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Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service**or Section 4947(a)(1) Trust Treated as Private Foundation**
▶ Do not enter social security numbers on this form as it may be made public
▶ Go to www.irs.gov/Form990PF for instructions and the latest information**2017**

Open to Public Inspection

For calendar year 2017 or tax year beginning

06/01, 2017, and ending

05/31, 2018

Name of foundation

THE BALLMANN FAMILY PRIVATE FOUNDATION

A Employer identification number

43-6466750

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see instructions)

PO BOX 0634

314-418-2643

City or town, state or province, country, and ZIP or foreign postal code

MILWAUKEE, WI 53201-0634

C If exemption application is
pending, check here. ☒ 6G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity

factors:

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	NONE		
	2	Savings and temporary cash investments	215,548.	309,789.	309,789.
	3	Accounts receivable			
		Less allowance for doubtful accounts			
	4	Pledges receivable			
		Less allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule)			
		Less allowance for doubtful accounts	NONE		
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) STMT 7.	13,048,912.	12,196,071.	24,187,482.
	c	Investments - corporate bonds (attach schedule) STMT 15.	5,453,591.	7,313,791.	7,108,632.
	11	Investments - land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)				
12	Investments - mortgage loans				
13	Investments - other (attach schedule) STMT 16.	1,195,539.	1,193,041.	1,781,796.	
14	Land, buildings, and equipment basis				
	Less accumulated depreciation (attach schedule)				
15	Other assets (describe)				
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	19,913,590.	21,012,692.	33,387,699.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe)				
23	Total liabilities (add lines 17 through 22)		NONE		
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here			
		and complete lines 24 through 26, and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here X			
		and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	19,913,590.	21,012,692.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see instructions)	19,913,590.	21,012,692.		
31	Total liabilities and net assets/fund balances (see instructions)	19,913,590.	21,012,692.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return).	1	19,913,590.
2	Enter amount from Part I, line 27a	2	1,100,631.
3	Other increases not included in line 2 (itemize) ▶ ROUNDING ADJUSTMENT	3	39.
4	Add lines 1, 2, and 3	4	21,014,260.
5	Decreases not included in line 2 (itemize) ▶ PY SALE SETTLED IN THE CY	5	1,568.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	21,012,692.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 6,160,759.		4,083,336.	2,077,423.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a			2,077,423.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	2,077,423.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	1,513,787.	29,329,735.	0.051613
2015	1,494,517.	28,581,583.	0.052290
2014	1,582,350.	30,039,751.	0.052675
2013	1,529,712.	29,492,720.	0.051867
2012	1,444,590.	28,124,378.	0.051364
2 Total of line 1, column (d)			0.259809
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years			0.051962
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			31,853,782.
5 Multiply line 4 by line 3.			1,655,186.
6 Enter 1% of net investment income (1% of Part I, line 27b)			25,850.
7 Add lines 5 and 6.			1,681,036.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			1,457,570.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	51,700.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	NONE
3 Add lines 1 and 2		3	51,700.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	51,700.
6 Credits/Payments			
a 2017 estimated tax payments and 2016 overpayment credited to 2017	6a	32,744.	
b Exempt foreign organizations - tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	26,862.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	59,606.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	7,906.	
11 Enter the amount of line 10 to be Credited to 2018 estimated tax ☐ 7,906. Refunded ☐ 11	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions ☐ MO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► US BANK NA Telephone no ► (314) 418-2643 Located at ► PO BOX 0634, MILWAUKEE, WI ZIP+4 ► 53201-0634		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ► 15		
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ►		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly).		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ►		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)		X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year, did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions ☐ **5b**
- Organizations relying on a current notice regarding disaster assistance, check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
- If "Yes," attach the statement required by Regulations section 53.4945-5(d)
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

	Yes	No
5a(1)		
5a(2)		
5a(3)		
5a(4)		
5a(5)		
5b		
6a		
6b		X
7a		
7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
US BANK NA PO BOX 387, ST LOUIS, MO 63166	TRUSTEE 1	119,440.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 **NONE**

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services.** See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	NONE	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	NONE	
2		
All other program-related investments See instructions		
3	NONE	
Total. Add lines 1 through 3		

