	2 Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	4 Yes	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5	No
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6 Yes	
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8 Yes	
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9	No
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V	10 Yes	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b Yes	
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e Yes	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f	No
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	12a	No
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b Yes	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13 Yes	
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a Yes	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	14b Yes	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15 Yes	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16	No
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)	17 Yes	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18 Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19	No

Part IV Checklist of Required Schedules (continued)

	Yes	No
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>	20a	No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21	Yes
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22	Yes
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23	Yes
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>	24a	Yes
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b	No
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c	Yes
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d	No
25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a	No
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b	No
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II</i>	26	Yes
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>	27	No
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions) a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a	No
b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b	Yes
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c	No
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29	Yes
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30	Yes
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31	No
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32	No
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33	Yes
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>	34	Yes
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	Yes
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35b	Yes
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36	No
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37	No
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes

Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V ☒

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable	1a	50,676
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	1b	0
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	Yes
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	35,984
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	2b	Yes
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	Yes
b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O	3b	Yes
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	Yes
b	If "Yes," enter the name of the foreign country See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR)		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a	No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c	
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a	No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	Yes
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	Yes
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c	No
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d	
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e	No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f	No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g	
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h	
8	Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?	8	No
9a	Did the sponsoring organization make any taxable distributions under section 4966?	9a	No
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9b	No
10	Section 501(c)(7) organizations. Enter		
a	Initiation fees and capital contributions included on Part VIII, line 12	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b	
11	Section 501(c)(12) organizations. Enter		
a	Gross income from members or shareholders	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b	
13	Section 501(c)(29) qualified nonprofit health insurance issuers.		
a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O	13a	
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b	
c	Enter the amount of reserves on hand	13c	
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a	No
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b	

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI ☒

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	23	
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O			
b	Enter the number of voting members included in line 1a, above, who are independent	21	
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	Yes	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		No
6	Did the organization have members or stockholders?		No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		No
7b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a	The governing body?	Yes	
b	Each committee with authority to act on behalf of the governing body?	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?		No
10b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	Yes	
12b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	Yes	
12c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	Yes	
13	Did the organization have a written whistleblower policy?	Yes	
14	Did the organization have a written document retention and destruction policy?	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
15a	The organization's CEO, Executive Director, or top management official	Yes	
15b	Other officers or key employees of the organization	Yes	
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		No
16b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?		

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed: CA

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization's books and records.
 ▶ JULIA SHANAHAN 615 WEST 131ST STREET MC 8741 New York, NY 10027 (212) 851-7348

Check if Schedule O contains a response or note to any line in this Part VII ☒

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

Form **990** (2017)

[illegible]

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ▶ 5,716

Section B. Independent Contractors

(A) Name and business address	(B) Description of services	(C) Compensation
SYSCO FOOD SERVICES OF METRO NEW YO, 20 THEODORE CONRAD DRIVE JERSEY CITY, NJ 07305	FOOD SERVICES	8,151,223
HUDSON HEART MANAGEMENT LLC, 222 ROUTE 59 SUITE 302 SUFFERN, NY 10901	MEDICAL SERVICES	5,875,617
WALDNERS BUSINESS ENVIRONMENTS INC, 125 ROUTE 110 FARMINGDALE, NY 11735	OFFICE SERVICES	4,992,622
CALDWELL WALSH BLDG CNSTRN INC, 60 E 42ND ST STE 1365 NEW YORK, NY 10165	CONSTRUCTION SRVCS	4,297,843
LE CHASE CONSTRUCTION SERVICES LLC, 1 LABRIOLA COURT ARMONK, NY 10504	CONSTRUCTION SRVCS	4,211,678

Form 990 (2017)

Part VIII Statement of RevenueCheck if Schedule O contains a response or note to any line in this Part VIII ☒

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514	
Contributions, Gifts, Grants and Other Similar Amounts	1a Federated campaigns . . .	1a					
	b Membership dues . . .	1b					
	c Fundraising events . . .	1c	14,290,459				
	d Related organizations	1d					
	e Government grants (contributions)	1e	932,463,981				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	963,039,980				
	g Noncash contributions included in lines 1a-1f \$ <u>29,722,548</u>						
	h Total. Add lines 1a-1f		1,909,794,420				
Program Service Revenue			Business Code				
	2a TUITION & FEES		611600	1,582,549,753	1,582,549,753		
	b OTHER EDUCATION & RESEARCH		541700	202,132,002	202,132,002		
	c PATIENT CARE REVENUE		621110	1,211,139,335	1,211,139,335		
	d AUXILIARY ENTERPRISES		900099	183,805,923	178,924,136	4,881,787	
	e OTHER SOURCES		900099	2,193,254	2,193,254		
	f All other program service revenue			511,691	511,691		
	g Total. Add lines 2a-2f		3,182,331,958				
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)			60,420,014		60,420,014	
	4 Income from investment of tax-exempt bond proceeds			0			
	5 Royalties			24,042,004		24,042,004	
	6a Gross rents	(i) Real	(ii) Personal				
		178,149,247					
		b Less rental expenses	144,734,494				
		c Rental income or (loss)	33,414,753	0			
	d Net rental income or (loss)			33,414,753		33,414,753	
	7a Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other				
		2,375,078,504					
		b Less cost or other basis and sales expenses	1,752,428,771				
		c Gain or (loss)	622,649,733				
	d Net gain or (loss)			622,649,733		622,649,733	
	8a Gross income from fundraising events (not including \$ <u>14,290,459</u> of contributions reported on line 1c) See Part IV, line 18	a	1,456,212				
		b Less direct expenses	b	2,324,176			
		c Net income or (loss) from fundraising events			-867,964		-867,964
	9a Gross income from gaming activities See Part IV, line 19	a	0				
		b Less direct expenses	b	0			
c Net income or (loss) from gaming activities			0				
10a Gross sales of inventory, less returns and allowances	a	0					
	b Less cost of goods sold	b	0				
	c Net income or (loss) from sales of inventory			0			
Miscellaneous Revenue		Business Code					
11a PARTNERSHIP INCOME		900099	56,310,640		-20,875,590	77,186,230	
b							
c							
d All other revenue							
e Total. Add lines 11a-11d			56,310,640				
12 Total revenue. See Instructions			5,888,095,558	3,177,450,171	-15,993,803	816,844,770	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

**Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.**

	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21.	107,053,553	107,053,553		
2 Grants and other assistance to domestic individuals. See Part IV, line 22.	550,890,183	550,890,183		
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, line 15 and 16.	50,897,770	50,897,770		
4 Benefits paid to or for members.	0			
5 Compensation of current officers, directors, trustees, and key employees.	16,061,446	2,252,788	13,808,658	
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).	526,515	109,555	416,960	
7 Other salaries and wages.	2,382,915,096	2,175,246,618	148,026,321	59,642,157
8 Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions).	179,337,866	153,398,080	21,733,830	4,205,956
9 Other employee benefits.	264,660,695	193,169,092	53,788,171	17,703,432
10 Payroll taxes.	127,421,920	116,317,237	7,915,430	3,189,253
11 Fees for services (non-employees).				
a Management.	0			
b Legal.	27,027,620		27,027,620	
c Accounting.	2,140,407		2,140,407	
d Lobbying.	443,660		443,660	
e Professional fundraising services. See Part IV, line 17.	2,066,434			2,066,434
f Investment management fees.	21,127,050		21,127,050	
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O).	206,923,516	187,531,584	11,452,730	7,939,202
12 Advertising and promotion.	10,769,918	9,345,980	530,183	893,755
13 Office expenses.	242,024,508	225,544,856	11,334,668	5,144,984
14 Information technology.	33,059,130	19,641,944	11,923,904	1,493,282
15 Royalties.	0			
16 Occupancy.	210,355,188	203,108,673	6,201,840	1,044,675
17 Travel.	75,316,404	67,634,507	5,806,703	1,875,194
18 Payments of travel or entertainment expenses for any federal, state, or local public officials.	0			
19 Conferences, conventions, and meetings.	67,468,028	56,011,571	4,224,042	7,232,415
20 Interest.	54,878,216	52,606,257	1,981,104	290,855
21 Payments to affiliates.	0			
22 Depreciation, depletion, and amortization.	278,598,888	267,064,894	10,057,420	1,476,574
23 Insurance.	37,926,917	34,011,311	3,915,606	
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a LIBRARY	29,027,896	29,027,896		
b BANK AND CREDIT CARD FEES	6,133,654	5,032,876	1,088,883	11,895
c DUES & SUBSCRIPTIONS	11,210,688	7,968,195	2,846,468	396,025
d UNRELATED BUS INCOME TAX EXP	538,793		538,793	
e All other expenses	26,063,692	16,024,569	8,794,959	1,244,164
25 Total functional expenses. Add lines 1 through 24e.	5,022,865,651	4,529,889,989	377,125,410	115,850,252
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

Part X Balance SheetCheck if Schedule O contains a response or note to any line in this Part IX ☒

				(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing		0	1	0
	2	Savings and temporary cash investments		1,147,398,322	2	1,455,563,128
	3	Pledges and grants receivable, net		992,542,273	3	851,758,015
	4	Accounts receivable, net		397,864,927	4	398,884,946
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		2,682,724	5	2,706,171
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L		0	6	0
	7	Notes and loans receivable, net		127,774,003	7	118,771,704
	8	Inventories for sale or use		3,072,128	8	3,446,459
	9	Prepaid expenses and deferred charges		59,997,504	9	46,564,733
	10a	Land, buildings, and equipment—cost or other basis. Complete Part VI of Schedule D	10a	8,916,784,652		
	b	Less: accumulated depreciation	10b	3,558,734,038		
				5,236,769,931	10c	5,358,050,614
	11	Investments—publicly traded securities		1,253,596,285	11	1,576,124,662
	12	Investments—other securities. See Part IV, line 11		8,775,303,725	12	9,162,157,520
	13	Investments—program-related. See Part IV, line 11		0	13	0
	14	Intangible assets		0	14	0
15	Other assets. See Part IV, line 11		509,579,117	15	675,823,078	
16	Total assets. Add lines 1 through 15 (must equal line 34)		18,506,580,939	16	19,649,851,030	
Liabilities	17	Accounts payable and accrued expenses		349,148,731	17	321,363,505
	18	Grants payable		0	18	0
	19	Deferred revenue		257,898,722	19	273,289,229
	20	Tax-exempt bond liabilities		1,753,444,366	20	1,889,050,242
	21	Escrow or custodial account liability. Complete Part IV of Schedule D		0	21	0
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		0	22	0
	23	Secured mortgages and notes payable to unrelated third parties		0	23	0
	24	Unsecured notes and loans payable to unrelated third parties		202,478,608	24	195,234,731
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D		1,257,568,621	25	1,231,592,957
	26	Total liabilities. Add lines 17 through 25		3,820,539,048	26	3,910,530,664
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.					
	27	Unrestricted net assets		6,901,035,345	27	7,183,872,373
	28	Temporarily restricted net assets		4,410,972,117	28	4,788,221,916
	29	Permanently restricted net assets		3,374,034,429	29	3,767,226,077
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.					
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		14,686,041,891	33	15,739,320,366
	34	Total liabilities and net assets/fund balances		18,506,580,939	34	19,649,851,030

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response or note to any line in this Part XI ☒

1	Total revenue (must equal Part VIII, column (A), line 12)	1	5,888,095,558
2	Total expenses (must equal Part IX, column (A), line 25)	2	5,022,865,651
3	Revenue less expenses Subtract line 2 from line 1	3	865,229,907
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	14,686,041,891
5	Net unrealized gains (losses) on investments	5	157,517,105
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	30,531,463
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	15,739,320,366

Part XII Financial Statements and ReportingCheck if Schedule O contains a response or note to any line in this Part XII ☒

	Yes	No
1 Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
b Were the organization's financial statements audited by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
c If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	Yes	

Additional Data

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990 (2017)

Form 990, Part III, Line 4a:

THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK IS A PRIVATE, NONSECTARIAN, NONPROFIT INSTITUTION OF HIGHER EDUCATION WHOSE ACTIVITIES ARE CONCENTRATED AT TWO LOCATIONS IN NEW YORK CITY AND EXTEND ACROSS THE GLOBE THE UNIVERSITY PROVIDES INSTRUCTION THROUGH SIXTEEN UNDERGRADUATE, GRADUATE, AND PROFESSIONAL SCHOOLS IT OPERATES A VARIETY OF RESEARCH INSTITUTES AND A LIBRARY SYSTEM TO SUPPORT ITS TEACHING, LEARNING, AND RESEARCH ACTIVITIES THE UNIVERSITY ENROLLS APPROXIMATELY 32,429 FULL-TIME AND PART-TIME STUDENTS AND EMPLOYS APPROXIMATELY 17,196 FULL-TIME EMPLOYEES, INCLUDING 6,223 FULL-TIME FACULTY MEMBERS AND RESEARCH STAFF

Form 990, Part III, Line 4b:

THE UNIVERSITY, THROUGH THE COLUMBIA UNIVERSITY IRVING MEDICAL CENTER AND ITS MEDICAL FACULTY PRACTICE PLAN, PROVIDES DIRECT PATIENT CARE AND OTHER CLINICAL AND EDUCATIONAL SERVICES TO HOSPITALS AND OTHER HEALTH CARE INSTITUTIONS THROUGH CONTRACTUAL AGREEMENTS FOR SERVICES. THE CLINICAL FACULTY HANDLED APPROXIMATELY 2.2 MILLION OUTPATIENT AND EMERGENCY ROOM VISITS AND PARTICIPATED IN INSTRUCTION AND SUPERVISION OF 664 UNIVERSITY MEDICAL STUDENTS AND 969 RESIDENTS AND FELLOWS AT NEW YORK PRESBYTERIAN HOSPITAL.

Form 990, Part III, Line 4c:

THE UNIVERSITY PERFORMS RESEARCH, TRAINING, AND OTHER SERVICES UNDER GRANTS AND CONTRACTS WITH AGENCIES OF THE FEDERAL GOVERNMENT AND OTHER SPONSORING ORGANIZATIONS

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)				
(Code)	(Expenses \$	69,576,656	including grants of \$	(Revenue \$)
LIBRARY				
(Code)	(Expenses \$	269,528,632	including grants of \$	(Revenue \$)
CAMPUS OPERATIONS				

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

(Code) (Expenses \$ 310,345,733 including grants of \$) (Revenue \$)
INSTITUTIONAL SUPPORT
(Code) (Expenses \$ 157,564,132 including grants of \$) (Revenue \$ 183,805,923)
AUXILIARY ENTERPRISES

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)								
(Code)	(Expenses \$	335,630,514	including grants of \$	3,864,787) (Revenue \$	2,704,945)
OTHER SOURCES								

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
LEE C BOLLINGER PRESIDENT	59 9 0 1	X		X				2,170,179	0	478,503
KENNETH A FORDE TRUSTEE	4 0 0 0	X						0	0	2,016
ROLANDO T ACOSTA TRUSTEE	4 0 0 0	X						0	0	0
ARMEN A AVANESSIANS TRUSTEE	4 0 0 0	X						0	0	0
A'LELIA BUNDLES TRUSTEE	4 0 0 0	X						0	0	0
LISA CARNOY TRUSTEE	4 0 0 0	X						0	0	0
NOAM GOTTESMAN TRUSTEE	4 0 0 0	X						0	0	0
JOSEPH A GREENAWAY JR TRUSTEE	4 0 0 0	X						0	0	0
JAMES HARDEN TRUSTEE	4 0 0 0	X						0	0	0
BENJAMIN HOROWITZ TRUSTEE	4 0 0 0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
ANN F KAPLAN TRUSTEE (THROUGH 9/4/17)	4 0 0 0	X						0	0	0
JONATHAN LAVINE TRUSTEE	4 0 0 0	X						0	0	0
CHARLES LI TRUSTEE	4 0 0 0	X						0	0	0
PAUL J MADDON TRUSTEE	4 0 0 0	X						0	0	0
MICHAEL B ROTHFELD TRUSTEE (THROUGH 9/4/17)	4 0 0 0	X						0	0	0
JONATHAN D SCHILLER TRUSTEE	4 0 0 0	X						0	0	0
ESTA STECHER TRUSTEE (THROUGH 9/4/17)	4 0 0 0	X						0	0	0
KYRIAKOS TSAKOPOULOS TRUSTEE	4 0 0 0	X						0	0	0
CLAIRE SHIPMAN TRUSTEE	4 0 0 0	X						0	0	0
ANDREW BARTH TRUSTEE	4 0 0 0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors											
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)							(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former				
MARC HOLLIDAY TRUSTEE	4 0 0 0	X							0	0	0
WANDA HOLLAND GREENE TRUSTEE	4 0 0 0	X							0	0	0
ALEXANDER NAVAB TRUSTEE (AS OF 9/5/17)	4 0 0 0	X							0	0	0
ABIGAIL ELBAUM TRUSTEE	4 0 0 0	X							0	0	0
MARK GALLOGLY TRUSTEE	4 0 0 0	X							0	0	0
LU LI TRUSTEE (AS OF 9/5/17)	4 0 0 0	X							0	0	0
JANE E BOOTH GENERAL COUNSEL	60 0 0 0			X					662,123	0	45,658
JOHN COATSWORTH PROVOST	59 9 0 1			X					787,694	0	47,037
JEROME DAVIS SECRETARY	60 0 0 0			X					422,115	0	42,460
ANNE R SULLIVAN EXECUTIVE VP FOR FINANCE & IT	59 8 0 2			X					744,594	0	41,457

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
GERALD M ROSBERG SENIOR EXEC VICE PRESIDENT	59 9 0 1			X				754,165	0	47,242
DAVID MADIGAN EXEC VP - ARTS & SCIENCES	59 9 0 1				X			571,997	0	193,454
LEE GOLDMAN EXEC VP FOR HEALTH SCIENCES	60 0 0 0				X			1,417,846	0	512,829
PETER HOLLAND CEO & EXEC VP OF INV MGMT	60 0 0 0				X			3,766,991	0	2,756,084
AMELIA ALVERSON EXEC VP - UNIV DVLP&ALUM RLTS	60 0 0 0				X			738,620	0	40,111
DAVID GREENBERG EXEC VP FACILITIES	60 0 0 0				X			517,346	0	34,576
DAVID N SILVERS CLINICAL PROFESSOR	60 0 0 0					X		4,179,462	0	46,381
CRAIG R SMITH PROFESSOR OF SURGERY	60 0 0 0					X		2,485,557	0	53,355
LAWRENCE GERALD LENKE PROFESSOR OF SURGERY	60 0 0 0					X		4,065,031	0	54,747
KIEHYUN DANIEL RIEW PROFESSOR OF SURGERY	60 0 0 0					X		3,281,664	0	61,488

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
RONALD ARTHUR LEHMAN JR PROFESSOR OF SURGERY	60 0 0 0					X		2,393,562	0	61,921

SCHEDULE A

(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.
▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2017

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 12, check only one box)

- 1

☐

A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2

☒

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ))
- 3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state _____
- 5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)
- 6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7

☐

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)
- 8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 9

☐

An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture See instructions Enter the name, city, and state of the college or university _____
- 10

☐

An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)
- 11

☐

An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**
- 12

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g
- a

☐

Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization **You must complete Part IV, Sections A and B.**
- b

☐

Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) **You must complete Part IV, Sections A and C.**
- c

☐

Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions) **You must complete Part IV, Sections A, D, and E.**
- d

☐

Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) **You must complete Part IV, Sections A and D, and Part V.**
- e

☐

Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization
- f

Enter the number of supported organizations _____
- g

Provide the following information about the supported organization(s)

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv), 170(b)(1)(A)(vi), and 170(b)(1)(A)(ix)

(Complete only if you checked the box on line 5, 7, 8, or 9 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support							
	Calendar year (or fiscal year beginning in) ►	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
1	Gifts, grants, contributions, and membership fees received (Do not include any "unusual grant ")	1,234,408,267	1,239,104,776	1,357,673,833	1,835,150,690	1,909,794,420	7,576,131,986
2	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
3	The value of services or facilities furnished by a governmental unit to the organization without charge						0
4	Total. Add lines 1 through 3	1,234,408,267	1,239,104,776	1,357,673,833	1,835,150,690	1,909,794,420	7,576,131,986
5	The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						173,146,715
6	Public support. Subtract line 5 from line 4						7,402,985,271

Section B. Total Support							
Calendar year (or fiscal year beginning in) ►		(a)2013	(b)2014	(c)2015	(d)2016	(e)2017	(f)Total
7	Amounts from line 4	1,234,408,267	1,239,104,776	1,357,673,833	1,835,150,690	1,909,794,420	7,576,131,986
8	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	294,632,895	293,561,605	274,595,453	257,219,758	262,611,265	1,382,620,976
9	Net income from unrelated business activities, whether or not the business is regularly carried on						0
10	Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI)	102,676,428	120,213,561	144,279,932	81,993,242	77,186,230	526,349,393
11	Total support. Add lines 7 through 10						9,485,102,355
12	Gross receipts from related activities, etc (see instructions)					12	14,082,895,734
13	First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ► <input type="checkbox"/>						

Section C. Computation of Public Support Percentage		
14	Public support percentage for 2017 (line 6, column (f) divided by line 11, column (f))	1478.049 %
15	Public support percentage for 2016 Schedule A, Part II, line 14	1578.084 %
16a	33 1/3% support test—2017. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶ <input checked="" type="checkbox"/>	
b	33 1/3% support test—2016. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>	
17a	10%-facts-and-circumstances test—2017. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>	
b	10%-facts-and-circumstances test—2016. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>	
18	Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ <input type="checkbox"/>	

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ► ☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2017 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2016 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2017 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2016 Schedule A, Part III, line 17	18	

19a 33 1/3% support tests—2017. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► ☐

b 33 1/3% support tests—2016. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ► ☐

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>	1	
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>	2	
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>	3a	
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>	3b	
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>	3c	
4a Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes" and if you checked 12a or 12b in Part I, answer (b) and (c) below.</i>	4a	
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>	4b	
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>	4c	
5a Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>	5a	
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?	5b	
c Substitutions only. Was the substitution the result of an event beyond the organization's control?	5c	
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>	6	
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>	7	
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>	8	
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>	9a	
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>	9b	
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>	9c	
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer line 10b below.</i>	10a	
b Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i>	10b	

Part IV Supporting Organizations (continued)

	Yes	No
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
b A family member of a person described in (a) above?		
c A 35% controlled entity of a person described in (a) or (b) above? <i>If "Yes" to a, b, or c, provide detail in Part VI</i>		
	11a	
	11b	
	11c	

Section B. Type I Supporting Organizations

	Yes	No
1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i>		
	1	
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.</i>		
	2	

Section C. Type II Supporting Organizations

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i>		
	1	

Section D. All Type III Supporting Organizations

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
	1	
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization (s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>		
	2	
3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>		
	3	

Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions)		
a <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
b <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
c <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).		
2 Activities Test. Answer (a) and (b) below.		
a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i>		
	2a	
b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>		
	2b	
3 Parent of Supported Organizations. Answer (a) and (b) below.		
a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI.</i>		
	3a	
b Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i>		
	3b	

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

- 1** ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI) **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1 Net short-term capital gain	1		
2 Recoveries of prior-year distributions	2		
3 Other gross income (see instructions)	3		
4 Add lines 1 through 3	4		
5 Depreciation and depletion	5		
6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6		
7 Other expenses (see instructions)	7		
8 Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8		
Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)	1		
a Average monthly value of securities	1a		
b Average monthly cash balances	1b		
c Fair market value of other non-exempt-use assets	1c		
d Total (add lines 1a, 1b, and 1c)	1d		
e Discount claimed for blockage or other factors (explain in detail in Part VI)			
2 Acquisition indebtedness applicable to non-exempt use assets	2		
3 Subtract line 2 from line 1d	3		
4 Cash deemed held for exempt use Enter 1-1/2% of line 3 (for greater amount, see instructions)	4		
5 Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6 Multiply line 5 by .035	6		
7 Recoveries of prior-year distributions	7		
8 Minimum Asset Amount (add line 7 to line 6)	8		
Section C - Distributable Amount			Current Year
1 Adjusted net income for prior year (from Section A, line 8, Column A)	1		
2 Enter 85% of line 1	2		
3 Minimum asset amount for prior year (from Section B, line 8, Column A)	3		
4 Enter greater of line 2 or line 3	4		
5 Income tax imposed in prior year	5		
6 Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6		
7 <input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)			

Part V

Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI) See instructions	
7 Total annual distributions. Add lines 1 through 6	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions	
9 Distributable amount for 2017 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2017	(iii) Distributable Amount for 2017
1 Distributable amount for 2017 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2017 (reasonable cause required-- explain in Part VI) See instructions			
3 Excess distributions carryover, if any, to 2017			
a			
b From 2013.			
c From 2014.			
d From 2015.			
e From 2016.			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2017 distributable amount			
i Carryover from 2012 not applied (see instructions)			
j Remainder Subtract lines 3g, 3h, and 3i from 3f			
4 Distributions for 2017 from Section D, line 7 \$			
a Applied to underdistributions of prior years			
b Applied to 2017 distributable amount			
c Remainder Subtract lines 4a and 4b from 4			
5 Remaining underdistributions for years prior to 2017, if any Subtract lines 3g and 4a from line 2 If the amount is greater than zero, explain in Part VI See instructions			
6 Remaining underdistributions for 2017 Subtract lines 3h and 4b from line 1 If the amount is greater than zero, explain in Part VI See instructions			
7 Excess distributions carryover to 2018. Add lines 3j and 4c			
8 Breakdown of line 7			
a Excess from 2013.			
b Excess from 2014.			
c Excess from 2015.			
d Excess from 2016.			
e Excess from 2017.			

