

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052
2017
Open to Public Inspection

For calendar year 2017, or tax year beginning 05-01-2017, and ending 04-30-2018

Name of foundation WETHERSFIELD FOUNDATION INC		A Employer identification number 13-6113816
Number and street (or P O box number if mail is not delivered to street address) 257 PUGSLEY HILL ROAD	Room/suite	B Telephone number (see instructions) (845) 373-8037
City or town, state or province, country, and ZIP or foreign postal code AMENIA, NY 12501		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 36,077,583	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	126,970			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	17,472	17,472	17,472	
	4 Dividends and interest from securities	513,831	513,831	513,831	
	5a Gross rents	48,424	48,424	48,424	
	b Net rental income or (loss) 28,719				
	6a Net gain or (loss) from sale of assets not on line 10	3,260,082			
	b Gross sales price for all assets on line 6a 24,137,464				
	7 Capital gain net income (from Part IV, line 2)		3,260,082		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	296,155	296,155	296,155		
12 Total. Add lines 1 through 11	4,262,934	4,135,964	875,882		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	310,058	0	0	310,058
	14 Other employee salaries and wages	369,977	0	0	369,977
	15 Pension plans, employee benefits	192,788	0	0	192,788
	16a Legal fees (attach schedule)	169,424	0	0	169,424
	b Accounting fees (attach schedule)	165,644	9,575	0	156,069
	c Other professional fees (attach schedule)	165,038	115,334	0	49,704
	17 Interest	2	0	0	0
	18 Taxes (attach schedule) (see instructions)	70,883	2,569	2,569	68,314
	19 Depreciation (attach schedule) and depletion	209,906	0	0	
	20 Occupancy	12,500	0	0	12,500
	21 Travel, conferences, and meetings	25,385	0	0	25,385
	22 Printing and publications	23,076	0	0	23,076
	23 Other expenses (attach schedule)	665,297	65,525	17,136	599,772
	24 Total operating and administrative expenses. Add lines 13 through 23	2,379,978	193,003	19,705	1,977,067
	25 Contributions, gifts, grants paid	0			0
26 Total expenses and disbursements. Add lines 24 and 25	2,379,978	193,003	19,705	1,977,067	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	1,882,956				
b Net investment income (if negative, enter -0-)		3,942,961			
c Adjusted net income (if negative, enter -0-)			856,177		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	156,024	137,161	137,161
	2 Savings and temporary cash investments	1,332,325	2,465,437	2,465,437
	3 Accounts receivable ▶ <u>15,971</u>			
	Less allowance for doubtful accounts ▶ _____	36,982	15,971	15,971
	4 Pledges receivable ▶ _____			
	Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____			
	Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	92,325	25,440	25,440
	10a Investments—U S and state government obligations (attach schedule)	0	4,185,183	4,185,183
	b Investments—corporate stock (attach schedule)	12,033,089	14,730,236	14,730,236
	c Investments—corporate bonds (attach schedule)	0	2,063,743	2,063,743
	11 Investments—land, buildings, and equipment basis ▶ _____			
Less accumulated depreciation (attach schedule) ▶ _____				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)	137,703	1,283,037	1,283,037	
14 Land, buildings, and equipment basis ▶ <u>12,835,830</u>				
Less accumulated depreciation (attach schedule) ▶ <u>6,985,317</u>	6,437,808	5,850,513	5,850,513	
15 Other assets (describe ▶ _____)	15,107,762	5,320,862	5,320,862	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	35,334,018	36,077,583	36,077,583	
Liabilities	17 Accounts payable and accrued expenses	178,290	236,341	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	689,515	713,900	
	23 Total liabilities (add lines 17 through 22)	867,805	950,241	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	3,133,846	10,241,590	
	25 Temporarily restricted	14,876,409	15,898,569	
	26 Permanently restricted	16,455,958	8,987,183	
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see instructions)	34,466,213	35,127,342		
31 Total liabilities and net assets/fund balances (see instructions) .	35,334,018	36,077,583		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	34,466,213
2 Enter amount from Part I, line 27a	2	1,882,956
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	36,349,169
5 Decreases not included in line 2 (itemize) ▶ _____	5	1,221,827
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	35,127,342

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	3,260,082
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-325,259

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	2,148,949	13,699,444	0.156864
2015	2,141,808	13,236,622	0.161809
2014	2,367,561	12,841,322	0.184371
2013	2,174,045	12,070,816	0.180108
2012	2,058,260	14,610,403	0.140876

2 Total of line 1, column (d)	2	0.824028
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.164806
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	21,743,571
5 Multiply line 4 by line 3	5	3,583,471
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	39,430
7 Add lines 5 and 6	7	3,622,901
8 Enter qualifying distributions from Part XII, line 4	8	1,977,067

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Domestic foundations', and 'Tax based on investment income'. Total amount owed is 2,604, with 2,604 refunded.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Questions include: 'Did the foundation attempt to influence any national, state, or local legislation?', 'Did it spend more than \$100 for political purposes?', 'Did the foundation file Form 1120-POL?', etc.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, distribution to donor advised funds, public inspection requirements, and books in care of.

