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Form **990-PF****Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2017**Department of the Treasury  
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

1803

Open to Public Inspection

For calendar year 2017 or tax year beginning 04/01/17, and ending 03/31/18

Name of foundation TR U/W VIVIAN S WEST - WEST MEMORIAL FUND		A Employer identification number 56-6520255
Number and street (or P.O. box number if mail is not delivered to street address) 4242 SIX FORKS RD, 17TH FLOOR US TR	Room/suite	B Telephone number (see instructions) 919-829-6771
City or town, state or province, country, and ZIP or foreign postal code RALEIGH NC 27601-1754		C If exemption application is pending, check here ▶ <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here ▶ <input type="checkbox"/> 2 Foreign organizations meeting the 85% test check here and attach computation ▶ <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>
Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 7,369,068	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here ▶ <input type="checkbox"/>

POSTMARK DATE

JUN 26 2018

Revenue

AUG 29 2018

Operating and Administrative Expenses

JUN 26 2018

Revenue

AUG 29 2018

Operating and Administrative Expenses

JUN 26 2018

Revenue

AUG 29 2018

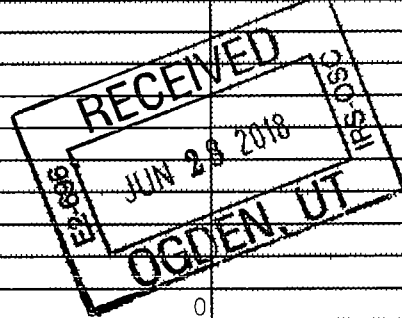
Operating and Administrative Expenses

Part I	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	151,533	151,533		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	188,449			
b	Gross sales price for all assets on line 6a 521,048				
7	Capital gain net income (from Part IV, line 2)		188,449		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) Stmt 1	31,049	31,049		
12	Total. Add lines 1 through 11	371,031	371,031	0	
13	Compensation of officers, directors, trustees, etc	138,087	84,211		53,876
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) Stmt 2	8,675	4,337		4,338
c	Other professional fees (attach schedule) Stmt 3	2,900	2,900		
17	Interest				
18	Taxes (attach schedule) (see instructions) Stmt 4	7,168	2,348		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) Stmt 5	2,682	990		1,692
24	Total operating and administrative expenses. Add lines 13 through 23	159,512	94,786	0	59,906
25	Contributions, gifts, grants paid	268,448			268,448
26	Total expenses and disbursements. Add lines 24 and 25	427,960	94,786	0	328,354
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-56,929			
b	Net investment income (if negative, enter -0-)		276,245		
c	Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

DAA

Form 990-PF (2017)



Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	91,716	61,253	61,253
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶	0		
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) See Stmt 6	4,682,620	4,623,927	6,993,307
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶ Stmt 7	237,410	237,410	273,800
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule) See Statement 8	-65,164	-32,937	40,708
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I)	4,946,582	4,889,653	7,369,068
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	4,946,582	4,889,653	
	30 Total net assets or fund balances (see instructions)	4,946,582	4,889,653	
	31 Total liabilities and net assets/fund balances (see instructions)	4,946,582	4,889,653	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,946,582
2 Enter amount from Part I, line 27a	2	-56,929
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	4,889,653
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	4,889,653

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> PUBLICLY TRADED SECURITIES	P	Various	Various
<b>b</b> PUBLICLY TRADED SECURITIES	P	Various	Various
<b>c</b> CAPITAL GAIN DISTRIBUTIONS			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
<b>a</b> 33,027		32,555	472
<b>b</b> 371,532		300,044	71,488
<b>c</b> 116,489			116,489
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			472
<b>b</b>			71,488
<b>c</b>			116,489
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss) <span style="border: 1px solid black; padding: 2px;">If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</span>	<b>2</b>	188,449
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	<b>3</b>	472

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	319,482	6,640,170	0.048114
2015	370,766	6,773,813	0.054735
2014	352,098	7,264,192	0.048470
2013	328,590	7,040,429	0.046672
2012	331,615	6,654,639	0.049832

