

C&E 945

Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

1803

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017 or tax year beginning APR 1, 2017, and ending MAR 31, 2018

Name of foundation: J.E. AND MILDRED WAGGONER FAMILY FOUNDATION, INC. A Employer identification number: 56-1847923

Number and street: 2341 ROBINWOOD ROAD Room/suite: B Telephone number: 704-864-7633

City or town, state or province, country, and ZIP or foreign postal code: GASTONIA, NC 28054 C if exemption application is pending, check here

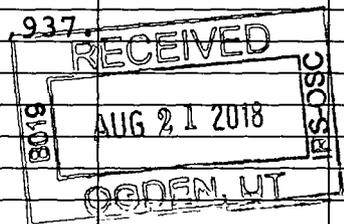
G Check all that apply: Initial return, Final return, Address change, Initial return of a former public charity, Amended return, Name change. D 1. Foreign organizations, check here. 2 Foreign organizations meeting the 85% test, check here and attach computation.

H Check type of organization: Section 501(c)(3) exempt private foundation (checked), Section 4947(a)(1) nonexempt charitable trust, Other taxable private foundation. E If private foundation status was terminated under section 507(b)(1)(A), check here.

I Fair market value of all assets at end of year: \$ 1,131,209. J Accounting method: Cash (checked), Accrual, Other (specify). F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here.

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes (cash basis only). Rows include Contributions, Dividends, Net gain, Total, Operating and Administrative Expenses, and Net investment income.



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SCANNED OCT 18 2018

Revenue, Operating and Administrative Expenses

821 20

**J.E. AND MILDRED WAGGONER
FAMILY FOUNDATION, INC.**

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		131.	441.	441.
	2	Savings and temporary cash investments		11,867.	23,225.	23,225.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock STMT 6		175,745.	158,289.	209,038.
	c	Investments - corporate bonds				
	11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 7		852,505.	854,273.	898,505.	
14	Land, buildings, and equipment: basis ▶					
	Less accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		1,040,248.	1,036,228.	1,131,209.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31					
	24	Unrestricted		1,040,248.	1,036,228.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances		1,040,248.	1,036,228.		
31	Total liabilities and net assets/fund balances		1,040,248.	1,036,228.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1,040,248.
2	Enter amount from Part I, line 27a	<4,020.>
3	Other increases not included in line 2 (itemize) ▶	0.
4	Add lines 1, 2, and 3	1,036,228.
5	Decreases not included in line 2 (itemize) ▶	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	1,036,228.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED SCHEDULE	P		
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 152,089.		110,152.	41,937.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			41,937.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	41,937.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	54,000.	1,086,391.	.049706

**J.E. AND MILDRED WAGGONER
FAMILY FOUNDATION, INC.**

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	1,101.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0.
3	Add lines 1 and 2	3	1,101.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.
5	Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-	5	1,101.
6	Credits/Payments:		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	0.
b	Exempt foreign organizations - tax withheld at source	6b	0.
c	Tax paid with application for extension of time to file (Form 8868)	6c	1,112.
d	Backup withholding erroneously withheld	6d	0.
7	Total credits and payments. Add lines 6a through 6d	7	1,112.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	11.
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2018 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
d		
e		
2		X
3		X
4a		X
4b	N/A	
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

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FAMILY FOUNDATION, INC.**

Part VII-A Statements Regarding Activities (continued)

		Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	X	
14	The books are in care of ► <u>RUTH WAGGONER</u> Telephone no. ► <u>704-864-7633</u> Located at ► <u>2341 ROBINWOOD ROAD, GASTONIA, NC</u> ZIP+4 ► <u>28054</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ► <u>15</u> <u>N/A</u>		
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year, did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ► <u>N/A</u>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <u>N/A</u>	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) <u>N/A</u>	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a During the year, did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A <input type="checkbox"/>	5b	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d).	N/A <input type="checkbox"/> Yes <input type="checkbox"/> No		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		6b	X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	0.
3	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3 ▶ 0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,158,808.
b	Average of monthly cash balances	1b	459.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,159,267.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,159,267.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	17,389.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,141,878.
6	Minimum investment return. Enter 5% of line 5	6	57,094.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	57,094.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	1,101.
b	Income tax for 2017. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,101.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	55,993.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	55,993.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	55,993.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	57,140.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	57,140.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	57,140.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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FAMILY FOUNDATION, INC.**