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	32,041,759.
b	Average of monthly cash balances	1b	297,106.
c	Fair market value of all other assets (see instructions).	1c	NONE
d	Total (add lines 1a, b, and c)	1d	32,338,865.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	32,338,865.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	485,083.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	31,853,782.
6	Minimum investment return. Enter 5% of line 5	6	1,592,689.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	1,592,689.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	51,700.
b	Income tax for 2017 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	51,700.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,540,989.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	1,540,989.
6	Deduction from distributable amount (see instructions).	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,540,989.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,457,570.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the.		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,457,570.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,457,570.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				1,540,989.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			NONE	
b Total for prior years 20____, 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2017				
a From 2012	29,278.			
b From 2013	64,996.			
c From 2014	108,072.			
d From 2015	78,580.			
e From 2016	63,784.			
f Total of lines 3a through e	344,710.			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 1,457,570.				
a Applied to 2016, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2017 distributable amount				1,457,570.
e Remaining amount distributed out of corpus. . .	NONE			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	83,419.			83,419.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	261,291.			
b Prior years' undistributed income Subtract line 4b from line 2b.		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018.				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions) . . .	NONE			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	261,291.			
10 Analysis of line 9				
a Excess from 2013	10,855.			
b Excess from 2014	108,072.			
c Excess from 2015	78,580.			
d Excess from 2016	63,784.			
e Excess from 2017				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**NOT APPLICABLE**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines.

Part XV **Supplementary Information** *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE STATEMENT 31				1,355,500.
Total			▶ 3a	1,355,500.
b Approved for future payment				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	564,824.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	2,077,423.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a					
b	FEDERAL TAX REFUND			1	11,286.	
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				2,653,533.	
13	Total. Add line 12, columns (b), (d), and (e)					2,653,533.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
|---|---|-------|----|
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**


Signature of officer or trustee

02/18/2019
Date

▶ TRUSTEE
Title

May the IRS discuss this return with the preparer shown below?

See instructions ☒ Yes ☐ No

U.S. BANK, N.A. BY:

**Paid
Preparer
Use Only**

Print/Type preparer's name

JOSEPH J. CASTRIANO

Preparer's signature

Alfred

Date

02/18/2019

Check ☒ if self-employed

PTIN	
------	--

P01251603

Firm's name ► PRICEWATERHOUSECOOPERS LLP

Firm's EIN ▶ 13-4008324

Firm's address ► 600 GRANT STREET
PITTSBURGH, PA

15219

Phone no 412-355-6000

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
USGI REPORTED AS NONQUALIFIED DIVIDENDS	2,394.	2,394.
FOREIGN DIVIDENDS	96,332.	96,332.
NONDIVIDEND DISTRIBUTIONS	5,947.	
DOMESTIC DIVIDENDS	209,607.	209,607.
CORPORATE INTEREST	3.	3.
US GOVERNMENT INTEREST REPORTED AS QUALI	10.	10.
NONQUALIFIED FOREIGN DIVIDENDS	17,638.	17,638.
NONQUALIFIED DOMESTIC DIVIDENDS	232,893.	232,893.
TOTAL	564,824.	558,877.

FORM 990PF, PART I - OTHER INCOME
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
IDR CORE EQUITY RE STRATEGY	11,286.	21,458.
FEDERAL TAX REFUND		
TOTALS	11,286. =====	21,458. =====

FORM 990PF, PART I - LEGAL FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
LEGAL FEES - PRINCIPAL (ALLOCA	9,267.			9,267.
LEGAL FEES - INCOME (ALLOCABLE	803.			803.
TOTALS	10,070.	NONE	NONE	10,070.
	=====	=====	=====	=====

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE (NON-ALLOC	32,280.			32,280.
TOTALS	32,280.	NONE	NONE	32,280.

