

Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No 1545-0052

**2017**

Open to Public Inspection

For calendar year 2017 or tax year beginning

JUL 1, 2017

, and ending

JUN 30, 2018

Name of foundation

**Brooks Family Foundation**

A Employer identification number

04-3582018

Number and street (or P.O. box number if mail is not delivered to street address)

c/o LWC, 230 Congress Street

Room/suite

B Telephone number

617-523-6531

City or town, state or province, country, and ZIP or foreign postal code

Boston, MA 02110

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☒ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify) \_\_\_\_\_F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

▶ \$ 13,700,405. (Part I, column (d) must be on cash basis.)

**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

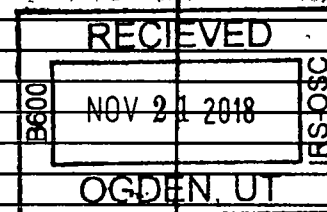
(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue	1 Contributions, gifts, grants, etc., received	2,000,000.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	20,945.	20,945.		Statement 1
	4 Dividends and interest from securities	160,776.	160,776.		Statement 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<1,994,524.>			
	b Gross sales price for all assets on line 6a	720,091.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	97,865.	5,343.		Statement 3
	12 Total. Add lines 1 through 11	285,062.	187,064.		
	13 Compensation of officers, directors, trustees, etc	71,494.	35,747.		35,747.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees Stmt 4	29,122.	11,659.		17,463.
	b Accounting fees				
	c Other professional fees Stmt 5	17,875.	8,510.		9,365.
	17 Interest				
	18 Taxes Stmt 6	68,006.	764.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses Stmt 7	1,103.	103.		1,000.
	24 Total operating and administrative expenses. Add lines 13 through 23	187,600.	56,783.		63,575.
	25 Contributions, gifts, grants paid	626,000.			626,000.
	26 Total expenses and disbursements. Add lines 24 and 25	813,600.	56,783.		689,575.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<528,538.>			
	b Net investment income (if negative, enter -0-)		130,281.		
	c Adjusted net income (if negative, enter -0-)			N/A	



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		9,959,756.	2,833,248.	2,833,248.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations Stmt 9	0.	5,040,081.	5,040,081.	
	b	Investments - corporate stock Stmt 10	997,820.	5,520,927.	5,520,927.	
	c	Investments - corporate bonds				
	Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶				
12		Investments - mortgage loans				
13		Investments - other Stmt 11	1,297,920.	306,149.	306,149.	
14		Land, buildings, and equipment: basis ▶				
		Less: accumulated depreciation ▶				
15		Other assets (describe ▶)				
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	12,255,496.	13,700,405.	13,700,405.	
17		Accounts payable and accrued expenses				
18		Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)	0.	0.		
		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31.				
	24	Unrestricted	12,255,496.	13,700,405.		
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
27	Capital stock, trust principal, or current funds					
28	Paid-in or capital surplus, or land, bldg., and equipment fund					
29	Retained earnings, accumulated income, endowment, or other funds					
30	Total net assets or fund balances	12,255,496.	13,700,405.			
31	Total liabilities and net assets/fund balances	12,255,496.	13,700,405.			

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,255,496.
2	Enter amount from Part I, line 27a	2	<528,538.>
3	Other increases not included in line 2 (itemize) ▶ Unrealized Gains on Investments	3	1,973,447.
4	Add lines 1, 2, and 3	4	13,700,405.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	13,700,405.

Form 990-PF (2017)

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a Publicly Traded Securities</b>				
<b>b From Passthrough</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
<b>a</b> 731,040.		2,714,615.	<1,983,575.>	
<b>b</b> <10,949.>			<10,949.>	
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
<b>a</b>			<1,983,575.>	
<b>b</b>			<10,949.>	
<b>c</b>				
<b>d</b>				
<b>e</b>				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	<1,994,524.>	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	739,120.	11,554,246.	.063970
2015	559,500.	12,130,373.	.046124
2014	500,500.	10,441,945.	.047932
2013	484,000.	10,136,822.	.047747
2012	824,592.	10,508,381.	.078470
2 Total of line 1, column (d)			2 .284243
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 .056849
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			4 12,787,423.
5 Multiply line 4 by line 3			5 726,952.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,303.
7 Add lines 5 and 6			7 728,255.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 689,575.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	2,606.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	2,606.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,606.
6 Credits/Payments:			
a 2017 estimated tax payments and 2016 overpayment credited to 2017	6a	9,031.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d	7	9,031.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,425.	
11 Enter the amount of line 10 to be: Credited to 2018 estimated tax <input type="checkbox"/> 6,425. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <input type="checkbox"/> MA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2017)