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				55,993.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2017:				
a From 2012	1,628.			
b From 2013	2,108.			
c From 2014	1,977.			
d From 2015	4,363.			
e From 2016				
f Total of lines 3a through e	10,076.			
4 Qualifying distributions for 2017 from Part XII, line 4: ▶ \$	57,140.			
a Applied to 2016, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2017 distributable amount				55,993.
e Remaining amount distributed out of corpus	1,147.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	11,223.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7	1,628.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	9,595.			
10 Analysis of line 9:				
a Excess from 2013	2,108.			
b Excess from 2014	1,977.			
c Excess from 2015	4,363.			
d Excess from 2016				
e Excess from 2017	1,147.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

	Tax year		Prior 3 years		(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year CENTRAL ELEMENTARY SCHOOL 4083 WILKESBORO HWY STATESVILLE, NC 28625	NONE	PC	RECIPIENT'S GENERAL USE	3,725.
GASTON CHRISTIAN SCHOOL 1625 LOWELL BETHESDA RD GASTONIA, NC 28056	NONE	PC	RECIPIENT'S GENERAL USE	2,000.
GASTON COLLEGE FOUNDATION 201 US-321 DALLA, NC 28034	NONE	PC	RECIPIENT'S GENERAL USE	8,000.
JUVENILE DIABETES RESEARCH FOUNDATION 205 REGENCY EXECUTIVE PARK DR #102 CHARLOTTE, NC 28217	NONE	PC	RECIPIENT'S GENERAL USE	16,915.
MYERS MEMORIAL METHODIST CHURCH 301 S NEW HOPE RD GASTONIA, NC 28054	NONE	PC	RECIPIENT'S GENERAL USE	10,000.
Total			SEE CONTINUATION SHEET(S) ▶ 3a	57,140.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
BB&T TRUST DIVISION	24,881.	0.	24,881.	24,881.	
TO PART I, LINE 4	24,881.	0.	24,881.	24,881.	

FORM 990-PF LEGAL FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	255.	0.		0.
TO FM 990-PF, PG 1, LN 16A	255.	0.		0.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	1,695.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	1,695.	0.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	11,357.	11,357.		0.
TO FORM 990-PF, PG 1, LN 16C	11,357.	11,357.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD ESTIMATED TAXES FORM 990-PF	391.	391.		0.
2017 FORM 990-PF TAX	0.	0.		0.
TOTAL TO FORM 990-PF, PG 1, LN 18	391.	391.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
COMMON STOCK SEE SCHEDULE	158,289.	209,038.
TOTAL TO FORM 990-PF, PART II, LINE 10B	158,289.	209,038.

FORM 990-PF OTHER INVESTMENTS STATEMENT 7

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS SEE SCHEDULE	COST	854,273.	898,505.
TOTAL TO FORM 990-PF, PART II, LINE 13		854,273.	898,505.

