

Form 990-PF

Department of the Treasury  
Internal Revenue Service

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.  
Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017 or tax year beginning

JUL 1, 2017

and ending

JUN 30, 2018

Name of foundation

GEORGE J &amp; JESSICA HARRIS FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

C/O ROBERT MASOTTI, 1100 SUMMER STREET

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

STAMFORD, CT 06905-5534

G Check all that apply:

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

H Check type of organization

☒

Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust☐

Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

\$ 5,871,204.

J Accounting method:

☒

Cash

☐

Accrual

☐ Other (specify)

(Part I, column (d) must be on cash basis)

A Employer identification number

06-1393429

B Telephone number

203-323-1191

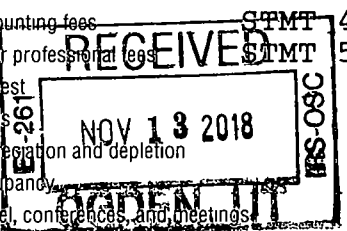
C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		227.	227.		STATEMENT 1
4 Dividends and interest from securities		165,705.	165,705.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		277,278.			
b Gross sales price for all assets on line 6a		3,021,424.			
7 Capital gain net income (from Part IV, line 2)			277,278.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		-99.	-99.		STATEMENT 3
12 Total. Add lines 1 through 11		443,111.	443,111.		
13 Compensation of officers, directors, trustees, etc		48,000.	12,000.		36,000.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		6,820.	6,820.		0.
c Other professional fees		50,830.	50,830.		0.
17 Interest					
18 Taxes					
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		1,795.	923.		872.
24 Total operating and administrative expenses. Add lines 13 through 23		107,445.	70,573.		36,872.
25 Contributions, gifts, grants paid		260,000.			260,000.
26 Total expenses and disbursements. Add lines 24 and 25		367,445.	70,573.		296,872.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		75,666.			
b Net investment income (if negative, enter -0-)			372,538.		
c Adjusted net income (if negative, enter -0-)				N/A	

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SCANNED JAN 30 2019



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	109,753.	153,612.	153,612.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 7	102,957.	102,957.	103,315.
	b Investments - corporate stock STMT 8	2,099,414.	1,996,245.	3,202,244.
	c Investments - corporate bonds STMT 9	53,983.	53,983.	56,693.
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 10	2,118,618.	2,253,594.	2,355,340.
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	4,484,725.	4,560,391.	5,871,204.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	24 Unrestricted and complete lines 24 through 26, and lines 30 and 31			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	4,484,725.	4,560,391.	
	30 Total net assets or fund balances	4,484,725.	4,560,391.	
	31 Total liabilities and net assets/fund balances	4,484,725.	4,560,391.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,484,725.
2 Enter amount from Part I, line 27a	2	75,666.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	4,560,391.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,560,391.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co )	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>			
<b>b</b> SEE ATTACHED STATEMENTS			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b> 3,021,424.		2,744,146.	277,278.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			277,278.

<b>2</b> Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	277,278.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div> <div>If gain, also enter in Part I, line 8, column (c).</div> <div>If (loss), enter -0- in Part I, line 8</div> </div>	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	305,438.	5,635,569.	.054198
2015	301,793.	5,513,568.	.054736
2014	327,103.	6,062,504.	.053955
2013	312,181.	5,934,910.	.052601
2012	300,313.	5,622,169.	.053416

<b>2</b> Total of line 1, column (d)	2	.268906
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.053781
<b>4</b> Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	5,913,870.
<b>5</b> Multiply line 4 by line 3	5	318,054.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	6	3,725.
<b>7</b> Add lines 5 and 6	7	321,779.
<b>8</b> Enter qualifying distributions from Part XII, line 4	8	296,872.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	7,451.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	7,451.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	7,451.
6 Credits/Payments:			
a 2017 estimated tax payments and 2016 overpayment credited to 2017	6a	3,966.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments Add lines 6a through 6d	7	3,966.	
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	0.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	3,485.	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2018 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered See instructions. <input type="checkbox"/> CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

**Part VII-A** Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► MASOTTI & MASOTTI Telephone no. ► 203-323-1191 Located at ► 1100 SUMMER STREET, 4TH FLOOR, STAMFORD, CT ZIP+4 ► 06905-5534		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax exempt interest received or accrued during the year		
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1) (6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5 year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