<b>2</b> Total of line 1, column (d)	<b>2</b>	0.247823
<b>3</b> Average distribution ratio for the 5-year base period – divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.049565
<b>4</b> Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	<b>4</b>	7,236,872
<b>5</b> Multiply line 4 by line 3	<b>5</b>	358,696
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	2,762
<b>7</b> Add lines 5 and 6	<b>7</b>	361,458
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	<b>8</b>	328,354

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	5,525
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0
3	Add lines 1 and 2	3	5,525
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	5,525
6	<b>Credits/Payments</b>		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	2,676
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	2,676
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	2,849
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	
11	Enter the amount of line 10 to be <b>Credited to 2018 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the Instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file <b>Form 1120-POL</b> for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions <input type="checkbox"/> NC		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017? See instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► JAMES G SULLIVAN 1100 CONFERENCE DR Located at ► GREENVILLE NC ZIP+4 ► 27858 Telephone no ► 252-756-8888		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – check here and enter the amount of tax-exempt interest received or accrued during the year	15	
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	N/A	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years ► 20 , 20 , 20 , 20	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

<b>5a</b>	During the year did the foundation pay or incur any amount to			
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A		<b>5b</b>
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	N/A <input type="checkbox"/> Yes <input type="checkbox"/> No		
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870			<b>6b</b> X
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b>	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A		<b>7b</b>

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 9				

**2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 <span style="float: right;">▶</span>	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	6,953,290
b	Average of monthly cash balances	1b	112,138
c	Fair market value of all other assets (see instructions)	1c	281,650
d	<b>Total</b> (add lines 1a, b, and c)	1d	7,347,078
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	7,347,078
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	110,206
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,236,872
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	361,844

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	361,844
2a	Tax on investment income for 2017 from Part VI, line 5	2a	5,525
b	Income tax for 2017 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	5,525
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	356,319
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	356,319
6	Deduction from distributable amount (see instructions)	6	
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	356,319

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	328,354
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	328,354
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	328,354

**Note:** The amount on line 6 will be used in Part V, column (b) in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				356,319
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			326,281	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2017				
a From 2012				
b From 2013				
c From 2014				
d From 2015				
e From 2016				
f Total of lines 3a through e				
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 328,354				
a Applied to 2016, but not more than line 2a			326,281	
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2017 distributable amount				2,073
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a) )				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				354,246
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2013				
b Excess from 2014				
c Excess from 2015				
d Excess from 2016				
e Excess from 2017				



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year See Statement 10				268,448
<b>Total</b>			▶ <b>3a</b>	268,448
<b>b</b> Approved for future payment N/A				
<b>Total</b>			▶ <b>3b</b>	





## Federal Statements

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### Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
WEST REALTY COMPANY	\$ -3,195	\$ -3,195	\$
PINE RIDGE INVESTORS LP	34,244	34,244	
Total	\$ 31,049	\$ 31,049	\$ 0

### Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
SULLIVAN, SHEARIN & COMPANY	\$ 8,675	\$ 4,337	\$	\$ 4,338
Total	\$ 8,675	\$ 4,337	\$ 0	\$ 4,338

### Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
REAL ESTATE APPRAISAL	\$ 2,900	\$ 2,900	\$	\$
Total	\$ 2,900	\$ 2,900	\$ 0	\$ 0

### Statement 4 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
REAL ESTATE PROPERTY TAX	\$ 2,348	\$ 2,348	\$	\$
990-PF EXCISE TAX - PR YR	2,144			
990-PF EXCISE TX - EST PMTS	2,676			
Total	\$ 7,168	\$ 2,348	\$ 0	\$ 0

## Federal Statements

### Statement 5 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses				
INSURANCE - DISTRIBUTION COMM	1,692			1,692
INSURANCE - REAL ESTATE	990	990		
Total	2,682	990	0	1,692

### Statement 6 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SEE SCHEDULE	\$ 4,682,620	\$ 4,623,927		\$ 6,993,307
Total	\$ 4,682,620	\$ 4,623,927		\$ 6,993,307

### Statement 7 - Form 990-PF, Part II, Line 11 - Land, Building, and Equipment Investments

Description	Beginning Net Book	End Cost / Basis	End Accumulated Depreciation	Net FMV
LAND - STATESVILLE, NC	\$ 237,410	\$ 237,410	\$	\$ 273,800
Total	\$ 237,410	\$ 237,410	\$ 0	\$ 273,800