THE J E & MILDRED WAGGONER				
FAMILY FOUNDATION, INC				
SCHEDULE OF CAPITAL GAINS				
4/1/17 - 3/31/18				
	DATE	SALES		GAIN
NAME	SOLD	PRICE	COST	(LOSS)
GOLDMAN SACHS GRWTH OPP FUND	05/11/17	1,439 77	1,636 33	(196 56)
LAZARD EMERGING MKTS PORT #638	05/11/17	518 40	544 06	(25 66)
MORGAN STANLEY INSTITUTIONAL FUND	05/11/17	2,247 54	1,847 09	400 45
OPPENHEIMER DEV MKTS FUND CL Y #788	05/11/17	1,204 10	1,123 87	80 23
SALIENT INTERNATL SMALL CAP #111	05/11/17	13,915 54	9,858 29	4,057 25
FEDERATED MDT SMALL CAP #284	05/11/17	469 85	382 81	87 04
VANGUARD DEVELOPED MKTS INDEX FD	05/11/17	1,434 70	1,325 43	109 27
ABB LTD SPONS ADR	05/15/17	24 64	22.33	2 31
ARCELORMITTAL	05/15/17	15 57	15.06	0 51
CNOOC LTD	05/15/17	115 50	201 63	(86 13)
CARNIVAL PLC	05/15/17	62 18	35 65	26 53
HOST HOTELS & RESORTS INC	05/15/17	180 59	196 19	(15 60)
MANULIFE FINL CORP COMMON	05/15/17	17 82	18 13	(0 31)
PRUDENTIAL PLC	05/15/17	45 66	37.83	7.83
PULTE GROUP INC COMMON	05/15/17	249 47	199 76	49 71
SAP SE	05/15/17	103 53	66 41	37 12
TIME WARNER INC COMMON	05/15/17	295 88	212.93	82 95
YUM! BRANDS INC COMMON	05/15/17	68 84	55 55	13 29
BUNGE TIMITED COMMON	05/15/17	1,120 29	1,092 29	28 00
AIA GROUP LTD	05/15/17	86 16	45 67	40 49
AIR LIQUIDE COMMON	05/15/17	24 20	22 97	1 23
AKZO NV SPONSORED ADR COMMON	05/15/17	301 17	239 96	61 21
ALFA LAVAL AB	05/15/17	20 09	16 32	3 77
ALLIANZ COMMON	05/15/17	56 44	26 37	30 07
ARYZTA AG-UNSPON ADR	05/15/17	17 30	26 14	(8.84)
ASTRAZENECA	05/15/17	124 18	117 74	6 44
ATLAS COPCO	05/15/17	37 41	25 04	12 37
AVIVA	05/15/17	42 58	46.05	(3 47)
BNP PARIBAS	05/15/17	363 54	212.64	150 90
BALFOUR BEATTY	05/15/17	14 98	18 57	(3 59)
BANCO BILBAO VIZCAYA	05/15/17	49 19	49 43	(0 24)
BAYERISCHE MOTOREN	05/15/17	32 78	30.20	2 58
CSL LTD	05/15/17	49 83	19 93	29 90
CAIZABANK	05/15/17	266.39	249 19	17 20
CISCO SYSTEMS INC	05/15/17	67 54	54 59	12 95
THE COCA-COLA CO COMMON	05/15/17	43 55	45.15	(1 60)
COMPAGNIE FINANCIERE RICHEMONT	05/15/17	42 04	38 07	3 97
DBS GROUP HODGS LTD	05/15/17	58 28	52 08	6 20
EAST JAPAN RAILWAY CO	05/15/17	29 86	27.39	2 47
ENGIE	05/15/17	30 48	44.49	(14 01)
FANUC LTD	05/15/17	40 20	31.28	8.92
FRESENIUS USA INC	05/15/17	46 48	39 00	7 48
FUCHS PETROLU SE	05/15/17	39.58	27.97	11.61

THE J E & MILDRED WAGGONER				
FAMILY FOUNDATION, INC				
SCHEDULE OF CAPITAL GAINS				
4/1/17 - 3/31/18				
	DATE	SALES		GAIN
NAME	SOLD	PRICE	COST	(LOSS)
GRUPO TELEVISIA SA DE CV	05/15/17	24 78	25 86	(1.08)
ICICI BANK LIMITED	05/15/17	9 32	7 47	1.85
ING GROEP NV	05/15/17	16 69	12 40	4.29
ITAU UNIBANCO BANCO MULTIPLO	05/15/17	49 06	41 26	7.80
KDDI CORPORATION	05/15/17	66 42	55 35	11.07
L'OREAL	05/15/17	41 00	22 06	18.94
LVMH MOET HENNESSY	05/15/17	50 45	33 03	17.42
LLOYDS BANKING GROUP	05/15/17	40 54	46 22	(5.68)
MICROSOFT CORP COMMON	05/15/17	276 31	217 14	59.17
MITSUBISHI ESTATE COMPANY LTD	05/15/17	19 47	28 29	(8.82)
MONOTARO CO LTD	05/15/17	32 33	14 09	18.24
MONSTER BEVERAGE CORP COMMON	05/15/17	47 98	52 66	(4.68)
NASPERS LIMITED	05/15/17	80 56	55 48	25.08
HESTLE SA	05/15/17	80 00	57 36	22.64
NOVARTIS	05/15/17	78.79	92 51	(13.72)
NOVO-NORDISK	05/15/17	40 24	52 22	(11.98)
ORACLE CORP	05/15/17	45 45	40 96	4.49
ROCHE HOLDING LTD	05/15/17	66 32	69 44	(3.12)
ROYAL DUTCH SHELL	05/15/17	114 08	115.93	(1.85)
TELECOM CO LTD	05/15/17	234 89	206 21	28.68
SSE PLC	05/15/17	57 51	70 40	(12.89)
SANOFI SYNTHELABO	05/15/17	347 40	307 22	40.18
SCHLUMBERGER LTD COMMON	05/15/17	218 60	218 40	0.20
SONOVA HOLDING	05/15/17	29 72	22 79	6 93
SUMITOMO MITSUI	05/15/17	14 84	15 24	(0 40)
SYMRISE	05/15/17	35 27	27 60	7 67
TAIWAN SEMICONDUCTOR MFG CO	05/15/17	71 12	30.05	41 07
TENARIS	05/15/17	31 16	28 75	2 41
TOTAL S A	05/15/17	471 68	409 03	62 65
TURKIYE GARANTI BANKASI	05/15/17	29 64	39.93	(10.29)
UNILEVER	05/15/17	1,788 42	1,058 21	730 21
VAREX IMAGING CORP COMMON	05/15/17	33 98	24 16	9 82
VARIAN MED SYS INC COMMON	05/15/17	96 54	71.35	25 19
VISA INC	05/15/17	92 15	79 15	13 00
ZURICH INSURANCE GROUP	05/15/17	55 32	49 40	5 92
INVESCO LTD COMMON	05/15/17	161.39	151 62	9 77
UBS GROUP AG COMMON	05/15/17	389 61	397.75	(8.14)
ARCEJORMITTAL	06/06/17	14 62	15 07	(0 45)
ICICI BANK LIMITED	07/25/17	4 60	3 40	1 20
GOLDMAN SACHS GRWTH OPP FD #1132	08/15/17	25 88	28.65	(2 77)
GOLDMAN SACHS INTL SMALL CAP	08/15/17	306.11	290 87	15 24
LAZARD EMERGING MKTS PORT #638	08/15/17	353 00	351 69	1 31
MORGAN STANLEY INSTUTIONAL	08/15/17	1,991 50	1,482 69	508 81