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES	7,938.	7,938.
FEDERAL ESTIMATES - PRINCIPAL	24,500.	
FOREIGN TAXES ON NONQUALIFIED	1,611.	1,611.
	-----	-----
TOTALS	34,049.	9,549.
	=====	=====

THE BALLMANN FAMILY PRIVATE FOUNDATION

43-6466750

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
IDR CORE EQUITY RE STRATEGY INVESTMENT FEES	1,563.	1,944. 1,563.
TOTALS	1,563. =====	3,507. =====

THE BALLMANN FAMILY PRIVATE FOUNDATION
FORM 990PF, PART II - CORPORATE STOCK
=====

43-6466750

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
57636Q104 MASTERCARD INC	58,764.	48,970.	475,300.
774341101 ROCKWELL COLLINS INC	43,481.		
464287465 ISHARES MSCI EAFE ET	1,013,151.	1,013,151.	1,555,601.
G29183103 EATON CORP PLC	126,958.	94,871.	153,160.
464287234 ISHARES MSCI EMERGIN	896,018.	896,018.	1,100,901.
742718109 PROCTER GAMBLE CO	53,759.		
883556102 THERMO FISHER SCIENT	22,300.		
379577208 GLOBUS MED INCA	28,731.		
68213N109 OMNICELL INC COM	17,499.	23,804.	29,978.
001744101 AMN HEALTHCARE SERVI	9,923.	18,989.	41,245.
78377T107 RYMAN HOSPITALITY PR	14,300.		
388689101 GRAPHIC PACKAGING HL	33,054.	33,054.	49,160.
65336K103 NEXSTAR BROADCASTING	29,302.	37,107.	36,001.
447011107 HUNTSMAN CORP	23,363.		
43940T109 HOPE BANCORP INC	17,212.	28,726.	30,295.
458118106 INTEGRATED DEVICE TE	19,017.	15,616.	30,514.
978097103 WOLVERINE WORLD WIDE	17,794.		
286082102 ELECTRONICS FOR IMAG	24,515.	30,211.	34,633.
037598109 APOGEE ENTERPRISES I	28,121.	33,445.	31,777.
577933104 MAXIMUS INC	8,832.		
682159108 ON ASSIGNMENT INC CO	12,561.		
451107106 IDACORP INC	38,517.	40,954.	45,995.
024061103 AMERICAN AXLE MFG H	23,430.	31,332.	39,170.
163731102 CHEMICAL FINANCIAL C	21,245.	31,661.	52,248.
78470V108 SRC ENERGY INC	19,294.		
904214103 UMPQUA HOLDINGS CORP	20,486.	33,361.	44,232.
103304101 BOYD GAMING CORP COM	45,874.	24,293.	42,242.
464287804 I SHARES S P SMALLC	562,587.	562,587.	1,656,800.
46625H100 J P MORGAN CHASE CO	61,770.	61,770.	160,515.

THE BALLMANN FAMILY PRIVATE FOUNDATION
 FORM 990PF, PART II - CORPORATE STOCK
 =====

43-6466750

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV -----
G5960L103 MEDTRONIC PLC	316,862.		
00769G543 CAMBIAR INTL EQUITY	350,000.	450,000.	515,127.
89353D107 TRANSCANADA CORP	92,410.	69,308.	62,745.
741503403 THE PRICELINE GROUP	137,152.		
458140100 INTEL CORP	44,700.	44,700.	138,000.
913017109 UNITED TECHNOLOGIES	137,381.	137,381.	249,640.
094235108 BLOOMIN BRANDS INC	43,042.	37,905.	41,464.
298736109 EURONET SERVICES INC	24,308.		
68557N103 ORBITAL ATK INC	29,306.		
26884U109 EPR PROPERTIES	34,193.		
48123V102 J2 GLOBAL INC	20,049.	29,949.	51,593.
595137100 MICROSEMI CORP COM W	21,203.		
387328107 GRANITE CONSTRUCTION	26,126.	32,057.	42,823.
591520200 METHODE ELECTRONICS	18,913.		
001547108 AK STL HLDG CORP	21,667.		
691497309 OXFORD INDUSTRIES IN	32,391.	27,122.	35,154.
885160101 THOR INDUSTRIES INC	11,905.		
457985208 INTEGRA LIFESCENCES	42,014.	32,944.	48,728.
370334104 GENERAL MILLS INC	65,788.		
278865100 ECOLAB INC	47,874.	47,874.	151,167.
74005P104 PRAXAIR INC	95,747.	95,747.	156,260.
90333L201 U.S. CONCRETE INC	17,368.	15,574.	21,637.
94733A104 WEB COM GROUP INC	25,648.	28,983.	25,337.
12008R107 BUILDERS FIRSTSOURCE	31,339.	26,290.	44,466.
957638109 WESTERN ALLIANCE BAN	17,710.	13,428.	47,364.
00206R102 ATT INC	141,014.		
192479103 COHERENT INC COM	10,598.		
829226109 SINCLAIR BROADCAST G	24,630.	32,532.	39,977.
144577103 CARRIZO OIL & GAS IN	27,485.	40,693.	50,293.

