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Form 990-PF

Department of the Treasury  
Internal Revenue Service

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter social security numbers on this form as it may be made public.  
► Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No. 1545-0052

2017

Open to Public Inspection

For calendar year 2017 or tax year beginning

MAY 1, 2017

, and ending

APR 30, 2018

Name of foundation

THE ROTHSCHILD FAMILY FOUNDATION, INC.

Number and street (or P O box number if mail is not delivered to street address)

301 MADDOCK WAY

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

PALM BEACH, FL 33480

A Employer identification number

35-2413378

G Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

B Telephone number

(561)-832-2239

H Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

C If exemption application is pending, check here ► 

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$ 496,735.** J Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_

D 1. Foreign organizations, check here ► 2. Foreign organizations meeting the 85% test, check here and attach computation ► E If private foundation status was terminated under section 507(b)(1)(A), check here ► F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► 

**Part I** Analysis of Revenue and Expenses  
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	290,000.		N/A	
	2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B Interest on savings and temporary cash investments				
	3 Dividends and interest from securities	16,369.	16,369.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10 Gross sales price for all assets on line 6a	311.			
	6b 110,850.				
	7 Capital gain net income (from Part IV, line 2)		311.		
	8 Net short-term capital gain				
	9 Income modifications Gross sales less returns and allowances				
	10a b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 Total. Add lines 1 through 11	306,680.	16,680.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.	0.	
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	2,175.	1,088.		RECEIVED 1,087.
	c Other professional fees				
	17 Interest				
	18 Taxes	371.	0.		SEP 13 2018
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	3,514.	3,279.	235.	
	24 Total operating and administrative expenses. Add lines 13 through 23	6,060.	4,367.	1,322.	
	25 Contributions, gifts, grants paid	292,600.		292,600.	
	26 Total expenses and disbursements. Add lines 24 and 25	298,660.	4,367.	293,922.	
	27 Subtract line 26 from line 12:	8,020.			
	a Excess of revenue over expenses and disbursements				
	b Net investment income (if negative, enter -0-)		12,313.		
	c Adjusted net income (if negative, enter -0-)			N/A	

723501 01-03-18 LHA For Paperwork Reduction Act Notice, see instructions.

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10200904 737725 ROTHSCHILDFF 2017.04011 THE ROTHSCHILD FAMILY FOUND ROTHSC31

	Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>				
1	Cash - non-interest-bearing	136,148.	99,593.	99,593.
2	Savings and temporary cash investments			
3	Accounts receivable ►			
	Less: allowance for doubtful accounts ►			
4	Pledges receivable ►			
	Less: allowance for doubtful accounts ►			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons			
7	Other notes and loans receivable ►			
	Less: allowance for doubtful accounts ►			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments - U.S. and state government obligations			
b	Investments - corporate stock	STMT 6	237,000.	281,574.
c	Investments - corporate bonds	STMT 7	108,370.	107,531.
11	Investments - land, buildings, and equipment basis ►			
	Less accumulated depreciation ►			
12	Investments - mortgage loans			
13	Investments - other			
14	Land, buildings, and equipment basis ►			
	Less accumulated depreciation ►			
15	Other assets (describe ►)			
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)	481,518.	488,698.	496,735.
<b>Liabilities</b>				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable			
22	Other liabilities (describe ►)			
23	<b>Total liabilities</b> (add lines 17 through 22)	0.	0.	0.
<b>Net Assets or Fund Balances</b>				
24	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>			
	and complete lines 24 through 26, and lines 30 and 31.			
25	Unrestricted			
26	Temporarily restricted			
27	Permanently restricted			
28	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
29	Capital stock, trust principal, or current funds	0.	0.	0.
30	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	0.
31	Retained earnings, accumulated income, endowment, or other funds	481,518.	488,698.	
32	<b>Total net assets or fund balances</b>	481,518.	488,698.	
33	<b>Total liabilities and net assets/fund balances</b>	481,518.	488,698.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	481,518.
2	Enter amount from Part I, line 27a	2	8,020.
3	Other increases not included in line 2 (itemize) ►	3	0.
4	Add lines 1, 2, and 3	4	489,538.
5	Decreases not included in line 2 (itemize) ►	5	840.
6	<b>Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30</b>	6	488,698.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a					
<b>b SEE ATTACHED STATEMENT</b>					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))		
a					
b					
c					
d					
e <b>110,850.</b>		<b>110,539.</b>	<b>311.</b>		
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a					
b					
c					
d					
e			<b>311.</b>		
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	<b>311.</b>	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	N/A	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	<b>267,011.</b>	0.	.000000
2015	<b>272,757.</b>	0.	.000000
2014	<b>158,528.</b>	0.	.000000
2013	<b>140,903.</b>	0.	.000000
2012	<b>211,787.</b>	0.	.000000

2 Total of line 1, column (d)

2 .000000

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years

3 .000000

4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5

4 571,068.