5b

Organizations relying on a current notice regarding disaster assistance, check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT B WISE, ESQ 25 SKYVIEW DRIVE STAMFORD, CT 06902-1509	TRUSTEE 1.00	12,000.	0.	0.
ROBERT P MASOTTI, CPA 1100 SUMMER STREET, 4TH FLOOR STAMFORD, CT 06905-5534	TRUSTEE 1.00	12,000.	0.	0.
CHARLOTTE WISE 25 SKYVIEW DRIVE STAMFORD, CT 06902-1509	TRUSTEE 2.00	12,000.	0.	0.
LAURA A MASOTTI 36 KINGSWOOD PLACE RIDGEFIELD, CT 06877-4709	TRUSTEE 2.00	12,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	5,852,065.
b	Average of monthly cash balances	1b	151,864.
c	Fair market value of all other assets	1c	
d	<b>Total</b> (add lines 1a, b, and c)	1d	6,003,929.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	6,003,929.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	90,059.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,913,870.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	295,694.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	295,694.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	7,451.
b	Income tax for 2017. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	7,451.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	288,243.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	288,243.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	288,243.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	296,872.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	296,872.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	296,872.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				288,243.
2 Undistributed income, if any, as of the end of 2017			0.	
a Enter amount for 2016 only				
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2017:				
a From 2012	21,943.			
b From 2013	16,991.			
c From 2014	33,516.			
d From 2015	36,273.			
e From 2016	26,528.			
f Total of lines 3a through e	135,251.			
4 Qualifying distributions for 2017 from Part XII, line 4: ► \$	296,872.		0.	
a Applied to 2016, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2017 distributable amount				288,243.
e Remaining amount distributed out of corpus	8,629.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	143,880.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7	21,943.			
9 Excess distributions carryover to 2018 Subtract lines 7 and 8 from line 6a	121,937.			
10 Analysis of line 9.				
a Excess from 2013	16,991.			
b Excess from 2014	33,516.			
c Excess from 2015	36,273.			
d Excess from 2016	26,528.			
e Excess from 2017	8,629.			



**Part XV** Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
SEE SCHEDULE ATTACHED	NONE	SEE SCHEDULE ATTACHED	SEE SCHEDULE ATTACHED	260,000.
<b>Total</b>			<b>3a</b>	260,000.
<b>b</b> Approved for future payment				
NONE				
<b>Total</b>			<b>3b</b>	0.



## Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- |   |   | Yes | No |
|---|---|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |     |    |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of:  |     |    |
|   | (1) Cash  |     | X  |
|   | (2) Other assets  |     | X  |
| b | Other transactions:   |     |    |
|   | (1) Sales of assets to a noncharitable exempt organization  |     | X  |
|   | (2) Purchases of assets from a noncharitable exempt organization  |     | X  |
|   | (3) Rental of facilities, equipment, or other assets  |     | X  |
|   | (4) Reimbursement arrangements  |     | X  |
|   | (5) Loans or loan guarantees  |     | X  |
|   | (6) Performance of services or membership or fundraising solicitations  |     | X  |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees  |     | X  |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received |     |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date \_\_\_\_\_