**Part VII-A** Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► <u>Loring, Wolcott &amp; Coolidge Trust, L</u> Telephone no. ► <u>617-523-6531</u> Located at ► <u>c/o LWC, 230 Congress Street, Boston, MA</u> ZIP+4 ► <u>02110</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year		
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		X

Form 990-PF (2017)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

5b

Organizations relying on a current notice regarding disaster assistance, check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Andrew P. Prague	Trustee			
15 Walnut Street, #150				
Wellesley, MA 02481	3.00	57,244.	0.	0.
Wendy S. Holding	Trustee			
230 Congress Street				
Boston, MA 02110	3.00	7,125.	0.	0.
W. Andrew Mims	Trustee			
230 Congress Street				
Boston, MA 02110	3.00	7,125.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2017)



**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,145,086.
b	Average of monthly cash balances	1b	9,239,253.
c	Fair market value of all other assets	1c	597,816.
d	Total (add lines 1a, b, and c)	1d	12,982,155.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	12,982,155.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	194,732.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,787,423.
6	Minimum investment return. Enter 5% of line 5	6	639,371.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	639,371.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	2,606.
b	Income tax for 2017. (This does not include the tax from Part VI.)	2b	15,515.
c	Add lines 2a and 2b	2c	18,121.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	621,250.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	621,250.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	621,250.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	689,575.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	689,575.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	689,575.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2017)



**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				621,250.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2017:				
a From 2012	5,767.			
b From 2013				
c From 2014				
d From 2015				
e From 2016	188,359.			
f Total of lines 3a through e	194,126.			
4 Qualifying distributions for 2017 from Part XII, line 4: ▶ \$	689,575.			
a Applied to 2016, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2017 distributable amount				621,250.
e Remaining amount distributed out of corpus	68,325.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	262,451.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7	5,767.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	256,684.			
10 Analysis of line 9:				
a Excess from 2013				
b Excess from 2014				
c Excess from 2015				
d Excess from 2016	188,359.			
e Excess from 2017	68,325.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling

**b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

**c** Qualifying distributions from Part XII,  
line 4 for each year listed

**d** Amounts included in line 2c not used directly for active conduct of exempt activities

**e Qualifying distributions made directly for active conduct of exempt activities.**

Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

**(2) Value of assets qualifying under section 4942(i)(3)(B)(i)**

**b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

**(3) Largest amount of support from an exempt organization**

**(4) Gross investment income**

**Part XV** **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c Any submission deadlines:**

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
Bangor Area Homeless Center 263 Main Street Bangor, ME 04401	N/A	PC	General Support	2,500.
Boys and Girls Club of Southern Maine 277 Cumberland Avenue Portland, ME 04101	N/A	PC	General Support	15,000.
Chewonki Foundation 485 Chewonki Neck Road Wiscasset, ME 04578	N/A	PC	General Support	1,000.
Children of Rural Africa 230 E. Main Street Evans City, PA 16033	N/A	PC	General Support	5,000.
CNY Arts Inc. 421 Montgomery Street, 11th Floor Syracuse, NY 13202	N/A	PC	General Support	2,000.
<b>Total</b>	<b>See continuation sheet(s)</b>			<b>626,000.</b>
<b>b Approved for future payment</b>				
None				
<b>Total</b>				<b>0.</b>





**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No 1545-0047

**2017**

Name of the organization

**Brooks Family Foundation**

Employer identification number

**04-3582018**

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)( ) (enter number) organization

☐

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

☒

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

☐

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year.

▶ \$

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2017)**

Name of organization	Employer identification number
Brooks Family Foundation	04-3582018

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	James E. Brooks 364 Spring Street Portland, ME 04102	\$ 2,000,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Employer identification number

04-3582018

**Part II**[illegible]



Name of organization

Employer identification number

Brooks Family Foundation

04-3582018

**Part III**

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

## Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
Interest from Loan Funds	18,514.	18,514.	.
Interest Income	2,431.	2,431.	.
Total to Part I, line 3	20,945.	20,945.	

## Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Dividend Income	160,776.	0.	160,776.	160,776.	
To Part I, line 4	160,776.	0.	160,776.	160,776.	

## Form 990-PF Other Income Statement 3

Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
From Passthrough	97,865.	5,343.	
Total to Form 990-PF, Part I, line 11	97,865.	5,343.	

## Form 990-PF Legal Fees Statement 4

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Legal Fees	29,122.	11,659.		17,463.
To Fm 990-PF, Pg 1, ln 16a	29,122.	11,659.		17,463.