5 Multiply line 4 by line 3

5 0.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 123.

7 Add lines 5 and 6

7 123.

8 Enter qualifying distributions from Part XII, line 4

8 293,922.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1.	3	123.
1b	Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
1c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).		
1d	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		
2	Add lines 1 and 2	0.	
3	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	123.	
4	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	0.	
5	Credits/Payments:	123.	
6a	a 2017 estimated tax payments and 2016 overpayment credited to 2017	0.	
6b	b Exempt foreign organizations - tax withheld at source	0.	
6c	c Tax paid with application for extension of time to file (Form 8868)	0.	
6d	d Backup withholding erroneously withheld	0.	
7	Total credits and payments. Add lines 6a through 6d	0.	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	0.	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	123.	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	0.	
11	Enter the amount of line 10 to be: Credited to 2018 estimated tax ►	Refunded	11

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	X	
1c	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.	X	
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	X	
3	If "Yes," attach a detailed description of the activities.		
4a	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	X	
4b	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
4c	If "Yes," has it filed a tax return on Form 990-T for this year?		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	X	
6	If "Yes," attach the statement required by <i>General Instruction T</i>		
7	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:		
8a	• By language in the governing instrument, or		
8b	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		
9	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
10	Enter the states to which the foundation reports or with which it is registered. See instructions. ► <u>NONE</u>		
8b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV	X	
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	STMT 8	X

## Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>N/A</b>	13	X
14 The books are in care of ► <b>C/O GELLER ADVISORS</b> Telephone no. ► <b>(212)-583-6001</b> Located at ► <b>P.O. BOX 1510, NEW YORK, NY</b> ZIP+4 ► <b>10150</b>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	► 15	► <b>N/A</b>
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	Yes X No

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	Yes	No
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	<b>N/A</b>	
Organizations relying on a current notice regarding disaster assistance, check here	► <b>N/A</b>	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1b	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
If "Yes," list the years ► _____, _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	<b>N/A</b>	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	2b	
► _____, _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

		<b>Yes</b>	<b>No</b>
5a During the year, did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A ► <input type="checkbox"/>	5b	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d).	N/A	<input type="checkbox"/> Yes	<input type="checkbox"/> No
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.	<input type="checkbox"/>	6b	<input checked="" type="checkbox"/> X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
	N/A	7b	

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, and foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		0.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

► 0

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**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
<b>NONE</b>		

Total number of others receiving over \$50,000 for professional services

► 0

**Part IX-A** **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

<b>1</b> <b>NONE</b>	
<b>2</b>	0.
<b>3</b>	
<b>4</b>	

**Part IX-B** **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

<b>1</b> <b>N/A</b>	
<b>2</b>	
<b>3</b>	
<b>Total. Add lines 1 through 3</b>	0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	393,237.
b	Average of monthly cash balances	1b	186,527.
c	Fair market value of all other assets	1c	0.
d	<b>Total</b> (add lines 1a, b, and c)	1d	579,764.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	579,764.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	8,696.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	571,068.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	28,553.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ►  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	28,553.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	123.
b	Income tax for 2017. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	123.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	28,430.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	28,430.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	28,430.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	1a	293,922.
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1b	0.
b	Program-related investments - total from Part IX-B	2	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	3a	
3	Amounts set aside for specific charitable projects that satisfy the:	3b	
a	Suitability test (prior IRS approval required)	4	293,922.
b	Cash distribution test (attach the required schedule)	5	123.
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	6	293,799.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b		
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4		

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				28,430.
2 Undistributed income, if any, as of the end of 2017			0.	
a Enter amount for 2016 only				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2017:				
a From 2012	211,810.			
b From 2013	140,944.			
c From 2014	158,560.			
d From 2015	272,795.			
e From 2016	267,170.			
f Total of lines 3a through e	1,051,279.			
4 Qualifying distributions for 2017 from Part XII, line 4: ► \$ 293,922.			0.	
a Applied to 2016, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2017 distributable amount				28,430.
e Remaining amount distributed out of corpus	265,492.			0.
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			
6 Enter the net total of each column as indicated below:	1,316,771.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		0.		
b Prior years' undistributed income. Subtract line 4b from line 2b			0.	
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount - see instr.				0.
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7	211,810.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	1,104,961.			
10 Analysis of line 9:				
a Excess from 2013	140,944.			
b Excess from 2014	158,560.			
c Excess from 2015	272,795.			
d Excess from 2016	267,170.			
e Excess from 2017	265,492.			