### Statement 8 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
WEST REALTY CO PARTNERSHIP	\$ 42,736	\$ 40,707		\$ 40,707
PINE RIDGE INVESTORS PARTNERSHIP	-107,900	-73,644		1
Total	\$ -65,164	\$ -32,937		\$ 40,708

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### Statement 9 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
DANNY MCNALLY 502 BREMERTON DRIVE GREENVILLE NC 27858	DIRECTOR	0.53	4,880	0	0
JAMES G SULLIVAN 1100 CONFERENCE DR GREENVILLE NC 27858	CHAIRMAN	2.29	20,925	0	0
JAMES G SULLIVAN 1100 CONFERENCE DR GREENVILLE NC 27858	CO-TRUSTEE	4.21	38,339	0	0
DAVID EMSWILER ONE HANOVER SQUARE RALEIGH NC 27601	COMMITTEE	1.00	0	0	0
BANK OF AMERICA ONE HANOVER SQUARE RALEIGH NC 27601	CO-TRUSTEE	8.12	73,943	0	0



**Federal Statements****Form 990-PF, Part XV, Line 2b - Application Format and Required Contents**

## Description

APPLICATION FORMS AVAILABLE AT ABOVE ADDRESS

**Form 990-PF, Part XV, Line 2c - Submission Deadlines**

## Description

NONE

**Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations**

## Description

501(C)(3) CHARITIES

## Federal Statements

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### Statement 10 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name		Address		Relationship	Status	Purpose	Amount
Address							
HOOKEER MEMORIAL CHRISTIAN GREENVILLE NC 27858		1111 GREENVILLE BLVD	NONE		501c3	501c3 CHARITABLE PURPOSES	40,000
THE ECU FOUNDATION INC GREENVILLE NC 27858		2200 S CHARLES BLVD	NONE		501c3	501c3 CHARITABLE PURPOSES	60,000
GREENVILLE CHORAL SOCIETY GREENVILLE NC 27835		PO BOX 1357	NONE		501c3	501c3 CHARITABLE PURPOSES	5,000
BOYS & GIRLS CLUBS OF COASTAL PLAIN WINTERVILLE NC 28590		621 WEST FIRE TOWER ROAD	N/A		501c3	501c3 PURPOSES	20,000
FOOD BANK OF CENTRAL & EASTERN NC GREENVILLE NC 27834		1712 UNION STREET	N/A		501c3	501c3 PURPOSES	15,000
A TIME FOR SCIENCE AYDEN NC 28513		PO BOX 425	N/A		501c3	501c3 PURPOSES	3,923
JH ROSE HIGH MEDIA CENTER GREENVILLE NC 27834		600 WEST ARLINGTON BLVD	N/A		501c3	501c3 PURPOSES	38,624
AG COX MIDDLE SCHOOL WINTERVILLE NC 28590		2657 CHURCH STREET	N/A		501c3	501c3 PURPOSES	3,500
HOPE OF GLORY MINISTRIES GREENVILLE NC 27858		103 E ARLINGTON BLVD	N/A		501c3	501c3 PURPOSES	10,000
PITT COUNTY ARTS COUNCIL GREENVILLE NC 27858		404 S EVANS STREET	N/A		501c3	501c3 PURPOSES	12,500
THE THIRD ST EDUCATION CTR GREENVILLE NC 27834		600 WEST THIRD STREET	N/A		501c3	501c3 PURPOSES	18,370
ELMHURST ELEMENTARY SCHOOL GREENVILLE NC 27858		1815 WEST BERKLEY ROAD	N/A		501c3	501c3 PURPOSES	41,531
Total							268,448

