

Form **990-PF**

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927  
C&E

**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

2949132105911 8

OMB No 1545-0052

**2017**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.  
▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information

For calendar year 2017 or tax year beginning 07/01, 2017, and ending 06/30, 2018

Name of foundation: **SYLVIA E ROSS TRUST**

Number and street (or P.O. box number if mail is not delivered to street address): **P O BOX 1802**

Room/suite: \_\_\_\_\_

City or town, state or province, country, and ZIP or foreign postal code: **PROVIDENCE, RI 02901-1802**

A Employer identification number: **01-6017314**

B Telephone number (see instructions): **888-866-3275**

C If exemption application is pending, check here

D 1 Foreign organizations, check here   
2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

H Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation **90**

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ **7,428,287.**

J Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B.				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	195,862.	195,488.		STMT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	386,847.			
b	Gross sales price for all assets on line 6a	1,022,886.			
7	Capital gain net income (from Part IV, line 2)		386,847.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)		762.		STMT 2
12	Total Add lines 1 through 11	582,709.	583,097.		
13	Compensation of officers, directors, trustees, etc.	60,254.	36,152.		24,102.
14	Other employee salaries and wages		NONE	NONE	
15	Pension plans, employee benefits		NONE	NONE	
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) STMT 3	1,250.	750.	NONE	500.
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see instructions) STMT 4	7,285.	5,205.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings		NONE	NONE	
22	Printing and publications		NONE	NONE	
23	Other expenses (attach schedule) STMT 5	2,316.	3,230.		
24	Total operating and administrative expenses. Add lines 13 through 23.	71,105.	45,337.	NONE	24,602.
25	Contributions, gifts, grants paid	333,702.			333,702.
26	Total expenses and disbursements Add lines 24 and 25	404,807.	45,337.	NONE	358,304.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	177,902.			
b	Net investment income (if negative, enter -0-)		537,760.		
c	Adjusted net income (if negative, enter -0-)				

ENVELOPE  
POSTMARK DATE  
NOV 14 2018

92  
10

SCANNED  
Revenue  
JAN 30 2019

Operating and Administrative Expenses

RECEIVED  
NOV 15 2018  
IRVING  
OGDEN, UT

12  
929

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash - non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	166,501.	119,328.	119,328.
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ NONE			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments - U S and state government obligations (attach schedule) . . . . .			
	b	Investments - corporate stock (attach schedule) . STMT 6 . . . . .	6,104,815.	6,083,832.	6,764,669.
	c	Investments - corporate bonds (attach schedule) . . . . .			
	11	Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments - mortgage loans . . . . .			
	13	Investments - other (attach schedule) . . . . . STMT 7 . . . . .	401,996.	444,667.	544,290.
	14	Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____ )				
16	<b>Total assets</b> (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .	6,673,312.	6,647,827.	7,428,287.	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____ )			
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .			NONE	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31				
	27	Capital stock, trust principal, or current funds . . . . .	6,673,312.	6,647,827.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .			
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .			
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	6,673,312.	6,647,827.		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	6,673,312.	6,647,827.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1 6,673,312.
2	Enter amount from Part I, line 27a . . . . .	2 177,902.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3 2,357.
4	Add lines 1, 2, and 3 . . . . .	4 6,853,571.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 9	5 205,744.
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6 6,647,827.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )				(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1 a PUBLICLY TRADED SECURITIES</b>						
<b>b OTHER GAINS AND LOSSES</b>						
c						
d						
e						
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))			
a 209,607.		189,739.	19,868.			
b 813,279.		446,300.	366,979.			
c						
d						
e						
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69						
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0- ) or Losses (from col (h))			
a			19,868.			
b			366,979.			
c						
d						
e						
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }				2	386,847.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8 . . . . . }				3		

