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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017 or tax year beginning 07/01/17 **, and ending** 06/30/18

Name of foundation: THE HARBOR FOUNDATION

A Employer identification number: 01-0526170

Number and street (or P O box number if mail is not delivered to street address): PO BOX 1106 6 CLARK'S LANE | Room/suite:

B Telephone number (see instructions): 207-363-7833

City or town state or province, country, and ZIP or foreign postal code: YORK HARBOR ME 03911-1106

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 273,698

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	8,636			
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	7	7	7	
	4	Dividends and interest from securities	4,475	4,475	4,475	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10 Stmt 1	20,875			
	b	Gross sales price for all assets on line 6a 79,424				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total** Add lines 1 through 11	33,993	4,482	4,482	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) Stmt 2	1,083			
	c	Other professional fees (attach schedule) Stmt 3	2,591	2,591		
	17	Interest	3	3		
	18	Taxes (attach schedule) (see instructions)				
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (att sch) Stmt 4	266			
	24	**Total operating and administrative expenses.** Add lines 13 through 23	3,943	2,594	0	0
	25	Contributions, gifts, grants paid	17,500			17,500
	26	**Total expenses and disbursements.** Add lines 24 and 25	21,443	2,594	0	17,500
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	12,550			
	b	**Net investment income** (if negative, enter -0-)		1,888		
	c	**Adjusted net income** (if negative, enter -0-)			4,482	

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Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash – non-interest-bearing		1,271	1,655	1,655
	2	Savings and temporary cash investments		8,798	8,824	8,824
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (att schedule) ▶				
		Less allowance for doubtful accounts ▶	0			
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments – U S and state government obligations (attach schedule)				
	b	Investments – corporate stock (attach schedule)	See Stmt 5	163,060	175,200	263,219
	c	Investments – corporate bonds (attach schedule)				
	11	Investments – land, buildings, and equipment basis ▶				
		Less accumulated depreciation (attach sch) ▶				
	12	Investments – mortgage loans				
	13	Investments – other (attach schedule)				
	14	Land, buildings, and equipment basis ▶				
		Less accumulated depreciation (attach sch) ▶				
	15	Other assets (describe ▶)				
	16	**Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)		173,129	185,679	273,698
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ See Statement 6)		400	400	
	23	**Total liabilities** (add lines 17 through 22)		400	400	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.**	▶ ☐			
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	▶ ☒			
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg , and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		172,729	185,279	
	30	**Total net assets or fund balances** (see instructions)		172,729	185,279	
	31	**Total liabilities and net assets/fund balances** (see instructions)		173,129	185,679	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	172,729
2	Enter amount from Part I, line 27a	2	12,550
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	185,279
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	185,279

Part IV · Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	N/A			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	16,492		
2015	15,482		
2014	11,500		
2013			
2012			

2 **Total** of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	0
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	19
7 Add lines 5 and 6	7	19
8 Enter qualifying distributions from Part XII, line 4	8	17,500

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

DAA

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	19
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0
3	Add lines 1 and 2	3	19
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	19
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017 — 6a		
b	Exempt foreign organizations – tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	19
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2018 estimated tax** ▶ Refunded ▶	11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the Instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? N/A	6		
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered See instructions ▶ None			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017? See instructions for Part XIV If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JOSEPH C DONNELLY JR Telephone no ▶ 207-363-7833

6 CLARKS LANE

Located at ▶ YORK HARBOR ME ZIP+4 ▶ 03911

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

					Yes	No
1a	During the year, did the foundation (either directly or indirectly)					
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No			
	(6) Agree to pay money or property to a government official? **(Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes	☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions		N/A	1b		
	Organizations relying on a current notice regarding disaster assistance, check here		▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		N/A	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))					
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?	☐ Yes	☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20					
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement – see instructions)		N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20					
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)		N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?			4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?			4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions N/A	5b		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation See instructions.