Located at 257 PUGSLEY HILL ROAD AMENIA NY ZIP+4 12501

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year.

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in or authority over a bank, securities, or other financial account in a foreign country.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. ▶	<input type="checkbox"/>	5b	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870</i>	<input type="checkbox"/>	<input type="checkbox"/>	6b
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	<input type="checkbox"/>	<input type="checkbox"/>	7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

Table 1: List all officers, directors, trustees, foundation managers and their compensation (see instructions). Columns: (a) Name and address, (b) Title and average hours per week, (c) Compensation, (d) Contributions to employee benefit plans, (e) Expense account.

Table 2: Compensation of five highest-paid employees (other than those included on line 1—see instructions). Columns: (a) Name and address, (b) Title and average hours per week, (c) Compensation, (d) Contributions to employee benefit plans, (e) Expense account.

Total number of other employees paid over \$50,000. 0

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

Table 3: Five highest-paid independent contractors for professional services. Columns: (a) Name and address, (b) Type of service, (c) Compensation.

Total number of others receiving over \$50,000 for professional services. 0

Part IX-A Summary of Direct Charitable Activities

Table 4: Summary of Direct Charitable Activities. Columns: Description of activity, Expenses.

Part IX-B Summary of Program-Related Investments (see instructions)

Table 5: Summary of Program-Related Investments. Columns: Description of investment, Amount.

Total. Add lines 1 through 3 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	21,928,099
b	Average of monthly cash balances.	1b	146,592
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	22,074,691
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	22,074,691
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	331,120
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	21,743,571
6	Minimum investment return. Enter 5% of line 5.	6	1,087,179

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,977,067
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,977,067
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,977,067

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				0
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			0	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2017				
a From 2012.				
b From 2013.		1,367,029		
c From 2014.		1,766,111		
d From 2015.				
e From 2016.		1,509,231		
f Total of lines 3a through e.	4,642,371			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ _____				
a Applied to 2016, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				0
e Remaining amount distributed out of corpus				0
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,642,371			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	4,642,371			
10 Analysis of line 9				
a Excess from 2013.		1,367,029		
b Excess from 2014.		1,766,111		
c Excess from 2015.				
d Excess from 2016.		1,509,231		
e Excess from 2017.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. 2017-08-18

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	856,177	0	0	0	856,177
b 85% of line 2a	727,750	0	0	0	727,750
c Qualifying distributions from Part XII, line 4 for each year listed	1,977,067	2,171,576	2,146,803	2,387,869	8,683,315
d Amounts included in line 2c not used directly for active conduct of exempt activities	0	0	0	0	0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	1,977,067	2,171,576	2,146,803	2,387,869	8,683,315
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets	36,077,583	35,334,018	32,953,908	31,079,966	135,445,475
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)	36,077,583	35,334,018	32,953,908	31,079,966	135,445,475
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.	724,786	456,648	441,221	428,044	2,050,699
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)	3,653,148	2,009,592	37,018	1,830,844	7,530,602
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).	126,970	15,361	22,675	20,450	185,456
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income	4,135,964	2,398,714	652,163	2,169,476	9,356,317

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Total			▶ 3a	0
b <i>Approved for future payment</i>				
Total			▶ 3b	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
BNY MELLON A/C #9900	P		
BALLAST POINT VENTURES	P		
LAND	D		
PAINTINGS	D		
ANTIQUITIES	D		
US BANK VARIOUS	P		
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12,943,016		11,127,322	1,815,694
451			451
2,313,250		840,275	1,472,975
5,589,546		6,565,500	-975,954
694,980		45,000	649,980
2,300,000		2,299,285	715
296,221			296,221

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,815,694
			451
			1,472,975
			-975,954
			649,980
			715
			296,221