Form 990-PF	Other Professional Fees	Statement	5
-------------	-------------------------	-----------	---

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Tax Advisory	17,020.	8,510.		8,510.
Fiduciary Fees - Loring, Wolcott & Coolidge Trust	855.	0.		855.
To Form 990-PF, Pg 1, ln 16c	17,875.	8,510.		9,365.

Form 990-PF	Taxes	Statement	6
-------------	-------	-----------	---

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Excise Taxes	15,200.	0.		0.
Unrelated Business Income Tax	26,926.	0.		0.
State Unrelated Business Income Tax	25,116.	0.		0.
Foreign Tax Expense	764.	764.		0.
To Form 990-PF, Pg 1, ln 18	68,006.	764.		0.

Form 990-PF	Other Expenses	Statement	7
-------------	----------------	-----------	---

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Massachusetts Filing Fees	1,000.	0.		1,000.
Investment Fees	103.	103.		0.
To Form 990-PF, Pg 1, ln 23	1,103.	103.		1,000.

Footnotes	Statement	8
-----------	-----------	---

Form 990-PF U.S. and State/City Government Obligations Statement 9

Description.	U.S. Gov't	Other Gov't	Book Value	Fair Market Value
US Bonds & Bond Funds - See Statement 15	X		5,040,081.	5,040,081.
Total U.S. Government Obligations			5,040,081.	5,040,081.
Total State and Municipal Government Obligations				
Total to Form 990-PF, Part II, line 10a			5,040,081.	5,040,081.

Form 990-PF Corporate Stock Statement 10

Description	Book Value	Fair Market Value
Equities - See Statement 15	5,520,927.	5,520,927.
Total to Form 990-PF, Part II, line 10b	5,520,927.	5,520,927.

Form 990-PF Other Investments Statement 11

Description	Valuation Method	Book Value	Fair Market Value
Domini Impact Investments	FMV	18,797.	18,797.
Equity Resource Fund XI	FMV	12,745.	12,745.
Cayuga Venture Fund V	FMV	274,607.	274,607.
Total to Form 990-PF, Part II, line 13		306,149.	306,149.

## Brooks Family Foundation

04-3582018

**Part XV, Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Common Dreams P.O. Box 443 Portland, ME 04112	N/A	PC	General Support	2,000.
Community Partners International 2560 Ninth Street Berkeley, CA 94710	N/A	PC	General Support	10,000.
Cornell School of Veterinary Medicine Cornell University Ithaca, NY 14853	N/A	PC	General Support	1,000.
Family & Children's Services of Ithaca, Inc. 127 W. State Street Ithaca, NY 14850	N/A	PC	General Support	5,000.
Democracy Now Productions, Inc. 207 West 25th Street, 11th Floor New York, NY 10001	N/A	PC	General Support	2,000.
Eco-Soap Bank 2617 Shady Avenue, Unit 3 Pittsburgh, PA 15217	N/A	PC	General Support	5,000.
Educate the Children International 192 Garfield Avenue Staten Island, NY 10305	N/A	PC	General Support	1,000.
Figures of Speech 77 Durham Road Freeport, ME 04032	N/A	PC	General Support	1,000.
Finger Lakes Land Trust 202 E. Court Street Ithaca, NY 14850	N/A	PC	General Support	1,000.
Food Bank of Central NY 7066 Interstate Island Drive Syracuse, NY 13209	N/A	PC	General Support	5,000.
Total from continuation sheets				600,500.

## Brooks Family Foundation

04-3582018

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Food Bank of Southern Tier 388 Upper Oakwood Avenue Elmira, NY 14903	N/A	PC	General Support	5,000.
Food Bank of Western NY 91 Holt Street Buffalo, NY 14206	N/A	PC	General Support	5,000.
Foodlink, Inc. 1999 Mt Read Boulevard Rochester, NY 14615	N/A	PC	General Support	5,000.
Nutrition for the Elderly in Tomkins County, Inc. 2422 N Triphammer Road Ithaca, NY 14850	N/A	PC	General Support	2,000.
Franziska Racker Centers 3226 Wilkins Road Ithaca, NY 14850	N/A	PC	General Support	1,000.
Friends of Mount Auburn Cemetery 580 Mount Auburn Street Cambridge, MA 02138	N/A	PC	General Support	1,000.
Friends of the Portland Community Free Clinic 10A Beach Street, Suite 2 Portland, ME 04101	N/A	PC	General Support	10,000.
Friends of Stewart Park 101 East State Street, #222 Ithaca, NY 14850	N/A	PC	General Support	51,000.
Friendship with Cambodia 12651 San Pabb Avenue, #5473 Richmond, CA 94801	N/A	PC	General Support	3,000.
Friendship Donations Network 1013 West State Street Ithaca, NY 14850	N/A	PC	General Support	2,000.
Total from continuation sheets				

