

For calendar year 2017, or tax year beginning 05-01-2017, and ending 04-30-2018

Name of foundation Ernest and Joan Trefz Foundation		A Employer identification number 06-1485833	
Number and street (or P O box number if mail is not delivered to street address) 10 Middle Street 17th Floor		B Telephone number (see instructions) (203) 367-3621	
City or town, state or province, country, and ZIP or foreign postal code Bridgeport, CT 06604		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 36,406,354		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	1,973,208			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	692,797	692,797		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	239,528			
	b Gross sales price for all assets on line 6a 387,936				
	7 Capital gain net income (from Part IV, line 2) . . .		239,528		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	2,905,533	932,325		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	3,250	1,625		0
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .				
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	14,894	14,894		0
	24 Total operating and administrative expenses. Add lines 13 through 23	18,144	16,519		0
	25 Contributions, gifts, grants paid	1,364,522			1,364,522
	26 Total expenses and disbursements. Add lines 24 and 25	1,382,666	16,519		1,364,522
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	1,522,867			
	b Net investment income (if negative, enter -0-)		915,806		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	16,558	28,756	28,756
	2 Savings and temporary cash investments	5,556,641	325,636	325,636
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	7,084,239	13,891,216	32,508,128
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	3,320,254	3,543,834	3,543,834
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	15,977,692	17,789,442	36,406,354	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule).			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0	0	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0	0	
	29 Retained earnings, accumulated income, endowment, or other funds	15,977,692	17,789,442	
	30 Total net assets or fund balances (see instructions)	15,977,692	17,789,442	
31 Total liabilities and net assets/fund balances (see instructions) .	15,977,692	17,789,442		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	15,977,692
2 Enter amount from Part I, line 27a	2	1,522,867
3 Other increases not included in line 2 (itemize) ▶ _____	3	301,256
4 Add lines 1, 2, and 3	4	17,801,815
5 Decreases not included in line 2 (itemize) ▶ _____	5	12,373
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	17,789,442

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a 155 SH AT&T	P	2001-07-09	2018-01-10
b 1897 SH MCKESSON CORP	P	1999-08-27	2018-01-11
c 3 SH MCKESSON CORP	P	1999-08-27	2018-02-14
d Capital Gains Dividends	P		
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,707		6,402	-695
b 307,711		141,782	165,929
c 439		224	215
d 74,079			74,079
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-695
b			165,929
c			215
d			74,079
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	239,528
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	1,139,842	27,435,421	0 041546
2015	879,100	23,021,239	0 038186
2014	681,100	18,008,348	0 037821
2013	598,850	13,549,554	0 044197
2012	566,684	12,043,472	0 047053

2 Total of line 1, column (d)	2	0 208803
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 041761
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	35,839,121
5 Multiply line 4 by line 3	5	1,496,678
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	9,158
7 Add lines 5 and 6	7	1,505,836
8 Enter qualifying distributions from Part XII, line 4	8	1,364,522

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	18,316
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	18,316
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	18,316
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	11,272
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	11,272
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	7,044
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	
11	Enter the amount of line 10 to be Credited to 2018 estimated tax ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ 0 (2) On foundation managers ▶ \$ _____ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 	10	Yes

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of Joan Trefz Trustee Telephone no (203) 367-3621			

Located at **10 Middle Street Bridgeport CT** ZIP+4 **06604**

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ 1b			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? ☐ 1c			No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions). ☐ 2b			
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). ☐ Yes ☒ No 3b			
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a			No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? 4b			No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?			
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	<i>If "Yes" to 6b, file Form 8870</i>			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Joan M Trefz 10 Middle Street Bridgeport, CT 06604	Trustee 2 00	0	0	0
Christian C Trefz 10 Middle Street Bridgeport, CT 06604	Trustee 1 00	0	0	0
Paul D Trefz 10 Middle Street Bridgeport, CT 06604	Trustee 1 00	0	0	0
Linda M Trefz 10 Middle Street Bridgeport, CT 06604	Trustee 1 00	0	0	0

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	Contributions to employee benefit plans and deferred compensation (d)	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000.

0

3

Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services.