THE J E & MILDRED WAGGONER				
FAMILY FOUNDATION, INC.				
SCHEDULE OF CAPITAL GAINS				
4/1/17 - 3/31/18				
	DATE	SALES		GAIN
NAME	SOLD	PRICE	COST	(LOSS)
OPPENHEIMER DEV MKTS FUND CL Y #788	08/15/17	926 25	811 34	114.91
PIONEER AMT FREE MUNI BOND FUND	08/15/17	49 39	49 05	0.34
VANGUARD 500 INDEX FUND ADMIRAL	08/15/17	225 45	190.37	35.08
VANGUARD DEVELOPED MARKES INDEX	08/15/17	239 60	214 97	24.63
ABB LTD SPONS ADR	08/17/17	343 94	318 36	25 58
AIA GROUP LTD	08/17/17	30.50	15 22	15.28
ABBOTT LABORATORIES COMMON	08/17/17	97 94	90.25	7.69
AIR LIQUIDE COMMON	08/17/17	49 01	45.94	3.07
AKZO NV SPONSORED ADR COMMON	08/17/17	389 60	277.55	112 05
ALFA LAVAL AB	08/17/17	22.83	16 32	6 51
ALIBABA GROUP HOLDING	08/17/17	308 11	166.19	141 92
ALLIANZ COMMON	08/17/17	42 92	17.58	25 34
AMGEN INC COMMON	08/17/17	171.75	160 78	10 97
ANTHEM INC COMMON	08/17/17	191 69	132 34	59 35
ARYZTA AG-UNSPON ADR	08/17/17	16 26	26.14	(9 88)
AUTODESK INC COMMON	08/17/17	108 91	63 85	45 06
AVIVA	08/17/17	285 70	310 55	(24 85)
BALFOUR BEATTY	08/17/17	6 84	9 28	(2 44)
BANCO BILBAO VIZCAYA	08/17/17	53 58	49 43	4 15
BAYER	08/17/17	126 27	127 67	(1 40)
BAYERISCHE MOTOREN	08/17/17	220 35	211 40	8 95
CSL LTD	08/17/17	397 59	159 44	238 15
CAIZABANK	08/17/17	155 02	127 36	27.66
CANADIAN NATL TY CO COMMON	08/17/17	80 53	47 80	32 73
CARNIVAL PLC	08/17/17	206 48	106 95	99 53
CERNER CORP COMMON	08/17/17	63 16	54 57	8 59
CISCO SYSTEMS INC COMMON	08/17/17	158 90	144.00	14.90
THE COCA-COLA CO COMMON	08/17/17	91.66	90 30	1 36
COMPAGNIE FINANCIERE RICHEMONT	08/17/17	60 16	52 85	7.31
DANONE	08/17/17	147 42	128 59	18 83
DASSAULT SYSTEMS	08/17/17	485 79	284 77	201.02
DIAGEO	08/17/17	130 74	105 55	25 19
ENGIE	08/17/17	292 67	352 74	(60.07)
EXPEDITORS INTL WASH INC	08/17/17	111 48	97 51	13.97
FACEBOOK INC	08/17/17	512 06	343.47	168 59
FACTS3ET RESEARCH SYSTEMS INC	08/17/17	161 44	169 68	(8 24)
GRIFOLS S A	08/17/17	20 56	17.43	3.13
HITACHI LTD	08/17/17	394 67	330 54	64 13
HOST HOTELS & RESORTS INC	08/17/17	1,243 69	1,185.71	57 98
ICICI BANK LIMITED	08/17/17	9 03	6.79	2 24
ING GROEP NV	08/17/17	144 64	99 16	45 48
LVMH MOET HENNESSY	08/17/17	51 04	33 03	18 01
LLOYDS BANKING GROUP	08/17/17	105.09	130.25	(25 16)