(a) Name and address		(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOSEPH C DONNELLY JR 6 CLARKS LANE	YORK HARBOR ME 03911	President 2.00	0	0	0
CAROLINE C DONNELLY 6 CLARKS LANE	YORK HARBOR ME 03911	Vice Preside 1.00	0	0	0
CHARLES B DONNELLY 1 ICE HOUSE PT	YORK HARBOR ME 03911	Secretary 1.00	0	0	0
SHAPLEIGH D LAPOINTE PO BOX 72	DEERFIELD MA 01342	Treasurer 1.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions) If none, enter "NONE "

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE "

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	0
b	Average of monthly cash balances		1b	0
c	Fair market value of all other assets (see instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	0
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	0
4	Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see instructions)		4	0
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	0
6	**Minimum investment return.** Enter 5% of line 5		6	0

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	
2a	Tax on investment income for 2017 from Part VI, line 5	2a 19		
b	Income tax for 2017 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	19
3	Distributable amount before adjustments Subtract line 2c from line 1		3	
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	
6	Deduction from distributable amount (see instructions)		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	0

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	17,500
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	17,500
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	19
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	17,481

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7					0
2 Undistributed income, if any, as of the end of 2017					
a Enter amount for 2016 only					
b Total for prior years 20 _____ , 20 _____ , 20 _____					
3 Excess distributions carryover, if any, to 2017					
a From 2012	10,700				
b From 2013	10,600				
c From 2014	11,500				
d From 2015	15,500				
e From 2016	16,500				
f **Total** of lines 3a through e		64,800			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ $ 17,500					
a Applied to 2016, but not more than line 2a					
b Applied to undistributed income of prior years (Election required – see instructions)					
c Treated as distributions out of corpus (Election required – see instructions)					
d Applied to 2017 distributable amount					
e Remaining amount distributed out of corpus		17,500			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))					
6 **Enter the net total of each column as indicated below.**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		82,300			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount – see instructions					
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount – see instructions					
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)					
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)		10,700			
9 **Excess distributions carryover to 2018.** Subtract lines 7 and 8 from line 6a		71,600			
10 Analysis of line 9					
a Excess from 2013	10,600				
b Excess from 2014	11,500				
c Excess from 2015	15,500				
d Excess from 2016	16,500				
e Excess from 2017	17,500				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test – enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc , to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Statement 7				17,500
Total			▶ 3a	17,500
b *Approved for future payment* N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	7	
4 Dividends and interest from securities			14	4,475	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			14	20,875	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		25,357	0

13 Total. Add line 12, columns (b), (d), and (e) 13 25,357

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

DAA

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below? See instructions ☒ Yes ☐ No

Joseph P Donnelly | 11/28/18 | President

Signature of officer or trustee | Date | Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed
LARRY C SCHOFF	Larry Schoff CPA	11/28/18	
Firm's name ▶ Schoff & Associates CPA PA		PTIN	P00512826
Firm's address ▶ 28 Long Sands Rd Ste 2 York, ME 03909-1166		Firm's EIN ▶ 01-0528789	Phone no 207-351-2534

DAA

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to *www.irs.gov/Form990* for the latest information.

OMB No 1545-0047

2017

Name of the organization

THE HARBOR FOUNDATION

Employer identification number

01-0526170

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the $33^1/_3$% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000, or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year ▶ $

Caution An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
THE HARBOR FOUNDATION	01-0526170

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DONNELLY 1999 LEAD TRUST PO BOX 1106 YORK HARBOR ME 03911	$ 8,636	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets

Description / Whom Sold	Date Acquired	Date Sold	How Received	Sale Price	Cost	Expense	Depreciation	Net Gain / Loss
57 SHS AMERICAN TOWER REIT	11/03/16	7/18/17	Purchase	$ 7,772	$ 6,459	$	$	$ 1,313
9 SHS ALLERGAN PLC	2/02/15	7/18/17	Purchase	2,210	2,405			-195
5 SHS ALLERGAN PLC	4/06/16	7/18/17	Purchase	1,228	1,225			3
8 SHS ALLERGAN PLC	8/02/16	7/18/17	Purchase	1,964	2,016			-52
11 SHS BLACKROCK INC	12/06/16	7/18/17	Purchase	4,669	4,101			568
42 SHS ANADARKO PETE	9/13/16	7/18/17	Purchase	1,829	2,385			-556
13 SHS ANADARKO PETE	10/26/16	7/18/17	Purchase	566	792			-226
42 SHS ANADARKO PETE	1/30/17	7/18/17	Purchase	1,829	2,866			-1,037
14 SHS BIOGEN INC COM	12/06/16	7/18/17	Purchase	3,962	3,766			196
18 SHS NETFLIX INC	4/18/17	7/18/17	Purchase	3,323	2,573			750
5 SHS TELSA INC	4/22/14	9/21/17	Purchase	1,821	1,036			785
30 SHS GENERAL ELECTRIC CO	1/30/17	9/26/17	Purchase	749	896			-147
170 SHS GENERAL ELECTRIC CO	5/10/16	9/26/17	Purchase	4,244	5,152			-908
100 SHS COMCAST CORP	5/10/16	10/23/17	Purchase	3,703	3,117			586
7 SHS ALPHABET INC CL C	10/11/10	11/28/17	Purchase	7,357	1,897			5,460
3 SHS AMAZON COM INC	11/18/14	11/28/17	Purchase	3,595	979			2,616
15 SHS FACEBOOK INC CL A	11/03/14	11/28/17	Purchase	2,751	1,124			1,627

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description / Whom Sold	Date Acquired	Date Sold	How Received / Sale Price	Cost	Expense	Depreciation	Net Gain / Loss
15 SHS FACEBOOK INC CL A			Purchase				
	2/02/15	11/28/17	$ 2,751	$ 1,130	$	$	$ 1,621
32 SHS SCHLUMBERGER LTD			Purchase				
	11/21/16	11/30/17	2,026	2,608			-582
13 SHS SCHLUMBERGER LTD			Purchase				
	4/19/17	11/30/17	823	1,001			-178
16 SHS BOEING CO			Purchase				
	7/15/10	1/30/18	5,399	1,032			4,367
3 SHS BOEING CO			Purchase				
	11/18/14	1/30/18	4,273	979			3,294
50 SHS AMBARELLA INC			Purchase				
	1/04/18	2/08/18	2,349	3,162			-813
20 SHS AMBARELLA INC			Purchase				
	1/10/18	2/08/18	939	1,143			-204
50 SHS EDWARD LIFESCIENCES CORP			Purchase				
	12/06/16	5/18/18	6,766	4,174			2,592
3 SHS AMGEN INC			Purchase				
	7/18/17	5/18/18	526	531			-5
Total			$ 79,424	$ 58,549	$ 0	$ 0	$ 20,875

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Indirect Accounting Fees	$ 1,083	$	$	$
Total	$ 1,083	$ 0	$ 0	$ 0

Federal Statements

Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
MANAGEMENT FEES	$ 2,591	$ 2,591	$	$
Total	$ 2,591	$ 2,591	$ 0	$ 0

Statement 4 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	$	$	$	$
Expenses				
BANK FEES	266			
Total	$ 266	$ 0	$ 0	$ 0

Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
10 SHS ALPHABET INC CL A	$ 5,163	$ 3,266	Cost	$ 3,347
10 SHS CITIGROUP	558	558	Cost	669
10 SHS TESLA MOTORS INC	3,108	2,072	Cost	3,429
105 SHS DELTA AIR LINES		5,353	Cost	5,202
11 SHS BLACKROCK INC	4,101		Cost	
115 SHS MARATHON PETROLEUM CORP	5,002	5,002	Cost	8,068
121.080 SHS OAKMARK INTERNATIONAL AD		3,453	Cost	3,197
13 SHS AMAZON COM INC	4,313	2,356	Cost	11,899
13 SHS ANADARKO	792		Cost	
13 SHS SCHLUMBERGER LTD	1,002		Cost	
132 SHS JP MORGAN	4,260	4,260	Cost	13,754
14 SHS BIOGEN INC COM	3,766		Cost	
146 SHS STARBUCKS CORP WASHINGTON	6,383	6,383	Cost	7,132
14SHS BOEING CO	1,935	903	Cost	4,697
14SHS JPMORGAN CHASE	909	909	Cost	1,459
15 SHS FACEBOOK INC CL-A	1,124		Cost	

Federal Statements

Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
15 SHS UNITED HEALTH GRP INC	$	$ 3,362	Cost	$ 3,680
150 SHS COMCAST CORP NEW	4,483	4,483	Cost	4,921
167.661 SHS OAKMARK INTERNATIONAL AD		4,845	Cost	4,426
17 SHS BOEING CO	2,247	2,247	Cost	5,704
170 SHS GENERAL ELECTRIC CO	5,152		Cost	
172.192 SHS OAKMARK INTERNATIONAL AD		4,711	Cost	4,546
175 SHS NOBLE ENERGY		4,960	Cost	6,174
18 SHS NETFLIX	2,573		Cost	
19 SHS GOLDMAN SACHS	4,097	4,097	Cost	4,191
21 SHS MARATHON PETROLEUM CORP	1,018	1,018	Cost	1,473
22 SHS ALLERGAN PLC	5,646		Cost	
23 SHS MICROSOFT CORP	1,387	1,387	Cost	2,268
25 SHS CITIGROUP	1,601	1,601	Cost	1,673
25 SHS DELTA AIR LINES		1,125	Cost	1,238
28 SHS ALIBABA GROUP HLDG LTD	2,604	2,604	Cost	5,195
280.970 OAKMARK INTERNATIONAL	6,636	6,636	Cost	7,418
3 SHS JPMORGAN CHASE		303	Cost	313
30 SHS CITIGROUP		2,012	Cost	2,008
30 SHS GENERAL ELECTRIC	896		Cost	
32 SHS SCHLUMBERGER LTD	2,608		Cost	
34 SHS MARATHON PETROLEUM CORP		1,858	Cost	2,385
35 SHS HONEYWELL INTERNATIONAL	3,672	3,672	Cost	5,042
35 SHS HONEYWELL INTERNATIONAL	3,892	3,892	Cost	5,042
37 SHS EATON CORP	2,411	2,322	Cost	2,765
37 SHS EOG RESOURCES INC		3,770	Cost	4,604
37 SHS VERTEX PHARMACEUTICALS		4,847	Cost	6,289
39 SHS AMGEN	6,031	6,031	Cost	7,199
4 SHS AMGEN INC	583	583	Cost	738
40 SHS DASSAULT SYSTEMS SA ADS	2,447	2,447	Cost	5,614
42 SHS ANADARKO	2,385		Cost	
42 SHS ANADARKO	2,867		Cost	
42 SHS ANEUSER BUSCH INBEV	4,368	4,368	Cost	4,232
43 SHS JOHNSON & JOHNSON	2,710	2,710	Cost	5,218
493.383 CAUSEWAY EMERGING MKTS		6,833	Cost	6,379
5 SHS UNITED HEALTH GRP INC		1,147	Cost	1,227
50 SHS COMCAST CORP NEW	4,676	1,559	Cost	1,640
50 SHS EDWARD LIFESCIENCES CORP	4,174		Cost	

Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
55 SHS JPMORGAN CHASE	$ 2,074	$ 2,074	Cost	$ 5,731
57 SHS AMERICAN TOWER REIT	6,459		Cost	
58 SHS EATON CORP	3,461	3,322	Cost	4,335
6 SHS GOLDMAN SACHS GRP INC		1,490	Cost	1,323
60 SHS CVS HEALTH CORP		3,927	Cost	3,861
7 SHS ALPHABET INC CL C	1,897	1,897	Cost	7,810
7 SHS AMGEN INC		1,238	Cost	1,292
70 SHS FACEBOOK INC CL-A	6,404	5,274	Cost	13,602
71 SHS CITIGROUP INC	4,304	4,304	Cost	4,751
73 SHS APPLE INC	4,037	4,037	Cost	13,513
80 SHS MICROSOFT CORP	4,067	4,067	Cost	7,889
80 SHS ROYAL DUTCH SHELL PLC		5,132	Cost	5,538
80 SHS VISA INC	6,258	6,258	Cost	10,596
9 SHS CITIGROUP INC	519	519	Cost	602
19.202 SHS OAKMARK INTERNATIONAL AD		540	Cost	507
175.268 SHS PGIM JENNISON SMALL CO		4,801	Cost	5,007
14.239 SHS PGIM JENNISON SMALL CO		375	Cost	407
Total	$ 163,060	$ 175,200		$ 263,219

Federal Statements

Statement 6 - Form 990-PF, Part II, Line 22 - Other Liabilities

Description	Beginning of Year	End of Year
Loan Payable-J Donnelly	$ 400	$ 400
Total	$ 400	$ 400

Federal Statements

Statement 7 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name / Address	Relationship	Address / Status	Purpose	Amount
AGAMENTICUS YACHT CLUB YORK HARBOR ME 03911	NONE	PO BOX 534	EDUCATIONAL	1,000
CHARLES RIVER SCHOOL DOVER MA 02030		PO BOX 339	EDUCATIONAL CURRICULUM	1,000
CHEWONKI WISCASSET ME 04578	NONE	485 CHEWONKI NECK RD	ANNUAL FUND	500
CRANDALL SUNLIGHT FIRE FIGHTERS CODY WY 82414	NONE	1125 ELEVENTH STREET	EMERGENCY RELIEF	1,000
EPISCOPAL RELIEF & DEVELOPMENT NEW YORK NY 10017		815 2ND AVE	DISASTER RELIEF	1,000
FRANKLIN LAND TRUST SHELBURNE FALLS MA 01370	NONE	PO BOX 450	LAND CONSERVATION	500
MAINE COMMUNITY FOUNDATION ELLSWORTH ME 04605	NONE	245 MAIN STREET	YOUTH ENRICHMENT	2,000
MONTANA SKATE PARK ASSOCIATION MISSOULA MT 59801	NONE	618 S HIGGINS AVE	BUILDING OF SKATE PARK	500
PARK COUNTY PEDALERS INC CODY WY 82414	NONE	PO BOX 2671	BIKE PATHS ANDC TRAILS	1,000
PEAKS TO CONGA CANCER BILLINGS MT 59101		1106 NORTH 30TH ST	TO HELP CANCER PATIENTS	1,000
SWEET BRIAR COLLEGE SWEET BRIAR VA 24595	NONE	134 CHAPEL DRIVE	SCHOLARSHIPS	2,000
THE CHRIS CONNORS FUND YORK ME 03909		C/O YORK FIRE DEPARTMENT	YORK WATER RESCUE	1,000
YELLOWSTONE RECREATION FOUNDATION CODY WY 82414	NONE	PO BOX 400	SNOWSPORT SCHOOL SCHOLARSHIP	2,000
YORK COMMUNITY COLLEGE WELLS ME 04090	NONE	PO BOX 529	SCHOLARSHIPS	1,000
YORK FIRE DEPARTMENT YORK ME 03909	NONE	1 FIREHOUSE DRIVE	FIREFIGHTING EQUIPMENT	1,000
YORK LAND TRUST YORK ME 03909		484 US ROUTE 1	LAND CONSERVATION	1,000