Additional Data

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10, Part II, line 17a or 17b, Part III, line 12, Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c, Part IV, Section B, lines 1 and 2, Part IV, Section C, line 1, Part IV, Section D, lines 2 and 3, Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b, Part V, line 1, Part V, Section B, line 1e, Part V Section D, lines 5, 6, and 8, and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions)

Facts And Circumstances Test

SCHEDULE C (Form 990 or 990-EZ)	Political Campaign and Lobbying Activities For Organizations Exempt From Income Tax Under section 501(c) and section 527 ▶Complete if the organization is described below. ▶Attach to Form 990 or Form 990-EZ. ▶Information about Schedule C (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990 .	OMB No 1545-0047 2017 Open to Public Inspection
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If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	Employer identification number 13-5598093
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Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1** Provide a description of the organization's direct and indirect political campaign activities in Part IV (see instructions for definition of "political campaign activities")
- 2** Political campaign activity expenditures (see instructions) ▶ \$
- 3** Volunteer hours for political campaign activities (see instructions) ▶

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1** Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$
- 2** Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$
- 3** If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No
- 4a** Was a correction made? ☐ Yes ☐ No
- b** If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1** Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$
- 2** Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$
- 3** Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ \$
- 4** Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No
- 5** Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-
1				
2				
3				
4				
5				
6				

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)

B Check ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures
(The term "expenditures" means amounts paid or incurred.)**(a)** Filing
organization's
totals**(b)** Affiliated
group totals

1a Total lobbying expenditures to influence public opinion (grass roots lobbying)

b Total lobbying expenditures to influence a legislative body (direct lobbying)

c Total lobbying expenditures (add lines 1a and 1b)

d Other exempt purpose expenditures

e Total exempt purpose expenditures (add lines 1c and 1d)

f Lobbying nontaxable amount Enter the amount from the following table in both columns

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:
Not over \$500,000	20% of the amount on line 1e
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000
Over \$17,000,000	\$1,000,000

g Grassroots nontaxable amount (enter 25% of line 1f)

h Subtract line 1g from line 1a If zero or less, enter -0-

i Subtract line 1f from line 1c If zero or less, enter -0-

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?

☐ **Yes** ☐ **No****4-Year Averaging Period Under section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	(a) 2014	(b) 2015	(c) 2016	(d) 2017	(e) Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?		No	
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Yes		
c	Media advertisements?		No	
d	Mailings to members, legislators, or the public?		No	
e	Publications, or published or broadcast statements?		No	
f	Grants to other organizations for lobbying purposes?	Yes		13,667
g	Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		69,993
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		No	
i	Other activities?	Yes		360,000
j	Total. Add lines 1c through 1i			443,660
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

		Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1	
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).	2a	
a	Current year	2b	
b	Carryover from last year	2c	
c	Total	3	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues		
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV

Supplemental Information

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

Return Reference	Explanation
SCHEDULE C, PART II-B	LINE 1A - VOLUNTEERS N/A LINE 1B - PAID STAFF OR MANAGEMENT THE UNIVERSITY HAS OFFICES OF GOVERNMENT AND COMMUNITY AFFAIRS AT BOTH ITS MORNINGSIDE AND MEDICAL CENTER CAMPUSES WHOSE FUNCTION IS TO KEEP THE UNIVERSITY COMMUNITY INFORMED ABOUT NATIONAL, STATE AND LOCAL LEGISLATION AND POLICY THAT HAS AN IMPACT ON THE UNIVERSITY AND, WHEN APPROPRIATE, TO ADVOCATE FOR THE UNIVERSITY'S INTERESTS WITH RESPECT TO THIS POLICY EMPLOYEES REGULARLY INVOLVED IN THESE ACTIVITIES INCLUDE BUT ARE NOT LIMITED TO EXECUTIVE VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, ASSOCIATE VICE PRESIDENT FOR GOVERNMENT RELATIONS, ASSISTANT VICE PRESIDENT FOR GOVERNMENT RELATIONS, VICE PRESIDENT AND ASSOCIATE DEAN FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, ASSISTANT VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, AND THE ASSISTANT DIRECTOR FOR GOVERNMENT & COMMUNITY AFFAIRS LINE 1C - MEDIA ADVERTISEMENTS N/A LINE 1D - MAILINGS TO MEMBERS, LEGISLATORS OR THE PUBLIC N/A LINE 1E - PUBLICATIONS, OR PUBLISHED OR BROADCAST STATEMENTS N/A LINE 1F - GRANTS TO OTHER ORGANIZATIONS FOR LOBBYING PURPOSES THE UNIVERSITY BELONGS TO CERTAIN HIGHER EDUCATION TRADE ASSOCIATIONS A SMALL PORTION OF THE MEMBERSHIP FEES PAID TO CERTAIN OF THESE ORGANIZATIONS GOES TO SUPPORT LOBBYING-RELATED ACTIVITIES THESE FEES ARE REGULARLY REPORTED ON THE UNIVERSITY'S LOBBYING DISCLOSURE FILINGS LINE 1G - DIRECT CONTACT WITH LEGISLATORS, THEIR STAFFS, GOVERNMENT OFFICIALS, OR A LEGISLATIVE BODY THE PRESIDENT, THE EXECUTIVE VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, THE ASSOCIATE VICE PRESIDENT FOR GOVERNMENT RELATIONS, THE ASSISTANT VICE PRESIDENT FOR PLANNING AND PROJECT COORDINATION, THE ASSISTANT VICE PRESIDENT FOR GOVERNMENT RELATIONS, THE ASSISTANT DIRECTOR FOR GOVERNMENT & COMMUNITY AFFAIRS, AMONG OTHER COLUMBIA UNIVERSITY EMPLOYEES, CONTACTED FEDERAL, STATE, AND LOCAL LEGISLATORS AND THEIR STAFFS ON HIGHER EDUCATION ISSUES THE EXECUTIVE VICE PRESIDENT FOR HEALTH SCIENCE, THE VICE PRESIDENT AND ASSOCIATE DEAN FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, THE ASSISTANT VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, AND OTHER COLUMBIA UNIVERSITY MEDICAL CENTER EMPLOYEES CONTACTED FEDERAL, STATE AND LOCAL LEGISLATORS AND THEIR STAFFS ON HIGHER EDUCATION ISSUES, HEALTH SCIENCES ISSUES AND OTHER ISSUES AFFECTING ACADEMIC MEDICAL CENTERS THE AMOUNTS SHOWN ON LINE 1G REFLECT PAID STAFF AND MANAGEMENT ACTIVITIES LINE 1H - RALLIES, DEMONSTRATIONS, SEMINARS, CONVENTIONS, SPEECHES, LECTURES OR ANY OTHER MEANS N/A LINE 1I - OTHER ACTIVITIES DURING THE REPORTING PERIOD, THE UNIVERSITY RETAINED OUTSIDE FIRMS FOR CONSULTING AND ADVOCACY AT THE FEDERAL, STATE AND LOCAL LEVELS OF GOVERNMENT THE AMOUNTS SHOWN ON LINE 1I INCLUDE AMOUNTS PAID TO SUCH OUTSIDE FIRMS FOR ALL ACTIVITIES CONDUCTED BY SUCH FIRMS, INCLUDING CERTAIN OF THE ACTIVITIES DESCRIBED ABOVE AND GENERAL ADVISORY SERVICES TO THE UNIVERSITY IN CONNECTION WITH ITS LOBBYING ACTIVITIES

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -		DLN: 93493135055289	
<div>SCHEDULE D (Form 990)</div> <div>Department of the Treasury Internal Revenue Service</div>		<div>Supplemental Financial Statements</div> <div>► Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. ► Attach to Form 990. Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.</div>			<div>OMB No 1545-0047</div> <div>2017</div> <div>Open to Public Inspection</div>
<div>Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK</div>				<div>Employer identification number 13-5598093</div>	
<div>Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.</div> <div>Complete if the organization answered "Yes" on Form 990, Part IV, line 6.</div>					
		(a) Donor advised funds		(b) Funds and other accounts	
1 Total number at end of year		14			
2 Aggregate value of contributions to (during year)		29,438,970			
3 Aggregate value of grants from (during year)		5,592,281			
4 Aggregate value at end of year		55,252,726			
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?				<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?				<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
<div>Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.</div>					
1 Purpose(s) of conservation easements held by the organization (check all that apply)					
<div><input type="checkbox"/> Preservation of land for public use (e g , recreation or education)</div> <div><input type="checkbox"/> Preservation of an historically important land area</div> <div><input type="checkbox"/> Protection of natural habitat</div> <div><input type="checkbox"/> Preservation of a certified historic structure</div> <div><input type="checkbox"/> Preservation of open space</div>					
2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year					
		<div>Held at the End of the Year</div>			
a Total number of conservation easements		2a			
b Total acreage restricted by conservation easements		2b			
c Number of conservation easements on a certified historic structure included in (a)		2c			
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register		2d			
3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ►					
4 Number of states where property subject to conservation easement is located ►					
5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? <input type="checkbox"/> Yes <input type="checkbox"/> No					
6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ►					
7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ► \$					
8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? <input type="checkbox"/> Yes <input type="checkbox"/> No					
9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements					
<div>Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.</div> <div>Complete if the organization answered "Yes" on Form 990, Part IV, line 8.</div>					
1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items					
b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items					
(i) Revenue included on Form 990, Part VIII, line 1 ► \$					
(ii) Assets included in Form 990, Part X ► \$					
2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items					
a Revenue included on Form 990, Part VIII, line 1 ► \$					
b Assets included in Form 990, Part X ► \$					
For Paperwork Reduction Act Notice, see the Instructions for Form 990.					
		Cat No 52283D		Schedule D (Form 990) 2017	

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☒ Public exhibition

b

☒ Scholarly research

c

☒ Preservation for future generations

d

☒ Loan or exchange programs

e

☐ Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☒ Yes

☐ No

Part IV

Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII and complete the following table

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

	Amount
1c	
1d	
1e	
1f	

2a

Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

☐

Part V

Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a)Current year	(b)Prior year	(c)Two years back	(d)Three years back	(e)Four years back
1a Beginning of year balance	9,996,596,000	9,041,027,000	9,639,065,000	9,223,047,000	8,197,880,000
b Contributions	640,042,000	411,858,000	176,354,000	270,192,000	173,837,000
c Net investment earnings, gains, and losses	862,139,000	1,140,424,000	-173,840,000	739,891,000	1,424,763,060
d Grants or scholarships	120,019,000	114,376,000	106,989,000	99,309,000	92,209,000
e Other expenditures for facilities and programs	469,411,000	441,400,000	449,177,000	449,275,000	440,268,000
f Administrative expenses	40,102,000	40,937,000	44,386,000	45,481,000	40,956,060
g End of year balance	10,869,245,000	9,996,596,000	9,041,027,000	9,639,065,000	9,223,047,000

2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a

Board designated or quasi-endowment

36 000 %

b

Permanent endowment

64 000 %

c

Temporarily restricted endowment

The percentages on lines 2a, 2b, and 2c should equal 100%

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i) unrelated organizations

3a(i)

Yes

No

(ii) related organizations

3a(ii)

No

No

b

If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?

3b

4

Describe in Part XIII the intended uses of the organization's endowment funds

Part VI

Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		459,917,960		459,917,960
b Buildings		6,610,790,237	2,808,795,545	3,801,994,692
c Leasehold improvements				
d Equipment		532,574,828	324,996,165	207,578,663
e Other	1,313,501,627		424,942,328	888,559,299
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c))				5,358,050,614

Schedule D (Form 990) 2017

Part VII

Investments—Other Securities. Complete if the organization answered "Yes" on Form 990, Part IV, line 11b.
See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other _____		
(A) GLOBAL EQUITIES	959,137,075	F
(B) ABSOLUTE RETURN STRATEGIES	3,499,655,153	F
(C) PRIVATE EQUITY	2,057,054,583	F
(D) REAL ESTATE ASSETS	2,032,070,642	F
(E) FIXED INCOME	288,232,188	F
(F) CASH & CASH EQUIVALENTS	326,007,879	F
(G)		
(H)		
Total. (Column (b) must equal Form 990, Part X, col (B) line 12.) ▶	9,162,157,520	

Part VIII

Investments—Program Related.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col (B) line 13.) ▶		

Part IX

Other Assets. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col (B) line 15.) ▶	

Part X

Other Liabilities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f.
See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value	
(1) Federal income taxes	0	
LIABILITY FOR SECURITIES PURCH	26,010,326	
CAPITAL LEASE OBLIGATIONS	121,283,462	
COND'L ASSET RETIREMENT OBLIGA	119,249,410	
ACCRUED EMPLOYEE BENEFIT LIAB	342,644,911	
FEDERAL STUDENT LOAN FUNDS	78,720,440	
ACTUARIAL LIAB- SPLIT INT AGRM	62,717,059	
OTHER LONG TERM LIABILITIES	480,967,349	
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col (B) line 25.) ▶	1,231,592,957	

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

☒

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12			
a	Net unrealized gains (losses) on investments	2a		
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII)	2d		
e	Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII)	4b		
c	Add lines 4a and 4b		4c	
5	Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12)		5	

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII)	2d		
e	Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1 :			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII)	4b		
c	Add lines 4a and 4b		4c	
5	Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18)		5	

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
See Additional Data Table	

Part XIII Supplemental Information *(continued)*

Return Reference	Explanation

Additional Data

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Supplemental Information

Return Reference	Explanation
DETAIL OF UNIVERSITY COLLECTIONS/TEXT OF AUDIT FOOTNOTE	SCHEDULE D, PART III, LINES 1A, 2A & 4 COLLECTIONS AT THE UNIVERSITY INCLUDE WORKS OF ART, LITERARY WORKS, HISTORICAL TREASURES, AND ARTIFACTS THAT ARE MAINTAINED IN THE UNIVERSITY 'S GALLERIES, LIBRARIES, AND BUILDINGS THESE COLLECTIONS ARE PROTECTED AND PRESERVED FOR PUBLIC EXHIBITION, EDUCATION, RESEARCH, AND THE FURTHERANCE OF PUBLIC SERVICE AND, THEREFO RE, ARE NOT RECOGNIZED AS ASSETS ON THE CONSOLIDATED BALANCE SHEET COSTS ASSOCIATED WITH PURCHASING ADDITIONS AND MAINTAINING THESE COLLECTIONS ARE RECORDED AS OPERATING EXPENSES IN THE PERIOD IN WHICH THE ITEMS ARE ACQUIRED

Supplemental Information

Return Reference	Explanation
INTENDED USE OF ENDOWMENT FUNDS	SCHEDULE D, PART V, LINE 4 COLUMBIA UNIVERSITY'S ENDOWMENT FUNDS SUPPORT A RANGE OF PURPOSES IN ACCORDANCE WITH THE UNIVERSITY'S MISSION, INCLUDING FINANCIAL AID, FACULTY SALARIES AND SUPPORT, RESEARCH, CAPITAL PROJECTS, GENERAL SUPPORT OF THE UNIVERSITY, SCHOOLS, DEPARTMENTS, INSTITUTES AND CENTERS

Supplemental Information	
Return Reference	Explanation
FIN 48	SCHEDULE D, PART X, LINE 2 THE UNIVERSITY BELIEVES THAT ITS TAX POSITIONS AS THEY RELATE TO THE IMPACT ON THE FINANCIAL STATEMENTS OF FIN 48 ARE HIGHLY CERTAIN

SCHEDULE E
(Form 990 or 990-EZ)

Department of the Treasury
Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Schools

► Complete if the organization answered "Yes" on Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.
► Attach to Form 990 or Form 990-EZ.
► Information about Schedule E (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2017

Open to Public Inspection

Employer identification number
13-5598093

Part I

	YES	NO
1 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	1 Yes	
2 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	2 Yes	
3 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain If you need more space use Part II	3 Yes	
4 Does the organization maintain the following?		
a Records indicating the racial composition of the student body, faculty, and administrative staff?	4a Yes	
b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	4b Yes	
c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	4c Yes	
d Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain If you need more space, use Part II	4d Yes	
5 Does the organization discriminate by race in any way with respect to		
a Students' rights or privileges?	5a	No
b Admissions policies?	5b	No
c Employment of faculty or administrative staff?	5c	No
d Scholarships or other financial assistance?	5d	No
e Educational policies?	5e	No
f Use of facilities?	5f	No
g Athletic programs?	5g	No
h Other extracurricular activities? If you answered "Yes" to any of the above, please explain If you need more space, use Part II	5h	No
6a Does the organization receive any financial aid or assistance from a governmental agency?	6a Yes	
b Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either line 6a or line 6b, explain on Part II	6b	No
7 Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," explain on Part II	7 Yes	

Part II Supplemental Information. Provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also provide any other additional information (see instructions).

Return Reference	Explanation
SCHEDULE E, LINE 3	COLUMBIA UNIVERSITY CUSTOMARILY DRAWS A SUBSTANTIAL PERCENTAGE OF ITS STUDENTS FROM ACROSS THE NATION AND THE WORLD. ITS EDUCATIONAL PROGRAMS SEEK A BROAD CROSS-SECTION OF STUDENTS FROM THE METROPOLITAN AREA. IT FOLLOWS A RACIALLY NONDISCRIMINATORY POLICY OF ADMISSION AND INCLUDES A STATEMENT OF ITS RACIALLY NONDISCRIMINATORY POLICY TOWARDS STUDENTS IN ALL SCHOOL AND PROGRAM BULLETINS. IT ALSO REFERS TO THIS POLICY IN ALL WRITTEN ADVERTISING. IT THEREFORE MEETS THE CRITERIA UNDER REV. PROC. 75-50, SECTION 4.03-2(B) FOR EXEMPTION FROM THE PUBLICITY REQUIREMENTS OF SECTION 4.03-1.
SCHEDULE E, LINE 6A	COLUMBIA UNIVERSITY RECEIVES FEDERAL, STATE AND LOCAL GOVERNMENTAL FUNDING THAT SUPPORTS ITS CORE INSTRUCTIONAL AND RESEARCH PROGRAMS.

**SCHEDULE F
(Form 990)**

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.

► Attach to Form 990.

► Information about Schedule F (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2017

**Open to Public
Inspection**

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number

13-5598093

Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ **Yes** ☐ **No**

2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States

3 Activities per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) See Add'l Data					
(2)					
(3)					
(4)					
(5)					
3a Sub-total	26	6,297			5,828,239,404
b Total from continuation sheets to Part I					359,122,118
c Totals (add lines 3a and 3b)	86	9,774			6,187,361,522

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)	See Add'l Data								
(2)									
(3)									
(4)									
(5)								Schedule F (Form 990) 2017	
(6)									
(7)									
(8)									
(9)									
(10)									
(11)									
(12)									
(13)									
(14)									
(15)									
(16)									

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter ▶

118

3 Enter total number of other organizations or entities ▶

3

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Part IV Foreign Forms

- 1 Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U S Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* ☒ Yes ☐ No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U S Owner (see Instructions for Forms 3520 and 3520-A, do not file with Form 990)* ☒ Yes ☐ No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U S Persons with Respect to Certain Foreign Corporations (see Instructions for Form 5471)* ☒ Yes ☐ No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)* ☒ Yes ☐ No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U S Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* ☒ Yes ☐ No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713, do not file with Form 990)* ☒ Yes ☐ No

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference	Explanation
SUPPLEMENTAL INFORMATION	<p>SCHEDULE F, PART I, LINE 2 ORGANIZATION'S PROCEDURES FOR MONITORING GRANT FUNDS THE UNIVERSITY MAINTAINS A WRITTEN POLICY THAT GOVERNS THE MONITORING OF ALL SPONSORED PROJECT GRANT FUNDING THIS POLICY IS CONTAINED IN THE "SPONSORED PROJECTS HANDBOOK" THE POLICY STATES THAT THE PRIMARY OBLIGATION FOR THE DAY-TO-DAY MANAGEMENT OF SPONSORED PROJECTS AND INSURING COMPLIANCE WITH FEDERAL AND OTHER SPONSOR REGULATIONS IS THE RESPONSIBILITY OF THE PRINCIPAL INVESTIGATOR SUPPORTED, AS NECESSARY, BY HIS OR HER ADMINISTRATIVE STAFF ADDITIONALLY, THE POLICY SPECIFIES THE FOLLOWING MONITORING PROCEDURES - MONTHLY ACCOUNT RECONCILIATION - REVIEW OF SALARY AND BENEFIT CHARGES - REVIEW OF VENDOR INVOICES - REVIEW OF CHARGES INITIATED BY SERVICE OR RECHARGE CENTERS - REVIEW OF FACILITIES AND ADMINISTRATIVE CHARGES - MONITORING OF SUB-AWARDS THROUGH REVIEW OF CHARGES AND TECHNICAL PERFORMANCE REPORTS, CONDUCTING PERIODIC ONSITE VISITS, AND INITIATING AUDITS/REVIEWS WHEN NECESSARY EXPENDITURES RELATED TO FOREIGN ACTIVITY ARE GENERATED FROM A NUMBER OF SCHOOLS AND CENTERS AS WELL AS THROUGH CERTAIN CENTRAL ADMINISTRATIVE ACTIVITY MOST OF THE ACTIVITY RELATES TO U S GOVERNMENT FUNDED PROGRAM SERVICES OTHER ACTIVITY INCLUDES FACULTY AND STAFF TRAVEL FOR EDUCATIONAL, RESEARCH, FUNDRAISING AND OTHER PROGRAM SERVICES NOT ALL OF THE OVERSEAS EXPENDITURES ARE SEPARATELY TRACKED AS FOREIGN ACTIVITY THE AMOUNTS REPRESENTED ON SCHEDULE F REPRESENT THE UNIVERSITY'S GOOD FAITH EFFORTS TO CAPTURE AND CATEGORIZE FOREIGN ACTIVITY -----</p> <p>----- FORM 990, SCHEDULE F, PART I, COLUMN (F) & PART II, LINE 1 COLUMBIA UNIVERSITY ACCOUNTS FOR ALL FOREIGN EXPENDITURES, INCLUDING CASH GRANTS AND NONCASH ASSISTANCE, ON THE ORGANIZATION'S FINANCIAL STATEMENTS IN ACCORDANCE WITH GENERALLY ACCEPTED ACCOUNTING PRINCIPLES IN THE UNITED STATES -----</p>

Additional Data

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Antarctica	0	6	Program Services	EDUC/RESEARCH/OUTREACH	8,521
Central America and the Caribbean	1	405	Program Services	EDUC/RESEARCH/OUTREACH	1,481,151

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean	0	0	Grantmaking		5,000
Central America and the Caribbean	0	0	Investments		4,842,885,405

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	4	1,341	Program Services	EDUC/RESEARCH/OUTREACH	9,685,162
East Asia and the Pacific	0	0	Grantmaking		435,083

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	0	0	Fundraising		289,529
East Asia and the Pacific	0	0	Investments		292,821,268

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)	15	3,184	Program Services	EDUC/RESEARCH/OUTREACH	14,602,488
Europe (Including Iceland and Greenland)	0	0	Grantmaking		3,717,872

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)	0	0	Fundraising		722,918
Europe (Including Iceland and Greenland)	0	0	Investments		656,006,057

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Middle East and North Africa	5	703	Program Services	EDUC/RESEARCH/OUTREACH	3,883,923
Middle East and North Africa	0	0	Grantmaking		104,986

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Middle East and North Africa	0	0	Fundraising		154,653
Middle East and North Africa	0	0	Investments		45,000

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America	1	658	Program Services	EDUC/RESEARCH/OUTREACH	1,390,388
North America	0	0	Grantmaking		1,363,071

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America	0	0	Fundraising		10,015
North America	0	0	Investments		27,362,410

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Russia and the Newly Independent States	4	155	Program Services	EDUC/RESEARCH/OUTREACH	4,437,604
Russia and the Newly Independent States	0	0	Grantmaking		616,452

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South America	3	632	Program Services	EDUC/RESEARCH/OUTREACH	3,267,761
South America	0	0	Grantmaking		274,318

Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South America	0	0	Fundraising		27,047
South America	0	0	Investments		35,426

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South Asia	1	292	Program Services	EDUC/RESEARCH/OUTREACH	2,093,800
South Asia	0	0	Grantmaking		1,000,452