THE J E & MILDRED WAGGONER				
FAMILY FOUNDATION, INC				
SCHEDULE OF CAPITAL GAINS				
4/1/17 - 3/31/18				
	DATE	SALES		GAIN
NAME	SOLD	PRICE	COST	(LOSS)
MCDONALDS CORP COMMON	08/17/17	1,415.29	833.07	582.22
MICROSOFT CORP COMMON	08/17/17	73.62	54.29	19.33
MON	08/17/17	108.20	105.31	2.89
NIKON CORP	08/17/17	250.56	177.67	72.89
NOVARTIS	08/17/17	83.85	92.50	(8.65)
NOVO-NORDISK	08/17/17	45.27	56.01	(10.74)
ORACLE CORP	08/17/17	146.34	120.73	25.61
PRUDENTIAL PLC	08/17/17	610.99	493.31	117.68
PULTE GROUP INC COMMON	08/17/17	1,414.83	1,022.77	392.06
ROCHE HOLDING LTD	08/17/17	251.23	260.39	(9.16)
SEI INVESTMENTS	08/17/17	113.58	108.81	4.77
TELECOM CO LTD	08/17/17	26.49	20.50	5.99
SABRE CORP COMMON	08/17/17	1,481.30	1,912.48	(431.18)
SANOFI SYNTHELABO	08/17/17	525.79	442.30	83.49
SCOTTS CO CL A	08/17/17	1,252.67	827.96	424.71
TAIWAN SEMICONDUCTOR MFG CO	08/17/17	35.95	15.03	20.92
TURKIYE GARANTI BANKASI	08/17/17	15.40	18.15	(2.75)
UNITED PARCEL SERVICES B	08/17/17	113.55	106.54	7.01
VAREX IMAGING CORP COMMON	08/17/17	119.60	95.01	24.59
VARIAN MED SYS INC COMMON	08/17/17	97.61	72.39	25.22
VISA INC	08/17/17	204.02	158.29	45.73
VOLKSWAGAN AG	08/17/17	30.83	32.01	(1.18)
WPP PLC	08/17/17	204.61	141.06	63.55
YUM! BRANDS INC COMMON	08/17/17	76.34	59.61	16.73
YUM CHINA HOLDINGS INC	08/17/17	37.35	24.77	12.58
ZURICH INSURANCE GROUP	08/17/17	211.67	171.27	40.40
INVESCO LTD COMMON	08/17/17	33.96	30.33	3.63
GOLDMAN SACHS GRWTH OPP #1132	12/06/17	2,635.33	2,729.13	(93.80)
GOLDMAN SECHS INTL SMALL CAP	12/06/17	371.96	335.94	36.02
LAZARD EMERGING MKTS PORT #638	12/06/17	341.98	318.43	23.55
MORGAN STANLEY INSTITUTIONAL FUND	12/06/17	1,097.95	774.53	323.42
OPPENHEIMER DEV MKTS FUND CL Y #788	12/06/17	535.43	450.02	85.41
VIRTUS KAR SMALL CAP VALUE FD #1764	12/06/17	1,209.92	1,030.46	179.46
FEDERATED MDT SMALL CAP #284	12/06/17	956.10	710.72	245.38
TOUCHSTONE MID CAP VALUE FUND	12/06/17	1,912.78	1,624.49	288.29
VANGUARD 500 INDEX FUND ADMIRAL	12/06/17	6,090.76	4,816.79	1,273.97
VANGUARD DEVELOPED MKTS INDEX FD	12/06/17	870.60	743.69	126.91
VAREX IMAGING CORP COMMON	12/07/17	37.82	23.10	14.72
ABBOTT LABORATORIES COMMON	12/07/17	329.63	265.38	64.25
AKZO NV SPONSORED ADR COMMON	12/07/17	303.69	213.50	90.19
ALFA LAVAL AB	12/07/17	93.76	65.28	28.48
ALIBABA GROUP HOLDING	12/07/17	340.69	166.19	174.50
AMAZON COM COMMON	12/07/17	1,148.77	667.78	480.99