THE BALLMANN FAMILY PRIVATE FOUNDATION
FORM 990PF, PART II - CORPORATE STOCK
=====

43-6466750

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV -----
29362U104 ENTEGRIS INC	10,505.	8,672.	35,978.
57686G105 MATSON INC	23,281.		
81369Y886 UTILITIES SELECT SEC	52,980.		
28176E108 EDWARDS LIFESCIENCES	37,576.	30,061.	137,310.
92343V104 VERIZON COMMUNICATIO	60,901.		
03073E105 AMERISOURCEBERGEN CO	49,385.	49,385.	143,745.
88579Y101 3M CO	62,453.	41,636.	98,615.
64110L106 NETFLIX.COM INC	99,653.	189,120.	527,400.
02079K107 ALPHABET INC CL C	92,474.	92,474.	325,497.
78463V107 SPDR GOLD TRUST	607,169.	607,169.	677,050.
79466L302 SALESFORCE COM INC	90,131.	90,131.	387,990.
74925K581 ROBECO BOSTON PARTNE	200,000.		
191216100 COCA COLA COMPANY	111,159.	84,319.	179,430.
478160104 JOHNSON JOHNSON	84,319.		
747525103 QUALCOMM INC	61,695.		
156782104 CERNER CORP COM	41,856.		
518439104 LAUDER ESTEE COS INC	36,563.		
32055Y201 FIRST INTERSTATE BAN	17,682.	17,682.	19,904.
G3323L100 FABRINET	11,323.		
37518B102 GIGAMON INC	17,900.		
576323109 MASTEC INC	33,993.	38,181.	46,370.
267475101 DYCOM INDUSTRIES INC	37,164.	39,563.	44,996.
246647101 DELEK US HLDGS INC	17,470.		
50189K103 LCI INDUSTRIES	20,294.	17,915.	21,048.
46269C102 IRIDIUM COMMUNICATIO	24,759.	29,761.	42,970.
V7780T103 ROYAL CARIBBEAN CRUI	27,252.		
31428X106 FED EX CORP	42,242.	42,242.	124,560.
78467Y107 MIDCAP SPDR TRUST SE	728,804.	728,804.	2,659,050.
92826C839 VISA INC	59,383.	51,668.	392,160.

THE BALLMANN FAMILY PRIVATE FOUNDATION
 FORM 990PF, PART II - CORPORATE STOCK
 =====

43-6466750

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
-----	-----	-----	---
03076C106 AMERIPRISE FINL INC	58,837.	47,069.	138,630.
025816109 AMERICAN EXPRESS CO	100,053.	100,053.	245,750.
260543103 DOW CHEM CO	45,266.		
580135101 MCDONALDS CORP	73,226.	73,226.	160,010.
654106103 NIKE INC	55,598.		
68389X105 ORACLE CORPORATION	35,501.		
57776J100 MAXLINEAR INC CLASS	27,395.	38,417.	31,929.
09739D100 BOISE CASCADE CO	26,458.	20,114.	32,388.
128195104 CALATLANTIC GROUP IN	40,048.		
575385109 MASONITE INTERNATION	26,938.	40,200.	39,330.
198287203 COLUMBIA PROPERTY TR	30,810.	30,688.	32,295.
018522300 ALLETE INC	25,962.	22,444.	24,813.
03823U102 APPLIED OPTOELECTRON	23,528.		
165303108 CHESAPEAKE UTILS COR	16,619.		
589378108 MERCURY COMPUTER SYS	24,655.	34,773.	35,637.
500643200 KORN FERRY INTL	22,357.	20,065.	42,596.
30255G103 F C B FINANCIAL HOLD	28,755.	28,755.	46,688.
127190304 CACI INTERNATIONAL I	39,879.	37,844.	51,995.
29084Q100 EMCOR GROUP INC	28,072.	31,079.	40,850.
391416104 GREAT WESTERN BANCOR	33,698.	37,109.	51,611.
723787107 PIONEER NAT RES CO	84,348.		
03027X100 AMERICAN TOWER CORP	66,117.	101,210.	221,392.
023135106 AMAZON.COM INC	111,709.	111,709.	1,303,696.
949746101 WELLS FARGO CO	35,183.		
30231G102 EXXON MOBIL CORP	109,470.		
921910501 VANGUARD INTL GRWTH	650,000.	750,000.	1,074,320.
256746108 DOLLAR TREE INC	29,402.		
670678507 NUVEEN REAL ESTATE S	628,655.	628,655.	552,172.
024835100 AMERICAN CAMPUS COMM	61,375.	211,363.	200,500.