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South Asia	0	0	Fundraising		84,716
South Asia	0	0	Investments		18,458

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa	52	2,398	Program Services	EDUC/RESEARCH/OUTREACH	136,567,488
Sub-Saharan Africa	0	0	Grantmaking		43,380,536

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa	0	0	Fundraising		15,240
Sub-Saharan Africa	0	0	Investments		138,567,324

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	1,252,650	Check/Wire		N/A	N/A
		Sub-Saharan Africa	Edu/Research	970,063	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	941,021	Check/Wire		N/A	N/A
		South Asia	Edu/Research	700,847	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	544,403	Check/Wire		N/A	N/A
		Sub-Saharan Africa	Edu/Research	400,411	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Edu/Research	305,322	Check/Wire		N/A	N/A
		North America	Edu/Research	413,997	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Edu/Research	269,501	Check/Wire		N/A	N/A
		Europe	Edu/Research	259,067	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Edu/Research	206,577	Check/Wire		N/A	N/A
		South America	Edu/Research	203,977	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	Edu/Research	185,218	Check/Wire		N/A	N/A
		North America	Edu/Research	175,337	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Edu/Research	173,000	Check/Wire		N/A	N/A
		South Asia	Edu/Research	157,680	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	Edu/Research	150,253	Check/Wire		N/A	N/A
		Europe	Edu/Research	138,012	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Edu/Research	123,000	Check/Wire		N/A	N/A
		Europe	Edu/Research	108,000	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Middle East and North Africa	Edu/Research	104,986	Check/Wire		N/A	N/A
		Sub-Saharan Africa	Edu/Research	102,414	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Edu/Research	96,390	Check/Wire		N/A	N/A
		Russia and the Newly Independent States	Edu/Research	94,434	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Edu/Research	82,702	Check/Wire		N/A	N/A
		Europe	Edu/Research	79,151	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Edu/Research	74,390	Check/Wire		N/A	N/A
		Europe	Edu/Research	72,871	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Edu/Research	70,470	Check/Wire		N/A	N/A
		Europe	Edu/Research	62,615	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Edu/Research	62,527	Check/Wire		N/A	N/A
		South Asia	Edu/Research	59,000	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Edu/Research	53,385	Check/Wire		N/A	N/A
		North America	Edu/Research	69,919	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	44,619	Check/Wire		N/A	N/A
		East Asia and the Pacific	Edu/Research	43,835	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	40,934	Check/Wire		N/A	N/A
		South Asia	Edu/Research	38,000	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	Edu/Research	37,905	Check/Wire		N/A	N/A
		North America	Edu/Research	34,317	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Edu/Research	33,796	Check/Wire		N/A	N/A
		North America	Edu/Research	32,400	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Edu/Research	32,099	Check/Wire		N/A	N/A
		East Asia and the Pacific	Edu/Research	32,047	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Edu/Research	31,433	Check/Wire		N/A	N/A
		Europe	Edu/Research	27,000	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	25,532	Check/Wire		N/A	N/A
		South America	Edu/Research	25,341	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Edu/Research	25,170	Check/Wire		N/A	N/A
		South America	Edu/Research	25,000	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Edu/Research	24,300	Check/Wire		N/A	N/A
		East Asia and the Pacific	Edu/Research	23,730	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	23,204	Check/Wire		N/A	N/A
		Europe	Edu/Research	21,855	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	20,357	Check/Wire		N/A	N/A
		South America	Edu/Research	20,000	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Edu/Research	19,548	Check/Wire		N/A	N/A
		East Asia and the Pacific	Edu/Research	18,000	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Edu/Research	17,677	Check/Wire		N/A	N/A
		Sub-Saharan Africa	Edu/Research	17,489	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	Edu/Research	15,981	Check/Wire		N/A	N/A
		Sub-Saharan Africa	Edu/Research	15,000	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South Asia	Edu/Research	14,018	Check/Wire		N/A	N/A
		North America	Edu/Research	13,345	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South Asia	Edu/Research	12,907	Check/Wire		N/A	N/A
		Europe	Edu/Research	11,690	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Edu/Research	11,500	Check/Wire		N/A	N/A
		Europe	Edu/Research	9,692	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Edu/Research	9,352	Check/Wire		N/A	N/A
		Europe	Edu/Research	6,028	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Edu/Research	5,500	Check/Wire		N/A	N/A
		Europe	Edu/Research	1,193,866	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	Edu/Research	485,499	Check/Wire		N/A	N/A
		North America	Edu/Research	79,431	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	1,724,331	Check/Wire		N/A	N/A
		Sub-Saharan Africa	Edu/Research	3,001,701	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	952,948	Check/Wire		N/A	N/A
		Sub-Saharan Africa	Edu/Research	5,634,891	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	Edu/Research	32,929	Check/Wire		N/A	N/A
		Sub-Saharan Africa	Edu/Research	1,175,343	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	28,666	Check/Wire		N/A	N/A
		Sub-Saharan Africa	Edu/Research	418,843	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	7,770,746	Check/Wire		N/A	N/A
		Sub-Saharan Africa	Edu/Research	13,014,166	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	163,497	Check/Wire		N/A	N/A
		Sub-Saharan Africa	Edu/Research	60,675	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	994,393	Check/Wire		N/A	N/A
		Sub-Saharan Africa	Edu/Research	1,334,354	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	356,899	Check/Wire		N/A	N/A
		Sub-Saharan Africa	Edu/Research	618,447	Check/Wire		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	6,000	Check/Wire		N/A	N/A
		Sub-Saharan Africa	Edu/Research	493,443			Equipment	Book Value

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	353,350			Equipment	Book Value
		Sub-Saharan Africa	Edu/Research	262,671			Equipment	Book Value

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	182,580			Equipment	Book Value
		Sub-Saharan Africa	Edu/Research	117,808			Equipment	Book Value

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Russia and the Newly Independent States	Edu/Research	117,225			Equipment	Book Value
		Sub-Saharan Africa	Edu/Research	89,159			Equipment	Book Value

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Russia and the Newly Independent States	Edu/Research	87,166			Equipment	Book Value
		Russia and the Newly Independent States	Edu/Research	59,137			Equipment	Book Value

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Russia and the Newly Independent States	Edu/Research	46,247			Equipment	Book Value
		Sub-Saharan Africa	Edu/Research	32,529			Equipment	Book Value

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	29,665			Vehicle	Book Value
		Sub-Saharan Africa	Edu/Research	29,665			Vehicle	Book Value

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Russia and the Newly Independent States	Edu/Research	28,697			Equipment	Book Value
		Russia and the Newly Independent States	Edu/Research	28,697			Equipment	Book Value

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	26,299			Vehicle	Book Value
		Sub-Saharan Africa	Edu/Research	26,100			Vehicle	Book Value

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Russia and the Newly Independent States	Edu/Research	24,560			Equipment	Book Value
		Russia and the Newly Independent States	Edu/Research	24,560			Equipment	Book Value

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Russia and the Newly Independent States	Edu/Research	24,267			Equipment	Book Value
		Russia and the Newly Independent States	Edu/Research	24,267			Equipment	Book Value

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	17,516			Vehicle	Book Value
		Russia and the Newly Independent States	Edu/Research	24,267			Equipment	Book Value

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	12,644			Equipment	Book Value
		Sub-Saharan Africa	Edu/Research	11,734			Vehicle	Book Value

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	11,649			Equipment	Book Value
		Sub-Saharan Africa	Edu/Research	11,649			Equipment	Book Value

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	7,998			Equipment	Book Value
		Sub-Saharan Africa	Edu/Research	5,040			Equipment	Book Value

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Edu/Research	5,040			Equipment	Book Value

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SCHEDULE G (Form 990 or 990-EZ)		Supplemental Information Regarding Fundraising or Gaming Activities			OMB No 1545-0047																																																																																						
Department of the Treasury Internal Revenue Service		Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a ▶ Attach to Form 990 or Form 990-EZ.			2017																																																																																						
		▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990 .			Open to Public Inspection																																																																																						
Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK				Employer identification number 13-5598093																																																																																							
Part I Fundraising Activities. Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.																																																																																											
1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.																																																																																											
a <input checked="" type="checkbox"/> Mail solicitations		e <input checked="" type="checkbox"/> Solicitation of non-government grants																																																																																									
b <input checked="" type="checkbox"/> Internet and email solicitations		f <input checked="" type="checkbox"/> Solicitation of government grants																																																																																									
c <input checked="" type="checkbox"/> Phone solicitations		g <input checked="" type="checkbox"/> Special fundraising events																																																																																									
d <input checked="" type="checkbox"/> In-person solicitations																																																																																											
2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No																																																																																											
b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.																																																																																											
<table><tr><th rowspan="2">(i) Name and address of individual or entity (fundraiser)</th><th rowspan="2">(ii) Activity</th><th colspan="2">(iii) Did fundraiser have custody or control of contributions?</th><th rowspan="2">(iv) Gross receipts from activity</th><th rowspan="2">(v) Amount paid to (or retained by) fundraiser listed in col (i)</th><th rowspan="2">(vi) Amount paid to (or retained by) organization</th></tr><tr><th>Yes</th><th>No</th></tr><tr><td>1 RUFFALO NOEL LEVITZ LLC 1025 KIRKWOOD PARKWAY SW CEDAR RAPIDS, IA 52404</td><td>CONSULTING</td><td></td><td>No</td><td>1,294,884</td><td>711,626</td><td>583,258</td></tr><tr><td>2 EVENTAGE 18 SOUTH ORANGE AVENUE SOUTH ORANGE, NJ 07079</td><td>CONSULTING</td><td></td><td>No</td><td>1,442,096</td><td>1,208,578</td><td>233,518</td></tr><tr><td>3 SNAP MOBILE LLC 939 WEST LAKE AVE N SEATTLE, WA 98109</td><td>CONSULTING</td><td></td><td>No</td><td>12,073,558</td><td>122,000</td><td>11,951,558</td></tr><tr><td>4 DONORDRIVE 30 WEST THIRD STREET CINCINNATI, OH 45202</td><td>CONSULTING</td><td></td><td>No</td><td>28,912</td><td>24,230</td><td>4,682</td></tr><tr><td>5</td><td></td><td></td><td></td><td></td><td></td><td></td></tr><tr><td>6</td><td></td><td></td><td></td><td></td><td></td><td></td></tr><tr><td>7</td><td></td><td></td><td></td><td></td><td></td><td></td></tr><tr><td>8</td><td></td><td></td><td></td><td></td><td></td><td></td></tr><tr><td>9</td><td></td><td></td><td></td><td></td><td></td><td></td></tr><tr><td>10</td><td></td><td></td><td></td><td></td><td></td><td></td></tr><tr><td colspan="4">Total ▶</td><td>14,839,450</td><td>2,066,434</td><td>12,773,016</td></tr></table>						(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization	Yes	No	1 RUFFALO NOEL LEVITZ LLC 1025 KIRKWOOD PARKWAY SW CEDAR RAPIDS, IA 52404	CONSULTING		No	1,294,884	711,626	583,258	2 EVENTAGE 18 SOUTH ORANGE AVENUE SOUTH ORANGE, NJ 07079	CONSULTING		No	1,442,096	1,208,578	233,518	3 SNAP MOBILE LLC 939 WEST LAKE AVE N SEATTLE, WA 98109	CONSULTING		No	12,073,558	122,000	11,951,558	4 DONORDRIVE 30 WEST THIRD STREET CINCINNATI, OH 45202	CONSULTING		No	28,912	24,230	4,682	5							6							7							8							9							10							Total ▶				14,839,450	2,066,434	12,773,016
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10																																																																																											
Total ▶				14,839,450	2,066,434	12,773,016																																																																																					
3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing. NY																																																																																											
For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. Cat No 50083H Schedule G (Form 990 or 990-EZ) 2017																																																																																											

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d)
		<u>ANNUAL DINNER</u> (event type)	<u>AWARDS GALA</u> (event type)	<u>51</u> (total number)	Total events (add col (a) through col (c))
Revenue	1 Gross receipts	6,586,993	2,053,557	7,106,121	15,746,671
	2 Less Contributions	6,448,787	1,745,057	6,096,615	14,290,459
	3 Gross income (line 1 minus line 2)	138,206	308,500	1,009,506	1,456,212
Direct Expenses	4 Cash prizes	0	0		0
	5 Noncash prizes	50	5,057		5,107
	6 Rent/facility costs	200,000	440,849		640,849
	7 Food and beverages	254,296	187,712		442,008
	8 Entertainment	2,275	32,100		34,375
	9 Other direct expenses	92,894	99,437	1,009,506	1,201,837
	10 Direct expense summary Add lines 4 through 9 in column (d) ▶				2,324,176
	11 Net income summary Subtract line 10 from line 3, column (d) ▶				-867,964

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
	7 Direct expense summary Add lines 2 through 5 in column (d) ▶				
	8 Net gaming income summary Subtract line 7 from line 1, column (d) ▶				

9 Enter the state(s) in which the organization conducts gaming activities _____

a Is the organization licensed to conduct gaming activities in each of these states?

☐ Yes ☐ No

b If "No," explain _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?

☐ Yes ☐ No

b If "Yes," explain _____

11 Does the organization conduct gaming activities with nonmembers?	<input type="checkbox"/> Yes <input type="checkbox"/> No				
12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?	<input type="checkbox"/> Yes <input type="checkbox"/> No				
13 Indicate the percentage of gaming activity conducted in					
a The organization's facility	<table border="1" style="display: inline-table; border-collapse: collapse;"><tr><td style="width: 100px; text-align: center;">13a</td><td style="width: 100px; text-align: center;">%</td></tr><tr><td style="text-align: center;">13b</td><td style="text-align: center;">%</td></tr></table>	13a	%	13b	%
13a	%				
13b	%				
b An outside facility					
14 Enter the name and address of the person who prepares the organization's gaming/special events books and records					
Name ►					
Address ►					
15a Does the organization have a contract with a third party from whom the organization receives gaming revenue?	<input type="checkbox"/> Yes <input type="checkbox"/> No				
b If "Yes," enter the amount of gaming revenue received by the organization ► \$ and the amount of gaming revenue retained by the third party ► \$					
c If "Yes," enter name and address of the third party					
Name ►					
Address ►					
16 Gaming manager information					
Name ►					
Gaming manager compensation ► \$					
Description of services provided ►					
<input type="checkbox"/> Director/officer <input type="checkbox"/> Employee <input type="checkbox"/> Independent contractor					
17 Mandatory distributions					
a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?					
<input type="checkbox"/> Yes <input type="checkbox"/> No					
b Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$					

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions).

Return Reference	Explanation
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Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.
▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2017

Open to Public Inspection

Employer identification number
13-5598093

Part I

General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed

(a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) See Additional Data							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table 360

3 Enter total number of other organizations listed in the line 1 table 2

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of noncash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
(1) CCS NANCY RUPP SCHOLARSHIPS	2	7,000		N/A	N/A
(2) STUDENT TUITION GRANTS AND SCHOLARSHIPS	14268	550,883,183		N/A	N/A
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					

Part IV Supplemental Information. Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

Return Reference	Explanation
DESCRIPTION OF ORGANIZATION'S PROCEDURES FOR MONITORING THE USE OF GRANTS	FORM 990, SCHEDULE I THE UNIVERSITY MAINTAINS A WRITTEN POLICY THAT GOVERNS THE MONITORING OF THE USE OF SPONSORED PROJECT GRANT FUNDING BY SUBRECIPIENTS THE POLICY MANDATES MONITORING IN TWO AREAS (A) SUBRECIPIENT INSTITUTION COMPLIANCE WITH THE AUDITING REQUIREMENTS OF OMB CIRCULAR A-133, AND (B) SUBRECIPIENT INSTITUTION'S APPROPRIATE MANAGEMENT OF SUBAWARD THE UNIVERSITY'S SPONSORED PROJECTS FINANCE GROUP COLLECTS A-133 REPORTS FROM SUBRECIPIENT INSTITUTIONS, AND THE PRINCIPAL INVESTIGATORS CARRY OUT THE SECOND TYPE OF MONITORING, PRINCIPALLY BY - ROUTINELY GATHERING AND REVIEWING TECHNICAL PERFORMANCE REPORTS, - ROUTINELY REVIEWING INVOICES AND EXPENSES RELATIVE TO BUDGET, - CONDUCTING PERIODIC ON-SITE VISITS, WHEN NECESSARY, AND - INITIATING AUDITS, WHEN NECESSARY