0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	28,277,230
b	Average of monthly cash balances.	1b	4,694,922
c	Fair market value of all other assets (see instructions).	1c	3,412,742
d	Total (add lines 1a, b, and c).	1d	36,384,894
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	36,384,894
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	545,773
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	35,839,121
6	Minimum investment return. Enter 5% of line 5.	6	1,791,956

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,791,956
2a	Tax on investment income for 2017 from Part VI, line 5.	2a	18,316
b	Income tax for 2017 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	18,316
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,773,640
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,773,640
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,773,640

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,364,522
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	1,364,522
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,364,522

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				1,773,640
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			1,360,501	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2017				
a From 2012.				
b From 2013.				
c From 2014.				
d From 2015.				
e From 2016.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ <u>1,364,522</u>				
a Applied to 2016, but not more than line 2a			1,360,501	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				4,021
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				1,769,619
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2013.				
b Excess from 2014.				
c Excess from 2015.				
d Excess from 2016.				
e Excess from 2017.				

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)Form **990-PF** (2017)

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	1,364,522
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
Enter gross amounts unless otherwise indicated				
1 Program service revenue				
a _____				
b _____				
c _____				
d _____				
e _____				
f _____				
g Fees and contracts from government agencies				
2 Membership dues and assessments. . . .				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities. . . . 14 692,797				
5 Net rental income or (loss) from real estate				
a Debt-financed property.				
b Not debt-financed property.				
6 Net rental income or (loss) from personal property				
7 Other investment income.				
8 Gain or (loss) from sales of assets other than inventory 18 239,528				
9 Net income or (loss) from special events				
10 Gross profit or (loss) from sales of inventory				
11 Other revenue a _____				
b _____				
c _____				
d _____				
e _____				
12 Subtotal Add columns (b), (d), and (e). 0 932,325				
13 Total. Add line 12, columns (b), (d), and (e). 13 932,325				

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- | | | | |
|---|----|--|----|
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | 1c | | No |
|---|----|--|----|

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
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- 2d Is the foundation an entity or individual, animated (with) or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

Sign May the IRS discuss this

Sign May the IRS discuss this

(see instr)? ☒ Yes ☐ No

Print/Type preparer's name	Preparer's Signature	Date	PTIN
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Print/Type preparer's name	Preparer's Signature	Date	Check if self-	PTIN
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				Check if self employed ☐	P00045695
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	Nathaniel S Yordon CPA	2018-08-15	employed ☐
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Paid			2018 08 15		
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Preparer	Firm's name ▶ Caposcola Cohen LLC				

Use Only	Firm's Name Caposella Cohen LLC	Firm's EIN 06-1415579
	Firm's Address  1000 Washington St Suite 1000 Boston, MA 02111	Firm's Telephone  617-552-3300

Use Only	
Firm's address	368 Center Street

Firm's address ► 500 Center Street

Southport, CT 06890	Phone no (203) 254-7000
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Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Children's Educational Opportunity 1000 Lafayette Blvd Bridgeport, CT 06604		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	10,000
ABCD Inc1070 Park Avenue Bridgeport, CT 06604		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	4,500
Ahlbin Center for Rehabilitation 226 Mill Hill Avenue Bridgeport, CT 06610		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	5,000
Total ▶ 3a				1,364,522

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALPHA Community Services 3387 Clinton Avenue Bridgeport, CT 06605		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	8,000
AMERICAN CANCER SOCIETY 372 Danbury Road 3 Wilton, CT 06897		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	25,000
AMERICAN RED CROSS 158 Brooklawn Avenue BRIDGEPORT, CT 06604		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	20,000
Total ▶ 3a				1,364,522

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICARES88 HAMILTON AVE STAMFORD, CT 06902		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	10,000
BARNUM FESTIVAL1070 MAIN STREET BRIDGEPORT, CT 06604		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	16,000
BARNUM MUSEUM820 Main Street BRIDGEPORT, CT 06604		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	10,000
Total ▶ 3a				1,364,522

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BIKES FOR KIDSP BOX 94 CENTERBROOK, CT 06409		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	1,000
BOYS & GIRLS VILLAGE 528 Wheelers Farms Road Milford, CT 06460		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	10,000
BRIDGE ACADEMY 510 BARNUM AVE 3rd floor BRIDGEPORT, CT 06608		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	3,500
Total 				1,364,522
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BRIDGE HOUSE880 Fairfield Avenue BRIDGEPORT, CT 06605		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	3,500
BRIDGEPORT AREA YOUTH MINISTRY 506 LOGAN STREET BRIDEGPORT, CT 06607		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	4,000
BRIDGEPORT HOSPITAL FOUNDATION 267 Grant Street BRIDGEPORT, CT 06610		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	50,000
Total ▶ 3a				1,364,522