Federal Statements

Statement 7 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name / Address	Address / Relationship	Status	Purpose	Amount
Total				17,500

Form **990-PF**

Underdistribution and Excess Distributions for Part XIII

For calendar year 2017, or tax year beginning 07/01/17 , ending 06/30/18

2017

Name

THE HARBOR FOUNDATION

Employer Identification Number
01-0526170

Undistributed Income Carryovers

Form 990-PF, Part XIII

Tax Year	Prior Undistributed Income			Current Year Decreases	Next Year Carryover	
	Nontaxable or Previously Taxed	Taxable in 2017	Total per Year		Nontaxable or Previously Taxed	Taxable in 2018
Years prior						
20 13						
20 14						
20 15	)					
2016						
2017			0			
Total Carryover to Next Year						0

* Carryover amount includes 4942(a) amounts

Excess Distribution Carryovers

Form 990-PF, Part XIII

		Current Year	Next Year
Preceding Tax Year Excess Distributions		Decreases	Carryover
2012	10,700	10,700	
2013	10,600		10,600
2014	11,500		11,500
2015	15,500		15,500
2016	16,500		16,500
Current Year Excess Distribution Generated (2017)			17,500
Total Carryover to Next Year			71,600

Form **990PF**

Two Year Comparison Report

2016 & 2017

For calendar year 2017, or tax year beginning 07/01/17 , ending 06/30/18

Name: THE HARBOR FOUNDATION

Taxpayer Identification Number: 01-0526170

Section	Item	Line	2016 Revenue and expenses per books	2016 Net investment income	2017 Revenue and expenses per books	2017 Net investment income	Differences Revenue and expenses per books	Differences Net investment income
Revenue	1 Contributions, gifts, grants, and similar amounts received	1.	7,443		8,636		1,193	
	2. Interest on savings and temporary cash investments	2.	7	7	7	7		
	3 Dividends and interest from securities	3.	2,954	2,954	4,475	4,475	1,521	1,521
	4. Gross rents	4.						
	5. Net gain or (loss) from sale of assets	5.	8,174		20,875		12,701	
	6. Capital gain net income	6.						
	7. Gross profit or (loss)	7.						
	8. Other income	8	20	19			-20	-19
	9 **Total.** Add lines 1 through 8	9.	18,598	2,980	33,993	4,482	15,395	1,502
Expenses & Deductions	10. Compensation of officers, directors, trustees, etc	10						
	11. Other employee salaries and wages	11						
	12. Pension plans, employee benefits	12.						
	13. Professional fees	13.	3,232	2,182	3,674	2,591	442	409
	14. Interest	14.			3	3	3	3
	15. Taxes	15.						
	16. Depreciation and depletion	16						
	17. Occupancy	17						
	18. Other expenses	18.	324		266		-58	
	19. Contributions, gifts, grants paid	19.	16,500		17,500		1,000	
	20. **Total expenses and disbursements.** Add lines 10 through 19	20.	20,056	2,182	21,443	2,594	1,387	412
	21. **Net income (if negative investment activity, enter -0-)**	21.	-1,458	798	12,550	1,888	14,008	1,090
Taxes	22. Excise Tax	22.		8		19		11
	23 Section 511 Tax	23.						
	24. Subtitle A income tax	24						
	25. **Total Taxes**	25.		8		19		11
Due / Refund	26 Estimates and overpayments credited	26.						
	27. Foreign tax withheld	27.						
	28. Other Payments	28.				19		19
	29. **Total payments and credits**	29.				19		19
	30. **Balance due / (Overpayment)**	30.		8		0		-8
	31. Overpayment credited to next year	31.						
	32. Penalty	32						
	33. **Net due / (Refund)**	33		8		0		-8
Other	34 Total assets	34.	173,129		185,679		0	
	35. Total liabilities	35.	400		400		0	
	36 **Net assets**	36.	172,729		185,279		0	