THE BALLMANN FAMILY PRIVATE FOUNDATION
FORM 990PF, PART II - CORPORATE STOCK
=====

43-6466750

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
-----	-----	-----	---
037833100 APPLE INC	59,761.	59,761.	1,162,892.
231021106 CUMMINS INC	41,117.		
717081103 PFIZER INC	95,828.	69,556.	143,720.
806857108 SCHLUMBERGER LTD	75,563.	75,563.	88,584.
432748101 HILLTOP HOLDINGS INC	16,556.	10,984.	16,837.
87265H109 TRI POINTE GROUP INC	37,786.	33,829.	44,375.
025676206 AMERICAN EQUITY INVT	15,491.		
G0551A103 ARRIS INTERNATIONAL	31,892.	31,892.	25,533.
00182C103 ANI PHARMACEUTICALS	22,719.		
90984P303 UNITED COMMUNITY BAN	32,247.	39,312.	46,320.
38526M106 GRAND CANYON EDUCATI	15,970.		
402635304 GULFPORT ENERGY CORP	39,528.	51,877.	26,786.
302520101 FNB CORP	40,989.	73,907.	71,153.
98421B100 XPERI CORP	39,058.	44,203.	24,609.
97650W108 WINTRUST FINANCIAL C	27,549.	24,657.	54,713.
G4617B105 HORIZON PHARMA PLC	23,314.	31,482.	45,216.
868459108 SUPERNUS PHARMACEUTI	13,958.	7,932.	27,104.
G1151C101 ACCENTURE PLC CL A	110,761.	110,761.	311,480.
166764100 CHEVRON CORPORATION	96,870.	96,870.	186,450.
00287Y109 ABBVIE INC	136,596.	136,596.	247,350.
02079K305 ALPHABET INC CL A	93,107.	93,107.	330,000.
H1467J104 CHUBB LTD	178,846.	178,846.	326,725.
26138E109 DR PEPPER SNAPPLE GR	38,589.		
254687106 DISNEY WALT CO	72,556.	72,556.	298,410.
369604103 GENERAL ELECTRIC CO	110,496.		
70509V100 PEBBLEBROOK HOTEL TR	36,355.	38,336.	52,209.
26613Q106 DUPONT FABROS TECHNO	29,514.		
87074U101 SWIFT TRANSPORTATION	15,977.		
268948106 EAGLE BANCORP INC	20,837.	15,520.	20,950.