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BRIDGEPORT PUBLIC EDUCATION FUND 446 University Avenue BRIDGEPORT, CT 06604		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	6,000
BRIDGEPORT RESCUE MISSION 1088 FAIRFIELD AVE Bridgeport, CT 06605		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	5,000
Bridgeport YMCA850 Park Avenue BRIDGEPORT, CT 06604		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	3,000
Total 				1,364,522
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Burroughs Community Center 2470 Fairfield Avenue BRIDGEPORT, CT 06605		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	4,000
BUSINESS EDUCATION INITIATIVE OF TRUMBULL 5866 Main Street Trumbull, CT 06611		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	2,000
CARDINAL SHEHAN CENTER 1494 Main Street BRIDGEPORT, CT 06604		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	17,500
Total ► 3a				1,364,522

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CAROLINE HOUSE 574 STILLMAN STREET Bridgeport, CT 06608		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	3,000
CENTER FOR FAMILY JUSTICE 753 FAIRFIELD AVE BRIDGEPORT, CT 06608		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	7,500
Child Guidance Center of Greater Bridgeport 180 Fairfield Avenue Bridgeport, CT 06604		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	5,000
Total ► 3a				1,364,522

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Children's community school 31 Wolcott St Waterbury, CT 06702		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	5,000
CIRCLE OF CAREPO Box 32 WILTON, CT 06897		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	5,000
CONNECTICUT YANKEE COUNCIL BOY SCOUTS PO BOX 32 MILFORD, CT 06460		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	5,000
Total ► 3a				1,364,522

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CT BEARDSLEY ZOO1875 Nobile Ave BRIDGEPORT, CT 06610		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	50,000
CT BURN CARE FOUNDATION 601 BOSTON POST ROAD MILFORD, CT 06460		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	6,000
DANBURY HOSPITAL Development Fund 24 Hospital Avenue Danbury, CT 06810		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	15,000
Total ▶ 3a				1,364,522

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Diaper Bank1440 Whalley Ave 110 New Haven, CT 06515		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	5,000
DISCOVERY MUSEUM4450 Park Avenue BRIDGEPORT, CT 06604		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	5,000
DOMUS FOUNDATION417 Shippan Ave Stamford, CT 06920		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	2,500
Total ▶ 3a				1,364,522

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DOWNTOWN SPECIAL SERVICES DISTRICT 938 MAIN STREET BRIDGEPORT, CT 06604		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	7,000
EMILY CATHERINE FEDORKO FOUNDATION PO BOX 72 COS COB, CT 06807		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	1,000
FAIRFIELD COUNTY CHILDREN'S CHOIR PO Box 110588 Trumbull, CT 06611		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	1,500
Total ▶ 3a				1,364,522

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
fairfield County Community foundation 383 Main Street Norwalk, CT 06851		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	7,500
Fairfield Theatre Co70 Sanford St Fairfield, CT 06824		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	2,500
Fairfield UniversityNorth Benson Road Fairfield, CT 06824		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	25,000
Total ► 3a				1,364,522

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Family & children's aid75 West Street Danbury, CT 06810		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	3,000
FAMILY REENTRY126 WASHINGTON AVE BRIDGEPORT, CT 06604		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	1,500
GOODWILL INDUSTRIES 165 Ocean Terrace BRIDGEPORT, CT 06605		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	3,000
Total ▶ 3a				1,364,522

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GREATER BRIDGEPORT SYMPHONY 446 University Avenue Bridgeport, CT 06604		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	15,000
GROUNDWORK BRIDGEPORT 510 Barnum Avenue Suite 304 Bridgeport, CT 06608		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	1,500
HABITAT FOR HUMANITY OF GREATER BRIDGPORT 1470 Barnum Avenue Bridgeport, CT 06610		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	6,000
Total ► 3a				1,364,522