## Part XV. Supplementary Information (continued)

## 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
ALZHEIMER'S ASSOCIATION GREATER NEW JERSEY 3 EVES DRIVE, SUITE 310 MARLTON, NJ 08053	NONE	PC	GENERAL PURPOSE	250.
AMERICAN FRIENDS OF HEBREW UNIVERSITY INC ONE BATTERY PARK PLAZA, 25TH FL NEW YORK, NY 10004-1435	NONE	PC	GENERAL PURPOSE	48,500.
AMERICAN FRIENDS OF THE ISRAEL MUSEUM 545 5TH AVENUE, SUITE 920 NEW YORK, NY 10017	NONE	PC	GENERAL PURPOSE	50,400.
CONGREGATION EMANU-EL OF THE CITY OF NEW YORK 1 EAST 65TH STREET NEW YORK, NY 10065	NONE	PC	GENERAL PURPOSE	3,400.
DANA-FARBER CANCER INSTITUTE INC 450 BROOKLINE AVENUE, BP418 BOSTON, MA 02215	NONE	PC	GENERAL PURPOSE	4,000.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>			► 3a 292,600.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>				► 3b 0.

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514	(e) Related or exempt function income
		(a) Business code	(b) Amount	(c) Exclu- sion code	
<b>1 Program service revenue:</b>					
a					
b					
c					
d					
e					
f					
<b>g Fees and contracts from government agencies</b>					
<b>2 Membership dues and assessments</b>					
<b>3 Interest on savings and temporary cash investments</b>					
<b>4 Dividends and interest from securities</b>		523000	16,369.		
<b>5 Net rental income or (loss) from real estate:</b>					
a Debt-financed property					
b Not debt-financed property					
<b>6 Net rental income or (loss) from personal property</b>					
<b>7 Other investment income</b>					
<b>8 Gain or (loss) from sales of assets other than inventory</b>		523000	311.		
<b>9 Net income or (loss) from special events</b>					
<b>10 Gross profit or (loss) from sales of inventory</b>					
<b>11 Other revenue:</b>					
a					
b					
c					
d					
e					
<b>12 Subtotal. Add columns (b), (d), and (e)</b>			16,680.	0.	0.
<b>13 Total. Add line 12, columns (b), (d), and (e)</b>				13	16,680.

(See worksheet in line 13 instructions to verify calculations.)

## **Relationship of Activities to the Accomplishment of Exempt Purposes**

**Part XVII** Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

**a. Transfers from the reporting foundation to a noncharitable exempt organization of:**

- (1) Cash
- (2) Other assets

**b Other transactions:**

**(1) Sales of assets to a noncharitable exempt organization**

**(2) Purchases of assets from a noncharitable exempt organization**

**(3) Rental of facilities, equipment, or other assets**

#### (4) Reimbursement arrangements

(5) Loans or loan guarantees

**(6) Performance of services or membership or fundraising solicitations**

#### Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?

Yes  No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below? See instr

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	<b>JOSEPH KLUEMPER</b>	<i>Joseph Klumper</i>	<i>9/5/2018</i>	<b>P00739411</b>	
	Firm's name ► <b>GELLER &amp; COMPANY LLC</b>			Firm's EIN ► <b>13-4149326</b>	
Firm's address ► <b>P.O. BOX 1510 NEW YORK, NY 10150</b>			Phone no. <b>212-583-6001</b>		

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)  
Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**  
► Attach to Form 990, Form 990-EZ, or Form 990-PF.  
► Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No 1545-0047

**2017**

Name of the organization

Employer identification number

**THE ROTHSCHILD FAMILY FOUNDATION, INC.**

**35-2413378**

Organization type (check one)

Filers of:

Section:  
 501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation  
 527 political organization

Form 990-PF

501(c)(3) exempt private foundation  
 4947(a)(1) nonexempt charitable trust treated as a private foundation  
 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ► \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2017)

Name of organization

Employer identification number

THE ROTHSCHILD FAMILY FOUNDATION, INC.