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
THEODORA SHAFER C/O FDN 257 PUGSLEY HILL ROAD AMENIA, NY 12501	TRUSTEE 3 00	0	0	0
PETER BUDNIK C/O FDN 257 PUGSLEY HILL ROAD AMENIA, NY 12501	CHAIRMAN 10 00	0	0	0
GERALD RUSSELLO C/O FDN 257 PUGSLEY HILL ROAD AMENIA, NY 12501	VICE-CHAIR 3 00	0	0	0
MARGARET M LYONS C/O FDN 257 PUGSLEY HILL ROAD AMENIA, NY 12501	TRUSTEE 3 00	0	0	0
REV GEORGE W RUTLER C/O FDN 257 PUGSLEY HILL ROAD AMENIA, NY 12501	TRUSTEE AND BOARD SECRETARY 3 00	0	0	0
FARNHAM F COLLINS C/O FDN 257 PUGSLEY HILL ROAD AMENIA, NY 12501	TRUSTEE AND TREASURER 3 00	0	0	0
THOMAS C DONAHOE C/O FDN 257 PUGSLEY HILL ROAD AMENIA, NY 12501	ACTING EXECUTIVE DIR THROUGH 2/26/18 45 00	285,058	0	35,512
THEODORA BUDNIK C/O FDN 257 PUGSLEY HILL ROAD AMENIA, NY 12501	TRUSTEE 3 00	0	0	0
STANLEY STILLMAN C/O FDN 257 PUGSLEY HILL ROAD AMENIA, NY 12501	TRUSTEE 3 00	0	0	0
JOHN HENRY CROSBY C/O FDN 257 PUGSLEY HILL ROAD AMENIA, NY 12501	TRUSTEE 3 00	0	0	0
REV JOSEPH KOTERSKI C/O FDN 257 PUGSLEY HILL ROAD AMENIA, NY 12501	TRUSTEE THROUGH 1/23/18 3 00	0	0	0
THOMAS J VITIELLO C/O FDN 257 PUGSLEY HILL ROAD AMENIA, NY 12501	BOARD SECRETARY&TREASURER THROUGH 6/12 3 00	0	0	0
DR MARK C HENRIE C/O FDN 257 PUGSLEY HILL ROAD AMENIA, NY 12501	TRUSTEE THROUGH 6/12/17 3 00	0	0	0
DR MARIE NOELLE LANGAN C/O FDN 257 PUGSLEY HILL ROAD AMENIA, NY 12501	TRUSTEE THROUGH 1/22/18 3 00	0	0	0
GEORGE T WHALEN III C/O FDN 257 PUGSLEY HILL ROAD AMENIA, NY 12501	TRUSTEE THROUGH 11/9/17 3 00	0	0	0

TY 2017 Accounting Fees Schedule**Name:** WETHERSFIELD FOUNDATION INC**EIN:** 13-6113816**Accounting Fees Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING AND AUDITING	69,894	0	0	69,894
FINANCE & ADMINISTRATION	95,750	9,575	0	86,175

TY 2017 Investments Corporate Bonds Schedule**Name:** WETHERSFIELD FOUNDATION INC**EIN:** 13-6113816**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
HIGH YIELD FIXED INCOME FUNDS	2,063,743	2,063,743

TY 2017 Investments Corporate Stock Schedule**Name:** WETHERSFIELD FOUNDATION INC**EIN:** 13-6113816

Name of Stock	End of Year Book Value	End of Year Fair Market Value
U.S. EQUITY FUNDS	10,238,583	10,238,583
NON-U.S. EQUITY FUNDS	4,491,653	4,491,653

TY 2017 Investments Government Obligations Schedule**Name:** WETHERSFIELD FOUNDATION INC**EIN:** 13-6113816**US Government Securities - End
of Year Book Value:**

4,185,183

**US Government Securities - End
of Year Fair Market Value:**

4,185,183

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2017 Investments - Other Schedule**Name:** WETHERSFIELD FOUNDATION INC**EIN:** 13-6113816**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
BALLAST POINT VENTURES, L.P.	FMV	111,436	111,436
GAS AND OIL LEASES	AT COST	16,935	16,935
REAL ESTATE FUNDS	FMV	1,154,666	1,154,666

**TY 2017 Land, Etc.
Schedule****Name:** WETHERSFIELD FOUNDATION INC**EIN:** 13-6113816

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
LAND	3,758,178	0	3,758,178	3,758,178
BUILDING AND IMPROVEMENTS	7,899,472	5,954,632	1,944,840	1,944,840
EQUIPMENT AND VEHICLES	879,872	769,224	110,648	110,648
FURNITURE AND FIXTURES	271,961	261,461	10,500	10,500
CONSTRUCTION IN PROGRESS	26,347	0	26,347	26,347