Additional Data

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CALIFORNIA AT SAN FRANCISCO 1855 Folsom St 0812 San Francisco, CA 94143	94-6036493	501(C)(3)	13,482,108		N/A	N/A	Research
CORNELL UNIVERSITY MEDICAL COLLEGE 575 LEXINGTON AVE FL 9 NEW YORK, NY 10022	13-1623978	501(C)(3)	5,019,084		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
RESEARCH FOUNDATION FOR MENTAL HYGIENE INC 150 BROADWAY MENANDS, NY 12204	14-1410842	501(C)(3)	2,957,731		N/A	N/A	Research
UNIVERSITY OF CALIFORNIA SAN DIEGO 9500 GILMAN DRIVE LA JOLLA, CA 92093	95-6006144	501(C)(3)	2,245,696		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NEW YORK UNIVERSITY 25 W 4th St 4th Floor NEW YORK, NY 10012	13-5562308	501(C)(3)	2,225,976		N/A	N/A	Research
JOHNS HOPKINS UNIVERSITY 3910 Keswick Rd BALTIMORE, MD 21231	52-0595110	501(C)(3)	1,985,135		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
DUKE UNIVERSITY BOX 90660 DURHAM, NC 27708	56-0532129	501(C)(3)	1,849,519		N/A	N/A	Research
UNIVERSITY OF PENNSYLVANIA 3451 Walnut St PHILADELPHIA, PA 19104	23-1352685	501(C)(3)	1,835,855		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI 1 GUSTAVE L LEVY PLACE NEW YORK, NY 10029	13-6171197	501(C)(3)	1,699,002		N/A	N/A	Research
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE CAMBRIDGE, MA 02139	04-2103594	501(C)(3)	1,524,713		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MASSACHUSETTS GENERAL HOSPITAL 55 Fruit St BOSTON, MA 02114	04-2697983	501(C)(3)	1,515,858		N/A	N/A	Research
UNIVERSITY OF CALIFORNIA SYSTEM 405 HILGARD AVENUE LOS ANGELES, CA 90095	95-6006143	501(C)(3)	1,505,618		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF ARIZONA 1303 E UNIVERSITY BLVD TUCSON, AZ 85719	86-6004791	501(C)(3)	1,372,391		N/A	N/A	Research
RUTGERS UNIVERSITY 100 Struble RD Branchville, NJ 07826	22-6001086	501(C)(3)	1,356,471		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF NORTH CAROLINA 103 S BLDG Campus Chapel Hill, NC 27599	56-6001393	501(C)(3)	1,349,024		N/A	N/A	Research
NEW YORK BLOOD CENTER 310 EAST 67TH St NEW YORK, NY 10065	13-1949477	501(C)(3)	1,333,818		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PRESIDENT AND FELLOWS OF HARVARD COLLEGE PO BOX 415649 BOSTON, MA 022415649	04-2103580	501(C)(3)	1,285,545		N/A	N/A	Research
STANFORD UNIVERSITY 326 Galvez St Stanford, CA 94305	94-1156365	501(C)(3)	1,276,330		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
REGENTS OF THE UNI OF CALIFORNIA AT IRVINE 120 Theory IRVINE, CA 92697	95-2226406	501(C)(3)	1,147,911		N/A	N/A	Research
BAYLOR COLLEGE OF MEDICINE ONE BAYLOR PLAZA HOUSTON, TX 77030	74-1613878	501(C)(3)	1,142,803		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NORTHWESTERN UNIVERSITY 633 CLARK ST EVANSTON, IL 60208	36-2167817	501(C)(3)	1,139,952		N/A	N/A	Research
MEMORIAL SLOAN-KETTERING CANCER CENTER P O Box 27106 NEW YORK, NY 10087	13-1924236	501(C)(3)	1,107,789		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF PITTSBURGH 128 North Craig St PITTSBURGH, PA 15260	25-0965591	501(C)(3)	1,098,060		N/A	N/A	Research
UNIVERSITY OF COLORADO 1800 N GRANT ST STE 600 DENVER, CO 80203	84-6000555	501(C)(3)	1,084,109		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AMERICAN INSTS FOR RSCH IN THE BEHAV SCI 1000 T JEFFERSON St WASHINGTON, DC 20007	25-0965219	501(C)(3)	1,071,657		N/A	N/A	Research
UNIVERSITY OF WASHINGTON Box 359505 Seattle, WA 981959505	91-6001537	501(C)(3)	1,053,080		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
YALE UNIVERSITY PO BOX 1873 NEW HAVEN, CT 06508	06-0646973	501(C)(3)	950,611		N/A	N/A	Research
RESEARCH FNDT OF THE STATE UNI OF NY PO BOX 9 ALBANY, NY 122010009	14-1368361	501(C)(3)	942,780		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF MICHIGAN 2800 PLYMOUTH Rd ANN ARBOR, MI 48109	38-6006309	501(C)(3)	863,723		N/A	N/A	Research
RESEARCH FOUNDATION OF THE CITY 230 W 41ST St NEW YORK, NY 10036	13-1988190	501(C)(3)	828,592		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF PUERTO RICO PO BOX 365067 SAN JUAN, PR 009365067	66-0433762	501(C)(3)	801,712		N/A	N/A	Research
MILLENNIUM PROMISE ALLIANCE 475 RIVERSIDE DRIVE NEW YORK, NY 10115	20-3042135	501(C)(3)	795,567		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
GEORGETOWN UNIVERSITY BOX 571164 WASHINGTON, DC 200571164	53-0196603	501(C)(3)	741,411		N/A	N/A	Research
EMORY UNIVERSITY 1762 Clifton Rd ATLANTA, GA 30322	58-0566256	501(C)(3)	736,807		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF IOWA B5 JESSUP HALL IOWA CITY, IA 52242	42-6004813	501(C)(3)	725,812		N/A	N/A	Research
THE BRIGHAM AND WOMENS HOSPITAL INC PO BOX 3887 BOSTON, MA 022413887	04-2312909	501(C)(3)	722,527		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF ALABAMA AT BIRMINGHAM 1530 3rd Ave South BIRMINGHAM, AL 35294	63-6005396	501(C)(3)	697,080		N/A	N/A	Research
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 E CALIFORNIA BLVD PASADENA, CA 91125	95-1643307	501(C)(3)	685,038		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NEW YORK CITY HEALTH AND HOSPITALS 125 WORTH St NEW YORK, NY 10013	13-2655001	501(C)(3)	670,940		N/A	N/A	Research
HEALTH RESEARCH INCORPORATED 150 BROADWAY MENANDS, NY 12204	14-1402155	501(C)(3)	629,018		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MEDICAL UNIVERSITY OF SOUTH CAROLINA 19 HAGOOD AVE CHARLESTON, SC 294258170	57-6000722	501(C)(3)	627,363		N/A	N/A	Research
UNIVERSITY OF MIAMI PO BOX 248106 CORAL GABLES, FL 331242912	59-0624458	501(C)(3)	625,507		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CHICAGO 5235 S Harper Courth CHICAGO, IL 60615	36-2177139	501(C)(3)	622,477		N/A	N/A	Research
CORNELL UNIVERSITY 377 PINE TREE Rd ITHACA, NY 14850	15-0532082	501(C)(3)	609,593		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF TEXAS AT AUSTIN PO BOX 7159 AUSTIN, TX 78713	74-6000203	501(C)(3)	587,476		N/A	N/A	Research
STEVENS INSTITUTE OF TECHNOLOGY 1 CASTLE PT ON HUDSON HOBOKEN, NJ 07030	22-1487354	501(C)(3)	512,467		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
JHPIEGO CORPORATION 1615 THAMES St BALTIMORE, MD 21231	23-7424444	501(C)(3)	511,313		N/A	N/A	Research
CHILDRENS HOSPITAL BOSTON 300 LONGWOOD AVE BOSTON, MA 02115	04-2774441	501(C)(3)	510,678		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF MARYLAND 4101 CHESAPEAKE COLLEGE PARK, MD 20742	52-6002033	501(C)(3)	504,474		N/A	N/A	Research
COM AFFILIATION INC 1300 MORRIS PARK AVE BRONX, NY 10461	47-2209056	501(C)(3)	500,835		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CINCINNATI PO BOX 932614 CLEVELAND, OH 44193	31-6000989	501(C)(3)	463,521		N/A	N/A	Research
Hebrew Home for the Aged at Riverdale 5901 PALISADE AVE RIVERDALE, NY 10471	13-1739971	501(C)(3)	446,201		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF UTAH 201 S PRESIDENTS CIR ST LAKE CITY, UT 84112	87-6000525	501(C)(3)	442,149		N/A	N/A	Research
CHILDRENS HOSPITAL OF LOS ANGELES 4650 SUNSET BLVD LOS ANGELES, CA 90027	95-1690977	501(C)(3)	437,455		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
RUTGERS THE STATE UNIVERSITY OF NJ 33 KNIGHTSBRIDGE Rd PISCATAWAY, NJ 08854	46-2354111	501(C)(3)	412,730		N/A	N/A	Research
WASHINGTON UNIVERSITY ST LOUIS One Brookings Drive ST LOUIS, MO 63130	43-0653611	501(C)(3)	401,468		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WAKE FOREST UNIVERSITY 1834 Wake Forest Rd WINSTON SALEM, NC 27109	56-0532138	501(C)(3)	397,501		N/A	N/A	Research
TEACHERS COLLEGE 525 W 120TH St NEW YORK, NY 10027	13-1624202	501(C)(3)	382,745		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Albany Medical CenterCollege 47 NEW SCOTLAND AVE ALBANY, NY 12208	14-1338310	501(C)(3)	381,182		N/A	N/A	Research
WOODS HOLE OCEANOGRAPHIC INSTITUTION 569 WOODS HOLE Rd WOODS HOLE, MA 02543	04-2105850	501(C)(3)	380,122		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CALIFORNIA BERKELEY 2195 HEARST Ave BERKELEY, CA 94720	94-6002123	501(C)(3)	362,591		N/A	N/A	Research
PRINCETON UNIVERSITY 701 CARNEGIE CENTER PRINCETON, NJ 08540	21-0634501	501(C)(3)	361,048		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
RICE UNIVERSITY PO BOX 1892 HOUSTON, TX 77251	74-1109620	501(C)(3)	344,399		N/A	N/A	Research
THE RAND CORPORATION 1776 MAIN St SANTA MONICA, CA 904072138	95-1958142	501(C)(3)	329,639		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Christiana Care Health System 200 HYGEIA DR NEWARK, DE 19713	51-0103684	501(C)(3)	328,995		N/A	N/A	Research
VISITING NURSE SERVICE OF NEW YORK 107 East 70th NEW YORK, NY 10021	13-3189926	501(C)(3)	326,578		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of California at Santa Cruz 220 Montgomery St SAN FRANCISCO, CA 94104	94-1539563	501(C)(3)	314,195		N/A	N/A	Research
ROCKEFELLER UNIVERSITY 1230 YORK AVE NEW YORK, NY 100656399	13-1624158	501(C)(3)	312,771		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
FRED HUTCHINSON CANCER RESEARCH CENTER 1100 FAIRVIEW AVE SEATTLE, WA 981091024	23-7156071	501(C)(3)	312,103		N/A	N/A	Research
PENNSYLVANIA STATE UNIVERSITY One Old Main University Park, PA 16802	24-6000376	501(C)(3)	305,089		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
OHIO STATE UNIVERSITY RESEARCH 1960 KENNY Rd COLUMBUS, OH 432101063	31-6025986	501(C)(3)	304,367		N/A	N/A	Research
LOUISIANA STATE UNIVERSITY 240 THOMAS BOYD HALL BATON ROUGE, LA 708032901	72-6000848	501(C)(3)	291,801		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MAYO CLINIC IN ROCHESTER 200 FIRST St SW ROCHESTER, MN 55905	41-6011702	501(C)(3)	288,764		N/A	N/A	Research
DREXEL UNIV 3141 Chestnut St PHILADELPHIA, PA 19104	23-1352630	501(C)(3)	275,452		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CONNECTICUT 2100 HILLSIDE RD STORRS, CT 062691041	58-2065579	501(C)(3)	266,304		N/A	N/A	Research
CEDAR SINAI MEDICAL CENTER 6500 WILSHIRE BLVD LOS ANGELES, CA 90048	95-1644600	501(C)(3)	258,032		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MARY IMOGENE BASSETT HOPSITAL ONE ATWELL Rd COOPERSTOWN, NY 13326	13-5596796	501(C)(3)	253,804		N/A	N/A	Research
JACKSON LABORATORY 610 MAIN St BAR HARBOR, ME 04609	01-0211513	501(C)(3)	244,807		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
GEORGIA INSTITUTE OF TECHNOLOGY PO BOX 100117 ATLANTA, GA 30384	58-0603146	501(C)(3)	242,905		N/A	N/A	Research
HOSPITAL FOR SPECIAL SURGERY 535 EAST 70TH St NEW YORK, NY 10021	13-1624135	501(C)(3)	242,391		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ANN & ROBERT H LURIE CHILDRENS HOSPITAL 225 EAST CHICAGO Ave CHICAGO, IL 60611	36-2170833	501(C)(3)	240,727		N/A	N/A	Research
BOSTON UNIVERSITY 595 Commonwealth Ave BOSTON, MA 02215	04-2103547	501(C)(3)	219,267		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
GEORGE WASHINGTON UNIVERSITY 45155 RESEARCH PLACE ASHBURN, VA 20147	53-0196584	501(C)(3)	219,161		N/A	N/A	Research
SAVE THE CHILDREN 501 KINGS HWY E FAIRFIELD, CT 06825	06-0726487	501(C)(3)	211,602		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WEST HARLEM ENVIRONMENTAL ACTION INC 1854 AMSTERDAM Ave 2ND FLOOR NEW YORK, NY 10031	13-3800068	501(C)(3)	211,494		N/A	N/A	Research
FAMILY HEALTH INTERNATIONAL 359 BLACKWELL St DURHAM, NC 27701	23-7413005	501(C)(3)	199,693		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
FRONTIER SCIENCE AND TECHNOLOGY 4033 MAPLE Rd AMHERST, NY 14226	16-1056814	501(C)(3)	193,855		N/A	N/A	Research
AMERICAN ACADEMY OF PEDIATRICS 345 Park Blvd CHICAGO, IL 60143	36-2275597	501(C)(3)	192,967		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
REGENTS OF THE UNIVERSITY OF MINNESOTA 1300 S 2ND ST MINNEAPOLIS, MN 55454	41-6007513	501(C)(3)	191,989		N/A	N/A	Research
UNIVERSITY OF TEXAS - MD Anderson PO BOX 4390 HOUSTON, TX 77210	74-6000949	501(C)(3)	190,411		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF MASSACHUSETTS 140 HICKS WAY AMHERST, MA 01003	04-3167352	501(C)(3)	189,969		N/A	N/A	Research
OREGON STATE UNIVERSITY 312 KERR ADMIN BUILDING CORVALLIS, OR 97331	61-1730890	501(C)(3)	188,737		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF NEW MEXICO 1 UNIV OF NM ALBUQUERQUE, NM 871313106	85-6000642	501(C)(3)	185,803		N/A	N/A	Research
INST FOR ADVANCED STUDY 1 EINSTEIN DR PRINCETON, NJ 08540	21-0634988	501(C)(3)	184,746		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SAINT PETERS UNIVERSITY HOSPITAL 254 EASTON AVE NEW BRUNSWICK, NJ 08901	22-1487330	501(C)(3)	184,150		N/A	N/A	Research
TEXAS A&M UNIVERSITY 400 HVY MITCHELL PKWY S CLG STN, TX 77845	74-6000531	501(C)(3)	183,226		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PURDUE UNIVERSITY 23510 NETWORK PLACE CHICAGO, IL 606731235	35-6002041	501(C)(3)	178,538		N/A	N/A	Research
UNIVERSITY OF WISCONSIN MADISON RSCH SPN PGMS 538 MILWAUKEE, WI 53278	39-6006492	501(C)(3)	176,961		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF ROCHESTER 910 GENESEE St ROCHESTER, NY 14611	16-0743209	501(C)(3)	175,505		N/A	N/A	Research
American Museum of Natural History 79TH ST AT CENTRAL PARK W NEW YORK, NY 10024	13-6162659	501(C)(3)	171,726		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THOMAS JEFFERSON UNIVERSITY 1020 WALNUT ST 5th Fl PHILADELPHIA, PA 19107	23-1352651	501(C)(3)	165,067		N/A	N/A	Research
UNIVERSITY OF MISSOURI PO BOX 807012 KANSAS CITY, MO 64180	43-6003859	501(C)(3)	164,328		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
DREXEL UNIVERSITY COLLEGE OF MEDICINE PO BOX 9500-1090 PHILADELPHIA, PA 19195	23-2979433	501(C)(3)	157,593		N/A	N/A	Research
Public Health Solutions 40 WORTH St 5TH FLOOR NEW YORK, NY 10013	13-5669201	501(C)(3)	156,710		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
INDIANA UNIVERSITY 400 E 7th St Rm 501 Bloomington, IN 47405	35-6001673	501(C)(3)	155,218		N/A	N/A	Research
NAZARENE COMPASSIONATE MINISTRIES INC 17001 PRAIRIE STAR PKWY LENEXA, KS 66220	43-1550318	501(C)(3)	152,467		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NAACP LEGAL DEFENSE & EDUCATIONAL FUND 40 RECTOR St 5TH FLOOR NEW YORK, NY 10006	13-1655255	501(C)(3)	150,000		N/A	N/A	Research
UNIVERSITY OF ILLINOIS 506 S WRIGHT St URBANA, IL 61801	37-6000511	501(C)(3)	158,862		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
KENNEDY MEDICAL GROUP PRACTICE PC 500 MARLBORO AVE CHERRY HILL, NJ 08002	46-1420853	501(C)(3)	143,844		N/A	N/A	Research
Creighton University 2500 CALIFORNIA PLAZA OMAHA, NE 681780410	47-0376583	501(C)(3)	143,826		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HARLEM UNITED COMMUNITY AIDS CENTER 123-125 W 124TH St NEW YORK, NY 10027	13-3461695	501(C)(3)	143,146		N/A	N/A	Research
DANA FARBER CANCER INSTITUTE 450 BROOKLINE Ave BOSTON, MA 02215	04-2263040	501(C)(3)	141,218		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF HAWAII 2440 CAMPUS Rd BOX 368 HONOLULU, HI 96822	99-6000354	501(C)(3)	137,362		N/A	N/A	Research
UNIVERSITY OF ALASKA AT FAIRBANKS PO BOX 757880 FAIRBANKS, AK 99775	92-6000147	501(C)(3)	134,304		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
KAISER PERMANENTE 1800 HARRISON ST OAKLAND, CA 94612	94-1105628	501(C)(3)	134,290		N/A	N/A	Research
UNIVERSITY OF SOUTH FLORIDA PO BOX 864568 ORLANDO, FL 328864568	59-3102112	501(C)(3)	134,246		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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COLORADO STATE UNIVERSITY 2002 CAMPUS DELIVERY FORT COLLINS, CO 80523	84-6000545	501(C)(3)	130,369		N/A	N/A	Research
Cicatelli Associates Inc 505 8TH AVE 16TH FLOOR NEW YORK, NY 100186505	13-3020576	501(C)(3)	129,223		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
VIRGINIA INSTITUTE OF MARINE SCIENCE PO BOX 1346 GLOUCESTER POINT, VA 23062	54-6001802	501(C)(3)	127,219		N/A	N/A	Research
POLAR OCEANS RESEARCH GROUP PO BOX 368 619 MIDDLE Rd SHERIDAN, MT 59749	81-0536140	501(C)(3)	126,180		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MEDSTAR HEALTH RESEARCH INSTITUTE PO BOX 418223 BOSTON, MA 02241	52-6056274	501(C)(3)	125,689		N/A	N/A	Research
Henry Jackson Foundation 6720-A ROCKLEDGE DR BETHESDA, MD 20817	52-1317896	501(C)(3)	125,491		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES 4301 W MARKHAM St LITTLE ROCK, AR 72205	71-6046242	501(C)(3)	123,239		N/A	N/A	Research
SOUTH BRONX OVERALL ECONOMIC DEVELOPMENT 555 BERGEN AVE 3RD FLOOR BRONX, NY 10455	13-2736022	501(C)(3)	122,530		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF NORTH TEXAS 3500 CAMP BOWIE BLVD FORT WORTH, TX 76107	75-6064033	501(C)(3)	122,502		N/A	N/A	Research
BROWN UNIVERSITY 69 BROWN St 2ND FL PROVIDENCE, RI 02912	05-0258804	501(C)(3)	121,699		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ADELPHI UNIVERSITY 1 SOUTH Ave GARDEN CITY, NY 11530	11-1630741	501(C)(3)	117,895		N/A	N/A	Research
SAGE BIONETWORKS 1100 FAIRVIEW AVE N SEATTLE, WA 98109	26-4489946	501(C)(3)	116,488		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ARIZONA STATE UNIVERSITY PO BOX 876011 TEMPE, AZ 852876011	86-0196696	501(C)(3)	113,854		N/A	N/A	Research
CASE WESTERN RESERVE UNIVERSITY 10900 EUCLID Ave CLEVELAND, OH 441067037	34-1018992	501(C)(3)	112,766		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
FLORIDA STATE UNIVERSITY 874 TRADITIONS WAY TALLAHASSEE, FL 32306	59-1961248	501(C)(3)	112,298		N/A	N/A	Research
THE CHILDRENS HOSPITAL OF PHILADELPHIA POBOX 8500 PHILADELPHIA, PA 191781457	23-1352166	501(C)(3)	107,244		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HASTINGS CTR 21 MALCOLM GORDON Rd GARRISON, NY 10524	13-2662222	501(C)(3)	106,980		N/A	N/A	Research
RUSH UNIVERSITY MEDICAL CENTER 1700 W VAN BUREN CHICAGO, IL 60612	36-2174823	501(C)(3)	103,769		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF FLORIDA PO BOX 113001 GAINESVILLE, FL 326113001	59-6002052	501(C)(3)	96,832		N/A	N/A	Research
LITTLE SISTERS OF THE ASSUMPTION 333 EAST 115TH St NEW YORK, NY 10029	13-2867881	501(C)(3)	95,948		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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HACKENSACK UNIVERSITY MEDICAL CENTER 30 PROSPECT Ave HACKENSACK, NJ 07601	22-1487576	501(C)(3)	95,648		N/A	N/A	Research
UNIVERSITY CORP FOR ATMOSPHERIC RESEARCH P O BOX 3000 BOULDER, CO 803073000	84-0412668	501(C)(3)	94,654		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MCLEAN HOSPITAL CORPORATION 115 MILL St BELMONT, MA 024789106	04-2697981	501(C)(3)	93,822		N/A	N/A	Research
BARNARD COLLEGE 3009 BROADWAY NEW YORK, NY 10027	13-1628149	501(C)(3)	156,147		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COLD SPRING HARBOR LABORATORY 1 BUNGTOWN Rd COLD SPRING HAR, NY 11724	11-2013303	501(C)(3)	88,693		N/A	N/A	Research
RHODE ISLAND HOSPITAL 593 EDDY St PROVIDENCE, RI 02903	05-0258954	501(C)(3)	87,285		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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NEW YORK STEM CELL FOUNDATION 619 W 54TH St NEW YORK, NY 10019	20-2905531	501(C)(3)	133,156		N/A	N/A	Research
WAYNE STATE UNIV PO BOX 02788 DETROIT, MI 48202	38-6028429	501(C)(3)	81,964		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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MICHIGAN STATE UNIVERSITY 426 AUDITORIUM RD EAST LANSING, MI 48854	38-6005984	501(C)(3)	81,941		N/A	N/A	Research
CITY COLLEGE OF NY 138TH ST CONVENT NEW YORK, NY 10031	13-6000565	501(C)(3)	80,349		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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UNIVERSITY OF WISCONSIN SYSTEM 780 REGENT ST MILWAUKEE, WI 53278	39-1805963	501(C)(3)	78,179		N/A	N/A	Research
UNIVERSITY OF TEXAS HSC HOUSTON 7000 FANNIN HOUSTON, TX 77030	74-1761309	501(C)(3)	77,688		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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TEXAS BIOMEDICAL RESEARCH INSTITUTE PO BOX 760549 SAN ANTONIO, TX 78245	74-1109630	501(C)(3)	77,212		N/A	N/A	Research
VANDERBILT UNIVERSITY 2301 Vanderbilt Pl NASHVILLE, TN 37240	62-0476822	501(C)(3)	75,203		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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COOK FOR YOUR LIFE PO BOX 1121 NEW YORK, NY 10159	26-0525634	501(C)(3)	74,969		N/A	N/A	Research
ECOHEALTH ALLIANCE 460 W 34TH St NEW YORK, NY 10001	31-1726494	501(C)(3)	73,829		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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TGEN 445 N FIFTH St PHOENIX, AZ 85004	75-3065445	501(C)(3)	73,636		N/A	N/A	Research
MCLAUGHLIN RESEARCH INSTITUTE 1520 23RD ST S GREAT FALLS, MT 59405	81-0459235	501(C)(3)	72,836		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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UNIVERSITY OF MARYLAND FOUNDATION INC 3300 METZEROTT Rd ADELPHI, MD 20783	52-1125663	501(C)(3)	72,249		N/A	N/A	Research
UNIVERSITY OF KANSAS 2385 IRVING HILL RD LAWRENCE, KS 66044	48-0680117	501(C)(3)	69,529		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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George Mason University 4400 UNIVERSITY DRIVE FAIRFAX, VA 22030	54-0836354	501(C)(3)	66,650		N/A	N/A	Research
CHILDRENS HOSPITAL MEDICAL CENTER 3333 Burnet Ave CINCINNATI, OH 45229	31-0833936	501(C)(3)	66,328		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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UNIVERSITY OF SOUTHERN CALIFORNIA 3551 Trousdale Pkwy LOS ANGELES, CA 90089	95-1642394	501(C)(3)	65,924		N/A	N/A	Research
STATE OF CO SCH OF MINES PO BOX 911911 DENVER, CO 80291	84-6000551	501(C)(3)	65,421		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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TEMPLE UNIVERSITY 1852 N 10th St PHILADELPHIA, PA 19122	23-1365971	501(C)(3)	62,926		N/A	N/A	Research
CALLEN-LORDE COMMUNITY HEALTH CENTER 356 W 18TH St NEW YORK, NY 10011	13-3409680	501(C)(3)	62,778		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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OCHSNER CLINIC FOUNDATION 1514 JEFFERSON HIGHWAY JEFFERSON, LA 70121	72-0502505	501(C)(3)	62,659		N/A	N/A	Research
LA JOLLA INSTITUTE FOR ALLERGY 9420 ATHENA CIRCLE LA JOLLA, CA 92037	33-0328688	501(C)(3)	62,500		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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UNIVERSITY OF GEORGIA 310 EAST CAMPUS Rd ATHENS, GA 30602	58-1353149	501(C)(3)	62,421		N/A	N/A	Research
UNIVERSITY OF TEXAS SOUTHWESTERN PO BOX 841765 DALLAS, TX 75284	75-6002868	501(C)(3)	62,413		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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ROCHESTER INSTITUTE OF TECHNOLOGY 116 Lomb Memorial Dr ROCHESTER, NY 14623	16-0743140	501(C)(3)	62,206		N/A	N/A	Research
NATIONAL BUREAU OF ECONOMIC RESEARCH INC 1050 MASSACHUSETTS AVE CAMBRIDGE, MA 02138	13-1641075	501(C)(3)	62,091		N/A	N/A	Research