35-2413378

**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RICHARD AND BARBARA ROTHSCHILD 301 MADDOCK WAY PALM BEACH, FL 33480	\$ 290,000.	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions)
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions)
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions)
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions)
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions)
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions)
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions)
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions)
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions)
		\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions)

Name of organization

Employer identification number

THE ROTHSCHILD FAMILY FOUNDATION, INC.

35-2413378

**Part II Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$ _____	_____

---

**Name of organization**

**Employer identification number**

THE ROTHSCHILD FAMILY FOUNDATION, INC.

35-2413378

**Part III** *Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ► \$*  
*Use duplicate copies of Part III if additional space is needed*

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<b>(e) Transfer of gift</b>		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	<b>(e) Transfer of gift</b>		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	<b>(e) Transfer of gift</b>		
	<b>(e) Transfer of gift</b>		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

## THE ROTHSCHILD FAMILY FOUNDATION, INC.

CONTINUATION FOR 990-PF, PART IV  
35-2413378 PAGE 1 OF 1

## Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 500 SHS CITIGROUP INC NEW			P	05/16/17	06/12/17
b 618.538 SHS JPMORGAN VALUE ADVANTAGE FD			P	11/30/16	06/05/17
c 125 SHS ISHARES U.S. AEROSPACE & DEFENSE ETF			P	06/05/17	08/24/17
d 400 SHS SOTHEBY'S			P	08/31/17	10/03/17
e 750 SHS GENERAL ELECTRIC COMPANY			P	01/10/17	01/05/18
f CAPITAL GAINS DIVIDENDS					
g					
h					
i					
j					
k					
l					
m					
n					
o					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 32,470.	0.	31,173.	1,297.		
b 20,573.	0.	20,000.	573.		
c 20,832.	0.	19,818.	1,014.		
d 19,059.	0.	17,708.	1,351.		
e 14,152.	0.	21,840.	-7,688.		
f 3,764.			3,764.		
g					
h					
i					
j					
k					
l					
m					
n					
o					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			1,297.		
b			573.		
c			1,014.		
d			1,351.		
e			-7,688.		
f			3,764.		
g					
h					
i					
j					
k					
l					
m					
n					
o					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }		2	311.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}		3	N/A	

723591  
04-01-17

## THE ROTHSCHILD FAMILY FOUNDATION, INC.

35-2413378

**Part XV | Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GUILD HALL OF EAST HAMPTON INC 158 MAIN STREET EAST HAMPTON, NY 11937	NONE	PC	GENERAL PURPOSE	1,000.
HEARING THE OVARIAN CANCER WHISPER INC 360 CYPRESS DRIVE, NO 4 JUPITER, FL 33469	NONE	PC	GENERAL PURPOSE	350.
HIGH SCHOOL FOR MEDIA & COMMUNICATIONS 549 AUDUBON AVENUE MANHATTAN, NY 10040	NONE	PC	GENERAL PURPOSE	500.
ISRAEL POLICY FORUM INC 355 LEXINGTON AVENUE, 14TH FL NEW YORK, NY 10017	NONE	PC	GENERAL PURPOSE	1,800.
ISRAEL TENNIS CENTERS FOUNDATION INC 57 WEST 38TH STREET, NO 605 NEW YORK, NY 10018	NONE	PC	GENERAL PURPOSE	31,000.
JEWISH FEDERATION OF PALM BEACH COUNTY INC ONE HARVARD CIRCLE, NO 100 WEST PALM BEACH, FL 33409	NONE	PC	GENERAL PURPOSE	10,000.
JEWISH WOMENS FOUNDATION OF THE GREATER PALM BEACHES INC 500 SOUTH AUSTRALIAN AVENUE, SUITE S WEST PALM BEACH, FL 33401	NONE	PC	GENERAL PURPOSE	500.
MORSELIFE FOUNDATION INC 4847 FRED GLADSTONE DRIVE WEST PALM BEACH, FL 33417	NONE	PC	GENERAL PURPOSE	30,500.
NORTON MUSEUM OF ART INC 1451 S OLIVE AVENUE WEST PALM BEACH, FL 33401	NONE	PC	GENERAL PURPOSE	31,000.
NSU ART MUSEUM FORT LAUDERDALE ONE EAST LAS OLAS BOULEVARD FORT LAUDERDALE, FL 33301	NONE	PC	GENERAL PURPOSE	1,000.
<b>Total from continuation sheets</b>				186,050.