THE J E & MILDRED WAGGONER				
FAMILY FOUNDATION, INC.				
SCHEDULE OF CAPITAL GAINS				
4/1/17 - 3/31/18				
	DATE	SALES		GAIN
NAME	SOLD	PRICE	COST	(LOSS)
AMERICAN EXPRESS CO COMMON	12/07/17	99.18	88 13	11 05
ANTHEM INC COMMON	12/07/17	447 68	263 32	184 36
ARCELORMITTAL	12/07/17	516 02	393 23	122 79
AUTODESK INC COMMON	12/07/17	107 74	63.84	43 90
BASF AG SPONS ADR COMMON	12/07/17	27 70	23 91	3 79
AHP BILLITON PLC	12/07/17	108.40	106 17	2 23
BAIDU INC	12/07/17	465 84	409 58	56 26
BANCO BILBAO VIZCAYA	12/07/17	16 86	16 48	0 38
CNOOC LTD	12/07/17	276 70	353 42	(76 72)
CSL LTD	12/07/17	54 94	19 93	35 01
CAIXABANK	12/07/17	52 15	47 00	5.15
CERNER CORP COMMON	12/07/17	140 51	109 14	31 37
CISCO SYSTEMS INC COMMON	12/07/17	112 18	86 40	25 78
THE COCA-COLA CO COMMON	12/07/17	92 31	87 98	4 33
COMPAGNIE FINANCIERE RICHEMONT	12/07/17	51 69	45 30	6.39
CROWN CASTLE INTL CORP COMMON	12/07/17	219 18	189 80	29.38
DANONE	12/07/17	82 50	71 03	11 47
DISCOVER FINANCIAL SERVICES COMMON	12/07/17	146 25	111 54	34 71
EAST JAPAN RAILWAY CO	12/07/17	193 32	164 34	28.98
ENAGAS	12/07/17	116.36	118 04	(1.68)
ENGIE	12/07/17	680 33	635 21	45 12
EXPEDITORS INTL WASH INC	12/07/17	64 86	48.75	16 11
FACEBOOK INC	12/07/17	347 10	228 98	118 12
FANUC LTD	12/07/17	48 37	31 28	17 09
FRESENIUS USA INC	12/07/17	50 66	38 99	11 67
FUCHS PETROLU SE	12/07/17	12 85	9 33	3 52
GENERAL MOTORS CO COMMON	12/07/17	471 47	348 37	123 10
TELEvisa SA DE CV	12/07/17	301 25	403 57	(102 32)
HITACHI LTD	12/07/17	443 39	310 56	132 83
HONEYWELL INTERNATIONAL INC	12/07/17	307 92	233 50	74 42
ICICI BANK LIMITED	12/07/17	9 41	6.79	2 62
ITAU UNIBANCO BANCO MULTIPLO	12/07/17	25 91	20 63	5 28
JGC CORPORATION	12/07/17	35 72	70.50	(34.78)
JAPAN AIRLINES CO	12/07/17	73 50	73 84	(0 34)
KAR AUCTION SERVICES INC COMMON	12/07/17	202 81	179 71	23.10
KOMATSU LTD	12/07/17	363 16	201 42	161.74
KROGER CO COMMON	12/07/17	1,343 57	1,204 66	138 91
L'OREAL	12/07/17	266.57	132 36	134 21
LINDE AG	12/07/17	1,866 54	1,426 50	440.04
LLOYDS BANKING GROUP	12/07/17	3.51	4 20	(0 69)
MAXIM INTEGRATED PRODUCT COMMON	12/07/17	207.70	148.56	59 14
MICROSOFT CORP COMMON	12/07/17	246 17	162 85	83.32
MITSUBISHI ESTATE COMPANY LTD	12/07/17	17 76	28.28	(10 52)