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(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIV OF NORTH CAROLINA AT CHAPEL HILL PO BOX 402420 ATLANTA, GA 303842420	94-2984524	501(C)(3)	61,436		N/A	N/A	Research
J DAVID GLADSTONE INSTITUTES 1650 OWENS St SAN FRANCISCO, CA 941582261	23-7203666	501(C)(3)	59,905		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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HUGO W MOSER RESEARCH INSTITUTE 707 NORTH BRdWAY BALTIMORE, MD 21205	52-1524967	501(C)(3)	59,893		N/A	N/A	Research
TRUSTEES OF DARTMOUTH COLLEGE 6066 Development Office HANOVER, NH 03755	02-0222111	501(C)(3)	59,065		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Schepens Eye Research Institute 20 STANIFORD St BOSTON, MA 02114	04-2129889	501(C)(3)	57,743		N/A	N/A	Research
PUBLIC HEALTH FOUNDATION ENTERPRISES INC 13300 X RDS PKWY N CTY OF INDSTRY, CA 91746	95-2557063	501(C)(3)	57,143		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NATIONAL DEV RESEARCH INSTITUTES INC 71 W 23RD St NEW YORK, NY 10010	23-7009089	501(C)(3)	54,128		N/A	N/A	Research
NYS ASSOCIATION OF COUNTY HEALTH OFFICIALS ONE UNITED WAY ALBANY, NY 12205	14-1747742	501(C)(3)	52,165		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SAN JOSE STATE UNIVERSITY 210 N FOURTH ST SAN JOSE, CA 95112	94-6017638	501(C)(3)	51,980		N/A	N/A	Research
UNIVERSITY OF MAINE 5717 CORBETT HALL ORONO, ME 04469	01-6000769	501(C)(3)	51,693		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF NEBRASKA MEDICAL CENTER 3835 Holdrege St LINCOLN, NE 68503	47-0049123	501(C)(3)	51,577		N/A	N/A	Research
CASA COLUMBIA 633 THIRD Ave NEW YORK, NY 10017	52-1736502	501(C)(3)	51,081		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
RESEARCH TRIANGLE INSTITUTE RTI PO BOX 900002 RALEIGH, NC 276759000	56-0686338	501(C)(3)	50,400		N/A	N/A	Research
HEALTHPARTNERS INSTITUTE FOR EDUCATION 8170 33RD Ave SOUTH BLOOMINGTON, MN 55425	41-1670163	501(C)(3)	48,319		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SEATTLE INST FOR BIOMED AND CLINICAL RSCH 1660 S COLUMBIAN WAY SEATTLE, WA 98108	91-1452438	501(C)(3)	48,214		N/A	N/A	Research
NEW YORK ACADEMY OF MEDICINE 1216 FIFTH Ave NEW YORK, NY 10029	13-1656674	501(C)(3)	63,082		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
OAK RIDGE ASSOCIATED UNIVERSITIES INC PO BOX 117 OAK RIDGE, TN 378310117	62-0476816	501(C)(3)	47,006		N/A	N/A	Research
BOARD OF TRUSTEES OF SOUTHERN ILLINOIS	37-6005961	501(C)(3)	46,391		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MONTEFIORE MEDICAL CENTER 111 EAST 210TH St BRONX, NY 10467	13-1740114	501(C)(3)	46,168		N/A	N/A	Research
REGENTS OF THE UNIVERSITY OF CALIFORNIA 405 HILGARD Ave LOS ANGELES, CA 900959000	94-3067788	501(C)(3)	45,608		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
LOMA LINDA UNIVERSITY 24887 TAYLOR St LOMA LINDA, CA 92350	95-1816009	501(C)(3)	43,831		N/A	N/A	Research
PENN STATE MILTON S HERSHEY MEDICAL 500 UNIVERSITY DRIVE HERSHEY, PA 17033	47-3769205	501(C)(3)	43,038		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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OKLAHOMA STATE UNIVERSITY PO BOX 645 STILLWATER, OK 74076	73-6097060	501(C)(3)	41,339		N/A	N/A	Research
BIGELOW LABORATORY FOR OCEAN SCIENCES 60 BIGELOW DR E BOOTHBAY, ME 04544	01-6006001	501(C)(3)	38,359		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
METHODIST NEUROLOGICAL INSTITUTE PO BOX 4805 HOUSTON, TX 772104805	87-0721923	501(C)(3)	37,894		N/A	N/A	Research
FUNDACONCIENCIA INC 3785 NW 62 Ave Doral, FL 33166	27-0824212	501(C)(3)	37,240		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NATURAL RESOURCES DEFENSE COUNCIL 40 W 20TH St NEW YORK, NY 10011	13-2654926	501(C)(3)	35,722		N/A	N/A	Research
CHILD CARE COUNCIL OF DUTCHESS 70 OVEROCKER Rd POUGHKEEPSIE, NY 12603	14-1641361	501(C)(3)	35,000		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
INTERNATIONAL FOOD POLICY RESEARCH 1201 I St NW WASHINGTON, DC 20005	52-1041632	501(C)(3)	34,153		N/A	N/A	Research
WINTHROP UNIVERSITY HOSPITAL 259 1ST ST MINEOLA, NY 11501	11-1633486	501(C)(3)	33,821		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NORTHWEST ARKANSAS CHILD CARE RESOURCE 614 E EMMA AVE SPRINGDALE, AR 72764	71-0780981	501(C)(3)	33,542		N/A	N/A	Research
CHILD MIND INSTITUTE 445 PARK Ave 2ND FLR NEW YORK, NY 10022	80-0478843	501(C)(3)	33,434		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HARVARD PILGRIM HEALTH CARE INC PO BOX 3672 BOSTON, MA 022413672	04-2452600	501(C)(3)	32,475		N/A	N/A	Research
WESTERN WASHINGTON UNIVERSITY 516 HIGH St BELLINGHAM, WA 982259004	91-6000562	501(C)(3)	32,404		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF THE VIRGIN ISLANDS	66-0432514	501(C)(3)	31,119		N/A	N/A	Research
SEATTLE CHILDRENS HOSPITAL SEATTLE CHLDRNS RSCH INS SEATTLE, WA 98124	91-0564748	501(C)(3)	30,117		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HEBREW REHABILITATION CENTER 1200 CENTRE St BOSTON, MA 02131	04-2104298	501(C)(3)	27,906		N/A	N/A	Research
NEW YORK HOSPITAL OF QUEENS 56-45 MAIN St FLUSHING, NY 11355	11-1839362	501(C)(3)	27,824		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MAYO CLINIC IN JACKSONVILLE 4500 SAN PABLO Rd JACKSONVILLE, FL 32224	59-3337028	501(C)(3)	26,830		N/A	N/A	Research
NORTH CAROLINA STATE UNIVERSITY BOX 7214 RALEIGH, NC 276957214	56-6000756	501(C)(3)	26,714		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Beth Israel Deaconess Medical Center 330 BROOKLINE Ave BOSTON, MA 02215	04-2103881	501(C)(3)	26,539		N/A	N/A	Research
CANCER RESEARCH AND BIOSTATISTICS 1730 MINOR AVE SEATTLE, WA 98101	91-1828539	501(C)(3)	26,368		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
International Rescue Committee 122 E 42ND ST NEW YORK, NY 10168	13-5660870	501(C)(3)	25,320		N/A	N/A	Research
TRUSTEES OF TUFTS COLLEGE Ballou Hall MEDFORD, MA 02155	04-2103634	501(C)(3)	24,596		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CAMBRIDGE CLIMATE INSTITUTE 22 MACARTHUR ST SOMERVILLE, MA 02145	81-2076081	501(C)(3)	24,145		N/A	N/A	Research
SERVICES AND ADVOCACY FOR GAY LESBIAN 305 7TH Ave 15TH FLOOR NEW YORK, NY 10001	13-2947657	501(C)(3)	34,154		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF VIRGINIA 1001 N EMMET ST E CHARLOTTESVILLE, VA 22904	54-6046419	501(C)(3)	23,029		N/A	N/A	Research
TEXAS A&M UNIVERSITY- CORPUS CHRISTI 6300 OCEAN DR CORPUS CHRISTI, TX 78412	74-6000541	501(C)(3)	22,717		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY ENTERPRISES INC 6000 J St SACRAMENTO, CA 958196063	94-1337638	501(C)(3)	22,271		N/A	N/A	Research
UNIVERSITY OF SOUTH CAROLINA 1600 HAMPTON St COLUMBIA, SC 29208	57-0967350	501(C)(3)	21,432		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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MEDICAL COLLEGE OF WISCONSIN 8701 WATERTOWN PLANK Rd MILWAUKEE, WI 53226	39-0806261	501(C)(3)	20,906		N/A	N/A	Research
REGENTS OF THE UNI OF CA AT RIVERSIDE 900 UNIVERSITY Ave RIVERSIDE, CA 92521	95-6006142	501(C)(3)	20,233		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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UNIVERSITY OF NEW HAMPSHIRE OFF OF SPNSD RSCH RM 109 DURHAM, NH 03824	02-6000937	501(C)(3)	20,093		N/A	N/A	Research
CHILDRENS NATIONAL MEDICAL CENTER 111 MICHIGAN Ave NW WASHINGTON, DC 20010	52-1640403	501(C)(3)	19,485		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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THE POPULATION COUNCIL INC 1 DAG HAMMARSKJOLD PLAZA NEW YORK, NY 10017	13-1687001	501(C)(3)	19,109		N/A	N/A	Research
NEW YORK GENOME CTR INC 101 Ave OF THE AMERICAS NEW YORK, NY 10013	80-0631734	501(C)(3)	19,042		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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THE VILLAGE SOUTH INC 169 E FLAGLER St MIAMI, FL 33131	59-1452736	501(C)(3)	18,444		N/A	N/A	Research
UNIVERSITY OF TENNESSEE 910 MADISON Ave MEMPHIS, TN 38163	62-6001636	501(C)(3)	17,582		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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HOUSING AUTHORITY OF FRESNO COUNTY 1331 FULTON MALL FRESNO, CA 937211630	77-0301242	501(C)(3)	17,074		N/A	N/A	Research
UTAH STATE UNIVERSITY 1590 Old Main Hill LOGAN, UT 84322	87-6000528	501(C)(3)	16,262		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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FENWAY COMMUNITY HEALTH CTR 1340 BOYLSTON St BOSTON, MA 02215	04-2510564	501(C)(3)	15,522		N/A	N/A	Research
UNIVERSITY OF WYOMING 1000 E UNIVERSITY Ave LARAMIE, WY 82071	83-6000331	501(C)(3)	14,398		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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CHESTNUT HEALTH SYSTEMS 1003 MLK DR BLOOMINGTON, IL 61701	37-0964629	501(C)(3)	14,326		N/A	N/A	Research
NEW MEXICO INSTITUTE OF MINING 801 LEROY PLACE SOCORRO, NM 87801	85-6000411	501(C)(3)	13,321		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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CSU BAKERSFIELD AUXILLARY FOR SPA 9001 STODALE HWY BAKERSFIELD, CA 93311	32-0291662	501(C)(3)	13,231		N/A	N/A	Research
THE UNIVERSITY OF SOUTHERN MISSISSIPPI 118 COLLEGE DR HATTIESBURG, MS 39406	64-6000818	501(C)(3)	13,133		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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VIRTUA HEALTH INC P O BOX 789192 PHILADELPHIA, PA 191789192	22-3524939	501(C)(3)	13,035		N/A	N/A	Research
OREGON HEALTH AND SCIENCES UNIV 0690 SW BANCROFT St PORTLAND, OR 97239	93-1176109	501(C)(3)	12,796		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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UNIVERSITY OF NOTRE DAME 836A GRACE HALL RSPA NOTRE DAME, IN 46556	35-0868188	501(C)(3)	12,049		N/A	N/A	Research
NORTHERN ILLINOIS UNIVERSITY LOWDEN HALL- 203 DEKALB, IL 60115	36-6008480	501(C)(3)	11,996		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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UNIVERSITIES SPACE RESEARCH ASSOCIATION 7178 COLUMBIA GATEWAY DR COLUMBIA, MD 21046	52-0892064	501(C)(3)	11,806		N/A	N/A	Research
ALBANY COLLEGE OF PHARMACY AND HEALTH 106 NEW SCOTLAND Ave ALBANY, NY 12208	14-1423161	501(C)(3)	11,342		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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LEHIGH UNIVERSITY 526 BROADHEAD Ave BETHLEHEM, PA 18015	24-0795445	501(C)(3)	10,512		N/A	N/A	Research
BRONX RIVER ALLIANCE INC ONE BRONX RIVER PARKWAY BRONX, NY 10462	75-3001587	501(C)(3)	10,161		N/A	N/A	Research

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NORTHERN MANHATTAN IMPROVEMENT 45 WADSWORTH Ave NEW YORK, NY 10033	13-2972415	501(C)(3)	16,856		N/A	N/A	Research
PUBLISEZ INC 27 PARK PLACE BROOKLYN, NY 11217	27-0923093	501(C)(3)	10,000		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE UNIVERSITY OF ALABAMA BOX 870135 TUSCALOOSA, AL 354870135	63-6001138	501(C)(3)	9,814		N/A	N/A	Research
WEST VIRGINIA UNIVERSITY RESEARCH CORPOR PO BOX 6002 MORGANTOWN, WV 26506	55-0665758	501(C)(3)	9,393		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CLEVELAND CLINIC PO Box 931517 CLEVALAND, OH 44193	34-0714585	501(C)(3)	9,335		N/A	N/A	Research
BURKE MED RES INST 785 MAMARONECK AVE WHITE PLAINS, NY 10605	13-1739937	501(C)(3)	8,804		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CANCER PREVENTION INSTITUTE OF CALIFORNIA 2201 WALNUT AVE FREEMONT, CA 945382334	23-7427232	501(C)(3)	8,680		N/A	N/A	Research
ALBERT EINSTEIN COLLEGE OF MEDICINE 1300 MORRIS PARK Ave BRONX, NY 10461	13-1624225	501(C)(3)	7,696		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NY HALL OF SCIENCE 47-01 111TH St QUEENS, NY 11368	11-2104059	501(C)(3)	7,474		N/A	N/A	Research
GEISINGER HEALTH SYSTEM 100 NORTH ACADEMY Ave DANVILLE, PA 17822	23-6291113	501(C)(3)	7,204		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF RHODE ISLAND 70 LOWER COLLEGE Rd KINGSTON, RI 02881	05-6000522	501(C)(3)	6,000		N/A	N/A	Research
WESTERN MICHIGAN UNIVERSITY 1903 W MICHIGAN AVE KALAMAZOO, MI 49008	38-6007327	501(C)(3)	5,565		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
KESSLER FOUNDATION 300 EXECUTIVE DR W ORANGE, NJ 07052	31-1562134	501(C)(3)	5,553		N/A	N/A	Research
UNIVERSITY OF TEXAS AT DALLAS 800 W CAMPBELL RD RICHARDSON, TX 75080	75-1305566	501(C)(3)	5,534		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE UNIVERSITY OF MEMPHIS 3825 DESOTO Ave MEMPHIS, TN 38152	62-6048540	501(C)(3)	5,528		N/A	N/A	Research
NEW YORK PRESBYTERIAN HOSPITAL 525 EAST 68 St BOX 156 NEW YORK, NY 10021	13-3957095	501(C)(3)	31,412		N/A	N/A	Research

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LOYOLA UNIVERSITY OF CHICAGO 2160 S 1ST Ave MAYWOOD, IL 60153	36-1408475	501(C)(3)	5,100		N/A	N/A	Research
FORSYTH INST 245 FIRST St CAMBRIDGE, MA 02142	04-2104230	501(C)(3)	5,019		N/A	N/A	Research

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GATEWAY BEHAVIORAL HEALTH SERVICES 600 COASTAL VILLAGE DR BRUNSWICK, GA 31520	26-1406886	Government	490,349		N/A	N/A	Research
DEPARTMENTS OF NYS STATE 42-09 28TH ST LONG ISLAND CITY, NY 11101	13-6400434	Government	180,196		N/A	N/A	Research

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KOTZEBUE IRA COUNCIL PO BOX 296 KOTZEBUE, AK 99752	92-0060128	Government	118,461		N/A	N/A	Research
DEPARTMENT OF THE INTERIOR MS 271 NATIONAL CTR RESTON, VA 20192	53-0196958	Government	48,912		N/A	N/A	Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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PUERTO RICO DEPARTMENT OF HEALTH	90-0002462	Government	45,923		N/A	N/A	Research
Arts and Minds 404 W 116th St NEW YORK, NY 10027	27-3733512	501(C)(3)	5,500		N/A	N/A	Program Services

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Bloomingdale School of Music 323 W 108th St NEW YORK, NY 10025	13-2562192	501(C)(3)	6,500		N/A	N/A	Financial Aid
Broadway Presbyterian Church Nursery School 601 W 114th St NEW YORK, NY 10025	13-1623916	501(C)(3)	10,000		N/A	N/A	Scholarship/Fin Aid

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Childrens Lrng Ctr of Morningside Heights 90 La Salle St NEW YORK, NY 10025	13-4111840	501(C)(3)	10,000		N/A	N/A	Scholarship/Fin Aid
Cathedral Church of St John the Divine 1047 Amsterdam Ave NEW YORK, NY 10025	13-1623934	501(C)(3)	23,875		N/A	N/A	Program Services

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Community Impact Inc 2980 Broadway NEW YORK, NY 10027	13-3386904	501(C)(3)	8,000		N/A	N/A	Initiative Program
Figure Skating In Harlem 361 W 125th St NEW YORK, NY 10027	13-3945168	501(C)(3)	7,500		N/A	N/A	Program Services

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Friends of the Children NY 204A W 115th St NEW YORK, NY 10026	06-1597902	501(C)(3)	6,000		N/A	N/A	Program Services
Graham Windham One Pierrepont Plaza Brooklyn, NY 11201	13-2926426	501(C)(3)	8,000		N/A	N/A	Scholarship/Fin Aid

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
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Harlem Academy 1330 Fifth Ave NEW YORK, NY 10026	56-2454573	501(C)(3)	9,000		N/A	N/A	Scholarship/Fin Aid
Harlem Lacrosse and Leadership Corporation PO Box 708 NEW YORK, NY 10030	45-1634118	501(C)(3)	5,500		N/A	N/A	Program Services

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Legal Outreach Inc 36-14 35th St Long Island City, NY 11106	13-3214627	501(C)(3)	6,000		N/A	N/A	Program Services
Lifeforce in Later Years Inc PO Box 250402 NEW YORK, NY 10025	80-0401075	501(C)(3)	25,500		N/A	N/A	Program Services

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Purple Circle Day Care 251 W 100th St NEW YORK, NY 10025	13-3073319	501(C)(3)	8,000		N/A	N/A	Scholarship/Fin Aid
Rev Linnette Williamson Memorial Park Assn 141 W 139th St NEW YORK, NY 10030	13-3861293	501(C)(3)	6,000		N/A	N/A	Program Services

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Samaritan Foundation Inc 138-02 Queens Boulevard Briarwood, NY 11435	11-2490500	501(C)(3)	7,500		N/A	N/A	Program Services
SNACK & Friends Inc 316 E 53rd St 2nd Fl New York, NY 10022	20-5934666	501(C)(3)	9,500		N/A	N/A	Scholarship/Fin Aid

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St Marys Episcopal Church 521 W 126th St NEW YORK, NY 10027	13-1624179	501(C)(3)	6,500		N/A	N/A	Program Services
The Family Annex 560 W 113th St NEW YORK, NY 10025	13-3083081	501(C)(3)	6,000		N/A	N/A	Program Services

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The Harlem Chamber Players 191 Claremont Ave NEW YORK, NY 10027	45-2160781	501(C)(3)	6,000		N/A	N/A	Program Services
The Reading Team 2090 ACP Jr Blvd New York, NY 10027	13-4125884	501(C)(3)	7,500		N/A	N/A	Scholarship/Fin Aid

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The Red Balloon Day Care Center Inc 560 Riverside Dr New York, NY 10027	13-2772534	501(C)(3)	10,000		N/A	N/A	Scholarship/Fin Aid
Top Honors Inc PO Box 7473 New York, NY 10116	75-3062601	501(C)(3)	10,000		N/A	N/A	Program Services

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Wendy Hilliard Gymnastics Foundation 550 W 155th St New York, NY 10025	13-3879321	501(C)(3)	10,000		N/A	N/A	Program Services
West Side Center for Community Life 263 W 86th St New York, NY 10024	71-0908184	501(C)(3)	12,000		N/A	N/A	Program Services

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AMERICAN ACADEMY OF NURSING 1000 VERMONT AVE NW WASHINGTON, DC 20005	52-2213870	501(C)(3)	12,000		N/A	N/A	Program Services
AMERICAN CANCER SOCIETY PO BOX 22718 OKLAHOMA CITY, OK 731231718	16-0743902	501(C)(3)	27,500		N/A	N/A	Program Services

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AMERICAN HEART ASSOCIATION 7272 Greenville Ave Dallas, TX 75231	13-5613797	501(C)(3)	15,000		N/A	N/A	Program Services
APPEAL OF CONSCIENCE FOUNDATION 119 W 57th St New York, NY 10019	52-6062870	501(C)(3)	10,000		N/A	N/A	Program Services

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ARMORY FOUNDATION 216 FORT WASHINGTON AVE NEW YORK, NY 10032	13-3680286	501(C)(3)	6,100		N/A	N/A	Program Services
BEHIND THE BOOK INC 216 W 135th St New York, NY 10030	32-0086097	501(C)(3)	14,000		N/A	N/A	Program Services

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BOOMER ESIASON FOUNDATION 483 10th Ave New York, NY 10018	11-3142753	501(C)(3)	45,500		N/A	N/A	Program Services
BREAST CANCER RSCH FOUND 28 W 44th St New York, NY 10036	13-3727250	501(C)(3)	7,200		N/A	N/A	Program Services

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BROADWAY MALL ASSN 2095 Broadway New York, NY 10023	13-3419786	501(C)(3)	63,189		N/A	N/A	Program Services
CENTER FOR SAFETY AND CHANGE INC 9 Johnsons Ln New City, NY 10956	13-2989233	501(C)(3)	15,000		N/A	N/A	Program Services

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CHRIST CHURCH 1194 SHERMAN AVE BRONX, NY 10456	99-99999999	501(C)(3)	12,366		N/A	N/A	Program Services
CITY HARVEST INC 6 East 32nd St New York, NY 10016	13-3170676	501(C)(3)	17,045		N/A	N/A	Program Services

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COLOR OF CHANGE EDUCATION FUND 1714 FRANKLIN ST OAKLAND, CA 94612	45-5569879	501(C)(3)	7,500		N/A	N/A	Program Services
COLUMBIA BARNARD HILLEL INC 606 W 115th St New York, NY 10025	23-7077182	501(C)(3)	10,000		N/A	N/A	Program Services

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COLUMBIA GREENHOUSE NURSERY SCHOOL 404 W 116TH ST NEW YORK, NY 10027	13-3194659	501(C)(3)	9,528		N/A	N/A	Program Services
COLUMBIA UNIV PRESS 136 S BROADWAY IRVINGTON, NY 10533	13-1623968	501(C)(3)	29,707		N/A	N/A	Program Services

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COMMUNITY AID & DEV CORP PEOPLE'S ADVOCACY PO BOX 361270 DECATUR, GA 30036	95-3402456	501(C)(3)	15,000		N/A	N/A	Program Services
COMMUNITY COALITION FOR SUBSTCE ABUSE PREV 8101 S VERMONT Ave LOS ANGELES, CA 90043	95-4298811	501(C)(3)	7,500		N/A	N/A	Program Services

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CONGENITAL HEART SURGEONS SOCIETY 500 CUMMINGS CTR BEVERY, MA 01915	20-0198863	501(C)(3)	10,000		N/A	N/A	Program Services
CRUTCHES 4 KIDS INC 459 COLUMBUS Ave NEW YORK, NY 10024	27-1039186	501(C)(3)	20,000		N/A	N/A	Program Services

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Dominican Womens Development Center 519 W 189TH St NEW YORK, NY 10040	13-3593885	501(C)(3)	6,000		N/A	N/A	Program Services
FRIENDS OF COLUMBIA SECONDARY SCHOOL FOR MATH SCIE 603 W 115TH ST NEW YORK, NY 10025	26-1146491	501(C)(3)	501,560		N/A	N/A	Program Services

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GEORGIA HOSPITAL ASSOCIATION 1675 TERRELL MILL ROAD MARIETTA, GA 30067	58-0612274	501(C)(3)	7,500		N/A	N/A	Program Services
HARLEM CHAMBER PLAYERS INC 191 CLAREMONT Ave NEW YORK, NY 10027	45-2160781	501(C)(3)	5,500		N/A	N/A	Program Services

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HARLEM CONGREGATIONS FOR COMMUNITY IMPRVMT 2854 FREDRICK DOUGLAS BLVD NEW YORK, NY 10039	13-3516262	501(C)(3)	10,000		N/A	N/A	Program Services
HARLEM STAGE 150 CONVENT Ave New York, NY 10031	13-3166308	501(C)(3)	7,500		N/A	N/A	Program Services

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HELPING EDU TO ADV THE RIGHTS OF THE DEAF PO BOX 1160 WASHINGTON, DC 20013	27-5032142	501(C)(3)	7,500		N/A	N/A	Program Services
HILLEL FNDT UNIVERSITY OF WISCONSIN INC 611 LANGDON St MADISON, WI 53703	39-2035142	501(C)(3)	10,000		N/A	N/A	Program Services

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HUDSON VALLEY HOSPITAL CENTER 1980 CROMPOND RD CORTLANDT MANOR, NY 10567	13-1740120	501(C)(3)	6,000		N/A	N/A	Program Services
INTERNATIONAL SOCIETY FOR DEVELOPMENT PSYCHOBIOLOG 297 KINDERKAMACK RD ORADELL, NJ 07649	64-0954919	501(C)(3)	10,500		N/A	N/A	Program Services

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JEWISH FEDERATION OF ROCKLAND COUNTY 450 W NYACK RD W NYACK, NY 10994	13-3268920	501(C)(3)	75,000		N/A	N/A	Program Services
JUVENILE DIABETES RSCH FNDT 26 BROADWAY15TH FLOOR NEW YORK, NY 10004	23-1907729	501(C)(3)	10,500		N/A	N/A	Program Services

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LINCOLN CNTR 70 LINCOLN CTR PLAZA NEW YORK, NY 10023	13-1847137	501(C)(3)	34,210		N/A	N/A	Program Services
LOUIS ARMSTRONG HOUSE MUSEUM 34-56 107TH St CORONA, NY 11368	26-4178283	501(C)(3)	25,000		N/A	N/A	Program Services

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LUPUS RESEARCH ALLIANCE INC 275 MADISON Ave NEW YORK, NY 10016	58-2492929	501(C)(3)	50,000		N/A	N/A	Program Services
MAYORS FUND TO ADVANCE NYC 253 BROADWAY NEW YORK, NY 10007	13-3783906	501(C)(3)	25,000		N/A	N/A	Program Services

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METROPOLITAN OPERA ASSOCIATION INC 30 LINCOLN CENTER PLZ NEW YORK, NY 10133	13-1624087	501(C)(3)	25,000		N/A	N/A	Program Services
MIJENTE SUPPORT COMMITTEE 1229 E EDGEMONT Ave PHOENIX, AZ 85006	82-1711382	501(C)(3)	7,500		N/A	N/A	Program Services

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MUSCULAR DYSTROPHY ASSOCIATION INC 11 EAST 44TH St NEW YORK, NY 10017	13-1665552	501(C)(3)	10,000		N/A	N/A	Program Services
MUSEUM OF ARTS AND DESIGN 2 COLUMBUS CIR NEW YORK, NY 10019	13-3585408	501(C)(3)	155,000		N/A	N/A	Program Services

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NAFSA PO BOX 79159 BALTIMORE, MD 21279	13-1878953	501(C)(3)	20,000		N/A	N/A	Program Services
NATIONAL MEDICAL FELLOWSHIP 347 5TH AVE NEW YORK, NY 10016	36-2125449	501(C)(3)	10,000		N/A	N/A	Program Services

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NATIONAL PANCREAS FOUNDATION 1460 BROADWAY 9TH FLOOR NEW YORK, NY 10036	23-2935929	501(C)(3)	10,000		N/A	N/A	Program Services
NEO PHILANTHROPY INC 45 W 36TH ST NEW YORK, NY 10018	13-3191113	501(C)(3)	7,500		N/A	N/A	Program Services

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NEW YORK PRESBYTERIAN FUND INC 654 W 170TH St NEW YORK, NY 10032	13-3160356	501(C)(3)	73,750		N/A	N/A	Program Services
NEW YORK UNIVERSITY MEDICAL CENTER 520 1ST Ave NEW YORK, NY 10016	13-5562308	501(C)(3)	33,332		N/A	N/A	Program Services

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NEWPORT FESTIVALS FOUNDATION INC 74 MARTIN St REAR ESSEX, MA 01929	27-4099544	501(C)(3)	47,500		N/A	N/A	Program Services
N MANHATTAN ARTS ALLIANCE 5030 BROADWAY NEW YORK, NY 10034	26-1997496	501(C)(3)	10,000		N/A	N/A	Program Services

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NY PUBLIC RADIO 160 VARICK ST NEW YORK, NY 10013	13-3015230	501(C)(3)	28,000		N/A	N/A	Program Services
OHLONE COLLEGE FOUNDATION 43600 MISSION BLVD FREMONT, CA 94539	77-0136497	501(C)(3)	25,000		N/A	N/A	Program Services

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ONE TO WORLD 307 7TH AVE NEW YORK, NY 10001	13-3179151	501(C)(3)	10,000		N/A	N/A	Program Services
OREF 9400 W HIGGINS ROAD ROSEMONT, IL 60018	36-6009467	501(C)(3)	28,500		N/A	N/A	Program Services

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PROJECT ALS 801 RIVERSIDE DRIVE NEW YORK, NY 10032	13-4019464	501(C)(3)	10,000		N/A	N/A	Program Services
REBOOT STORIES LLC 734 NORTH CHUBB DRIVE DOYLESTOWN, PA 18901	45-4117052	501(C)(3)	25,000		N/A	N/A	Program Services

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
RICHARD TUCKER MUSIC FOUNDATION 1790 BROADWAY NEW YORK, NY 10019	23-7431029	501(C)(3)	15,000		N/A	N/A	Program Services
ROCKLAND CENTER FOR THE ARTS 27 SOUTH GREENBUSH ROAD W NYACK, NY 10994	13-1760107	501(C)(3)	50,000		N/A	N/A	Program Services