## THE ROTHSCHILD FAMILY FOUNDATION, INC.

35-2413378

## Part XV Supplementary Information

## 3 Grants and Contributions Paid During the Year (Continuation)

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ONE TO WORLD INC 307 7TH AVENUE NEW YORK, NY 10001	NONE	PC	GENERAL PURPOSE	2,500.
OPPORTUNITY, INC. OF PALM BEACH COUNTY 1713 QUAIL DRIVE WEST PALM BEACH, FL 33409	NONE	PC	GENERAL PURPOSE	10,000.
PALM BEACH AREA TENNIS PATRONS, INC 2247 PALM BEACH LAKES BLVD, STE 203 WEST PALM BEACH, FL 33409	NONE	PC	GENERAL PURPOSE	500.
PALM BEACH CIVIC ASSOCIATION INC PO BOX 286 PALM BEACH, FL 33480	NONE	PC	GENERAL PURPOSE	6,000.
PALM BEACH COUNTRY CLUB FOUNDATION INC 760 N OCEAN BOULEVARD PALM BEACH, FL 33480	NONE	PC	GENERAL PURPOSE	4,500.
PALM BEACH ORTHODOX SYNAGOGUE INC 120 N COUNTY ROAD PALM BEACH, FL 33480	NONE	PC	GENERAL PURPOSE	2,450.
PREP FOR PREP 328 WEST 71ST STREET NEW YORK, NY 10023-3502	NONE	PC	GENERAL PURPOSE	500.
PUBLIC ART FUND ONE EAST 53RD STREET, 12TH FL NEW YORK, NY 10022	NONE	PC	GENERAL PURPOSE	300.
RAYMOND F KRAVIS CENTER FOR THE PERFORMING ARTS INC 701 OKEECHOBEE BOULEVARD WEST PALM BEACH, FL 33401	NONE	PC	GENERAL PURPOSE	10,000.
SID JACOBSON JEWISH COMMUNITY CENTER 300 FOREST DRIVE EAST HILLS, NY 11548	NONE	PC	GENERAL PURPOSE	500.
<b>Total from continuation sheets</b>				

## THE ROTHSCHILD FAMILY FOUNDATION, INC.

35-2413378

## Part XV Supplementary Information

## 3 Grants and Contributions Paid During the Year (Continuation)

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SUNRISE ASSOCIATION 15 NEIL COURT OCEANSIDE, NY 11572	NONE	PC	GENERAL PURPOSE	500.
SUSAN G KOMEN BREAST CANCER FDN INC 5005 LBJ FREEWAY, SUITE 526 DALLAS, TX 75244-6125	NONE	PC	GENERAL PURPOSE	5,000.
SYLVESTER COMPREHENSIVE CANCER CENTER P.O. BOX 016960, M-867 MIAMI, FL 33101	NONE	PC	GENERAL PURPOSE	5,500.
THE BREAST CANCER RESEARCH FOUNDATION INC 60 EAST 56TH STREET, 8TH FL NEW YORK, NY 10022	NONE	PC	GENERAL PURPOSE	1,250.
THE MICHAEL BOLTON CHARITIES INC PO BOX 936 BRANFORD, CT 06405	NONE	PC	GENERAL PURPOSE	1,000.
THE NATIONAL CENTER ON ADDICTION AND SUBSTANCE ABUSE 633 THIRD AVENUE, 19TH FL NEW YORK, NY 10017-6706	NONE	PC	GENERAL PURPOSE	1,000.
THE PERLMAN MUSIC PROGRAM INC 19 WEST 69TH STREET NEW YORK, NY 10023-4737	NONE	PC	GENERAL PURPOSE	500.
THE PRESTON ROBERT TISCH BRAIN TUMOR CENTER DUKE UNIVERSITY MEDICAL CENTER BOX 3624 DURHAM, NC 27710	NONE	PC	GENERAL PURPOSE	500.
THE ROGOSIN INSTITUTE INC 505 EAST 70TH STREET NEW YORK, NY 10021-4872	NONE	PC	GENERAL PURPOSE	500.
THE YOUNG MEN'S AND YOUNG WOMEN'S HEBREW ASSOCIATION 1395 LEXINGTON AVENUE NEW YORK, NY 10128	NONE	PC	GENERAL PURPOSE	1,000.
<b>Total from continuation sheets</b>				