THE J E & MILDRED WAGGONER				
FAMILY FOUNDATION, INC				
SCHEDULE OF CAPITAL GAINS				
4/1/17 - 3/31/18				
	DATE	SALES		GAIN
NAME	SOLD	PRICE	COST	(LOSS)
MONSTER BEVERAGE CORP COMMON	12/07/17	188.92	157.97	30.95
NOVARTIS	12/07/17	2,515.29	2,461.86	53.43
NOVO-NORDISK	12/07/17	152.16	166.83	(14.67)
OCCIDENTAL PETROLEUM CO	12/07/17	70.06	61.39	8.67
PARK24 CO	12/07/17	24.49	26.44	(1.95)
PFIZER INC COMMON	12/07/17	142.52	130.58	11.94
PROCTER & GAMBLE CO	12/07/17	182.70	167.36	15.34
QUALCOMM INC	12/07/17	1,422.67	1,253.93	168.74
ROBERT HALF INTL INC COMMON	12/07/17	271.08	195.84	75.24
ROCHE HLDING LTD	12/07/17	61.86	63.65	(1.79)
ROYAL DUTCH SHELL	12/07/17	458.20	377.84	80.36
SEI INVESTMENTS	12/07/17	70.13	54.40	15.73
SAP SE	12/07/17	111.23	66.41	44.82
SASOL LTD	12/07/17	31.17	41.89	(10.72)
SCHNEIDER ELECTRIC	12/07/17	267.75	241.74	26.01
SYMRIS AG	12/07/17	42.07	27.60	14.47
SYSMEX CORP	12/07/17	74.25	29.88	44.37
TAIWAN SEMICONDUCTOR MFG CO	12/07/17	39.17	15.03	24.14
VARIAN MED SYS INC COMMON	12/07/17	110.18	72.39	37.79
VISA INC	12/07/17	217.66	158.29	59.37
WPP PLC	12/07/17	352.25	282.12	70.13
YUM! BRANDS INC COMMON	12/07/17	83.66	59.62	24.04
YUM CHINA HOLDINGS INC	12/07/17	40.94	24.77	16.17
ACCENTURE PLC IRELAND	12/07/17	147.69	119.52	28.17
INVESCO LTD COMMON	12/07/17	73.35	60.65	12.70
BLACKROCK STRATEGIC INCOME OPP	03/13/18	1,326.44	1,361.53	(35.09)
DOUBLELINE TOTAL RETURN BOND FD	03/13/18	159.75	164.02	(4.27)
FEDERATED MDT SMALL CAP GRWTH	03/13/18	1,111.02	777.76	333.26
GUGGENHEIM FLOAT RATE	03/13/18	1,662.99	1,666.82	(3.83)
HARTFORD WORLD BOND	03/13/18	1,915.77	1,890.49	25.28
LAZARD EMERGING MKTS PORT #638	03/13/18	2,213.18	1,907.91	305.27
MORGAN STANLEY INSTITUTIONAL FUND	03/13/18	4,922.38	3,029.60	1,892.78
OPPENHEIMER DEV MKTS FUND CL Y #788	03/13/18	2,295.77	1,596.26	699.51
VANGUARD DEVELOPED MARKETS INDEX	03/13/18	1,294.12	1,082.60	211.52
VANGUARD 500 INDEX FUND ADMIRAL	03/13/18	9,348.77	6,984.82	2,363.95
VIRTUS KAR SMALL CAP VALUE FD #1764	03/13/18	69.10	59.13	9.97
AMAZON COM COMMON	03/14/18	1,601.24	667.78	933.46
AMGEN INC COMMON	03/14/18	189.48	160.78	28.70
AUTOMATIC DATA PROCESSING COMMON	03/14/18	118.21	89.07	29.14
BAIDU INC	03/14/18	530.57	409.58	120.99
CNOOC LTD	03/14/18	729.11	514.33	214.78
CANADIAN NATL TY CO COMMON	03/14/18	74.88	47.81	27.07
DEERE & COMPANY COMMON	03/14/18	164.28	102.98	61.30