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SOUTHERNERS ON NEW GROUND PO BOX 11250 ATLANTA, GA 30310	61-1274170	501(C)(3)	15,000		N/A	N/A	Program Services
THE ARNOLD P GOLD FOUNDATION 619 E PALISADE AV ENGLEWOOD CLIFFS, NJ 07632	22-3052098	501(C)(3)	37,500		N/A	N/A	Program Services

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE MARFAN FOUNDATION INC 22 MANHASSET AVE PT WASHINGTON, NY 11050	52-1265361	501(C)(3)	25,000		N/A	N/A	Program Services
THE ONE LOVE FOUNDATION IN HONOR OF YEARDLEY LOVE 44 PONDFIELD ROAD BRONXVILLE, NY 10708	27-2904497	501(C)(3)	100,000		N/A	N/A	Program Services

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE PRAXIS PROJECT INC 7731 ALASKA AVE NW WASHINGTON, DC 20012	30-0044814	501(C)(3)	15,000		N/A	N/A	Program Services
THE YOUNG MENS AND YOUNG WOMENS HEBREW ASN 1395 LEXINGTON Ave NEW YORK, NY 10128	13-1624229	501(C)(3)	55,000		N/A	N/A	Program Services

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF WISCONSIN FOUNDATION 1848 UNIVERSITY Ave MADISON, WI 53726	39-0743975	501(C)(3)	25,000		N/A	N/A	Program Services
Volunteer Counseling Services INC 77 SOUTH MAIN St NEW CITY, NY 10956	13-2720369	501(C)(3)	100,000		N/A	N/A	Program Services

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
W HARLEM GROUP ASSISTANCE INC 1652 AMSTERDAM Ave NEW YORK, NY 10031	23-7169558	501(C)(3)	15,000		N/A	N/A	Program Services
WNET 825 EIGHTH Ave NEW YORK, NY 10019	26-2810489	501(C)(3)	25,000		N/A	N/A	Program Services

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
American Jewish Committee (AJC) 165 East 56th St New York, NY 10022	13-5563393	501(C)(3)	12,500		N/A	N/A	Program Services
Knight First Amendment Inst at CU 535 W 116 New York, NY 10027	81-4759386	501(C)(3)	1,000,000		N/A	N/A	Capital Contr

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Reid Hall 615 W 131ST ST MC 8741 NEW YORK, NY 10027	13-1611126	501(C)(3)	2,536,210		N/A	N/A	Capital Contr
Columbia University Health Care Inc 630 W 168TH St NEW YORK, NY 10032	13-3948652	501(C)(3)	5,023,508		N/A	N/A	Capital Contr

Form 990, Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.							
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COLUMBIA DOCTORS OF BERGEN COUNTY PC 615 W 131ST ST 3RD FL MC 8741 NEW YORK, NY 10027	47-3568473		62,043		N/A	N/A	Capital Contr
COLUMBIA DOCTORS OF NEW JERSEY 615 W 131ST ST 3RD FL MC 8741 NEW YORK, NY 10027	26-4364931		25,585		N/A	N/A	Capital Contr

Schedule J
(Form 990)

Compensation Information

OMB No 1545-0047

Department of the Treasury
Internal Revenue Service

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
▶ Attach to Form 990.
▶ Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

2017

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I Questions Regarding Compensation

	Yes	No									
1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. <table border="0"> <tr> <td><input checked="" type="checkbox"/> First-class or charter travel</td> <td><input checked="" type="checkbox"/> Housing allowance or residence for personal use</td> </tr> <tr> <td><input checked="" type="checkbox"/> Travel for companions</td> <td><input type="checkbox"/> Payments for business use of personal residence</td> </tr> <tr> <td><input type="checkbox"/> Tax indemnification and gross-up payments</td> <td><input type="checkbox"/> Health or social club dues or initiation fees</td> </tr> <tr> <td><input type="checkbox"/> Discretionary spending account</td> <td><input checked="" type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)</td> </tr> </table>	<input checked="" type="checkbox"/> First-class or charter travel	<input checked="" type="checkbox"/> Housing allowance or residence for personal use	<input checked="" type="checkbox"/> Travel for companions	<input type="checkbox"/> Payments for business use of personal residence	<input type="checkbox"/> Tax indemnification and gross-up payments	<input type="checkbox"/> Health or social club dues or initiation fees	<input type="checkbox"/> Discretionary spending account	<input checked="" type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)			
<input checked="" type="checkbox"/> First-class or charter travel	<input checked="" type="checkbox"/> Housing allowance or residence for personal use										
<input checked="" type="checkbox"/> Travel for companions	<input type="checkbox"/> Payments for business use of personal residence										
<input type="checkbox"/> Tax indemnification and gross-up payments	<input type="checkbox"/> Health or social club dues or initiation fees										
<input type="checkbox"/> Discretionary spending account	<input checked="" type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)										
b If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain.	1b Yes										
2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?	2 Yes										
3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III. <table border="0"> <tr> <td><input checked="" type="checkbox"/> Compensation committee</td> <td><input type="checkbox"/> Written employment contract</td> </tr> <tr> <td><input checked="" type="checkbox"/> Independent compensation consultant</td> <td><input checked="" type="checkbox"/> Compensation survey or study</td> </tr> <tr> <td><input checked="" type="checkbox"/> Form 990 of other organizations</td> <td><input checked="" type="checkbox"/> Approval by the board or compensation committee</td> </tr> </table>	<input checked="" type="checkbox"/> Compensation committee	<input type="checkbox"/> Written employment contract	<input checked="" type="checkbox"/> Independent compensation consultant	<input checked="" type="checkbox"/> Compensation survey or study	<input checked="" type="checkbox"/> Form 990 of other organizations	<input checked="" type="checkbox"/> Approval by the board or compensation committee					
<input checked="" type="checkbox"/> Compensation committee	<input type="checkbox"/> Written employment contract										
<input checked="" type="checkbox"/> Independent compensation consultant	<input checked="" type="checkbox"/> Compensation survey or study										
<input checked="" type="checkbox"/> Form 990 of other organizations	<input checked="" type="checkbox"/> Approval by the board or compensation committee										
4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization: <table border="0"> <tr> <td>a Receive a severance payment or change-of-control payment?</td> <td>4a</td> <td>No</td> </tr> <tr> <td>b Participate in, or receive payment from, a supplemental nonqualified retirement plan?</td> <td>4b</td> <td>No</td> </tr> <tr> <td>c Participate in, or receive payment from, an equity-based compensation arrangement?</td> <td>4c</td> <td>No</td> </tr> </table> If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.	a Receive a severance payment or change-of-control payment?	4a	No	b Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b	No	c Participate in, or receive payment from, an equity-based compensation arrangement?	4c	No		
a Receive a severance payment or change-of-control payment?	4a	No									
b Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b	No									
c Participate in, or receive payment from, an equity-based compensation arrangement?	4c	No									
Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.											
5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of: <table border="0"> <tr> <td>a The organization?</td> <td>5a</td> <td>Yes</td> </tr> <tr> <td>b Any related organization?</td> <td>5b</td> <td>No</td> </tr> </table> If "Yes," on line 5a or 5b, describe in Part III.	a The organization?	5a	Yes	b Any related organization?	5b	No					
a The organization?	5a	Yes									
b Any related organization?	5b	No									
6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of: <table border="0"> <tr> <td>a The organization?</td> <td>6a</td> <td>No</td> </tr> <tr> <td>b Any related organization?</td> <td>6b</td> <td>No</td> </tr> </table> If "Yes," on line 6a or 6b, describe in Part III.	a The organization?	6a	No	b Any related organization?	6b	No					
a The organization?	6a	No									
b Any related organization?	6b	No									
7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described in lines 5 and 6? If "Yes," describe in Part III.	7 Yes										
8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.	8	No									
9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	9										

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

See Additional Data Table

Schedule J (Form 990) 2017

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
SUPPLEMENTAL COMPENSATION INFORMATION	<p>NOTES TO PART I, LINE 1A FIRST CLASS OR CHARTER TRAVEL IN LIMITED CIRCUMSTANCES, CERTAIN INDIVIDUALS MAY TRAVEL FIRST CLASS WHEN THE TRAVEL IS SUBSTANTIATED AS A REASONABLE EXPENSE TO SUPPORT THE MISSION OF THE UNIVERSITY. ANY FIRST CLASS EXCEPTIONS TO THE UNIVERSITY'S POLICY ARE SUBJECT TO REVIEW AND APPROVAL. TRAVEL FOR COMPANIONS IN CERTAIN CIRCUMSTANCES, THE SPOUSE OF THE PRESIDENT OR OF ANOTHER OFFICER OR KEY EMPLOYEE MAY TRAVEL FOR UNIVERSITY PURPOSES. EXAMPLES OF SUCH TRAVEL INCLUDE ATTENDANCE AT FUNDRAISING OR ALUMNI EVENTS, AS WELL AS REPRESENTING THE UNIVERSITY AT AWARDS PRESENTATIONS OR OTHER CEREMONIES OR EVENTS. IN ACCORDANCE WITH APPLICABLE LEGAL STANDARDS, THE UNIVERSITY WILL PAY FOR SPOUSAL TRAVEL AS A REGULAR BUSINESS EXPENSE IF THE SPOUSAL TRAVEL SERVES A "BONA FIDE BUSINESS PURPOSE" OF THE UNIVERSITY. HOUSING ALLOWANCE OR RESIDENCE FOR PERSONAL USE/PERSONAL SERVICES AS A CONDITION OF EMPLOYMENT, THE PRESIDENT IS REQUIRED TO LIVE IN A HOME ON THE UNIVERSITY'S CAMPUS WHICH IS FURNISHED AND MAINTAINED AT THE UNIVERSITY'S EXPENSE. THE PRESIDENT'S HOUSING HAS BEEN VALUED AT \$408,706 AND IS REPORTED AS A NON-TAXABLE BENEFIT. THE UNIVERSITY ALSO PROVIDES A CAR AND DRIVER TO BE USED BY THE PRESIDENT IN CONNECTION WITH HIS DUTIES. THE PRESIDENT IS RESPONSIBLE FOR ANY PERSONAL USE OF THE HOUSEHOLD STAFF OR CAR AND DRIVER AND OTHER PERSONAL EXPENSES. PERSONAL SERVICES OTHER REPORTABLE COMPENSATION INCLUDES \$6,425 IMPUTED TO DR. GOLDMAN WITH RESPECT TO PERSONAL USE OF A CAR AND DRIVER PROVIDED BY THE UNIVERSITY (SEE PART I, COLUMN (B)(III)).</p> <p>NOTE TO PART I, LINE 5A DR. DAVID SILVERS' COMPENSATION IS COMPRISED OF BASE COMPENSATION AND INCENTIVE COMPENSATION DETERMINED FROM HIS PERFORMANCE OF, AND REVENUES FROM, CLINICAL DUTIES IN THE UNIVERSITY'S DERMATOPATHOLOGY PRACTICE WHERE HE IS THE DIRECTOR, AND IS SUBJECT TO A CAP FOR EACH ACADEMIC YEAR.</p> <p>NOTE TO PART I, LINE 7 NOTE TO PETER HOLLAND'S COMPENSATION THE ACTUAL AMOUNT EARNED DURING THE REPORTING PERIOD WAS \$4,057,106 FOR MR. HOLLAND. THE LARGER COMPENSATION FIGURE SHOWN IN COLUMN (E) INCLUDES DOUBLE REPORTING OF PREVIOUSLY REPORTED DEFERRED COMPENSATION THAT VESTED AND WAS PAID DURING THE REPORTING PERIOD. THE ACTUAL AMOUNT EARNED BY MR. HOLLAND IS CALCULATED BY SUBTRACTING COLUMN (F) FROM COLUMN (E). PAYMENT OF MR. HOLLAND'S PERFORMANCE BASED BONUS IS, IN EACH CASE, DEFERRED SUBJECT TO VESTING UPON CONTINUING SERVICE TO THE UNIVERSITY. COLUMNS (C) AND (E) INCLUDE DEFERRED COMPENSATION AWARDED DURING THE REPORTING PERIOD BUT SUBJECT TO FUTURE VESTING.</p> <p>NOTE TO LEE BOLLINGER'S COMPENSATION AMOUNTS FOR PRESIDENT BOLLINGER IN COLUMN (B)(II) INCLUDE PAYMENTS UNDER A PERFORMANCE-BASED BONUS AWARD.</p> <p>NOTE TO DR. GOLDMAN'S COMPENSATION THE UNIVERSITY HAS AGREED TO PROVIDE DR. GOLDMAN WITH SUPPLEMENTAL RETIREMENT INCOME BASED ON CUMULATIVE ANNUAL CREDITS INCLUDING AMOUNTS FOR ACHIEVING PERFORMANCE MEASURES, SUBJECT TO SATISFYING VESTING CONDITIONS RELATED TO CONTINUING SERVICES TO THE UNIVERSITY. CREDITS AWARDED FOR THE CALENDAR YEAR REPORTING PERIOD \$475,200 ARE INCLUDED AS DEFERRED COMPENSATION.</p> <p>NOTE TO DR. SMITH'S, DR. LENKE'S, DR. RIEW'S, AND DR. LEHMAN'S COMPENSATION THE COMPENSATION OF DR. SMITH, DR. LENKE, DR. RIEW, & DR. LEHMAN IS SUPPORTED BY THEIR CLINICAL ACTIVITIES, GRANTS, AND SUPPORT FROM THE UNIVERSITY'S HOSPITAL AFFILIATES.</p>

Additional Data

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1LEE C BOLLINGER PRESIDENT	(i)	1,405,761	700,000	64,418	40,890	437,613	2,648,682	0
	(ii)	0	0	0	0	0	0	0
1JANE E BOOTH GENERAL COUNSEL	(i)	662,123	0	0	35,419	10,239	707,781	0
	(ii)	0	0	0	0	0	0	0
2JOHN COATSWORTH PROVOST	(i)	746,548	0	41,146	27,390	19,647	834,731	0
	(ii)	0	0	0	0	0	0	0
3JEROME DAVIS SECRETARY	(i)	422,115	0	0	27,390	15,070	464,575	0
	(ii)	0	0	0	0	0	0	0
4DAVID MADIGAN EXEC VP - ARTS & SCIENCES	(i)	527,846	0	44,151	27,390	166,064	765,451	0
	(ii)	0	0	0	0	0	0	0
5ANNE R SULLIVAN EXECUTIVE VP FOR FINANCE & IT	(i)	744,594	0	0	27,390	14,067	786,051	0
	(ii)	0	0	0	0	0	0	0
6GERALD M ROSBERG SENIOR EXEC VICE PRESIDENT	(i)	754,165	0	0	27,312	19,930	801,407	0
	(ii)	0	0	0	0	0	0	0
7LEE GOLDMAN EXEC VP FOR HEALTH SCIENCES	(i)	1,123,821	0	294,025	502,590	10,239	1,930,675	0
	(ii)	0	0	0	0	0	0	0
8PETER HOLLAND CEO & EXEC VP OF INV MGMT	(i)	977,515	2,789,476	0	2,695,992	60,092	6,523,075	2,465,969
	(ii)	0	0	0	0	0	0	0
9AMELIA ALVERSON EXEC VP - UNIV DVLP&ALUM RLTS	(i)	738,620	0	0	27,390	12,721	778,731	0
	(ii)	0	0	0	0	0	0	0
10DAVID GREENBERG EXEC VP FACILITIES	(i)	517,346	0	0	27,390	7,186	551,922	0
	(ii)	0	0	0	0	0	0	0
11DAVID N SILVERS CLINICAL PROFESSOR	(i)	2,997,708	1,181,754		37,103	9,278	4,225,843	0
	(ii)	0	0	0	0	0	0	0
12CRAIG R SMITH PROFESSOR OF SURGERY	(i)	2,485,557			36,000	17,355	2,538,912	0
	(ii)	0	0	0	0	0	0	0
13LAWRENCE GERALD LENKE PROFESSOR OF SURGERY	(i)	4,065,031			29,908	24,839	4,119,778	0
	(ii)	0	0	0	0	0	0	0
14KIEHYUN DANIEL RIEW PROFESSOR OF SURGERY	(i)	3,281,664			30,041	31,447	3,343,152	0
	(ii)	0	0	0	0	0	0	0
15RONALD ARTHUR LEHMAN JR PROFESSOR OF SURGERY	(i)	2,393,562			30,438	31,483	2,455,483	0
	(ii)	0	0	0	0	0	0	0

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Supplemental Information on Tax-Exempt Bonds

► Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
► Attach to Form 990.
► Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2017

Open to Public Inspection

Employer identification number
13-5598093

Part I

Bond Issues

(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No
A DORMITORY AUTHORITY OF STATE OF NEW YORK 2003A&B	14-6000293	649901R49	03-05-2003	122,696,491	FUND NEW QUALIFIED PROJECTS		X		X		X
B DORMITORY AUTHORITY OF STATE OF NEW YORK 2009A	14-6000293	649905DF0	05-14-2009	117,000,000	FUND NEW QUALIFIED PROJECTS		X		X		X
C DORMITORY AUTHORITY OF STATE OF NEW YORK 2011A	14-6000293	649906AV6	02-16-2011	312,102,192	FUND NEW QUALIFIED PROJECTS		X		X		X
D DORMITORY AUTHORITY OF STATE OF NEW YORK 2012A	14-6000293	649906F33	06-07-2012	174,118,498	REFUND 2008A & FUND NEW PROJECTS		X		X		X

Part II

Proceeds

		A		B		C		D	
1	Amount of bonds retired	87,775,000		0		15,000,000		0	
2	Amount of bonds legally defeased	0		0		0		0	
3	Total proceeds of issue	123,718,793		117,067,254		312,258,392		174,157,898	
4	Gross proceeds in reserve funds	0		0		0		0	
5	Capitalized interest from proceeds	0		0		0		0	
6	Proceeds in refunding escrows	0		0		0		0	
7	Issuance costs from proceeds	802,880		823,388		1,678,394		738,570	
8	Credit enhancement from proceeds	0		0		0		0	
9	Working capital expenditures from proceeds	0		0		0		0	
10	Capital expenditures from proceeds	122,097,219		115,269,253		308,005,990		173,344,328	
11	Other spent proceeds	818,694		974,613		2,574,008		75,000	
12	Other unspent proceeds	0		0		0		0	
13	Year of substantial completion	2004		2009					
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?		X		X		X		X
15	Were the bonds issued as part of an advance refunding issue?		X		X		X	X	
16	Has the final allocation of proceeds been made?	X		X		X		X	
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III

Private Business Use

					A		B		C		D	
					Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?					X		X		X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?				X		X		X		X	

Part III Private Business Use (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
3a Are there any management or service contracts that may result in private business use of bond-financed property?	X		X		X		X	
b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X		X		X		X	
c Are there any research agreements that may result in private business use of bond-financed property?	X		X		X		X	
d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?	X		X		X		X	
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶	0 %		0 %		0 %		0 %	
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶								
6 Total of lines 4 and 5								
7 Does the bond issue meet the private security or payment test? . . .		X		X		X		X
8a Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		X
b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of . .								
c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?		X		X		X		X
9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X		X		X		X	

Part IV Arbitrage

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X		X		X
2 If "No" to line 1, did the following apply?								
a Rebate not due yet?		X		X		X		X
b Exception to rebate?		X		X		X		X
c No rebate due?	X		X		X		X	
If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3 Is the bond issue a variable rate issue?	X		X			X		X
4a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b Name of provider	0		0		0		0	
c Term of hedge								
d Was the hedge superintegrated?								
e Was the hedge terminated?								

Part IV Arbitrage (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b Name of provider	0		0		0		0	
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7 Has the organization established written procedures to monitor the requirements of section 148?	X		X		X		X	

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

Return Reference	Explanation
SCHEDULE K, PART II, LINE 3	DIFFERENCES IN AMOUNTS ENTERED IN PART I, COLUMN (E) AND PART II, LINE 3 REPRESENT INVESTMENT EARNINGS ----- SCHEDULE K, PART II, LINE 11 OTHER SPENT PROCEEDS AMOUNTS REPORTED ON SCHEDULE K, PART II, LINE 11 REPRESENT THE NEW YORK STATE BOND TAX ASSOCIATED WITH EACH ISSUE WITH THE EXCEPTION OF THE 2012A, 2015A, and 2015B BOND ISSUES -----

Return Reference	Explanation
SCHEDULE K, PART III, LINES 4-5	FOR ALL ISSUES, THE UNIVERSITY HAS ALLOCATED EQUITY INVESTED IN THE FINANCED PROPERTIES TO SOURCES OF PRIVATE BUSINESS USE, WITH THE EXCEPTION OF ISSUANCE COSTS, WITHIN THE REQUIRED TIME FRAME AND HAS CAREFULLY MANAGED THE TYPES OF ACTIVITIES CONDUCTED IN BOND-FINANCED FACILITIES AS SUCH, THE UNIVERSITY HAS REPORTED 0% PRIVATE BUSINESS USE FOR THE SERIES 2003A&B, SERIES 2009A, SERIES 2011A, SERIES 2012A, SERIES 2015A, SERIES 2015B, SERIES 2016A&B, SERIES 2017A&B AND 2018A&B ISSUES ON SCHEDULE K -----

Return Reference	Explanation
SCHEDULE K, PART IV, LINE 2	FOR ALL SERIES EXCEPT THE 2015A AND 2015B, THE BOND PROCEEDS ARE SLIGHTLY EXCEEDED BY THE TOTAL USES DUE TO EARNINGS IN THE CONSTRUCTION FUND HELD AT THE TRUSTEE THE LATEST ARBITRAGE COMPUTATION FOR DASNY 2003A&B, 2009A, 2011A, 2012A, 2015A, 2015B, 2016A&B, AND 2017A&B WAS DECEMBER 31, 2017

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Supplemental Information on Tax-Exempt Bonds

► Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
► Attach to Form 990.

► Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2017

Open to Public Inspection

Employer identification number
13-5598093

Part I

Bond Issues

(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No
A DORMITORY AUTHORITY OF STATE OF NEW YORK 2015A	14-6000293	6499077D8	04-23-2015	125,006,199	FUND NEW QUALIFIED PROJECTS		X		X		X
B DORMITORY AUTHORITY OF STATE OF NEW YORK 2015B	14-6000293	64990BGH0	04-23-2015	56,240,112	REFUND DASNY 2004B BONDS		X		X		X
C DORMITORY AUTHORITY OF STATE OF NEW YORK 2016A&B	14-6000293	64990BZK2	04-27-2016	491,547,535	REFUND 2006AB AND FUND NEW PROJECT		X		X		X
D DORMITORY AUTHORITY OF NEW YORK 2017A&B	14-6000293	64990CQE4	03-01-2017	240,921,142	REFUND 2004C AND FUND NEW PROJECTS		X		X		X

Part II

Proceeds

		A		B		C		D	
1	Amount of bonds retired	0		9,850,000		19,990,000		0	
2	Amount of bonds legally defeased	0		0		0		0	
3	Total proceeds of issue	125,006,199		56,240,112		491,760,973		241,400,663	
4	Gross proceeds in reserve funds	0		0		0		0	
5	Capitalized interest from proceeds	0		0		0		0	
6	Proceeds in refunding escrows	0		0		0		0	
7	Issuance costs from proceeds	482,921		239,228		1,304,805		879,101	
8	Credit enhancement from proceeds	0		0		0		0	
9	Working capital expenditures from proceeds	0		0		0		0	
10	Capital expenditures from proceeds	124,474,161		0		239,038,664		191,140,597	
11	Other spent proceeds	49,116		56,000,884		251,417,504		49,380,966	
12	Other unspent proceeds	0		0		0		0	
13	Year of substantial completion			2007					
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?		X	X		X		X	
15	Were the bonds issued as part of an advance refunding issue?		X		X		X		X
16	Has the final allocation of proceeds been made?	X		X		X		X	
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III

Private Business Use

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X
2 Are there any lease arrangements that may result in private business use of bond-financed property?	X		X		X		X	

Part III Private Business Use (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
3a Are there any management or service contracts that may result in private business use of bond-financed property?	X		X		X		X	
b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X		X		X		X	
c Are there any research agreements that may result in private business use of bond-financed property?	X		X		X		X	
d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?	X		X		X		X	
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶	0 %		0 %		0 %		0 %	
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶								
6 Total of lines 4 and 5								
7 Does the bond issue meet the private security or payment test? . . .		X		X		X		X
8a Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		X
b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of . .								
c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?		X		X		X		X
9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X		X		X		X	

Part IV Arbitrage

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate?		X		X		X		X
2 If "No" to line 1, did the following apply?								
a Rebate not due yet?		X		X		X		X
b Exception to rebate?		X		X		X		X
c No rebate due?	X		X		X		X	
If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3 Is the bond issue a variable rate issue?		X		X		X		X
4a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b Name of provider	0		0		0		0	
c Term of hedge								
d Was the hedge superintegrated?								
e Was the hedge terminated?								