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	572,199.	7,018,444.	0.081528
2015	305,733.	6,744,562.	0.045330
2014	286,795.	7,241,701.	0.039603
2013	257,036.	7,056,104.	0.036427
2012	239,541.	6,514,701.	0.036769
2 Total of line 1, column (d) . . . . .			2 0.239657
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years . . . . .			3 0.047931
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5 . . . . .			4 7,380,414.
5 Multiply line 4 by line 3. . . . .			5 353,751.
6 Enter 1% of net investment income (1% of Part I, line 27b) . . . . .			6 5,378.
7 Add lines 5 and 6. . . . .			7 359,129.
8 Enter qualifying distributions from Part XII, line 4 . . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 358,304.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . . Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	1	10,755.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	NONE
3	Add lines 1 and 2 . . . . .	3	10,755.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	NONE
5	Tax based on investment income Subtract line 4 from line 3 If zero or less, enter -0- . . . . .	5	10,755.
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017 . . . . .	6a	2,709.
b	Exempt foreign organizations - tax withheld at source . . . . .	6b	NONE
c	Tax paid with application for extension of time to file (Form 8868) . . . . .	6c	NONE
d	Backup withholding erroneously withheld . . . . .	6d	
7	Total credits and payments Add lines 6a through 6d . . . . .	7	2,709.
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8	
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9	8,046.
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10	
11	Enter the amount of line 10 to be Credited to 2018 estimated tax <input type="checkbox"/> NONE Refunded <input type="checkbox"/>	11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a		X
b		X
c		X
d		
e		
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions . . . . .		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions . . . . .		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	X	
14 The books are in care of ▶ <u>US TRUST FIDUCIARY TAX SERVICES</u> Telephone no ▶ <u>(888) 866-3275</u> Located at ▶ <u>P.O. BOX 1802, PROVIDENCE, RI</u> ZIP+4 ▶ <u>02901</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here . . . . . ▶ <input checked="" type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ <u>15</u>		
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .		X
See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . . <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance, check here ▶ <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? . . . . .	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) . . . . .	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017) . . . . .	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b	X



**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services . . . . . ► NONE

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

Expenses

1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 . . . . . ►	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities . . . . .	1a	7,362,451.
b	Average of monthly cash balances . . . . .	1b	130,355.
c	Fair market value of all other assets (see instructions). . . . .	1c	NONE
d	<b>Total</b> (add lines 1a, b, and c) . . . . .	1d	7,492,806.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets . . . . .	2	NONE
3	Subtract line 2 from line 1d . . . . .	3	7,492,806.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	112,392.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	7,380,414.
6	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	6	369,021.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part)

1	Minimum investment return from Part X, line 6 . . . . .	1	369,021.
2a	Tax on investment income for 2017 from Part VI, line 5 . . . . .	2a	10,755.
b	Income tax for 2017 (This does not include the tax from Part VI) . . . . .	2b	
c	Add lines 2a and 2b . . . . .	2c	10,755.
3	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	3	358,266.
4	Recoveries of amounts treated as qualifying distributions . . . . .	4	NONE
5	Add lines 3 and 4 . . . . .	5	358,266.
6	Deduction from distributable amount (see instructions). . . . .	6	NONE
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	358,266.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 . . . . .	1a	358,304.
b	Program-related investments - total from Part IX-B . . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required) . . . . .	3a	NONE
b	Cash distribution test (attach the required schedule) . . . . .	3b	NONE
4	<b>Qualifying distributions</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	358,304.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions . . . . .	5	N/A
6	<b>Adjusted qualifying distributions</b> Subtract line 5 from line 4 . . . . .	6	358,304.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7 . . . . .				358,266.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only. . . . .			83,020.	
b Total for prior years 20____,20____,20____		NONE		
3 Excess distributions carryover, if any, to 2017				
a From 2012 . . . . .	NONE			
b From 2013 . . . . .	NONE			
c From 2014 . . . . .	NONE			
d From 2015 . . . . .	NONE			
e From 2016 . . . . .	NONE			
f Total of lines 3a through e . . . . .	NONE			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ <u>358,304.</u>				
a Applied to 2016, but not more than line 2a . . . . .			83,020.	
b Applied to undistributed income of prior years (Election required - see instructions) . . . . .		NONE		
c Treated as distributions out of corpus (Election required - see instructions) . . . . .	NONE			
d Applied to 2017 distributable amount. . . . .				275,284.
e Remaining amount distributed out of corpus. . . . .	NONE			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions . . . . .		NONE		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018. . . . .				82,982.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .	NONE			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions) . . . . .	NONE			
9 Excess distributions carryover to 2018 Subtract lines 7 and 8 from line 6a . . . . .	NONE			
10 Analysis of line 9				
a Excess from 2013 . . . . .	NONE			
b Excess from 2014 . . . . .	NONE			
c Excess from 2015 . . . . .	NONE			
d Excess from 2016 . . . . .	NONE			
e Excess from 2017 . . . . .	NONE			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
b 85% of line 2a . . . . .					
c Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets . . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
(3) Largest amount of support from an exempt organization . . . . .					
(4) Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
ROSSCARE INC ACCTG DEPT SUITE 400 43 WHITING HILL RD BREWER ME 04401	N/A	EOF	UNRESTRICTED GENERAL SUPPORT	333,127.
BANGOR HUMANE SOCIETY 693 MOUNT HOPE AVE BANGOR ME 04401	N/A	PC	UNRESTRICTED GENERAL SUPPORT	200.
SALVATION ARMY 440 W NYACK ROAD WEST NYACK NY 10994-1753	N/A	PC	UNRESTRICTED GENERAL SUPPORT	50.
UNITARIAN UNIVERSALIST SOCIETY OF BANGOR 120 PARK ST BANGOR ME 04401	N/A	PC	UNRESTRICTED GENERAL SUPPORT	325.
<b>Total</b> . . . . . ▶ <b>3a</b>				333,702.
<b>b Approved for future payment</b>				
<b>Total</b> . . . . . ▶ <b>3b</b>				



Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of:
(1) Cash
(2) Other assets
b Other transactions
(1) Sales of assets to a noncharitable exempt organization
(2) Purchases of assets from a noncharitable exempt organization
(3) Rental of facilities, equipment, or other assets
(4) Reimbursement arrangements
(5) Loans or loan guarantees
(6) Performance of services or membership or fundraising solicitations
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees
d If the answer to any of the above is "Yes," complete the following schedule

Table with 2 columns: Yes, No. Rows for items 1a(1), 1a(2), 1b(1) through 1b(6), and 1c.

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? Yes No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Sign Here: Signature of officer or trustee, Date (11/13/2018), Title (MANAGING DIR), and a box for 'May the IRS discuss this return with the preparer shown below?'.

Paid Preparer Use Only: Print/Type preparer's name, Preparer's signature, Date, Check if self-employed, PTIN, Firm's name, Firm's address, Firm's EIN, Phone no.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
USGI REPORTED AS NONQUALIFIED DIVIDENDS	974.	974.
FOREIGN DIVIDENDS	32,627.	32,627.
DOMESTIC DIVIDENDS	81,332.	81,332.
OTHER INTEREST	12,750.	12,750.
FOREIGN INTEREST	1,239.	1,239.
U.S. GOVERNMENT INTEREST (FEDERAL TAXABLE NON-TAXABLE FOREIGN INCOME)	4,047.	4,047.
US GOVERNMENT INTEREST REPORTED AS QUALI NONQUALIFIED FOREIGN DIVIDENDS	374.	530.
NONQUALIFIED DOMESTIC DIVIDENDS	530.	9,903.
	9,903.	52,086.
	52,086.	
TOTAL	195,862.	195,488.

FORM 990PF, PART I - OTHER INCOME

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
PARTNERSHIP INCOME		762.
TOTALS		762.

FORM 990PF, PART I - ACCOUNTING FEES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE - BOA	1,250.	750.		500.
TOTALS	1,250.	750.	NONE	500.

FORM 990PF, PART I - TAXES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	3,678.	3,678.
EXCISE TAX ESTIMATES	2,080.	
FOREIGN TAXES ON QUALIFIED FOR	975.	975.
FOREIGN TAXES ON NONQUALIFIED	552.	552.
TOTALS	7,285.	5,205.

FORM 990PF, PART I - OTHER EXPENSES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
OTHER ALLOCABLE EXPENSE-PRINCI	1,158.	1,158.
OTHER ALLOCABLE EXPENSE-INCOME	1,158.	1,158.
PARTNERSHIP EXPENSES		914.
TOTALS	2,316.	3,230.