---

Title

TRUSTEE

May the IRS discuss this return with the preparer shown below? See instr

☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

DANIEL GREENBERG,  
CPA

Preparer's signature

Date
------

11/01/18

Check ☐ self-employed

PTIN

P00281062

Firm's name ► **MASOTTI & MASOTTI, LLC**

Firm's EIN ► 06-0769038

Firm's address ► 1100 SUMMER STREET SUITE 401  
STAMFORD, CT 06905

Phone no. (203) 323-1191

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr.)	(d) Date sold (mo, day, yr.)
1a	1000 ROYAL BANK OF SCOTLAND GROUP PLC 5.75%	P	02/11/04	09/05/17
b	1000 ROYAL BANK OF SCOTLAND PFD 7.65%	P	05/17/05	09/05/17
c	400 MCCORMICK & CO	P	09/14/16	06/13/18
d	MORGAN STANLEY - LONG TERM TRANSACTIONS	P		08/03/17
e	MORGAN STANLEY - SHORT TERM TRANSACTIONS	P		08/03/17
f	MORGAN STANLEY - LONG TERM TRANSACTIONS	P		10/11/17
g	MORGAN STANLEY - SHORT TERM TRANSACTIONS	P		10/11/17
h	194 GENERAL ELECTRIC CO	P		10/25/17
i	MORGAN STANLEY - LONG TERM TRANSACTIONS	P		11/17/17
j	MORGAN STANLEY - SHORT TERM TRANSACTIONS	P		11/17/17
k	MORGAN STANLEY - LONG TERM TRANSACTIONS	P		02/08/18
l	26 APPLE INC	P		01/29/18
m	1 APPLE INC	P	06/22/17	01/29/18
n	47 CROWN CASTLE INTL CORP	P		01/10/18
o	MORGAN STANLEY - SHORT TERM TRANSACTIONS	P		02/08/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 25,000.		24,977.	23.
b 50,000.		54,338.	-4,338.
c 42,019.		39,370.	2,649.
d 14,774.		10,873.	3,901.
e 762.		516.	246.
f 8,643.		7,731.	912.
g 2,130.		2,063.	67.
h 4,189.		4,889.	-700.
i 10,887.		9,004.	1,883.
j 3,389.		3,042.	347.
k 13,640.		9,821.	3,819.
l 4,383.		2,498.	1,885.
m 168.		146.	22.
n 4,902.		4,053.	849.
o 1,168.		1,083.	85.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			23.
b			-4,338.
c			2,649.
d			3,901.
e			246.
f			912.
g			67.
h			-700.
i			1,883.
j			347.
k			3,819.
l			1,885.
m			22.
n			849.
o			85.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a	14 BOEING CO	P	12/22/16	03/19/18
b	MORGAN STANLEY - LONG TERM TRANSACTIONS	P		04/11/18
c	MORGAN STANLEY - SHORT TERM TRANSACTIONS	P		04/11/18
d	17812.115 AIG FOCUSED DIV STRAT	P		04/12/18
e	5002.472 AMERICAN GW FD OF AMERICA	P		04/12/18
f	8689.907 BLACKROCK GLOBAL ALLOC	P		04/12/18
g	3957.765 CALAMOS EVOLVING WORLD GW	P		04/12/18
h	10632.895 JANUS HENDERSON GLOBAL EQ INC	P		04/12/18
i	14162.156 LOOMIS SAYLES STRAT INC	P		04/12/18
j	8952.34 NEUBERGER BERMAN LG SH INST	P		04/12/18
k	1614.259 OPPENHEIMER DEVELOPING MARKETS	P		04/12/18
l	14656.432 OPPENHEIMER INTL BOND FUND	P		04/12/18
m	8936.872 PRUDENTIAL ABSOLUTE RETURN BOND	P		04/12/18
n	10248.387 PRUDENTIAL JENNISON 20/20 FOCUS	P		04/12/18
o	2492.776 PRUDENTIAL JENNISON HLTH SCI	P		04/12/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,649.		2,198.	2,451.
b 9,068.		10,110.	-1,042.
c 1,867.		2,120.	-253.
d 313,493.		316,422.	-2,929.
e 257,327.		162,603.	94,724.
f 172,147.		162,960.	9,187.
g 62,493.		52,173.	10,320.
h 83,256.		78,069.	5,187.
i 205,776.		208,533.	-2,757.
j 129,898.		108,679.	21,219.
k 68,206.		51,864.	16,342.
l 88,525.		92,872.	-4,347.
m 89,458.		88,084.	1,374.
n 171,251.		165,585.	5,666.
o 126,808.		142,816.	-16,008.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			2,451.
b			-1,042.
c			-253.
d			-2,929.
e			94,724.
f			9,187.
g			10,320.
h			5,187.
i			-2,757.
j			21,219.
k			16,342.
l			-4,347.
m			1,374.
n			5,666.
o			-16,008.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	11055.07 PUTNAM FLOATING RATE INCOME	P		04/12/18
b	14959.639 TOUCHSTONE FLEXIBLE INCOME	P		04/12/18
c	10842.914 WELLS FARGO ASSET ALLOC	P		04/12/18
d	1839.993 AIG FOCUSED DIV STRAT	P		04/12/18
e	364.092 AMERICAN GW FD OF AMERICA	P		04/12/18
f	419.808 BLACKROCK GLOBAL ALLOC	P		04/12/18
g	16.969 CALAMOS EVOLVING WORLD GW	P		04/12/18
h	711.31 JANUS HENDERSON GLOBAL EQ INC	P		04/12/18
i	630.021 LOOMIS SAYLES STRAT INC	P		04/12/18
j	9.073 OPPENHEIMER DEVELOPING MARKETS	P		04/12/18
k	658.696 OPPENHEIMER INTL BOND FUND	P		04/12/18
l	227.212 PRUDENTIAL ABSOLUTE RETURN BOND	P		04/12/18
m	1929.656 PRUDENTIAL JENNISON 20/20 FOCUS	P		04/12/18
n	111.806 PRUDENTIAL JENNISON HLTH SCI	P		04/12/18
o	457.04 PUTNAM FLOATING RATE INCOME	P		04/12/18