THE BALLMANN FAMILY PRIVATE FOUNDATION
 FORM 990PF, PART II - CORPORATE STOCK
 =====

43-6466750

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV -----
852857200 STAMPS COM INC	16,860.		
G1991C105 CARDTRONICS PLC	15,207.		
00404A109 ACADIA HEALTHCARE CO	57,660.	44,858.	35,689.
13123X102 CALLON PETE CO DEL	25,166.	62,894.	56,145.
589889104 MERIT MEDICAL SYSTEM	16,626.	12,732.	21,905.
101121101 BOSTON PPTYS INC	100,710.	100,710.	91,328.
78467Y9CA CALL ON MDY 03/29/18	-131.		
718172109 PHILIP MORRIS INTL	119,728.	107,572.	159,080.
29089Q105 EMERGENT BIOSOLUTION	37,724.	16,576.	36,762.
52471Y106 LEGACYTEXAS FINANCIA	25,920.	30,679.	32,920.
444097109 HUDSON PACIFIC PROPE	29,930.	51,303.	52,427.
19625X102 COLONY STARWOOD HOME	38,377.		
29275Y102 ENERSYS	6,256.	13,997.	36,199.
172755100 CIRRUS LOGIC INC COM	13,953.		
830879102 SKY WEST INC	13,014.	2,446.	9,291.
G4388N106 HELEN OF TROY LTD	32,021.	34,336.	32,148.
44930G107 ICU MEDICAL INC	38,567.	16,188.	37,545.
L9340P101 TRINSEO SA	32,892.	34,311.	44,754.
436893200 HOME BANCSHARES INC	35,556.	42,505.	44,014.
750236101 RADIAN GROUP INC	52,658.	40,030.	41,785.
G47791101 INGERSOLL RAND PLC	66,600.		
34986P101 FORWARD INTERNATIONAL	202,827.	40,963.	58,053.
53220K504 LIGAND PHARMACEUTICA		313,595.	321,750.
74340W103 PROLOGIS INC		25,040.	28,783.
431571108 HILLENBRAND INC		33,281.	41,363.
090672106 BIOTELEMETRY INC		130,002.	843,568.
09857L108 BOOKING HOLDINGS INC		25,866.	64,110.
26078J100 DOWDUPONT INC		22,068.	23,144.
320867104 FIRST MIDWEST BANCOR			

43-6466750

ENDING
BOOK VALUE

ENDING
BOOK VALUE

ENDING
FMV

33,484.	49,002.
5,928.	19,133.
11,110.	9,291.
25,249.	26,903.
38,196.	36,279.
26,252.	43,301.
39,577.	53,619.
34,306.	15,523.
45,264.	36,393.
33,646.	28,808.
27,209.	22,127.
24,969.	26,947.
21,197.	41,453.
31,753.	39,051.
109,032.	100,250.
41,000.	44,011.
43,811.	49,151.
27,102.	22,904.
29,360.	29,969.
26,162.	26,358.
40,293.	33,470.
29,329.	40,194.
41,541.	38,870.
38,857.	33,776.
35,261.	35,786.
24,445.	36,036.
35,801.	38,724.
30,475.	23,757.

THE BALLMANN FAMILY PRIVATE FOUNDATION
 FORM 990PF, PART II - CORPORATE STOCK
 =====

43-6466750

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV -----
TOTALS	13,048,912. =====	12,196,071. =====	24,187,482. =====

THE BALLMANN FAMILY PRIVATE FOUNDATION
 FORM 990PF, PART II - CORPORATE BONDS
 =====

43-6466750

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
19765N518 COLUMBIA INCOME FD C	3,853,715.	3,281,472.	3,121,906.
258620103 DOUBLELINE TOTAL RET	810,000.	2,660,000.	2,625,637.
277923728 EATON VANCE GLOBAL M	295,783.	293,659.	292,825.
464288687 ISHARES S P U S PRE	200,275.	578,660.	558,750.
68381K606 OPPENHEIMER SENIOR F	293,818.	500,000.	509,514.
72369L107 PIONEER ILS INTERVAL			
	-----	-----	-----
TOTALS	5,453,591.	7,313,791.	7,108,632.
	=====	=====	=====

THE BALLMANN FAMILY PRIVATE FOUNDATION

43-6466750

FORM 990PF, PART II - OTHER INVESTMENTS
=====

DESCRIPTION -----	COST/ FMV C OR F -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
98MSCFFU1 IDR CORE EQUITY RE S	C	195,539.	193,041.	373,982.
98MSCDF44 GCA CREDIT OPP FUND	C	1,000,000.	1,000,000.	1,407,814.
		-----	-----	-----
TOTALS		1,195,539.	1,193,041.	1,781,796.
		=====	=====	=====

=====

RECIPIENT NAME:

UNIVERSITY OF MEMPHIS

ADDRESS:

103 JOHN WLDER TOWER

MEMPHIS, TN 38152-3520

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIPS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 3,250.