SYLVIA E ROSS TRUST

01-6017314

FORM 990PF, PART II - CORPORATE STOCK

=====

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
464287507 ISHARES CORE S&P MID	182,579.	504,519.	608,882.
464287655 ISHARES RUSSELL 2000	242,084.	223,682.	330,160.
921943858 VANGUARD FTSE DEVELO	968,589.	934,815.	1,001,672.
922042858 VANGUARD FTSE EMERGI	363,459.	342,877.	385,624.
1261292H7 INTERNATIONAL EQUITY	68,414.	73,779.	71,561.
207543877 SMALL CAP GROWTH LEA	193,692.	196,056.	220,763.
302993993 MID CAP VALUE CTF	231,089.	73,450.	74,917.
303995997 SMALL CAP VALUE CTF	188,588.	203,963.	223,368.
99Z466163 HIGH QUALITY CORE CO	69,427.	99,232.	73,865.
73935S105 POWERSHARES DB COMMO	84,635.		
693390841 PIMCO HIGH YIELD FD	70,000.	76,500.	74,973.
202671913 AGGREGATE BOND CTF	496,364.	538,128.	528,622.
82599C991 SHORT TERM TAXABLE B	360,175.	385,986.	377,116.
29099J109 EMERGING MARKETS STO	137,554.	150,568.	173,758.
323991307 MID CAP GROWTH CTF	236,222.	69,473.	74,686.
99Z466197 INTERNATIONAL FOCUS	57,585.	64,536.	71,209.
99Z501647 STRATEGIC GROWTH COM	69,117.	75,600.	76,018.
922908363 VANGUARD S&P 500 ETF	1,725,500.	1,648,460.	1,964,642.
38145C646 GOLDMAN SACHS STRATE	180,000.	179,556.	176,466.
94987W737 WELLS FARGO ABSOLUTE	104,000.	99,801.	107,891.
45399C107 DIVIDEND INCOME COMM	75,742.	79,177.	74,485.
46138B103 INVESCO DB COMMODITY		63,674.	73,991.
TOTALS	6,104,815.	6,083,832.	6,764,669.

SYLVIA E ROSS TRUST

01-6017314

FORM 990PF, PART II - OTHER INVESTMENTS  
=====

DESCRIPTION	COST/ FMV C OR F	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
8EQQ69994 GROSVENOR REGISTERED	C	401,996.	444,667.	544,290.
TOTALS		401,996.	444,667.	544,290.

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

=====

DESCRIPTION -----	AMOUNT -----
TYE ROUNDING ADJUSTMENT	5.
TYE PSHP GAIN ADJUSTMENT	2,352.
	-----
TOTAL	2,357.
	=====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES  
=====

DESCRIPTION -----	AMOUNT -----
CTF COST ADJUSTMENT	205,300.
TYE COST ADJUSTMENT	444.
	-----
TOTAL	205,744.
	=====

FORM 990PF, PART VII-B, LN 5(c) EXPENDITURE RESPONSIBILITY STATEMENT  
=====

NAME:

LISA HARVEY MCPHERSON

ADDRESS:

43 WHITING HILL ROAD  
BREWER, ME 04412

GRANT DATE: 07/28/2017

GRANT AMOUNT ..... 18,427.

GRANT PURPOSE:

SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME

DATES OF REPORTS BY GRANTEE:

07/30/2015

DATE OF VERIFICATION: 01/01/1900

NAME:

LISA HARVEY MCPHERSON

ADDRESS:

43 WHITING HILL ROAD  
BREWER, ME 04412

GRANT DATE: 08/28/2017

GRANT AMOUNT ..... 18,427.

GRANT PURPOSE:

SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME

DATES OF REPORTS BY GRANTEE:

08/06/2018

NAME:

LISA HARVEY MCPHERSON

ADDRESS:

43 WHITING HILL ROAD  
BREWER, ME 04412

GRANT DATE: 09/28/2017

GRANT AMOUNT ..... 21,235.

GRANT PURPOSE:

SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME

DATES OF REPORTS BY GRANTEE:

08/06/2018

FORM 990PF, PART VII-B, LN 5(c) EXPENDITURE RESPONSIBILITY STATEMENT

=====

NAME:

LISA HARVEY MCPHERSON

ADDRESS:

43 WHITING HILL ROAD  
BREWER, ME 04412

GRANT DATE: 10/27/2017

GRANT AMOUNT ..... 21,235.