## Brooks Family Foundation

04-3582018

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Gadabout Transportation Services, Inc. 737 Willow Avenue Ithaca, NY 14850	N/A	PC	General Support	2,000.
Global Soap Project P.O. Box 19873 Atlanta, GA 30325	N/A	PC	General Support	5,000.
Golden Opportunity, Inc. 505 Linn Street Ithaca, NY 14850	N/A	PC	General Support	20,000.
Good Shepherd Food Bank 1321 Hotel Road Auburn, ME 04210	N/A	PC	General Support	15,000.
Grassroots International 179 Boylston Street, 4th Floor Boston, MA 02130	N/A	PC	General Support	2,500.
Portland Community Health Center 234 Oxford Street Portland, ME 04101	N/A	PC	General Support	5,000.
Greater Portland Landmarks 93 High Street Portland, ME 04101	N/A	PC	General Support	2,000.
Trustees of Hamilton College 198 College Hill Road Clinton, NY 13323	N/A	PC	General Support	10,000.
HealthReach 10 Water Street Waterville, ME 04901	N/A	PC	General Support	60,000.
Healthy Smiles for ME 39 Breton Lane Oxford, ME 04270	N/A	PC	General Support	10,000.
Total from continuation sheets				

## Brooks Family Foundation

04-3582018

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Historic Ithaca 212 Center Street Ithaca, NY 14850	N/A	PC	General Support	1,000.
History Center of Tompkins County 401 E. State Street Ithaca, NY 14850	N/A	PC	General Support	1,000.
Hope and Comfort P.O. Box 241 Newton Upper Falls, MA 02464	N/A	PC	General Support	1,000.
Immigrant Welcome Center 901 Shelby Street, #B300 Indianapolis, IN 46203	N/A	PC	General Support	1,500.
International Vision Volunteers 300 H Street, Suite D Antoch, CA 94509	N/A	PC	General Support	3,000.
Ithaca College 953 Danby Road Ithaca, NY 14850	N/A	PC	General Support	30,000.
Khan Academy P.O. Box 1630 Mountain View, CA 94042	N/A	PC	General Support	1,000.
Mid-Coast Health Net 22 White Street, #201 Rockland, ME 04841	N/A	PC	General Support	10,000.
Lifeflight of Maine 43 Whiting Hill Road, Suite 400 Brewer, ME 04412	N/A	PC	General Support	10,000.
Lovin' Soap Project 1250 NE Depot Drive Lees Summit, MO 64086	N/A	PC	General Support	3,000.
Total from continuation sheets				



## Brooks Family Foundation

04-3582018

**Part XV, Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MADRE 121 W. 27th Street, Suite 301 New York, NY 10001	N/A	PC	General Support	2,000.
Maine Children's Home 93 Silver Street Waterville, ME 04901	N/A	PC	General Support	2,000.
Maine Historical Society 136 Quaker Lane Smithfield, ME 04978	N/A	PC	General Support	1,000.
Maine Humanities Council 674 Brighton Avenue Portland, ME 04102	N/A	PC	General Support	3,000.
Maine Media Workshops and College P.O. Box 200 Rockport, ME 04856	N/A	PC	General Support	3,000.
Mayo Street Arts 10 Mayo Street Portland, ME 04101	N/A	PC	General Support	25,000.
Mid-Maine Homeless Shelter 19 Colby Street Waterville, ME 04901	N/A	PC	General Support	2,000.
MIT Council on the Arts 77 Massachusetts Avenue Cambridge, MA 02139	N/A	PC	General Support	5,000.
Nepal Youth Foundation 3030 Bridgeway, Suite 202 Sausalito, CA 94965	N/A	PC	General Support	10,000.
Opportunities Alternatives and Resources of Tompkins County 910 W State Street Ithaca, NY 14850	N/A	PC	General Support	1,000.
Total from continuation sheets				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Oasis Free Clinic 66 Baribeau Drive Brunswick, ME 04011	N/A	PC	General Support	10,000.
Oceanside Conservation Trust P.O. Box 10404 Portland, ME 04104	N/A	PC	General Support	2,000.
Occupational Knowledge International 4444 Geary Boulevard, Suite 208 San Francisco, CA 94118	N/A	PC	General Support	5,000.
Partners in Health 150 State Street Brewer, ME 04412	N/A	PC	General Support	10,000.
Planned Parenthood Federation of America 123 Williams Street New York, NY 10038	N/A	PC	General Support	5,000.
Portland Adult Education P.O. Box 3185 Portland, ME 04104	N/A	PC	General Support	1,000.
Portland Education Foundation 353 Cumberland Avenue Portland, ME 04101	N/A	PC	General Support	5,000.
Portland Museum of Art 7 Congress Square Portland, ME 04101	N/A	PC	General Support	1,000.
Portland Stage Company 25A Forest Avenue Portland, ME 04101	N/A	PC	General Support	20,000.
Portland Symphony Orchestra 50 Monument Square, 2nd Floor Portland, ME 04101	N/A	PC	General Support	2,000.
Total from continuation sheets				-