Part IV Arbitrage (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b Name of provider	0		0		0		0	
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7 Has the organization established written procedures to monitor the requirements of section 148?	X		X		X		X	

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Supplemental Information on Tax-Exempt Bonds

► Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
► Attach to Form 990.
► Information about Schedule K (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2017

Open to Public Inspection

Employer identification number
13-5598093

Part I Bond Issues											
(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No
A DORMITORY AUTHORITY OF NEW YORK 2018A&B	14-6000293	64990C6P1	05-16-2018	396,803,509	REFUND 2008A AND FUND NEW PROJECTS		X		X		X

Part II		Proceeds									
				A		B		C		D	
1	Amount of bonds retired			0							
2	Amount of bonds legally defeased			0							
3	Total proceeds of issue			396,803,509							
4	Gross proceeds in reserve funds			0							
5	Capitalized interest from proceeds			0							
6	Proceeds in refunding escrows			0							
7	Issuance costs from proceeds			1,296,723							
8	Credit enhancement from proceeds			0							
9	Working capital expenditures from proceeds			0							
10	Capital expenditures from proceeds			79,193,163							
11	Other spent proceeds			204,570,162							
12	Other unspent proceeds			111,743,460							
13	Year of substantial completion										
				Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?			X							
15	Were the bonds issued as part of an advance refunding issue?				X						
16	Has the final allocation of proceeds been made?				X						
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?			X							

Part III Private Business Use									
		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X						
2	Are there any lease arrangements that may result in private business use of bond-financed property?	X							

Part III Private Business Use (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
3a Are there any management or service contracts that may result in private business use of bond-financed property?	X							
b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X							
c Are there any research agreements that may result in private business use of bond-financed property?	X							
d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?	X							
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶	0 %							
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶								
6 Total of lines 4 and 5								
7 Does the bond issue meet the private security or payment test? . . .		X						
8a Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X						
b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of . .								
c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?		X						
9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X							

Part IV Arbitrage

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate? . . .		X						
2 If "No" to line 1, did the following apply?								
a Rebate not due yet?	X							
b Exception to rebate?		X						
c No rebate due?		X						
If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed								
3 Is the bond issue a variable rate issue?		X						
4a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X						
b Name of provider	0							
c Term of hedge								
d Was the hedge superintegrated?								
e Was the hedge terminated?								

Part IV Arbitrage (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X						
b Name of provider	0							
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		X						
7 Has the organization established written procedures to monitor the requirements of section 148?	X							

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X							

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

Schedule L
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Transactions with Interested Persons

► Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.
► Attach to Form 990 or Form 990-EZ.
► Information about Schedule L (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2017

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I Excess Benefit Transactions (section 501(c)(3), section 501(c)(4), and 501(c)(29) organizations only)
Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No

2 Enter the amount of tax incurred by organization managers or disqualified persons during the year under section 4958 ▶ \$

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ▶ \$

Part II Loans to and/or From Interested Persons.
Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26, or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No
(1) JOHN COATSWORTH	OFFICER	HOUSING		X	1,475,000	1,920,632		No	Yes		Yes	
(2) JOHN COATSWORTH	OFFICER	HOUSING		X	525,000	545,539		No	Yes		Yes	
(3) DAVID MADIGAN	OFFICER	HOUSING		X	400,000	240,000		No	Yes		Yes	
Total						▶ \$ 2,706,171						

Part III Grants or Assistance Benefiting Interested Persons.
Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) JILL S GOLDMAN	SPOUSE OF KEY EMPLOYEE	109,555	EMPLOYMENT		No

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions)

Return Reference	Explanation
NOTES TO SCHEDULE L	ALL AMOUNTS SHOWN ON SCHEDULE L REPRESENT PAYMENTS BY THE UNIVERSITY TO THE INTERESTED PERSON THE SPOUSE OF THE KEY EMPLOYEE LISTED IN SCHEDULE L WAS AN EMPLOYEE OF THE UNIVERSITY DURING THE REPORTING PERIOD HER COMPENSATION REPORTED ON SCHEDULE L WAS DETERMINED IN ACCORDANCE WITH THE UNIVERSITY'S REGULAR COMPENSATION PRACTICES APPLICABLE TO SIMILARLY SITUATED EMPLOYEES NO UNIVERSITY OFFICIAL WHO WAS ALSO AN OFFICER OR DIRECTOR OF AN INTERESTED PERSON PARTICIPATED IN OR INFLUENCED THE UNIVERSITY'S DECISION ABOUT ANY TRANSACTION SCHEDULE L, PART II THE LOANS REPORTED FOR JOHN COATSWORTH IN PART II ARE INTEREST BEARING AND SECURED BY AN INTEREST IN A PRIMARY RESIDENCE THE LOAN REPORTED FOR DAVID MADIGAN IN PART II IS INTEREST BEARING AND WAS MADE IN CONNECTION WITH A PRIMARY RESIDENCE

SCHEDULE M
(Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

►Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
► Attach to Form 990.
►Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990

OMB No 1545-0047

2017

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I

Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art	X	12	0	
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded				
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests	X	627	29,722,548	COST/SALES PRICE
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles	X	7	0	
19 Food inventory	X	2	0	
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ► (EQUIPMENT)	X	7	0 0	
26 Other ► (EVENT TICKETS & FEES)	X	12	0 0	
27 Other ► (BOOKS)	X	2	0 0	
28 Other ► (SOFTWARE)	X	1	0 0	

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement

29

19

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?

30a

No

b If "Yes," describe the arrangement in Part II

31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?

31

Yes

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

32a

Yes

b If "Yes," describe in Part II

33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II

Part II Supplemental Information.

Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
USE OF THIRD PARTIES TO SOLICIT, PROCESS, OR SELL NONCASH CONTRIBUTIONS	SCHEDULE M, PART I, LINE 32B THE UNIVERSITY USES THIRD PARTY INVESTMENT BROKERS TO SELL NON-CASH CONTRIBUTED SECURITIES THIRD PARTIES ARE NOT USED TO SOLICIT OR PROCESS ANY CONTRIBUTIONS
DESCRIPTION OF CONTRIBUTED PROPERTY FOR WHICH REVENUES ARE NOT REPORTED	SCHEDULE M, PART I, LINE 33 UNDER GENERALLY ACCEPTED ACCOUNTING PRINCIPLES, CONTRIBUTIONS OF WORKS OF ART, HISTORICAL TREASURES, AND SIMILAR ASSETS NEED NOT BE RECOGNIZED AS REVENUES AND CAPITALIZED IF THE DONATED ITEMS ARE ADDED TO COLLECTIONS HELD FOR PUBLIC EXHIBITION, EDUCATION, OR RESEARCH IN FURTHERANCE OF PUBLIC SERVICE RATHER THAN FINANCIAL GAIN BECAUSE THE UNIVERSITY UTILIZES CONTRIBUTIONS OF ART AND HISTORICAL TREASURES IN FURTHERANCE OF PUBLIC SERVICE, THESE CONTRIBUTIONS ARE NOT RECOGNIZED AS REVENUE FOR FINANCIAL STATEMENT PURPOSES IN ADDITION, MINOR GIFTS, SUCH AS FOOD OR SUPPLIES, ARE CONSIDERED DE MINIMIS
NUMBER OF CONTRIBUTIONS	SCHEDULE M, PART I, COLUMN (B) THE FIGURES IN COLUMN (B) REPRESENT THE NUMBER OF CONTRIBUTIONS RECEIVED

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -	DLN: 93493135055289
SCHEDULE O (Form 990 or 990-EZ) <small>Department of the Treasury Internal Revenue Service</small>	Supplemental Information to Form 990 or 990-EZ Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information. ▶ Attach to Form 990 or 990-EZ. ▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.		OMB No 1545-0047
			2017 Open to Public Inspection
Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK		Employer identification number 13-5598093	

990 Schedule O, Supplemental Information

Return Reference	Explanation
SUPPLEMENTAL DISCLOSURES	<p>FORM 990, PART I, LINE 4 AND PART VI, LINE 1B DETAIL REGARDING THE NUMBER OF INDEPENDENT VOTING MEMBERS THE UNIVERSITY'S PRESIDENT, A VOTING MEMBER OF THE BOARD, IS NOT COUNTED AS INDEPENDENT BECAUSE HE IS AN EMPLOYEE OF THE UNIVERSITY IN ACCORDANCE WITH THE FORM 990 REPORTING INSTRUCTIONS, THE UNIVERSITY HAS ALSO NOT COUNTED AS INDEPENDENT FOR THE PURPOSES OF THIS QUESTION THE TRUSTEE LISTED ON PART VII WHO IS RETIRED FROM THE UNIVERSITY AND RECEIVED PREVIOUSLY-ACCRUED NON-TAXABLE BENEFITS DURING THE REPORTING PERIOD BASED UPON HIS PRIOR UNIVERSITY EMPLOYMENT ----- FORM 990, PART I, LINE 6 DETAIL REGARDING THE NUMBER OF VOLUNTEERS THE NUMBER SHOWN IS AN ESTIMATE OF ALUMNI VOLUNTEERS WHO WORK WITH THE UNIVERSITY'S CENTRAL ALUMNI OFFICE ADDITIONAL VOLUNTEERS WORK IN MANY OF THE UNIVERSITY'S SCHOOLS, CENTERS AND OTHER PROGRAMS -----</p> <p>FORM 990, PART V, LINE 4B FOREIGN COUNTRY LIST ANGOLA BANGLADESH BRAZIL CAMEROON CHILE CHINA CONGO ETHIOPIA FRANCE GERMAN Y INDIA ITALY COTE D'IVOIRE (IVORY COAST) JAPAN JORDAN KAZAKHSTAN KENYA KYRGYZSTAN LESOTHO MALAWI MOZAMBIQUE MYANMAR RWANDA SIERRA LEONE SOUTH AFRICA SOUTH SUDAN SWAZILAND TAJIKISTAN TANZANIA TURKEY UNITED KINGDOM (ENGLAND, NORTHERN IRELAND, SCOTLAND, AND WALES) UGANDA ZAMBIA ZIMBABWE ----- FORM 990, PART V, LINES 7G AND 7H CONTRIBUTIONS OF CERTAIN TYPES OF PROPERTY DURING THE YEAR ENDED JUNE 30, 2018, THE UNIVERSITY DID NOT RECEIVE ANY CONTRIBUTIONS OF QUALIFIED INTELLECTUAL PROPERTY, CARS, BOATS, AIRPLANES, OR OTHER VEHICLES AS A RESULT, THE UNIVERSITY WAS NOT REQUIRED TO FILE FORMS 8899 OR 1098-C TO REPORT SUCH CONTRIBUTIONS ----- FORM 990, PART VI, LINE 2 DETAIL OF FAMILY/BUSINESS RELATIONSHIPS ARMEN AVANESSIAN AND ESTACHECHER - BUSINESS RELATIONSHIP ANN KAPLAN AND ESTACHECHER - BUSINESS RELATIONSHIP -----</p> <p>----- FORM 990, PART VI, LINE 11B PROCESS USED BY MANAGEMENT AND/OR GOVERNING BODY TO REVIEW 990 THE TRUSTEES AUDIT COMMITTEE REVIEWED THE FORM 990 FOLLOWING THAT REVIEW, THE FORM 990 WAS MADE AVAILABLE TO ALL TRUSTEES VIA A SECURE WEBSITE AND THEN PRESENTED TO THE FULL BOARD OF TRUSTEES AT A MEETING ON MAY 1, 2019 THE FINAL FORM WAS POSTED ON THE SECURE WEBSITE FOR TRUSTEE REVIEW PRIOR TO FILING ----- FORM 990, PART VI, LINE 12C DESCRIPTION OF PROCESS TO MONITOR TRANSACTIONS FOR CONFLICTS OF INTEREST ALL TRUSTEES ARE SUBJECT TO THE "STATEMENT OF CONFLICT OF INTEREST POLICY FOR THE TRUSTEES OF COLUMBIA UNIVERSITY" WHEN A TRUSTEE BECOMES AWARE OF AN EXISTING OR POTENTIAL CONFLICT OF INTEREST, HE OR SHE HAS A DUTY TO (A) DISCLOSE THE CIRCUMSTANCES TO THE BOARD CHAIR OR OTHERS, AS APPROPRIATE, (B) REFRAIN FROM ACTING TO INFLUENCE ANY DECISION WHICH MIGHT IMPROPERLY</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
SUPPLEMENTAL DISCLOSURES	<p>ERLY BENEFIT THE TRUSTEE OR A FAMILY MEMBER, AND (C) RECUSE HIMSELF OR HERSELF FROM PARTICIPATION IN ANY DISCUSSIONS OR DECISIONS ABOUT THE POTENTIAL TRANSACTION OR ACTIVITY IN ADDITION, ALL TRUSTEES ARE REQUIRED TO DISCLOSE ANNUALLY INTERESTS THAT COULD GIVE RISE TO CONFLICTS THE DISCLOSURES ARE REVIEWED FOR APPROPRIATE ACTION ALL OFFICERS AND KEY EMPLOYEES ARE SUBJECT TO THE "STATEMENT OF UNIVERSITY POLICY ON CONFLICTS OF INTEREST " WHEN AN OFFICER OR KEY EMPLOYEE BECOMES AWARE OF AN EXISTING OR POTENTIAL CONFLICT OF INTEREST, HE OR SHE HAS A DUTY TO (A) DISCLOSE THE CIRCUMSTANCE TO HIS OR HER SUPERVISOR, THE TRUSTEE S OR OTHERS, AS APPROPRIATE, (B) REFRAIN FROM ACTING TO INFLUENCE ANY DECISION WHICH MIGHT IMPROPERLY BENEFIT THE OFFICER OR EMPLOYEE OR A FAMILY MEMBER, AND (C) RECUSE HIMSELF OR HERSELF FROM PARTICIPATION IN ANY DISCUSSION OR DECISIONS ABOUT THE POTENTIAL TRANSACTION OR ACTIVITY IN ADDITION, ALL OFFICERS AND KEY EMPLOYEES ARE REQUIRED TO DISCLOSE ANNUALLY INTERESTS THAT COULD GIVE RISE TO CONFLICTS THESE DISCLOSURES ARE REVIEWED AND ANY DISCLOSURES THAT COULD GIVE RISE TO CONFLICTS AS IDENTIFIED THROUGH SUCH REVIEW ARE REPORTED TO AN APPROPRIATE SENIOR OFFICER ANY SUBSTANTIVE CONCERNS ARE ALSO REPORTED TO THE CHAIR OF THE BOARD OF TRUSTEES -----</p> <p>----- FORM 990, PART VI, LINES 15A AND 15B COMPENSATION PROCESS THE UNIVERSITY FOLLOWS THE REVIEW PROCESS DESCRIBED BELOW FOR ALL OFFICERS AND KEY EMPLOYEES THIS PROCESS HAS BEEN IN PLACE SINCE AT LEAST 2000 THE COMPENSATION FOR THE ORGANIZATION'S PRESIDENT IS REVIEWED BY A COMPENSATION COMMITTEE OF INDEPENDENT TRUSTEES AND APPROVED BY THE INDEPENDENT TRUSTEES ON THE FULL BOARD THE COMPENSATION OF OTHER OFFICERS AND KEY EMPLOYEES IS REVIEWED AND APPROVED BY THE COMPENSATION COMMITTEE OF INDEPENDENT TRUSTEES IN CONNECTION WITH THE PRESIDENT'S COMPENSATION, THE COMPENSATION COMMITTEE AND THE BOARD REVIEW COMPARABILITY DATA AND MINUTES OF DELIBERATIONS ARE RECORDED CONTEMPORANEOUSLY WITH ANY ACTION WITH RESPECT TO OTHER OFFICERS AND KEY EMPLOYEES, THE COMMITTEE FOLLOWS THE SAME PROCESS FOR REVIEW OF COMPARABILITY DATA AND CONTEMPORANEOUS DOCUMENTATION OF ITS ACTIONS IN THE CASE OF THE ORGANIZATION'S PRESIDENT AND CERTAIN OTHER OFFICERS AND KEY EMPLOYEES, THE COMPENSATION COMMITTEE HAS ALSO CONSULTED WITH INDEPENDENT COMPENSATION CONSULTANTS IN DETERMINING COMPENSATION THE COMPENSATION OF THE PRESIDENT AND THE EXECUTIVE VICE PRESIDENT OF THE INVESTMENT MANAGEMENT COMPANY IS REVIEWED AND APPROVED BY A COMPENSATION COMMITTEE OF THE INVESTMENT MANAGEMENT COMPANY'S BOARD OF MANAGERS SUCH COMPENSATION COMMITTEE IS COMPRISED OF INDEPENDENT MEMBERS OF THE BOARD MANAGERS "INDEPENDENT TRUSTEE" "INDEPENDENT MEMBER" AS USED IN THIS FOOTNOTE MEANS WITHOUT A CONFLICT OF INTEREST WITHIN THE MEANING OF PARAGRAPH (c)(1)(iii) OF REGULATION 53.4958-6 OF THE INTERNAL REVENUE CODE IN ACCORDANCE WITH THE FORM 990 INSTRUCTIONS, PART VI, LINE 1B ABOVE</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
SUPPLEMENTAL DISCLOSURES	<p> USSES A DIFFERENT DEFINITION ----- - FORM 990, PART VI, LINE 19 DOCUMENTS AVAILABILITY TO PUBLIC THE ORGANIZATION'S CHARTER AND STATUTES, FINANCIAL STATEMENTS AND THE "STATEMENT OF UNIVERSITY POLICY ON CONFLICTS OF INTEREST" ARE AVAILABLE ON THE ORGANIZATION'S WEBSITE AT WWW.COLUMBIA.EDU ----- FORM 990, PART VII, SECTION A NOTE ON TRUSTEES' TIME DEVOTED TO POSITION (4 HOURS PER WEEK) REPRESENTS AN AVERAGE FOR ALL TRUSTEES BASED ON ATTENDANCE AT QUARTERLY MEETINGS AND REGULARLY SCHEDULED TELEPHONIC CONFERENCES THROUGHOUT THE YEAR. BOARD OFFICERS, COMMITTEE CHAIRS AND OTHER TRUSTEES OFTEN DEVOTE SIGNIFICANTLY MORE TIME TO THE POSITION ----- ----- FORM 990, PART VII, SECTION A NOTE ON RELATED HOURS INDIVIDUALS WITH LISTED HOURS FOR A RELATED ORGANIZATION SIT ON THE BOARD OF COLUMBIA CONTROLLED AFFILIATES AS PART OF THEIR OVERALL COLUMBIA DUTIES, WHEN AND AS NEEDED BY THE AFFILIATES AND THAT MAY NOT BE ON A REGULAR OR WEEKLY BASIS ----- FORM 990, PART VII, SECTION A NOTE ON KENNETH FORDE'S COMPENSATION KENNETH FORDE'S REPORTED NON- TAXABLE BENEFITS REFLECT BENEFITS FROM PARTICIPATION IN A RETIREE BENEFITS PLAN WHEN DR. FORDE WAS A PROFESSOR OF SURGERY AT THE UNIVERSITY. DR. FORDE IS RETIRED FROM HIS UNIVERSITY POSITION AND RECEIVES NO COMPENSATION OR OTHER BENEFITS FOR HIS DUTIES AS A TRUSTEE ----- ----- </p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VIII	<p>DETAIL REGARDING ROYALTY INCOME/EXPENSE THE UNIVERSITY PERIODICALLY FUNDS AND DEVELOPS PATENTS FOR CERTAIN TECHNOLOGIES, THEN LICENSES THE USAGE OF THESE PATENTS TO COMPANIES THE REVENUE, NET OF PAYMENTS DUE TO THIRD PARTIES, IS RECORDED IN "REVENUE FROM OTHER EDUCATIONAL AND RESEARCH ACTIVITIES" IN THE CONSOLIDATED STATEMENT OF ACTIVITIES COSTS INCURRED WITH DEVELOPING AND MAINTAINING THESE PATENTS ARE EXPENSED AS INCURRED SO THAT WHILE ROYALTIES ARE PAID TO FACULTY AND OTHERS IN CERTAIN CIRCUMSTANCES THESE AMOUNTS ARE NOT REFLECTED IN THE STATEMENT OF FUNCTIONAL EXPENSES ----- FORM 990, PART VIII, LINE 8 AND SCHEDULE G, PART II DESCRIPTION OF ACCOUNTING FOR FUNDRAISING EVENTS AMOUNTS REPORTED FOR FUNDRAISING EVENTS REPRESENT REVENUE AND EXPENSES THAT ARE SEPARATELY TRACKED THE REVENUE AND EXPENSES FROM ADDITIONAL FUNDRAISING ACTIVITIES ARE NOT SEPARATELY TRACKED AND ARE INCLUDED WITHIN CONTRIBUTION REVENUE AND FUNDRAISING EXPENSES ----- FORM 990, PART IX, LINE 5 COMPENSATION PAID TO CURRENT OFFICERS, DIRECTORS, TRUSTEES AND KEY EMPLOYEES COMPENSATION AMOUNTS PRESENTED AS MANAGEMENT AND GENERAL EXPENSES ARE FOR CURRENT OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES WHO ARE PART OF CENTRAL ADMINISTRATION WHILE THESE OFFICERS AND KEY EMPLOYEES SPEND A PORTION OF THEIR TIME ON PROGRAM SERVICES AND/OR FUNDRAISING ACTIVITIES IN ADDITION TO THEIR MANAGEMENT RESPONSIBILITIES, THE UNIVERSITY HAS CHOSEN NOT TO ALLOCATE THE EXPENSES BETWEEN CATEGORIES ----- FORM 990, PART IX, LINE 11F INVESTMENT MANAGEMENT FEES THE INVESTMENT MANAGEMENT FEES REPORTED ON THE STATEMENT OF FUNCTIONAL EXPENSES REPRESENT FEES TO THIRD PARTY INVESTMENT MANAGERS AND INCLUDE MANAGEMENT FEE AND PERFORMANCE FEE EXPENSES ASSOCIATED WITH SEPARATELY MANAGED ACCOUNTS INVESTMENT MANAGEMENT FEES ASSOCIATED WITH FUND INVESTMENTS ARE REPORTED NET AGAINST INVESTMENT INCOME AND THEREFORE ARE NOT INCLUDED IN THE STATEMENT OF FUNCTIONAL EXPENSES ----- FORM 990, PART X, BEGINNING OF YEAR ASSETS PRESENTATION OF AMOUNTS THE PRESENTATION OF CERTAIN AMOUNTS IN THE BEGINNING OF YEAR ASSETS COLUMN HAS BEEN RECLASSIFIED TO MORE ACCURATELY CONFORM TO FORM 990 INSTRUCTIONAL GUIDANCE -----</p> <p>---</p>

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART X, LINE 7	<p>LOAN INFORMATION LOANS RECEIVABLE FROM STUDENTS TOTAL \$96,808,000 LOANS RECEIVABLE FROM NON-KEY EMPLOYEES TOTAL \$23,644,760 THE LOANS FROM NON-KEY EMPLOYEES HAVE VARIOUS INTEREST RATES RANGING FROM 1 80% TO 5 26%, WITH MATURITY DATES RANGING FROM 2019 THROUGH 2047 THE OUTSTANDING BALANCES RANGE FROM \$10,202 TO \$2,849,265 AND A PORTION OF WHICH ARE SECURED BY A PRINCIPAL RESIDENCE LOANS RECEIVABLE FROM OTHER 501(C)(3) ORGANIZATIONS TOTAL \$997,929 -----</p> <p>FORM 990, PART XI, LINE 9 OTHER CHANGES IN NET ASSETS OR FUND BALANCE CHANGE IN FUNDS HELD BY OTHER IN PERPETUITY 18,702,788 PRESENT VALUE ADJUSTMENT TO SPLIT INTEREST AGREEMENTS (7,088,110) CHANGES IN PENSION AND POST-RETIREMENT OBLIGATIONS 21,851,859 OTHER NON-OPERATING ADJUSTMENTS (2,935,074) -----</p> <p>----- TOTAL OTHER CHANGES IN NET ASSETS 30,531,463 ----- FORM 990, PART XII, LINE 2 AUDIT OF THE UNIVERSITY'S FINANCIAL STATEMENTS THE CONSOLIDATED FINANCIAL STATEMENTS OF THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK ARE AUDITED BY PRICEWATERHOUSECOOPERS, AN INDEPENDENT AUDITOR THE UNCONSOLIDATED FINANCIAL STATEMENTS REFLECTED IN THIS RETURN REPRESENT APPROXIMATELY 99% OF THE NET ASSETS OF THE CONSOLIDATED GROUP THE UNIVERSITY'S BOARD OF TRUSTEES HAS AN AUDIT COMMITTEE, COMPRISED OF INDEPENDENT TRUSTEES, WHICH IS RESPONSIBLE FOR OVERSIGHT OF THE AUDIT, REVIEW, AND COMPILATION OF THE FINANCIAL STATEMENTS AND SELECTION OF THE INDEPENDENT AUDITOR</p>