GRANT PURPOSE:

SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME

DATES OF REPORTS BY GRANTEE:

08/06/2018

NAME:

LISA HARVEY MCPHERSON

ADDRESS:

43 WHITING HILL ROAD  
BREWER, ME 04412

GRANT DATE: 11/28/2017

GRANT AMOUNT ..... 21,235.

GRANT PURPOSE:

SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME

DATES OF REPORTS BY GRANTEE:

08/06/2018

NAME:

LISA HARVEY MCPHERSON

ADDRESS:

43 WHITING HILL ROAD  
BREWER, ME 04412

GRANT DATE: 12/28/2017

GRANT AMOUNT ..... 21,235.

GRANT PURPOSE:

SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME

DATES OF REPORTS BY GRANTEE:

08/06/2018

FORM 990PF, PART VII-B, LN 5(c) EXPENDITURE RESPONSIBILITY STATEMENT

NAME:

LISA HARVEY MCPHERSON

ADDRESS:

43 WHITING HILL ROAD  
BREWER, ME 04412

GRANT DATE: 01/26/2018

GRANT AMOUNT ..... 21,235.

GRANT PURPOSE:

SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME

DATES OF REPORTS BY GRANTEE:

08/06/2018

NAME:

LISA HARVEY MCPHERSON

ADDRESS:

43 WHITING HILL ROAD  
BREWER, ME 04412

GRANT DATE: 02/28/2018

GRANT AMOUNT ..... 21,235.

GRANT PURPOSE:

SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME

DATES OF REPORTS BY GRANTEE:

08/06/2018

NAME:

LISA HARVEY MCPHERSON

ADDRESS:

43 WHITING HILL ROAD  
BREWER, ME 04412

GRANT DATE: 03/28/2018

GRANT AMOUNT ..... 21,235.

GRANT PURPOSE:

SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME

DATES OF REPORTS BY GRANTEE:

08/06/2018

FORM 990PF, PART VII-B, LN 5(c) EXPENDITURE RESPONSIBILITY STATEMENT

NAME:

LISA HARVEY MCPHERSON

ADDRESS:

43 WHITING HILL ROAD  
BREWER, ME 04412

GRANT DATE: 04/27/2018

GRANT AMOUNT ..... 21,235.

GRANT PURPOSE:

SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME

DATES OF REPORTS BY GRANTEE:

08/06/2018

NAME:

LISA HARVEY MCPHERSON

ADDRESS:

43 WHITING HILL ROAD  
BREWER, ME 04412

GRANT DATE: 05/25/2018

GRANT AMOUNT ..... 21,235.

GRANT PURPOSE:

SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME

DATES OF REPORTS BY GRANTEE:

08/06/2018

NAME:

LISA HARVEY MCPHERSON

ADDRESS:

43 WHITING HILL ROAD  
BREWER, ME 04412

GRANT DATE: 06/28/2018

GRANT AMOUNT ..... 21,235.

GRANT PURPOSE:

SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME

DATES OF REPORTS BY GRANTEE:

08/06/2018

SYLVIA E ROSS TRUST

01-6017314

FORM 990PF, PART VII-B, LN 5(c) EXPENDITURE RESPONSIBILITY STATEMENT

=====

NAME:

LISA HARVEY MCPHERSON

ADDRESS:

43 WHITING HILL ROAD  
BREWER, ME 04412

GRANT DATE: 05/29/2018

GRANT AMOUNT ..... 83,020.

GRANT PURPOSE:

SUBSIDIZED HOUSING TO ELDERLY RESIDENTS OF THE SYLVIA ROSS HOME

DATES OF REPORTS BY GRANTEE:

08/06/2018

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

BANK OF AMERICA, N.A.

ADDRESS:

SUITE 1700, 900 ELM STREET  
MANCHESTER, NH 03101

TITLE:

CO-TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

COMPENSATION ..... 42,119.

COMPENSATION EXPLANATION:

SEE ATTACHED FOOTNOTE

OFFICER NAME:

EATON PEABODY

ADDRESS:

P.O. BOX 1210  
BANGOR, ME 04402

TITLE:

CO-TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 10

COMPENSATION ..... 18,135.

TOTAL COMPENSATION:

60,254.

=====