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 95,295.		97,806.	-2,511.
b 162,462.		157,419.	5,043.
c 157,114.		132,268.	24,846.
d 32,384.		33,879.	-1,495.
e 18,729.		17,968.	761.
f 8,316.		8,285.	31.
g 268.		252.	16.
h 5,570.		5,493.	77.
i 9,154.		9,202.	-48.
j 402.		378.	24.
k 3,979.		3,921.	58.
l 2,274.		2,263.	11.
m 32,244.		31,241.	1,003.
n 5,687.		5,439.	248.
o 3,940.		3,938.	2.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-2,511.
b			5,043.
c			24,846.
d			-1,495.
e			761.
f			31.
g			16.
h			77.
i			-48.
j			24.
k			58.
l			11.
m			1,003.
n			248.
o			2.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a	557.663 TOUCHSTONE FLEXIBLE INCOME	P		04/12/18
b	227.261 WELLS FARGO ASSET ALLOC	P		04/12/18
c	MORGAN STANLEY - LONG TERM TRANSACTIONS	P		06/07/18
d	MORGAN STANLEY - SHORT TERM TRANSACTIONS	P		06/07/18
e	MORGAN STANLEY - SHORT TERM TRANSACTIONS	P		06/12/18
f	1000 ONEOK PARTNERS LP	P	02/11/04	07/03/17
g	CAPITAL GAINS DIVIDENDS			
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 6,056.		6,127.	-71.
b 3,293.		3,279.	14.
c 7,163.		6,955.	208.
d 3,772.		4,418.	-646.
e 400,849.		394,123.	6,726.
f 21,687.			21,687.
g 70,510.			70,510.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-71.
b			14.
c			208.
d			-646.
e			6,726.
f			21,687.
g			70,510.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	277,278.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
JP MORGAN ASSET MANAGEMENT	189.	189.	
KEYBANK NA	33.	33.	
MORGAN STANLEY	5.	5.	
TOTAL TO PART I, LINE 3	227.	227.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CORPORATE & MUNICIPAL BOND INTEREST	9,463.	0.	9,463.	9,463.	
DIAMANT INVESTMENT CORP	39,142.	0.	39,142.	39,142.	
MORGAN STANLEY	142,680.	70,510.	72,170.	72,170.	
NATIONAL FINANCIAL SERVICES LLC	44,930.	0.	44,930.	44,930.	
TO PART I, LINE 4	236,215.	70,510.	165,705.	165,705.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ONEOK PARTNERS LP	1,288.	1,288.	
ENBRIDGE ENERGY PARTNERS LP	-120.	-120.	
AMERIGAS PARTNERS LP	-513.	-513.	
BUCKEYE PARTNERS LP	-754.	-754.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-99.	-99.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	6,820.	6,820.		0.	
TO FORM 990-PF, PG 1, LN 16B	6,820.	6,820.		0.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT ADVISORY FEES	50,830.	50,830.		0.	
TO FORM 990-PF, PG 1, LN 16C	50,830.	50,830.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INSURANCE	1,744.	872.		872.	
BANK SERVICE CHARGE	51.	51.		0.	
TO FORM 990-PF, PG 1, LN 23	1,795.	923.		872.	

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 7

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED		X	102,957.	103,315.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			102,957.	103,315.
TOTAL TO FORM 990-PF, PART II, LINE 10A			102,957.	103,315.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED	1,996,245.	3,202,244.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,996,245.	3,202,244.

FORM 990-PF CORPORATE BONDS STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED	53,983.	56,693.
TOTAL TO FORM 990-PF, PART II, LINE 10C	53,983.	56,693.

FORM 990-PF OTHER INVESTMENTS STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED	COST	2,253,594.	2,355,340.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,253,594.	2,355,340.