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HEIFER INTERNATIONAL 216 Wachusett Street Rutland, MA 01543		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	2,500
HOUSATONIC COMMUNITY COLLEGE foundation 900 Lafayette Blvd Bridgeport, CT 06604		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	15,000
IDEA - Individuals with Disabilities Education Act 2212 Sixth Street Berkeley, CA 94710		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	2,000
Total ► 3a				1,364,522

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Inner City foundation for charity and education 238 Jewett Avenue Bridgeport, CT 06606		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	4,000
ISPIRICA141 Franklin Street Stamford, CT 06901		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	8,000
JUNIOR ACHIEVEMENT OF WESTERN CT 177 State street Bridgeport, CT 06604		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	5,000
Total ► 3a				1,364,522

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KENNEDY CENTER 2440 Reservoir Avenue Trumbull, CT 06611		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	11,500
LIFEBRIDGE COMMUNITY SERVICES 475 CLINTON AVE BRIDEGPORT, CT 06605		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	11,000
MAKE A WISH FOUNDATION 126 Monroe Turnpike Trumbull, CT 06611		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	25,000
Total ▶ 3a				1,364,522

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
MERCY LEARNING CENTER 637 Park Avenue Bridgeport, CT 06604		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	5,000
KIDS AND CLAY FOUNDATION 823 FRANKLIN ST MICHIGAN CITY, IN 46360		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	15,000
NORWALK HOSPITAL FOUNDATION 34 MAPLE ST NORWALK, CT 06856		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	10,000
Total ▶ 3a				1,364,522

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
MANUS RIVER GORGE INC 167 MIANUS RIVER RD BEDFORD, NY 10506		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	1,000
rEAD TO GROW INC 53 School Ground Road Unit 3 BRANFORD, CT 06405		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	1,500
RONALD MCDONALD HOUSE GREATER HUDSON VALLEY ONE WOODS ROAD VALHALLA, NY 10596		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	25,000
Total ► 3a				1,364,522

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RONALD MCDONALD HOUSE OF NEW HAVEN CT 501 GEORGE STREET NEW Haven, CT 06511		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	20,000
RYAN MCELROY CHILDRENS CANCER CENTER 198 Route 22 Pawling, NY 12564		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	7,000
RYASAP2470 Fairfield Avenue Bridgeport, CT 06605		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	5,000
Total 				1,364,522
3a				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SACRED HEART UNIVERSITY SCHOLARSHIP FUND 5151 Park Avenue Fairfield, CT 06825		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	25,000
SCHOOL VOLUNTEER ASSOCIATION of Bridgeport 280 Tesiny Ave Bridgeport, CT 06606		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	2,000
ST JOSEPH HIGH SCHOOL 2320 HUNTINGTON TURNPIKE TRUMBULL, CT 06611		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	10,000
Total ▶ 3a				1,364,522

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ST VINCENT'S MEDICAL CENTER FOUNDATION 2800 MAIN STREET BRIDGPORT, CT 06606		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	68,500
ST VINENTS SPECIAL NEEDS SERVICES 95 MERRITT BLVD TRUMBULL, CT 06611		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	5,000
STEPPING STONES MUSEUM 95 MERRITT BLVD TRUMBULL, CT 06606		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	5,000
Total ► 3a				1,364,522

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
STERLING HOUSE COMMUNITY CENTER 2283 MAIN STREET SRTATFORD, CT 06615		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	25,000
SWIM ACCROSS SOUND 2800 MAIN STREET BRIDGEPORT, CT 06606		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	5,000
THE OPEN DOOR4 MERRITT STREET NORWALK, CT 06854		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	5,000
Total ▶ 3a				1,364,522

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
THOMAS MERTON CENTER 43 Madison Avenue Bridgeport, CT 06604		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	7,500
TINY MIRACLES FOUNDATION 25-13 OLD KINGS HWY NO DARIEN, CT 06820		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	2,500
TRUMBULL CONGREGATIONAL CHURCH 3115 RESERVOIR AVE TRUMBULL, CT 06611		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	10,000
Total ▶ 3a				1,364,522

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNITED WAY CAMPAIGN of Coastal Fairfield County 75 Washington Ave Bridgeport, CT 06604		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	30,000
University of Bridgeport271 Park Avenue Bridgeport, CT 06601		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	550,500
VISITING NURSE SERVICES OF CT 765 Fairfield Ave Bridgeport, CT 06604		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	7,500
Total ► 3a				1,364,522