RECIPIENT NAME:

GEORGE WASHINGTON UNIVERSITY

ADDRESS:

800 21ST STREET

WASHINGTON, DC 20052

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIPS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 6,500.

RECIPIENT NAME:

UNIVERSITY OF MINNESOTA-TWIN CITIES

ADDRESS:

200 FRASER HALL

MINNEAPOLIS, MN 55455

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIPS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 6,500.

=====

RECIPIENT NAME:

IOWA STATE UNIVERSITY

ADDRESS:

515 MORRILL RD

AMES, IA 50011

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIPS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 3,250.

RECIPIENT NAME:

AUGUSTANA COLLEGE

ADDRESS:

639 38TH ST

ROCK ISLAND, IL 61201

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIPS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 6,500.

RECIPIENT NAME:

WASHINGTON UNIVERSITY

ADDRESS:

ONE BROOKINGS DRIVE

SEATTLE, WA 98195

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIPS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 3,250.

RECIPIENT NAME:

HOWARD UNIVERSITY

ADDRESS:

2400 6TH STREET

WASHINGTON, DC 20059

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIPS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 6,500.

RECIPIENT NAME:

NORTHWEST MO STATE UNIVERSITY

ADDRESS:

273 ADMINISTRATION BLDG

MARYVILLE, MO 64468

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIPS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 6,500.

RECIPIENT NAME:

SOUTHERN IL UNIVERSITY-EDWARDSVILLE

ADDRESS:

RENLEMAN HALL, ROOM 2308

EDWARDSVILLE, IL 62025

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIPS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 13,000.

=====

RECIPIENT NAME:

BRADLEY UNIVERSITY

ADDRESS:

FINANCIAL AID OFFICE

PEORIA, IL 61625

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIPS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 3,250.

RECIPIENT NAME:

BARNES-JEWISH HOSPITAL FOUNDATION

ADDRESS:

WEST, 1001 HIGHLANDS PLAZA DR #140

ST. LOUIS, MO 63110

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 40,800.

RECIPIENT NAME:

ST LOUIS COLLEGE OF PHARMACY

ADDRESS:

4588 PARKVIEW PLACE

ST LOUIS, MO 63110-1029

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIPS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 71,250.

=====

RECIPIENT NAME:

RANKEN TECHNICAL COLLEGE

ADDRESS:

4431 FINNEY AVE

ST LOUIS, MO 63113-2811

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 68,000.

RECIPIENT NAME:

LINDENWOOD UNIVERSITY

ADDRESS:

209 SOUTH KINGSHIGHWAY

ST. CHARLES, MO 63301

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIPS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 3,250.

RECIPIENT NAME:

HUMANE SOCIETY OF MISSOURI

ADDRESS:

1201 MACKLIND AVE

ST LOUIS, MO 63110-1431

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 68,000.

=====

RECIPIENT NAME:

MISSOURI COUNCIL FOR THE BLIND

ADDRESS:

ATTN: ROBERT VAUGHN, TREASURER

SAINT LOUIS, MO 63109-1635

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 68,000.

RECIPIENT NAME:

KANSAS STATE UNIVERSITY

ADDRESS:

104 FAIRCHILD HALL

MANHATTAN, KS 66506

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIPS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 3,250.

RECIPIENT NAME:

VISITING NURSE ASSOCIATION

ADDRESS:

11440 OLIVE BLVD SUITE 200

CREVE COEUR, MO 63141

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 68,000.

=====

RECIPIENT NAME:

UNIVERSITY OF MISSOURI-KANSAS CITY

ADDRESS:

5115 OAK STREET

KANSAS CITY, MO 64110

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIPS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 3,250.

RECIPIENT NAME:

INDEPENDENCE CENTER

ADDRESS:

4245 FOREST PARK AVE

ST LOUIS, MO 63108-2810

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 27,200.

RECIPIENT NAME:

UNIVERSITY OF MISSOURI-ST. LOUIS

ADDRESS:

327 MILLENIUM STUDENT CENTER

ST. LOUIS, MO 63121

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIPS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 6,500.

=====

RECIPIENT NAME:

BEREA COLLEGE

ADDRESS:

101 CHESTNUT ST.

BEREA, KY 40403

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIPS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 27,200.