## THE ROTHSCHILD FAMILY FOUNDATION, INC. 35-2413378

## Part XV Supplementary Information

## 3 Grants and Contributions Paid During the Year (Continuation)

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE ZOOLOGICAL SOCIETY OF THE PALM BEACHES INC 1301 SUMMIT BOULEVARD WEST PALM BEACH, FL 33405	NONE	PC	GENERAL PURPOSE	1,000.
TOWN OF PALM BEACH UNITED WAY, INC 44 COCONUT ROW, M-201 PALM BEACH, FL 33480	NONE	PC	GENERAL PURPOSE	10,000.
UJA - FEDERATION OF NEW YORK INC 130 EAST 59TH STREET NEW YORK, NY 10022-1302	NONE	PC	GENERAL PURPOSE	1,000.
UNIVERSITY OF MIAMI PO BOX 248106 CORAL GABLES, FL 33124-2912	NONE	PC	GENERAL PURPOSE	10,000.
WHITNEY MUSEUM OF AMERICAN ART 99 GANSEVOORT STREET NEW YORK, NY 10014	NONE	PC	GENERAL PURPOSE	2,400.
Total from continuation sheets				

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
WELLS FARGO #8278	20,133.	3,764.	16,369.	16,369.	
TO PART I, LINE 4	20,133.	3,764.	16,369.	16,369.	

## FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING & TAX PREP FEES	2,175.	1,088.		1,087.
TO FORM 990-PF, PG 1, LN 16B	2,175.	1,088.		1,087.

## FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAX PAYMENTS	319.	0.		0.
FOREIGN TAXES PAID - WELLS FARGO #8278	52.	0.		0.
TO FORM 990-PF, PG 1, LN 18	371.	0.		0.

## FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES - WELLS FARGO #8278	3,279.	3,279.		0.
REGISTERED AGENTS FEE	235.	0.		235.
TO FORM 990-PF, PG 1, LN 23	3,514.	3,279.		235.

## THE ROTHSCHILD FAMILY FOUNDATION, INC.

35-2413378

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	5
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DESCRIPTION	AMOUNT
UNREALIZED GAIN / (LOSS) ON CORPORATE BONDS	840.
TOTAL TO FORM 990-PF, PART III, LINE 5	840.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS - CORPORATE STOCK	281,574.	291,477.
TOTAL TO FORM 990-PF, PART II, LINE 10B	281,574.	291,477.

FORM 990-PF	CORPORATE BONDS	STATEMENT	7
-------------	-----------------	-----------	---

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS - CORPORATE BONDS	107,531.	105,665.
TOTAL TO FORM 990-PF, PART II, LINE 10C	107,531.	105,665.

FORM 990-PF

LIST OF SUBSTANTIAL CONTRIBUTORS  
PART VII-A, LINE 10

STATEMENT 8

## NAME OF CONTRIBUTOR

## ADDRESS

BARBARA ROTHSCHILD

301 MADDOCK WAY  
PALM BEACH, FL 33480

RICHARD ROTHSCHILD

301 MADDOCK WAY  
PALM BEACH, FL 33480

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 9

## NAME AND ADDRESS

	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
BARBARA ROTHSCHILD 301 MADDOCK WAY PALM BEACH, FL 33480	PRESIDENT 5.00	0.	0.	0.
RICHARD ROTHSCHILD 301 MADDOCK WAY PALM BEACH, FL 33480	VICE PRESIDENT / TREASURER 5.00	0.	0.	0.
ROBERT MICHAELSON 99 SE MIZNER BLVD, APT 734 BOCA RATON, FL 33432	DIRECTOR 1.00	0.	0.	0.
DAVID ROTHSCHILD 210 EAST 68TH STREET, PH-B NEW YORK, NY 10065	VICE PRESIDENT / DIRECTOR 2.00	0.	0.	0.
ROBERT ROTHSCHILD 125 EAST 72ND STREET NEW YORK, NY 10021	VICE PRESIDENT / DIRECTOR 2.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

PART XV - LINE 1A  
LIST OF FOUNDATION MANAGERS

STATEMENT 10

NAME OF MANAGER

BARBARA ROTHSCHILD  
RICHARD ROTHSCHILD