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FORM 990-PF PART II LINE 10  
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UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
	<u>CORPORATE STOCK</u>		
	58 ABBVIE INC	5,489 70	3,547 26
300	ACCENTURE PLC	46,050 00	22,404 68
29	ACCENTURE PLC	4,451 50	3,982 51
148	ACI WORLDWIDE INC	3,510 56	3,635 51
45	ADVANCED ENERGY INDS	2,875 50	1,522 70
64	ALKERMES PLC	3,709 44	3,695 23
100	ALPHABET INC CLASS C	103,179 00	60,964 25
60	ALPHABET INC CLASS A	62,228 40	32,977 24
147	ALTRIA GROUP INC	9,161 04	2,747 80
40	AMAZON COM INC	57,893 60	8,382 15
78	AMERICAN ELEC PWR INC	5,350 02	3,187 87
700	AMERICAN EXPRESS CO	65,296 00	28,183 54
175	ANHEUSER BUSCH INBEV	19,239 50	17,433 05
650	APPLE INC	109,057 00	68,767 80
123	APPLE INC	20,636 94	9,858 71
32	ARISTA NETWORKS INC	8,169 60	2,509 37
628 615	ARTISAN INTL VALUE FUND	23,503 91	25,000 00
328	AT&T INC	11,693 20	12,802 73
28	AUTOLIV INC	4,086 32	1,830 21
83	AUTOMATIC DATA PROCESSING INC	9,418 84	3,246 10
124	AXALTA COATING SYSTEMS LTD	3,743 56	4,100 81
78	BANK OF THE OZARKS INC	3,765 06	3,655 93
103	BB&T CORP	5,360 12	4,001 17
500	BERKSHIRE HATHAWAY INC	99,740 00	39,692 64
77	BERRY GLOBAL GROUP INC	4,220 37	3,506 77
118	BIOGEN IDEC INC	32,310 76	12,841 70
150	BLACKROCK INC	81,258 00	49,107 95
19	BLACKROCK INC	10,292 68	2,980 42
12105 422	BLACKSTONE ALTERNATIVE MULTI-STRATEGY FUND	129,043 80	125,000 00
25	BOEING CO	8,197 00	4,888 41
13	BOOKING HLDGS INC	27,045 07	5,094 06
82	BORG WARNER INC	4,118 86	3,583 74
4679 175	BOSTON PARTNERS LONG/SHORT RESH FUND	78,984 47	70,000 00
325	BRISTOL MYERS SQUIBB CO	20,556 25	13,651 89
46	BRISTOL MYERS SQUIBB CO	2,909 50	3,064 08
39	BROADCOM LTD	9,190 35	7,572 58
63	BROADRIDGE FINL SOLUTIONS INC	6,910 47	3,777 70
5	CABLE ONE INC	3,435 55	3,772 87
3130 726	CAMBIAR INTL EQUITY FUND	88,161 24	75,000 00
37	CAMBREX CORP	1,935 10	1,948 71
50	CANADIAN NATIONAL RAILWAY	3,656 50	1,903 73
95	CANADIAN PAC RY LTD	16,767 50	12,720 03
141	CBRE GROUP INC	6,658 02	2,897 55
450	CBS CORP	23,125 50	19,446 86
50	CELGENE CORP	4,460 50	4,323 45
57	CHENIERE ENERGY INC	3,046 65	2,044 33
750	CHEVRON CORP	85,530 00	26,595 90
104	CHEVRON CORP	11,860 16	10,968 89
44	CHINA LODGING GROUP LTD	5,795 24	1,954 92
89	CHUBB LTD	12,172 53	8,234 77
336	CISCO SYS INC	14,411 04	7,617 20
405	CITIGROUP INC	27,337 50	17,050 97
50	CLOROX CO	6,655 50	3,402 75
79	CME GROUP INC	12,777 46	4,658 60
12241 376	CMG ULTRA SHORT TERM BOND FUND	110,049 97	110,049 98
98	CMS ENERGY CORP	4,438 42	2,509 08
400	COCA COLA CO	17,372 00	8,929 85