**GEORGE J. & JESSICA HARRIS FOUNDATION**  
**SECURITIES VALUATION**  
**FISCAL YEAR ENDED JUNE 30, 2018**  
**Form 990-PF, Balance Sheet Part II, Line 10(a)-(c) & Line 13**

NO. OF SHARES	SECURITIES	COST BASIS	MARKET VALUE
<b>COMMON STOCKS:</b>			
1,100	ABBVIE, INC	65,515 67	101,915 00
100	ALPHABET INC CL A	77,018 55	112,919 00
1,050	APPLE, INC	60,304 56	194,365 50
1,200	CERNER CORPORATION	73,228 92	71,748 00
900	CHEVRON CORP	89,710 13	113,787 00
2,800	COLGATE PALMOLIVE CO	70,237 24	181,468 00
1,200	CVS HEALTH CORPORATION	112,982 52	77,220 00
1,100	EXXON MOBIL CORP	79,847 50	91,003 00
2,200	GENERAL ELECTRIC	49,541 90	29,942 00
1,000	GLAXOSMITHKLINE	56,549 90	40,310 00
1,000	HELMERICH & PAYNE INC	77,322 68	63,760 00
1,200	HOME DEPOT INC	46,637 76	234,120 00
1,800	JOHNSON & JOHNSON	99,758 96	218,412 00
2,089	JOHNSON CONTROLS INTERNATIONAL PLC	30,830 94	69,877 05
1,000	LEIDOS HOLDINGS INC	41,640 68	59,000 00
500	MCDONALDS CORP	45,172 25	78,345 00
1,000	PEPSICO INC	63,660 30	108,870 00
3,600	PFIZER INC	99,156 75	130,608 00
1,100	PROCTOR & GAMBLE	61,023 48	85,866 00
1,000	TJX COMPANIES INC	71,346 30	95,180 00
1,700	UNITED TECHNOLOGIES CORP	95,378 34	212,551 00
1,500	VERIZON COMMUNICATIONS	63,917 85	75,465 00
1,000	VISA INC CL A	79,485 91	132,450 00
700	WALT DISNEY COMPANY	24,069 53	73,367 00
<b>COMMON STOCKS - FIDELITY</b>		<b>1,634,338.62</b>	<b>2,652,548.55</b>
26	AMGEN INC	3,906 88	4,799 34
85	ANALOG DEVICES INC	7,684 18	8,153 20
152	BANK OF NEW YORK MELLON CORP	8,773 06	8,197 36
73	CHEVRON CORP	7,388 39	9,229 39
33	CHUBB LTD	4,548 39	4,191 66
203	CISCO SYSTEMS INC	8,842 88	8,735 09
72	COLGATE PALMOLIVE CO	5,352 16	4,666 32
68	DOWDUPONT INC	4,780 73	4,482 56
60	HONEYWELL INTL INC	6,640 29	8,643 00
32	INTL BUSINESS MACHINES CORP	4,567 46	4,470 40
67	JOHNSON & JOHNSON	8,501 87	8,129 78
79	JP MORGAN CHASE & CO	4,662 09	8,231 80
72	KRAFT HEINZ CO	6,135 17	4,523 04
25	LOCKHEED MARTIN CORP	8,447 21	7,385 75
50	LOWES COMPANIES INC	5,268 56	4,778 50
27	MC DONALDS CORP	4,396 95	4,230 63
49	MICROSOFT CORP	2,523 02	4,831 89
28	NEXTERA ENERGY INC	3,320 95	4,676 84
63	OCCIDENTAL PETROLEUM CORP	4,617 95	5,271 84
243	PFIZER INC	8,013 29	8,816 04
44	PHILIP MORRIS INTL INC	4,181 36	3,552 56
78	SEMPRA ENERGY	8,191 94	9,056 58
182	VERIZON COMMUNICATIONS	8,493 97	9,156 42
100	WALMART INC	8,188 99	8,565 00
166	WELLS FARGO & CO	8,573 96	9,203 04
<b>COMMON STOCKS - MORGAN STANLEY</b>		<b>156,001.70</b>	<b>165,978.03</b>
<b>TOTAL COMMON STOCKS</b>		<b>1,790,340.32</b>	<b>2,818,526.58</b>