TY 2017 Legal Fees Schedule**Name:** WETHERSFIELD FOUNDATION INC**EIN:** 13-6113816

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	169,424	0	0	169,424

TY 2017 Other Assets Schedule**Name:** WETHERSFIELD FOUNDATION INC**EIN:** 13-6113816**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PAINTINGS AND SCULPTURES	10,061,350	3,495,850	3,495,850
ANTIQUUE FURNITURE	1,465,075	1,402,075	1,402,075
CARRIAGE COLLECTION	345,900	345,900	345,900
CASH SURRENDER VALUE OF LIFE	2,803	0	0
DUE FROM WETHERSFIELD INSTITUTE	10,245	14,016	14,016
INVESTMENT INTEREST RECEIVABLE	2,555	2,276	2,276
DUE FROM AUCTION SALES	3,191,400		
DUE FROM FOW	5,020	60,745	60,745
DUE FROM LYND RESIDENTIAL	23,414	0	0

TY 2017 Other Decreases Schedule**Name:** WETHERSFIELD FOUNDATION INC**EIN:** 13-6113816

Description	Amount
PENSION BENEFIT OBLIGATION ADJUSTMENT	16,169
UNREALIZED GAIN/(LOSS) ON INVESTMENTS	1,205,658

TY 2017 Other Expenses Schedule**Name:** WETHERSFIELD FOUNDATION INC**EIN:** 13-6113816**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
AUTO EXPENSE	13,275	0	0	13,275
INSURANCE	142,395	0	0	142,395
MISCELLANEOUS EXPENSE	28,398	0	0	28,398
EVENT EXPENSES	48,389	48,389	0	0
SUPPLIES	42,355	0	0	42,355
OFFICE EXPENSE	87,675	0	0	87,675
PENSION EXPENSE	7,820	0	0	7,820
POSTAGE AND SHIPPING	2,114	0	0	2,114
REPAIRS AND MAINTENANCE	111,527	0	0	111,527
UTILITIES	124,124	0	0	124,124

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK FEES	322	0	0	322
FILING FEES	1,080	0	0	1,080
FUNDRAISING EXPENSE	36,987	0	0	36,987
REAL ESTATE FEE	1,700	0	0	1,700
REPAIRS AND MAINTENANCE	16,107	16,107	16,107	0
INSURANCE	1,029	1,029	1,029	0

TY 2017 Other Income Schedule**Name:** WETHERSFIELD FOUNDATION INC**EIN:** 13-6113816**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OIL AND GAS ROYALTIES	29,659	29,659	29,659
MISCELLANEOUS INCOME	172,595	172,595	172,595
MISCELLANEOUS FARM INCOME	93,755	93,755	93,755
BOOKS, TAPES, AND POST CARDS	146	146	146

TY 2017 Other Liabilities Schedule**Name:** WETHERSFIELD FOUNDATION INC**EIN:** 13-6113816

Description	Beginning of Year - Book Value	End of Year - Book Value
SECURITY DEPOSITS PAYABLE	7,300	3,550
PENSION BENEFIT OBLIGATION	663,565	660,016
DEFERRED FEDERAL EXCISE TAX	18,650	0
CURRENT PORTION OF LTD	0	8,645
LONG-TERM DEBT	0	41,689

TY 2017 Other Professional Fees Schedule**Name:** WETHERSFIELD FOUNDATION INC**EIN:** 13-6113816

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	115,334	115,334	0	0
OTHER PROFESSIONAL FEES	49,704	0	0	49,704

TY 2017 Taxes Schedule**Name:** WETHERSFIELD FOUNDATION INC**EIN:** 13-6113816

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAXES	30,750	0	0	30,750
PAYROLL TAXES	29,954	0	0	29,954
PROPERTY TAXES	7,610	0	0	7,610
PROPERTY TAXES	2,569	2,569	2,569	0

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors
▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at
www.irs.gov/form990

OMB No 1545-0047
2017

Name of the organization
WETHERSFIELD FOUNDATION INC

Employer identification number
13-6113816

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- 501(c)() (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹ 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization WETHERSFIELD FOUNDATION INC	Employer identification number 13-6113816
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Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	FRIENDS OF WETHERSFIELD INC 88 WETHERSFIELD WAY AMENIA, NY 12501	\$ 123,050	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization WETHERSFIELD FOUNDATION INC	Employer identification number 13-6113816
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Part II Noncash Property (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____
_____	_____ _____ _____	_____ \$	_____

Name of organization WETHERSFIELD FOUNDATION INC	Employer identification number 13-6113816
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____
 Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____