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UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
73	COCA COLA EUROPEAN PARTNERS PLC	3,041 18	3,190 93
10925 011	COHEN & STEERS PFD SECS & INCOME FUND	150,109 65	149,945 84
10870 838	COLUMBIA DIVIDEND INCOME FUND	237,745 23	175,000 00
4319 475	COLUMBIA GLOBAL TECHNOLOGY GROWTH FUND	144,443 24	70,000 00
10277 558	COLUMBIA SELECT LARGE CAP GROWTH FUND	187,359 88	150,000 00
800	COMCAST CORP	27,336 00	18,565 76
415	COMCAST CORP	14,180 55	10,803 26
121	COPART INC	6,162 53	3,769 13
48	CRANE CO	4,451 52	3,974 59
45	CROWN CASTLE INTL CORP	4,932 45	4,184 97
900	CSX CORP	50,139 00	15,435 16
28	CUMMINS INC	4,538 52	4,486 32
50	CVS HEALTH CORP	3,110 50	4,883 70
125	DANAHER CORP	12,238 75	10,158 61
22	DELL TECHNOLOGIES INC	1,610 62	1,041 35
30	DIAMONDBACK ENERGY INC	3,795 60	2,339 48
34	DIGITAL RLTY TR INC	3,582 92	3,272 27
750	DISNEY WALT CO	75,330 00	64,185 31
47	DISNEY WALT CO	4,720 68	4,860 47
92	DOLBY LABORATORIES INC	5,847 52	4,579 55
33	DOMINION ENERGY INC	2,225 19	1,672 93
18	DOMINOS PIZZA INC	4,204 08	3,786 63
325	DOWDUPONT INC	20,705 75	23,354 72
97	DOWDUPONT INC	6,179 87	5,025 43
74	DUKE RLTY CORP	1,959 52	2,038 66
65	DUNKIN BRANDS GROUP INC	3,879 85	3,384 34
34	EAGLE MATERIALS INC	3,503 70	3,379 13
14023 745	EATON VANCE FLTG RATE FUND	126,914 89	124,903 07
227	EBAY INC	9,134 48	4,498 95
200	ECOLAB INC	27,414 00	24,553 70
58	EMCOR GROUP INC	4,519 94	3,537 07
162	ENTEGRIS INC	5,637 60	1,392 07
39	ENVESTNET INC	2,234 70	2,241 80
250	EOG RES INC	26,317 50	22,960 00
22	EQUITY LIFESTYLE PTYS INC	1,930 94	1,952 74
500	EXXON MOBIL CORP	37,305 00	16,865 00
32	EVERCORE PARTNERS INC	2,790 40	2,412 48
62	EVERSOURCE ENERGY	3,653 04	3,047 45
185	EXXON MOBIL CORP	13,802 85	12,985 23
38967 574	FEDERATED STRATEGIC VALUE FUND	222,115 17	200,000 00
5023 126	FIDELITY ADVISOR FLOATING RATE HIGH INCOME FUND	48,372 70	50,000 00
97	FORTINET INC	5,197 26	3,437 50
64	GENERAL DYNAMICS CORP	14,137 60	9,563 41
500	GILEAD SCIENCES INC	37,695 00	17,067 78
2696 639	GLENMEDE SMALL CAP EQUITY PORTFOLIO	84,296 94	75,000 00
700	GOLD TR GOLDS SHS	88,053 00	83,693 74
200	GOLDMAN SACHS GROUP INC	50,372 00	39,817 96
112	GRACO INC	5,120 64	4,803 95
56	GRUBHUB INC	5,682 32	2,470 01
41	GUIDEWIRE SOFTWARE INC	3,314 03	2,262 01
1808 85	HARBOR INTERNATIONAL FUND	121,428 10	87,503 24
85	HILTON GRAND VACATIONS INC	3,656 70	3,163 89
174	HOME DEPOT INC	31,013 76	8,044 29
103	HOME DEPOT INC	18,358 72	1,990 68
800	HONEYWELL INTL INC	115,608 00	23,195 45
98	HONEYWELL INTL INC	14,161 98	4,887 45
43	IAC / INTERACTIVECORP	6,724 34	1,630 39
42	ICON PLC	4,961 88	2,312 55
19	ICU MED INC	4,795 60	3,143 40