**GEORGE J. & JESSICA HARRIS FOUNDATION**

**SECURITIES VALUATION**

**FISCAL YEAR ENDED JUNE 30, 2018**

**Form 990-PF, Balance Sheet Part II, Line 10(a)-(c) & Line 13**

<b>UTILITY STOCKS:</b>			
1,000	AMEREN CORP	49,235 48	60,850 00
800	CONSOLIDATED EDISON	34,157 19	62,384 00
897	EVERGY INC	46,578 46	50,366 55
985	ONEOK INC	0 00	68,782 55
1,500	PUBLIC SERVICE ENTERPRISE GROUP	47,964 90	81,210 00
930	WEC ENERGY GROUP, INC	27,968 18	60,124 50
<b>TOTAL UTILITY STOCKS</b>		<b>205,904.21</b>	<b>383,717.60</b>
<b>TOTAL CORPORATE STOCK INVESTMENTS [ Line 10(b) ]</b>		<b>1,996,244.53</b>	<b>3,202,244.18</b>
<b>CORPORATE BONDS:</b>			
50,000	MICHIGAN BELL TELEPHONE 7 85% 01-15-22	53,983 14	56,692 50
<b>TOTAL CORPORATE BONDS [ Line 10(c) ]</b>		<b>53,983.14</b>	<b>56,692.50</b>
<b>MUNICIPAL BONDS:</b>			
75,000	STAMFORD CT G O 5 550% 08-01-29	77,385 48	77,235 75
25,000	WISCONSIN G O 5 500% 05-01-29	25,571 17	26,079 00
<b>TOTAL MUNICIPAL BONDS</b>		<b>102,956.65</b>	<b>103,314.75</b>
<b>MUTUAL FUNDS:</b>			
1,217 000	ENERGY SEL SECT SPDR FUND	85,760 65	92,418 98
4,044 000	INVESCO DYNAMIC LARGE CAP VALUE FUND	148,674 42	144,209 04
4,458 000	INVESCO VARIABLE RATE PREFERRED FUND	112,227 03	110,781 30
5,074 000	ISHARES 0-5 HIGH YIELD CORP	237,716 90	236,549 88
3,881 000	ISHARES 0-5 IG CORP	192,915 58	192,614 03
1,083 000	ISHARES EDGE MSCI MIN VOL EAFE	80,137 56	77,044 62
1,089 000	ISHARES EDGE MSCI MIN VOL EMER	68,599 16	62,987 76
658 000	ISHARES INC MSCI JAPAN ETF	39,642 26	38,104 78
1,018 000	ISHARES RUSSELL 1000 GRW ETF	139,937 33	146,388 40
697 000	ISHARES RUSSELL 2000 VALUE ETF	86,619 68	91,948 24
1,038 000	ISHARES RUSSELL MIDCAP V ETF	90,122 48	91,852 62
402 000	ISHARES S&P MID-CAP 400 G ETF	88,271 92	90,361 56
483 000	ISHARES SMALL CAP 600 G ETF	85,646 52	91,267 68
1,313 000	ISHARES TIPS BOND ETF	148,276 30	148,198 31
176 000	ISHARES US INDUSTRIALS ETF	25,578 53	25,291 20
4,070 000	SPDR BLACKSTONE GSO SEN LOAN	192,101 15	191,167 90
2,393 000	THE FINANCIAL SEL SECT SPDR FUND	66,720 91	63,629 87
1,287 000	UTILITIRS SEL SECT SPDR FUND	66,048 84	66,872 52
677 000	VANGUARD FTSE EUROPE ETF	40,246 23	38,000 01
1,174 000	VANGUARD TOTAL STOCK MARKET ETF	161,050 73	164,864 82
<b>TOTAL MUTUAL FUNDS</b>		<b>2,156,294.18</b>	<b>2,164,553.52</b>
<b>REITS:</b>			
2,000	HEALTH CARE PROPERTY INVESTORS, INC	38,249 90	51,640 00
<b>TOTAL REITS</b>		<b>38,249.90</b>	<b>51,640.00</b>
<b>ETFs &amp; CEFS:</b>			
192	ISHARE DOW JONES US EFT	19,406 58	26,177 28
97	SPDR S&P 500 ETF TRUST	19,702 45	26,314 16
187	VANGUARD TTL STK MKT ETF	19,333 86	26,260 41
<b>TOTAL ETFs</b>		<b>58,442.89</b>	<b>78,751.85</b>
<b>PUBLICLY TRADED LIMITED PARTNERSHIPS:</b>			
600	AMERIGAS PARTNERS, L P	5,959 92	25,332 00
500	BUCKEYE PARTNERS L P	19,125 63	17,575 00
1,600	ENBRIDGE ENERGY PARTNERS, LP	(24,478 80)	17,488 00
<b>TOTAL PARTNERSHIPS</b>		<b>606.75</b>	<b>60,395.00</b>
<b>TOTAL OTHER INVESTMENTS [ Line 13 ]</b>		<b>2,253,593.72</b>	<b>2,355,340.37</b>
<b>TOTAL - ALL INVESTMENTS</b>		<b>4,406,778.04</b>	<b>5,717,591.80</b>