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -		DLN: 93493135055289	
SCHEDULE R (Form 990)	Related Organizations and Unrelated Partnerships				OMB No 1545-0047
					2017
	▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37. ▶ Attach to Form 990. ▶ Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990 .				Open to Public Inspection
Department of the Treasury Internal Revenue Service					
Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK				Employer identification number 13-5598093	

Part I Identification of Disregarded Entities Complete if the organization answered "Yes" on Form 990, Part IV, line 33.					
See Additional Data Table					
(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II Identification of Related Tax-Exempt Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.							
See Additional Data Table							
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No

Part III Identification of Related Organizations Taxable as a Partnership Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

See Additional Data Table

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV Identification of Related Organizations Taxable as a Corporation or Trust Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of- year assets	(h) Percentage ownership	(i) Section 512(b) (13) controlled entity?	
								Yes	No
See Additional Data Table									

Part V Transactions With Related Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a	No
b Gift, grant, or capital contribution to related organization(s)	1b Yes	
c Gift, grant, or capital contribution from related organization(s)	1c	No
d Loans or loan guarantees to or for related organization(s)	1d	No
e Loans or loan guarantees by related organization(s)	1e	No
f Dividends from related organization(s)	1f	No
g Sale of assets to related organization(s)	1g	No
h Purchase of assets from related organization(s)	1h	No
i Exchange of assets with related organization(s)	1i	No
j Lease of facilities, equipment, or other assets to related organization(s)	1j Yes	
k Lease of facilities, equipment, or other assets from related organization(s)	1k	No
l Performance of services or membership or fundraising solicitations for related organization(s)	1l	No
m Performance of services or membership or fundraising solicitations by related organization(s)	1m	No
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	No
o Sharing of paid employees with related organization(s)	1o	No
p Reimbursement paid to related organization(s) for expenses	1p	No
q Reimbursement paid by related organization(s) for expenses	1q	No
r Other transfer of cash or property to related organization(s)	1r	No
s Other transfer of cash or property from related organization(s)	1s Yes	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

See Additional Data Table

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R (see instructions)

Return Reference	Explanation
SUPPLEMENTAL INFORMATION	<p>SCHEDULE R COLUMBIA UNIVERSITY OWNS AN 81 57% INTEREST IN 2700 BROADWAY CONDOMINIUM, WHICH IS TREATED AS A CONDOMINIUM ASSOCIATION UNDER NEW YORK STATE LAW ----- SCHEDULE R, PART I END-OF-YEAR ASSET REPORTING CERTAIN DISREGARDED ENTITIES LISTED IN SCHEDULE R, PART I MAY HOLD VEHICLES, OFFICE EQUIPMENT AND RELATED ITEMS, THE VALUE OF WHICH IS INCLUDED IN THE UNIVERSITY'S BALANCE SHEET IN PART X OF THIS FORM 990 BUT IS NOT REFLECTED IN COLUMN (E) END-OF-YEAR ASSETS -----</p> <p>----- SCHEDULE R, PART I INCOME/ASSETS ATTRIBUTABLE TO CERTAIN DISREGARDED ENTITIES COLUMBIA UNIVERSITY IS THE SOLE MEMBER OF CIM I, LLC WHICH OWNS 100% OF THE SHARES ENTITLED TO THE INCOME AND ASSETS OF FPCM INFLATION-LINKED OPPORTUNITIES FUND, LIMITED TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CIM I, LLC REPRESENT A 100% SHARE OF TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO FPCM INFLATION-LINKED OPPORTUNITIES FUND, LIMITED AS REPORTED IN SCHEDULE R, PART IV THE SHARES OWNED BY CIM I ARE NON-VOTING SHARES -----</p> <p>----- SCHEDULE R, PART I, COLUMNS (D) AND (E) INCOME AND ASSETS ATTRIBUTABLE TO CERTAIN DISREGARDED ENTITIES COLUMBIA UNIVERSITY IS THE SOLE MEMBER OF CIM V, LLC, WHICH IS THE SOLE MEMBER OF CONQUEST MANAGED FUTURES SELECT FUND II, LLC TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CIM V, LLC REPRESENT A 100% SHARE OF TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CONQUEST MANAGED FUTURES SELECT FUND II, LLC, AS REPORTED IN SCHEDULE R, PART I -----</p> <p>----- SCHEDULE R, PART IV CONSISTENT WITH GAAP, THE UNIVERSITY DOES NOT CONSOLIDATE THE ENTITIES LISTED IN PART IV SCHEDULE R WHICH HAVE INVESTMENTS AS THEIR PRIMARY PURPOSE THIS IS BECAUSE THE UNIVERSITY DOES NOT HAVE SUFFICIENT VOTING POWER WITH RESPECT TO THESE ENTITIES TO SATISFY THE CONTROL TEST FOR CONSOLIDATION UNDER GAAP THE AMOUNTS SHOWN IN SCHEDULE R, PART IV, COLUMN (G) REFLECT THE UNIVERSITY'S SHARE OF THE NET ASSETS OF THESE ENTITIES FOR THE FISCAL YEAR REPORTING PERIOD WHICH, CONSISTENT WITH GAAP, IS REFLECTED ON LINE 16 OF THE BALANCE SHEET IN PART X OF THIS FORM 990 SIMILARLY, THE UNIVERSITY'S SHARE OF ANY CURRENT INCOME AND REALIZED GAINS FROM THESE ENTITIES IS REFLECTED IN COLUMN (F) OF SCHEDULE R, PART IV AND ON THE STATEMENT OF REVENUE IN PART VIII THESE ENTITIES EACH OPERATE ON A CALENDAR-YEAR BASIS, AND THE UNIVERSITY'S SHARE OF THE GROSS INCOME AND TOTAL ASSETS FOR EACH OF THESE ENTITIES FOR THE CALENDAR YEAR ENDED DECEMBER 31, 2017 IS SET FORTH BELOW ASM HUDSON RIVER FUND SHARE OF TOTAL (GROSS) INCOME (\$76,529) SHARE OF END-OF-YEAR (GROSS) ASSETS \$53,736,000 CALUNIUS LITIGATION RISK FUND LP SHARE OF TOTAL (GROSS) INCOME (\$424,548) SHARE OF END-OF-YEAR (GROSS) ASSETS \$16,445,887 CALUNIUS LITIGATION RISK FUND 2 LP SHARE OF TOTAL (GROSS) INCOME \$3,708,066 SHARE OF END-OF-YEAR (GROSS) ASSETS \$32,225,881 COLUMBIA/PATRON SCOTLAND LP SHARE OF TOTAL (GROSS) LOSS (\$890,574) SHARE OF END-OF-YEAR (GROSS) ASSETS \$1,986,795 FPCM INFLATION-LINKED OPPORTUNITIES FUND, LTD SHARE OF TOTAL (GROSS) INCOME \$54,578,685 SHARE OF END-OF-YEAR (GROSS) ASSETS \$632,270,937 HARBOUR LITIGATION INVESTMENT OFFSHORE SHARE OF TOTAL (GROSS) INCOME \$0 SHARE OF END-OF-YEAR (GROSS) ASSETS \$3,227,838 NCH INVESTORS FUND (CU) CORP SHARE OF TOTAL (GROSS) LOSS \$24,603 SHARE OF END-OF-YEAR (GROSS) ASSETS \$464,973 CALUNIUS LITIGATION RISK FUND 3 LP SHARE OF TOTAL (GROSS) INCOME \$0 SHARE OF END-OF-YEAR (GROSS) ASSETS \$8,803,687 -----</p> <p>----- SCHEDULE R, PART IV AS OF JUNE 30, 2018, THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK HELD MORE THAN A 50% BENEFICIAL INTEREST IN 60 CHARITABLE REMAINDER TRUSTS DOMICILED IN NEW YORK AND 2 POOLED INCOME FUNDS DOMICILED IN NEW YORK</p>



Additional Data

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income	(e) End-of-year assets	(f) Direct Controlling Entity
COLUMBIA INVESTMENT MGT COMPANY LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	INVEST MGMT	NY	0	0	COLUMBIA
CIM I LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901851	INVEST HOLDNG	DE	0	332,930,056	COLUMBIA
CIM II LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901854	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM III LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901855	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM IV LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901856	INVEST HOLDNG	DE	4,913	26,053,741	COLUMBIA
CIM V LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901861	INVEST HOLDNG	DE	-11,910,242	0	COLUMBIA
CONQUEST MANAGED FUTURES SELECT FUND II 540 MADISON AVENUE 20TH FLOOR NEW YORK, NY 10022 13-5598093	INVESTMENTS	DE	-11,910,242	0	CIM V
CIM VI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901857	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM VII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901858	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM VIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901859	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM XII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901864	INVEST HOLDNG	DE	35,923,016	16,010,343	COLUMBIA
CIM XIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901865	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM XIV LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901866	INVEST HOLDNG	DE	0	0	COLUMBIA
CIM XVII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977498	INVEST HOLDNG	DE	0	2,360,444	COLUMBIA
CIM XVIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977500	INVEST HOLDNG	DE	0	0	COLUMBIA
DYNAMO BRASIL VI LLC 1209 ORANGE STREET WILMINGTON, DE 19801 13-5598093	INVESTMENTS	DE	0	118,814,506	COLUMBIA
COLUMBIA AFFILIATED PHYSICIANS IPA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 26-2162648	PHYSICNS PRAC	NY	1,265,380	3,776,573	COLUMBIA
COLUMBIA DIGITAL KNOWLEDGE VENTURES LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 06-1576880	NEW MEDIA	NY	0	0	COLUMBIA
HITHER PROPERTY LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 27-0201222	REAL ESTATE	DE	0	2,196,179	COLUMBIA
CU GSAPP LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	EDUCATION	NY	0	0	COLUMBIA

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income	(e) End-of-year assets	(f) Direct Controlling Entity
ACCESS PROJECT LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTH RESCH	NY	0	0	COLUMBIA
MSPH LESOTHO LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	614,716	COLUMBIA
MSPH MOZAMBIQUE LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	1,732,255	COLUMBIA
MSPH NIGERIA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	0	COLUMBIA
MSPH RWANDA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	0	COLUMBIA
MSPH SOUTH AFRICA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	210,115	COLUMBIA
MSPH TANZANIA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	817,477	COLUMBIA
MSPH TAJIKISTAN LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	0	COLUMBIA
MSPH KG LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	0	COLUMBIA
COLUMBIA INT'L PROJECTS LLC (KENYA) 412 LOW MEMORIAL LIBRARY 535 W 116 NEW YORK, NY 10027 13-5598093	EDUCATION	NY	0	0	COLUMBIA
COLUMBIA GLOBAL CENTERS LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	EDUCATION	NY	0	0	COLUMBIA
COLUMBIA GLOBAL RESEARCH INITIATIVES LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	EDUCATION	NY	0	0	COLUMBIA
CU STUDIO LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	EDUCATION	NY	0	0	COLUMBIA
AFFILIATED PHYSICIANS ORGANIZATION LLC 51 AUDUBON AVENUE NEW YORK, NY 10032 82-1575764	PHY PRCTC GRP	NY	0	0	COLUMBIA

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3948652	HEALTHCARE	NY	501(C)(3)	10	COLUMBIA	Yes	
630 WEST 168TH STREET NEW YORK, NY 10032 13-6162924	FUNDRAISING	NY	501(C)(3)	12A	NA		No
615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3800106	BENEFITS SUPP	NY	501(C)(3)	12A	COLUMBIA	Yes	
615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-1623968	ACADEMIC PUB	NY	501(C)(3)	12A	COLUMBIA	Yes	
1051 RIVERSIDE DRIVE NEW YORK, NY 10032 13-3118570	EDUC SUPPORT	NY	501(C)(3)	12A	NA		No
615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 51-0192355	CLINICAL EDUC	NY	501(C)(3)	12A	COLUMBIA	Yes	
615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-1611126	EDU/CUL EXCH	NY	501(C)(3)	12A	COLUMBIA	Yes	
535 WEST 116TH STREET NEW YORK, NY 10027	EDUCATION	FR	N/A	N/A	REID HALL	Yes	
4 RUE DE CHEVREUSE PARIS 75006 FR	EDUCATION	FR	N/A	N/A	REID HALL	Yes	
400 FORRESTAL ROAD PRINCETON, NJ 08540 22-3751732	LIBRARY COLL	NJ	501(C)(3)	12A	NA		No
3225 GALLOWS RD FAIRFAX, VA 22037 54-1962639	EDUC SUPPORT	DE	501(C)(3)	12	NA		No
1275 AUDOBON AVENUE GROSSE POINTE PK, MI 48230 38-3441481	EDUC SUPPORT	MI	501(C)(3)	12	NA		No
2852 BROADWAY NEW YORK, NY 10025	RESEARCH	DR	N/A	N/A	CIP	Yes	
KEBELE 06 H447 PO BX 664 ADDIS ABABA 1250 ET	HEALTHCARE	ET	N/A	N/A	COLUMBIA	Yes	
630 W 168TH STREET NEW YORK, NY 10032	RESEARCH	IN	N/A	N/A	CGC LLC	Yes	
615 WEST 131ST STREET MC8741 NEW YORK, NY 10027	FUNDRAISING	UK	N/A	N/A	COLUMBIA	Yes	
GPO BOX 5314 CONNAUGHT PLACE HK	FUNDRAISING	HK	N/A	N/A	COLUMBIA	Yes	
615 WEST 131ST STREET MC8741 NEW YORK, NY 10027	HEALTHCARE	WZ	N/A	N/A	CIP	Yes	
AVDAG HAMMASKJOLD 32691ST FL SANTIAGO CI	RESEARCH	CI	N/A	N/A	COLUMBIA	Yes	
C/O 630 WEST 168TH STREET PS BOX NEW YORK, NY 10032 33-1033330	HEALTHCARE	DE	501(C)(3)	12C	NA		No

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled entity?	
						Yes	No
615 WEST 131ST STREET MC8741 NEW YORK, NY 10027	RESEARCH	FR	N/A	N/A	COLUMBIA	Yes	
PO BOX 51412 NAIROBI 00100 KE	RESEARCH	KE	N/A	N/A	COLUMBIA	Yes	
5 MOHD AL SAD AL-BATAYNEH STREET AMMAN 11814 JO	EDUC SUPPORT	JO	N/A	N/A	COLUMBIA	Yes	
39 Lawson Av 42 Bates St Harare ZI	HLTH/RESEARCH	ZI	N/A	N/A	COLUMBIA	Yes	
RUA MARIA EUGENIA 90301 RIO DE JANEIRO 22261 BR	EDUCATION	BR	N/A	N/A	STUDIOGSAPP	Yes	
615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 81-4759386	EDU/PMT EXPR	NY	501(C)(3)	12A	COLUMBIA	Yes	
15 Avenue de Carthage Tunis TS	RESEARCH	DE	N/A	N/A	CIP	Yes	

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in Box 20 of Schedule K-1 (Form 1065)	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
MERIT ENERGY PARTNERS V LP 13727 NOEL ROAD SUITE 1200 DALLAS, TX 75240 75-2411038	INVESTMENTS	TX	COLUMBIA	EXCLUDED	2,703,436	13,507,231		No	0		No	99 060 %
SANJEEVINI INVESTMENT HOLDING IFS COURT TWENTYEIGHT CY EBENE MP 98-1113898	INVESTMENTS	MP	COLUMBIA	EXCLUDED	2,595,907	8,204,925		No	0		No	91 750 %
GCM GROSVENOR BLUE LP 767 FIFTH AVENUE 14TH FLOOR NEW YORK, NY 10010 30-0773582	INVESTMENTS	DE	COLUMBIA	EXCLUDED	2,333,266	34,113,407		No	0		No	99 450 %
Q INDIA EQUITY FUND LIMITED 118 ST JEAN ROAD QUATRE BORNE MP 98-0494924	INVESTMENTS	MP	COLUMBIA	EXCLUDED	11,951,909	138,691,365		No	0		No	73 230 %
CANAAN RESOURCES PARTNERS DRILLING FD 1101 N Broadway Suite 300 OKLAHOMA CITY, OK 73103 36-4777388	INVESTMENTS	OK	COLUMBIA	EXCLUDED	7,823,279	67,668,762		No	0		No	99 000 %
VECTRA ENG DRL FD I LP 2305 WEST BERRY AVENUE LITTLETON, CO 80120 47-1901248	INVESTMENTS	DE	COLUMBIA	UNRELATED	-79,221	0		No	-79,221		No	97 500 %
VECTRA EN DR FD I-A LP 2305 WEST BERRY AVENUE LITTLETON, CO 80120 47-1842822	INVESTMENTS	DE	COLUMBIA	UNRELATED	316,439	30		No	316,439		No	99 900 %
STAR ASIA OPPORTUNITY FUND III LP 125 Gaither Drive Suite L Mount Laurel, NJ 08054 98-1291896	INVESTMENTS	HK	COLUMBIA	EXCLUDED	-3,268	131,510,091		No	0		No	98 770 %

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust									
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No
16 EAST 60TH STREET CORPORATION 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 13-3675222	REAL ESTATE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
2301 12TH AVENUE OWNERS CORP 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 99-1786950	REAL ESTATE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
COLUMBIA PRESBYTERIAN IPA 161 FORT WASHINGTON AVE SUITE 132 NEW YORK, NY 10032 13-3396165	PHYS PRACTICE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
FATHOM KNOWLEDGE NETWORK INC 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 13-4054997	NEW MEDIA	DE	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
FREEZER BOX INC 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 99-1596696	REAL ESTATE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
MORNINGSIDE INC 311 LOW MEMORIAL LIBRARY NEW YORK, NY 10027 06-1430120	REAL ESTATE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
COLUMBIA DOCTORS OF NEW JERSEY 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 26-4364931	HEALTHCARE	NJ	COLUMBIA	C-CORP	2,862,609	714,936	100 000 %	Yes	
BEIJING DE GA INTRNTL CONSULTING CTR LTD TOWERA TSINGHUA SCIENCEPARK CHENGFURD, HAIDIAN 100084 CH	EDUCATION	CH	COLUMBIA	FOREIGN CORP	0	527,249	100 000 %	Yes	
ASM HUDSON RIVER FUND WALKER HSE87 MARY ST GEORGE TOWN, GRD CAYMAN KY1-9002 CJ	INVESTMENTS	CJ	COLUMBIA	REG MUTUAL FUND	2,378,173	34,345,846	100 000 %	Yes	
CALUNIUS LITIGATION RISK FUND LP 1ST FL DOREY CRT ADMIRAL PK ST PETER PORT GY1 6HJ GK 98-0684876	INVESTMENTS	GK	COLUMBIA	FOREIGN CORP	9,184	43,189,303	74 930 %	Yes	
COLUMBIAPATRON SCOTLAND LP 50 LOTHIAN RD FESTIVAL SQ EDINBURGH, SCOTLAND EH3 9BY UK	INVESTMENTS	UK	COLUMBIA	FOREIGN CORP	8,002	1,905,933	100 000 %	Yes	
FPCM INFLATION LINKED OPPORTUNITIES LTD WALKER HSE87 MARY ST GEORGE TOWN, GRD CAYMAN KY1-9002 CJ	INVESTMENTS	CJ	COLUMBIA	FOREIGN CORP	0	332,930,056	100 000 %	Yes	
HARBOUR LITIGATION INVEST OFFSHORE FUND PO BOX 309 UGLAND HOUSE GRAND CAYMAN KY1-1104 CJ 98-0660716	INVESTMENTS	CJ	COLUMBIA	FOREIGN CORP	-468,866	7,758,934	100 000 %	Yes	
NCH INVESTORS FUND (CU) CORP UGLANDS CHURCHPO309 GEORGE TOWN, GD CAYMAN KY1-1104 CJ	INVESTMENTS	CJ	COLUMBIA	FOREIGN CORP	0	143,174	100 000 %	Yes	
CALUNIUS LITIGATION RISK FUND 2 LP PO656 TRAFALGAR CT LES BANQUES ST PETER PT GY13PP GK 98-1162651	INVESTMENTS	GK	COLUMBIA	FOREIGN CORP	34,200	42,018,700	60 010 %	Yes	

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust									
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No
CHARITABLE REMAINDER TRUSTS (60)	N/A	NY	COLUMBIA	TRUST	0	0			
POOLED INCOME FUNDS (2)	N/A	NY	COLUMBIA	TRUST	0	0			
CENTERS FOR INTERNATIONAL PROGRAMS 535 WEST 116TH STREET NEW YORK, NY 10027 13-5598093	PROGRAM SRVCS	DE	COLUMBIA	C-CORP	0	708,428	100 000 %	Yes	
GLOBAL HEALTH RESEARCH CNTR-CE 1255 AMSTERDAM AVENUE NEW YORK, NY 10027	PROGRAM SRVCS	DE	COLUMBIA	C-CORP	0	90,696	100 000 %	Yes	
ASSOCIACAO COLUMBIA GLOBAL CENTERBRASIL RUA DA CANDELARIA 9 30 ANDAR CNT RIO DE JANEIRO BR	PROGRAM SRVCS	BR	CGC LLC	N/A	0	194,889	100 000 %	Yes	
UNIVERSITY WOMEN'S REALTY CORPORATION 408 LOW MEMORIAL LIBRARY MC 4336 NEW YORK, NY 10025 13-2524803	REAL ESTATE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
COLUMBIA DOCTORS OF BERGEN COUNTY 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 47-3568473	HEALTHCARE	NJ	COLUMBIA	C-CORP	3,055,710	892,351	100 000 %	Yes	
CALUNIUS LITIGATION RISK FUND 3 LP PO656 TRAFALGAR CT LES BANQUES, ST PETER PORT GY1 3PP GK 98-1336667	INVESTMENTS	GK	COLUMBIA	FOREIGN CORP	57,500	13,720,038	60 000 %	Yes	
COLUMBIA GLOBAL DANISMANLIK VE ARASTIRMA MECIDITE MAH MAVI SARAY 37/7 SARIYER TU	PROGRAM SRVCS	TU	COLUMBIA	FOREIGN CORP	1,603	0	100 000 %	Yes	
COLUMBIA RESEARCH AND CONSULTANCY SRVCS EXPRESS TOWERS 11TH FL NARIMAN PT MUMBAI 400021 IN	PROGRAM SRVCS	IN	CU INT'L PRJCTS	FOREIGN CORP	0	0	99 990 %	Yes	
COLUMBIA RADIOLOGY INC 630 W 168TH ST NEW YORK, NY 10032 47-5288953	HEALTHCARE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
COLUMBIA DOCTORS OF CONNECTICUT PC 630 W 168TH ST NUM 2-460 NEW YORK, NY 10032 82-4894259	HEALTHCARE	CT	COLUMBIA	C-CORP	0	0	100 000 %	Yes	

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of related organization	(b) Transaction type(a-s)	(c) Amount Involved	(d) Method of determining amount involved
ASM HUDSON RIVER FUND	S	14,066,245	FMV
ASM HUDSON RIVER FUND	B	1,066,245	FMV
CALUNIUS LITIGATION RISK FUND 2 LP	B	3,160,200	FMV
CALUNIUS LITIGATION RISK FUND 3 LP	B	6,285,548	FMV
CALUNIUS LITIGATION RISK FUND LP	S	1,902,589	FMV
CALUNIUS LITIGATION RISK FUND LP	B	512,438	FMV
CANAAN RESOURCE PARTNERS DRILLING FUND LP	S	17,071,020	FMV
CANAAN RESOURCE PARTNERS DRILLING FUND LP	B	25,986,833	FMV
GCM GROSVENOR BLUE LP	S	10,821,824	FMV
GCM GROSVENOR BLUE LP	B	78,077	FMV
HARBOUR LITIGATION INVEST OFFSHORE FUND LP	S	665,376	FMV
HARBOUR LITIGATION INVEST OFFSHORE FUND LP	B	311,334	FMV
MERIT ENERGY PARTNERS V LP	S	4,207,500	FMV
COLUMBIAPATRON SCOTLAND LP	S	95,500	FMV
SANJEEVINI INVESTMENT HOLDINGS	S	7,282,605	FMV
STAR ASIA OPPORTUNITY FUND III	S	132,414,616	FMV
STAR ASIA OPPORTUNITY FUND III	B	168,817,832	FMV
KNIGHT FIRST AMDMT INST AT COLUMBIA	B	1,000,000	FMV
REID HALL INC	B	2,536,210	FMV
COLUMBIA UNIVERSITY HEALTH CARE INC	B	5,023,508	FMV
COLUMBIA DOCTORS OF BERGEN COUNTY	B	62,043	FMV