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FORM 990-PF PART II LINE 10  
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UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
64	INGERSOLL-RAND CO LTD	5,472 64	5,135 78
240	INTEL CORP	12,499 20	5,500 08
125	INTERNATIONAL BUSINESS MACHS	19,178 75	10,447 07
29	INTERNATIONAL PAPER CO	1,549 47	1,568 71
2054 228	INVESCO INTL GROWTH FUND	73,890 58	70,000 00
65	IONIS PHARMACEUTICALS INC	2,865 20	2,601 47
150	ISHARES RUSSELL 1000 GROWTH ETF	20,413 50	11,542 61
1000	ISHARES RUSSELL 2000 ETF	151,830 00	68,653 00
500	ISHARES TR S&P GLOBAL INFO TECHNOLOGY	79,165 00	30,072 17
1123 589	JANUS ENTERPRISE FUND	139,403 69	100,000 00
5414 528	JOHN HANCOCK FDS III DISCIPLINED VALUE MID CAP FUND	125,725 34	100,000 00
725	JOHNSON & JOHNSON	92,908 75	26,490 00
199	JOHNSON & JOHNSON	25,501 85	16,194 08
248	J P MORGAN CHASE & CO	27,272 56	12,049 88
1286 292	JP MORGAN US LARGE CAP CORE PLUS	37,868 44	25,000 00
300	KANSAS CITY SOUTHERN	32,955 00	16,311 41
139	KELLOGG CO	9,036 39	9,098 76
40	KIMBERLY CLARK CORP	4,405 20	2,723 38
38	KLA-TENCOR CORP	4,142 38	3,957 01
150	KRAFT HEINZ CORP	9,343 50	5,699 26
50	LABORATORY CORP AMER HLDGS	8,087 50	4,422 21
55	LEGG MASON INC	2,235 75	2,282 30
94	LIBERTY FORMULA ONE	2,899 90	3,368 80
35	LILLY ELI & CO	2,707 95	2,881 13
63	LIONS GATE ENTMT CORP	1,627 29	2,119 61
24	LITHIA MTRS INC	2,412 48	2,444 45
135	LIVE NATION ENTERTAINMENT INC	5,688 90	5,637 76
125	LOCKHEED MARTIN CORP	42,241 25	17,724 37
48	LOCKHEED MARTIN CORP	16,220 64	10,230 23
34	LYONDELLBASELL INDUSTRIES	3,593 12	2,928 29
132	MARSH & MCLENNAN COS INC	10,901 88	4,974 61
114	MARRIOTT INTL INC	15,501 72	4,836 87
41	MCDONALDS CORP	6,411 58	2,235 14
150	MEDTRONIC PLC	12,033 00	13,195 64
50	MEDTRONIC PLC	4,011 00	3,783 42
18	MERCODOLIBIRE INC	6,415 02	2,281 79
239	MERCK & CO INC	13,018 33	9,415 21
343 407	MFS INTL NEW DISCOVERY FUND	12,503 45	10,000 00
600	MICROSOFT CORP	54,762 00	15,506 25
372	MICROSOFT CORP	33,952 44	10,866 29
31	MIDDLEBY CORP	3,837 49	3,409 89
113	MOMENTA PHARMACEUTICALS INC	2,050 95	1,251 18
500	MONDELEZ INTL INC	20,865 00	12,794 62
167	MONSANTO CO	19,487 23	10,257 82
6012 829	NATIXIS FDS TR II ASG GLOBAL ALTERNATIVES FUND	66,020 86	70,000 00
200	NAVIENT CORP	2,624 00	2,800 06
150	NESTLE	11,845 20	9,537 96
38	NEXTERA ENERGY INC	6,206 54	1,991 34
400	NIKE INC	26,576 00	6,660 80
38	NORDSON CORP	5,180 92	1,995 78
68	NORTHERN TR CORP	7,012 84	4,996 10
75	NOVARTIS A G	6,063 75	5,251 90
150	NOVO-NORDISK	7,387 50	4,704 26
146	NUTANIX INC	7,170 06	4,109 26
300	OCCIDENTAL PETE CORP	19,488 00	24,350 07
45	OLD DOMINION FGHT LINE INC	6,613 65	2,292 06
1949 526	OPPENHEIMER INTL GROWTH FUND	85,213 78	70,000 86
31	OWENS CORNING INC	2,492 40	1,705 31
27	PARKER HANNIFIN CORP	4,617 81	1,128 26