RECIPIENT NAME:

MIRIAM FOUNDATION

ADDRESS:

501 BACON AVE

Webster Groves, MO 63119-1512

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 27,200.

RECIPIENT NAME:

DEACONESS FOUNDATION

ADDRESS:

211 N BROADWAY STE 1260

ST LOUIS, MO 63102

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 27,200.

=====

RECIPIENT NAME:

GREAT CIRCLE

ADDRESS:

PO BOX 189

ST. JAMES, MO 65559

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 27,200.

RECIPIENT NAME:

ST ANDREW AT HOME SERVICES

ADDRESS:

6633 DELMAR BLVD

ST LOUIS, MO 63130-4505

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 68,000.

RECIPIENT NAME:

WASHINGTON UNIVERSITY-ST LOUIS

ADDRESS:

ONE BROOKINGS DRIVE

ST. LOUIS, MO 63130

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIPS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 3,250.

=====

RECIPIENT NAME:

ANNIE MALONE CHILDREN'S HOME

ADDRESS:

2612 ANNIE MALONE DR

ST LOUIS, MO 63113

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 27,200.

RECIPIENT NAME:

ST. LOUIS ALTENHEIM

ADDRESS:

5408 SOUTH BROADWAY

ST LOUIS, MO 63111-2023

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 27,200.

RECIPIENT NAME:

SAINT LOUIS UNIVERSITY

OFFICE OF STUDENT FINANCIAL SERVICES

ADDRESS:

221 N GRAND BLVD ROOM 304

SAINT LOUIS, MO 63103-2006

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIPS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 44,050.

=====

RECIPIENT NAME:

PEREGRINE SOCIETY INC OF ST LOUIS

ADDRESS:

2343 HAMPTON AVE

ST LOUIS, MO 63139-2908

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 27,200.

RECIPIENT NAME:

GOOD SAMARITAN HOME

ADDRESS:

5714 S LINDBERGH BLVD #11

ST LOUIS, MO 63123

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 102,000.

RECIPIENT NAME:

RANKEN JORDAN HOME FOR CHILDREN

ADDRESS:

11365 DORSETT RD

MARYLAND HEIGHTS, MO 63043

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 27,200.

THE BALLMANN FAMILY PRIVATE FOUNDATION

43-6466750

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

EPWORTH CHILDREN'S HOME

ADDRESS:

ATTN: MS. LISA HEARN, CFO

ST LOUIS, MO 63119

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 27,200.

RECIPIENT NAME:

GOOD SHEPHERD CHILDREN & FAMILY SVCS

ADDRESS:

1340 PARTRIDGE AVE

ST LOUIS, MO 63130

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 27,200.

RECIPIENT NAME:

MEALS ON WHEELS OF GREATER ST LOUIS

ADDRESS:

600 N EUCLID AVE

ST LOUIS, MO 63108

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 68,000.

THE BALLMANN FAMILY PRIVATE FOUNDATION

43-6466750

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

YOUTHBRIDGE COMMUNITY FOUNDATION

ADDRESS:

12977 N FORTY DR., SUITE 368

ST LOUIS, MO 63141

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL OPERATING

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 68,000.

RECIPIENT NAME:

UNITY SCHOOL OF CHRISTIANITY

ADDRESS:

1901 NW BLUE PKWY

Lee's Summit, MO 64065-0001

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIPS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 27,200.

RECIPIENT NAME:

UNIVERSITY OF MISSOURI-KANSAS CITY

ADDRESS:

5100 ROCKHILL ROAD

KANSAS CITY, MO 64110

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SCHOLARSHIPS

FOUNDATION STATUS OF RECIPIENT:

PC

AMOUNT OF GRANT PAID 3,250.

STATEMENT 30

RECIPIENT NAME:
SHRINERS HOSPITALS FOR CHILDREN
ADDRESS:
2900 N ROCKY POINT DRIVE
TAMPA, FL 33607-1435
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 68,000.

RECIPIENT NAME:
CHILDRENS HOME SOCIETY OF MISSOURI
ADDRESS:
1167 CORPORATE LAKE DRIVE
CREVE COEUR, MO 63132
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID 68,000.

TOTAL GRANTS PAID: 1,355,500.
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