## Brooks Family Foundation

04-3582018

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Preble Street 38 Preble Street Portland, ME 04101	N/A	PC	General Support	10,000.
Rain for the Sahel and Sahara P.O. Box 1503 Portsmouth, NH 03802	N/A	PC	General Support	3,000.
Regional Food Bank of Northeastern NY 965 Albany Shaker Road Latham, NY 12110	N/A	PC	General Support	5,000.
RISE UP P.O. Box 551 Boulder, CO 80306	N/A	PC	General Support	5,000.
Samuel Curtis Johnson Graduate School of Management 130 E Seneca Street Ithaca, NY 14850	N/A	SO I	General Support	5,000.
SavingSmiles P.O. Box 119 Windsor, ME 04363	N/A	PC	General Support	7,000.
SPACE Gallery 538 Congress Street Portland, ME 04101	N/A	PC	General Support	1,000.
Speech Therapy Cambodia 4189 26th Street San Francisco, CA 94131	N/A	PC	General Support	1,000.
St. Boniface Haiti Foundation 383 Elliot Street, Door G, Suite 100 Newton Upper Falls, MA 02464	N/A	PC	General Support	5,000.
Sunrise Opportunities P.O. Box 88 Machias, ME 04654	N/A	PC	General Support	10,000.
Total from continuation sheets				

## Brooks Family Foundation

04-3582018

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
The Children's Initiative 15 Chadwick Street Portland, ME 04102	N/A	PC	General Support	5,000.
That Man May See 1426 Fillmore Street, Suite 219 San Francisco, CA 94115	N/A	PC	General Support	5,000.
The Telling Room 225 Commercial Street, Suite 201 Portland, ME 04101	N/A	PC	General Support	8,000.
Third Monday Foundation 1 Memorial Way Pawling, NY 12564	N/A	PC	General Support	10,000.
Tompkins County Public Library 509 Esty Street Ithaca, NY 14850	N/A	PC	General Support	2,500.
United Way of Aroostook 830 Main Street, D11 Presque Isle, ME 04769	N/A	PC	General Support	15,000.
United Way of Broome County 101 Jensen Road Vestal, NY 13850	N/A	PC	General Support	5,000.
United Way of Central NY 518 James Street Syracuse, NY 13203	N/A	PC	General Support	5,000.
United Way of Cortland County 50 Clinton Avenue Cortland, NY 13045	N/A	PC	General Support	5,000.
United Way of Eastern Maine 700 Main Street, #1 Bangor, ME 04401	N/A	PC	General Support	10,000.
Total from continuation sheets				

## Brooks Family Foundation

04-3582018

**Part XV, Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
United Way of Greater Portland 1 Canal Plaza Portland, ME 04101	N/A	PC	General Support	15,000.
United Way of Tompkins Valley 313 N. Aurora Street Ithaca, NY 14850	N/A	PC	General Support	10,000.
United Way of Tri-Valley Area 218 Fairbanks Road Farmington, ME 04938	N/A	PC	General Support	10,000.
University at Buffalo Foundation P.O. Box 900 Buffalo, NY 14226	N/A	PC	General Support	1,000.
USM School of Music 96 Falmouth Street Portland, ME 04103	N/A	GOV	General Support	2,000.
Kennebec Valley Dental Coalition, Inc. 93 Main Street Waterville, ME 04901	N/A	PC	General Support	7,000.
Wheaton College 501 College Avenue Wheaton, IL 60187	N/A	PC	General Support	2,000.
Wikipedia P.O. Box 98204 Washington, DC 20090	N/A	PC	General Support	1,000.
Displaced Homemakers Center of Tompkins County, Inc. 315 N. Tioga Street Ithaca, NY 14850	N/A	PC	General Support	2,000.
Zambian Children's Fund P.O. Box 42996 Tucson, AZ 85733	N/A	PC	General Support	2,000.
Total from continuation sheets				