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FORM 990-PF PART II LINE 10  
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UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
300	PAYPAL HOLDINGS INC	22,761 00	9,767 12
475	PEPSICO INC	51,846 25	16,832 30
104	PEPSICO INC	11,351 60	10,217 70
363	PFIZER INC	12,882 87	5,432 99
154	PHILIP MORRIS INTL INC	15,307 60	7,237 18
150	PHILLIPS 66	14,388 00	8,306 88
16608 226	PIMCO INCOME FUND	202,786 44	200,000 00
82	PNC FINL SVCS GROUP INC	12,401 68	5,724 96
41	POWER INTEGRATIONS INC	2,802 35	2,604 21
90	PRESTIGE BRANDS HLDGS INC	3,034 80	3,929 28
57	PRICE T ROWE GROUP INC	6,154 29	2,122 54
19554 209	PRINCIPAL PFD SECS FUND	198,475 22	200,000 00
79	PROCTER & GAMBLE CO	6,263 12	5,006 61
26	PUBLIC STORAGE INC	5,210 14	3,716 62
201	PULTEGROUP INC	5,927 49	4,628 66
1350	QUALCOMM INC	74,803 50	31,365 50
40	REINSURANCE GROUP AMER INC	6,160 00	5,031 95
750	ROCHE HLDG LTD	21,450 00	23,654 33
59	RSP PREMIA INC	2,765 92	2,256 94
200	SCHLUMBERGER LTD	12,956 00	14,313 07
71	SONOCO PRODS CO	3,443 50	3,430 12
600	S&P BIOTECH ETF	52,638 00	10,773 51
56	SPLUNK INC	5,509 84	3,112 22
35	STANLEY BLACK & DECKER INC	5,362 00	4,305 95
600	STARBUCKS CORP	34,734 00	17,084 55
191	SUNCOR ENERGY INC	6,597 14	6,452 48
91	SUPERIOR ENERGY SVCS INC	767 13	1,691 12
87	SUPERNUS PHARMACEUTICALS INC	3,984 60	1,474 23
1014 63	TCW SELECT EQUITIES FUND	26,360 09	25,000 00
109	TERADATA CORP	4,324 03	3,935 81
13	TESARO INC	742 82	1,891 98
74	TEXAS INSTRS INC	7,687 86	2,701 07
119	TEXAS INSTRS INC	12,362 91	4,127 77
350	THERMO FISHER SCIENTIFIC CORP	72,261 00	17,091 26
2891 803	THORNBURG INTL VALUE FUND	71,427 53	70,000 61
300	3M CO	65,856 00	24,721 57
41	3M CO	9,000 32	7,216 08
68	TIME WARNER INC	6,431 44	5,773 13
46	TJX COS INC	3,751 76	3,249 01
72	TOTAL SYS SVCS INC	6,210 72	1,676 40
57	TRACTOR SUPPLY CO	3,592 14	4,282 87
140	TRIMBLE NAVIGATION LTD	5,023 20	4,295 29
54	TRIPADVISOR INC	2,208 06	2,331 34
19	ULTIMATE SOFTWARE GROUP INC	4,630 30	4,305 90
2139 69	UNDISCOVERED MANAGERS FDS BEHAVIORALVALUE FUND	144,857 01	120,000 00
1998	UNION PAC CORP	268,591 14	85,109 07
112	UNION PAC CORP	15,056 16	12,679 32
33	UNITEDHEALTH GROUP INC	7,062 00	3,740 39
99	UNITED RENTALS INC	17,100 27	4,848 27
42	UNITED RENTALS INC	7,254 66	3,200 44
1000	UNITED TECHNOLOGIES CORP	125,820 00	39,642 59
134	US BANCORP	6,767 00	4,111 44
15	VAIL RESORTS INC	3,325 50	3,061 68
69	VALERO ENERGY CORP	6,401 13	4,960 76
80	VEEVA SYS INC	5,841 60	3,651 51
300	VERIZON COMMUNICATIONS INC	14,346 00	8,450 82
700	VISA INC	83,734 00	25,360 45
38	WABTEC CORP	3,093 20	1,002 82
109	WALMART INC	9,697 73	8,294 31

TR U/W VIVIAN S WEST - WEST MEMORIAL FUND  
EIN 56-6520255FORM 990-PF PART II LINE 10  
YEAR ENDED 3-31-2018

UNITS	DESCRIPTION	MARKET VALUE	BOOK VALUE
84	WASTE MGMT INC	7,066 08	3,322 46
88	WEC ENERGY GROUP INC	5,517 60	4,112 89
158	WELLS FARGO & CO	8,280 78	5,103 16
74	WESTERN ALLIANCE BANCORP	4,300 14	3,637 23
42	WYNDHAM WORLDWIDE CORP	4,806 06	1,262 94
		<u>6,993,307 49</u>	<u>4,623,927 03</u>