**PART XV - SUPPLEMENTARY INFORMATION - GRANTS & CONTRIBUTIONS PAID**

RECIPIENT	STATUS	PURPOSE OF GRANT	AMOUNT
INSPIRICA 141 FRANKLIN STREET STAMFORD, CT 06901	509(a)(1)	TO PROVIDE SHELTER FOR PEOPLE WITH AIDS	\$50,000.00
FAIRFIELD UNIVERSITY QUICK CENTER FOR THE ARTS 1073 NORTH BENSON ROAD FAIRFIELD, CT 06430	501(c)(3)	PERFORMING ARTS	5,000.00
STEPPING STONES MUSEUM FOR CHILDREN 303 WEST AVENUE NORWALK, CT 06850	509(a)(1)	SCIENTIFIC EDUCATION	5,000.00
WOMENS CENTER OF GREATER DANBURY INC 2 WEST AVENUE DANBURY, CT 06810	501(c)(3)	SERVICES FOR ABUSED WOMEN	10,000.00
SAFE FUTURES 16 JAY STREET NEW LONDON, CT 06320	501(c)(3)	SERVICES FOR ABUSED WOMEN	10,000.00
PILGRIM TOWERS 25 WASHINGTON COURT STAMFORD, CT 06907	501(c)(3)	HOUSING FOR THE ELDERLY	10,000.00
YALE UNIVERSITY EYE CENTER 40 TEMPLE STREET NEW HAVEN, CT 06510	501 (c)(3)	HELPS PATIENTS WITH VISION PROBLEMS	5,000.00
OPEN DOORS 4 MERRITT STREET NORWALK, CT 06854	501(c)(3)	PROVIDE SHELTER & SUPPORT FOR THE HOMELESS & THOSE IN POVERTY	50,000.00
KIDS IN CRISIS 1 SALEM STREET COS COB, CT 06807	501(c)(3)	HOUSING AND COUNSELING FOR CHILDREN AND FAMILIES	15,000.00
ONE SANDWICH AT A TIME 15 FISHER LANE, SUITE 200 WHITE PLAINS, NY 10603	501(c)(3)	FEED THE HUNGRY IN NEW YORK CITY	5,000.00
RIDGEFIELD VISITING NURSE ASSOCIATION 27 GOVERNOR STREET RIDGEFIELD, CT 06877	501(c)(3)	PROVIDES HOME BASED NURSING CARE	5,000.00
STAMFORD HOSPITAL FOUNDATION FOR CANCER RESEARCH 1351 WASHINGTON BOULEVARD STAMFORD, CT 06902	501(c)(3)	MEDICAL RESEARCH	50,000.00
STAMFORD SYMPHONY ORCHESTRA 263 TRESSER BOULEVARD STAMFORD, CT 06901	509(a)(1)	PERFORMING ARTS	5,000.00

**PART XV - SUPPLEMENTARY INFORMATION - GRANTS & CONTRIBUTIONS PAID**

<b>RECIPIENT</b>	<b>STATUS</b>	<b>PURPOSE OF GRANT</b>	<b>AMOUNT</b>
THE FOOD BANK OF LOWER FAIRFIELD COUNTY 461 GLENBROOK ROAD STAMFORD, CT 06906	501(c)(3)	FOOD FOR THE NEEDY	15,000.00
STAMFORD CENTER FOR THE ARTS DEVELOPMENT DEPARTMENT 61 ATLANTIC STREET STAMFORD, CT 06901	501(c)(3)	PERFORMING ARTS	5,000.00
STAMFORD OLD TIMERS ATHLETIC ASSOC 500 SHIPPAN AVE STAMFORD, CT 06902	501(c)(3)	SCHOLARSHIPS FOR SCHOLAR ATHLETES	5,000.00
VISITING NURSE & HOSPICE OF FAIRFIELD COUNTY 761 MAIN AVENUE NORWALK, CT 06851	501(c)(3)	PROVIDES NURSING CARE	10,000.00
<b><u>TOTAL GRANTS &amp; CONTRIBUTIONS PAID</u></b>			<b><u>\$260,000.00</u></b>