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WEST INDIAN AMERICAN ASSOCIATION OF GREATER BPT 955 Connecticut Ave 1112 Bridgeport, CT 06607		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	2,500
Workplace Inc350 Fairfield Avenue Bridgeport, CT 06604		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	10,000
WORLD EMERGENCY RELieF PO Box 131570 Carlsbad, CA 92013		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	2,500
Total ► 3a				1,364,522

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
PERSON TO PERSON 76 SOUTH MAIN STREET NORWALK, CT 06854		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	6,022
TRUMBULL POLICE DEPARTMENT 158 EDISON RD TRUMBULL, CT 06611		501(C)(3)	IN FURTHERANCE OF STATED OBJECTIVES OF THE FOUNDATION	5,000
Total ▶ 3a				1,364,522

TY 2017 Accounting Fees Schedule**Name:** Ernest and Joan Trefz Foundation**EIN:** 06-1485833**Accounting Fees Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Accounting fees	3,250	1,625		0

TY 2017 Investments Corporate Stock Schedule**Name:** Ernest and Joan Trefz Foundation**EIN:** 06-1485833

Name of Stock	End of Year Book Value	End of Year Fair Market Value
People's Securities Inc. - Securities held	13,200,663	31,466,839
Mairs & Power Growth Fund	690,553	1,041,289

TY 2017 Investments - Other Schedule

Name: Ernest and Joan Trefz Foundation

EIN: 06-1485833

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Cash surrender value life insurance	AT COST	3,543,834	3,543,834

TY 2017 Other Decreases Schedule

Name: Ernest and Joan Trefz Foundation
EIN: 06-1485833

Description	Amount
Federal taxes paid	12,373

TY 2017 Other Expenses Schedule**Name:** Ernest and Joan Trefz Foundation**EIN:** 06-1485833**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Bank charges	275	275		0
Investment advisory fees	14,619	14,619		0

TY 2017 Other Increases Schedule**Name:** Ernest and Joan Trefz Foundation**EIN:** 06-1485833

Description	Amount
Cash surrender value increase life insurance	223,580
Adjustment for cost of securities sold	77,676

**TY 2017 Substantial Contributors
Schedule****Name:** Ernest and Joan Trefz Foundation**EIN:** 06-1485833**Name****Address**

Ernest C Trefz Charitable Trust

58 North Racebrook Road
Woodbridge, CT 06525

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -		DLN: 93491242004098	
Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service		Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990			OMB No 1545-0047
					2017
Name of the organization Ernest and Joan Trefz Foundation				Employer identification number 06-1485833	

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ _____

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization Ernest and Joan Trefz Foundation	Employer identification number 06-1485833
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Part I Contributors (See instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ERNEST C TREFZ CHARITABLE TRUST	\$ 1,163,232	Person ☐
	58 NORTH RACEBROOK RD		Payroll ☐
	WOODBIDGE, CT06525		Noncash ☒
			(Complete Part II for noncash contributions)
2	ERNEST C TREFZ CHARITABLE TRUST	\$ 796,653	Person ☐
	58 NORTH RACEBROOK RD		Payroll ☐
	WOODBIDGE, CT06525		Noncash ☒
			(Complete Part II for noncash contributions)
3	ERNEST C TREFZ CHARITABLE TRUST	\$ 13,323	Person ☒
	58 NORTH RACEBROOK RD		Payroll ☐
	WOODBIDGE, CT06525		Noncash ☐
			(Complete Part II for noncash contributions)
.		\$	Person ☐
			Payroll ☐
			Noncash ☐
			(Complete Part II for noncash contributions)
.		\$	Person ☐
			Payroll ☐
			Noncash ☐
			(Complete Part II for noncash contributions)
.		\$	Person ☐
			Payroll ☐
			Noncash ☐
			(Complete Part II for noncash contributions)

Name of organization Ernest and Joan Trefz Foundation	Employer identification number 06-1485833
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Part II Noncash Property (See instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	8054 SHARES MCDONALDS CORP	\$ 1 163,232	2017-05-11
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
2	46344 SHARES PEOPLES UNITED FINANCIAL INC	\$ 796,653	2017-05-11
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	

Name of organization Ernest and Joan Trefz Foundation	Employer identification number 06-1485833
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Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	