THE J E & MILDRED WAGGONER				
FAMILY FOUNDATION, INC				
SCHEDULE OF CAPITAL GAINS				
4/1/17 - 3/31/18				
	DATE	SALES		GAIN
NAME	SOLD	PRICE	COST	(LOSS)
FACTSET RESEARCH SYSTEMS INC	03/14/18	213 55	169 67	43.88
JGC CORPORATION	03/14/18	43.98	70.50	(26 52)
JOHNSON & JOHNSON COMMON	03/14/18	132 93	116 43	16 50
KUBOTA CORPORATION	03/14/18	89 80	72 56	17 24
MITSUBISHI ESTATE COMPANY LTD	03/14/18	392 83	604 22	(211 39)
MONOTARO CO LTD	03/14/18	63 39	28 18	35 21
MONSTER BEVERAGE CORP COMMON	03/14/18	233 33	207.63	25.70
OCCIDENTAL PETROLEUM CO	03/14/18	63 96	61 39	2 57
PARK24 CO	03/14/18	52 32	54.06	(1 74)
SEI INVESTMENTS	03/14/18	309 89	217 62	92 27
SAP SE	03/14/18	544 66	332 05	212 61
SONOVA HOLDING	03/14/18	65 86	45 58	20 28
VARIAN MED SYS INC COMMON	03/14/18	253 06	143 73	109.33
WPP PLC	03/14/18	83 04	70 53	12 51
BANCO BILBAO VIZCAYA	03/14/18	106 41	107 09	(0.68)
GROUP HLDGS LTD SPON ADR F/K/A DEV BK	03/14/18	175 79	95 68	80 11
DISCOVER FINANCIAL SERVICES COMMON	03/14/18	780 63	557 72	222 91
KOMATSU LTD	03/14/18	722 91	462.99	259 92
ROBERT HALF INTL INC COMMON	03/14/18	654 43	449 71	204 72
ABB LTD SPONS ADR	03/14/18	24 40	25.50	(1.10)
AIA GROUP LTD	03/14/18	241 85	106.56	135.29
ABBOTT LABORATORIES COMMON	03/14/18	445 08	276 83	168.25
ALFA LAVAL AB	03/14/18	286 13	196 74	89.39
ALIBABA GROUP HOLDING	03/14/18	578.47	249 29	329.18
AMERICAN EXPRESS CO COMMON	03/14/18	98 32	88 13	10.19
ANTHEM INC COMMON	03/14/18	459 70	261 95	197.75
ATLAS COPCO	03/14/18	86 83	50 08	36.75
AUTODESK INC COMMON	03/14/18	421 33	191 54	229.79
BBA AVIATION	03/14/18	23 72	17 11	6.61
BHP BILLITON PLC	03/14/18	40.00	35 39	4.61
BARCLAYS	03/14/18	223 90	190 76	33.14
BAYERISCHE MOTOREN	03/14/18	70 41	60 40	10.01
CSL LTD	03/14/18	65 31	19 93	45.38
CAIXABANK	03/14/18	24 88	17.44	7.44
CARNIVAL PLC	03/14/18	468 74	249 55	219.19
CISCO	03/14/18	410 79	259.20	151.59
THE COCA-COLA CO COMMON	03/14/18	178.14	171.32	6.82
COMPAGNIE FINANCIERE RICHEMONT	03/14/18	717 23	611 55	105.68
DANONE	03/14/18	200 15	168 76	31.39
DASSAULT SYSTEMS	03/14/18	273.46	113.91	159 55
EXPEDITORS INTL WASH INC	03/14/18	259.17	195 02	64.15
FACEBOOK INC	03/14/18	371 36	208 01	163 35
FANUC LTD	03/14/18	230 35	140.75	89 60

THE J E & MILDRED WAGGONER				
FAMILY FOUNDATION, INC				
SCHEDULE OF CAPITAL GAINS				
4/1/17 - 3/31/18				
	DATE	SALES		GAIN
NAME	SOLD	PRICE	COST	(LOSS)
FRESENIUS USA INC	03/14/18	104 71	85.21	19.50
FUCHS PETROLU SE	03/14/18	58 98	37 30	21 68
GRIFOLS S A	03/14/18	65.24	48 49	16 75
HSBC HOLDINGS PLC	03/14/18	49 41	40.37	9 04
HITACHI LTD	03/14/18	378 71	253 69	125.02
HONEYWELL INTERNATIONAL INC	03/14/18	152 44	103 76	48.68
ICICI BANK LIMITED	03/14/18	46 12	33 95	12.17
ITAU UNIBANCO BANCO MULTIPLO	03/14/18	80 37	51 58	28.79
JAPAN AIRLINES CO	03/14/18	39 71	36 92	2 79
KAR AUCTION SERVICES INC COMMON	03/14/18	450 03	359 43	90 60
KDDI CORPORATION	03/14/18	37 80	42 30	(4 50)
L'OREAL	03/14/18	133.97	66 18	67.79
LVMH MOET HENNESSY	03/14/18	121 43	66.05	55 38
LLOYDS BANKING GROUP	03/14/18	30 36	33 61	(3.25)
MAXIM INTEGRATED PRODUCT COMMON	03/14/18	446 21	251 86	194 35
MERCK & CO INC COMMON	03/14/18	221 25	245 28	(24 03)
MICROSOFT CORP COMMON	03/14/18	677 42	358 05	319 37
NASDAQ INC COMMON	03/14/18	255 91	230 12	25.79
NASPERS LIMITED	03/14/18	541 34	251 50	289.84
NESTLE SA	03/14/18	241 80	172 08	69.72
NOVARTIS	03/14/18	248 68	215 98	32 70
NOVO-NORDISK	03/14/18	254 36	277 08	(22.72)
OMNICOM GROUP COMMON	03/14/18	443.12	464.07	(20.95)
PFIZER INC COMMON	03/14/18	219.02	195 46	23 56
PROCTER & GAMBLE CO	03/14/18	159 98	167 36	(7.38)
QUALCOMM INC	03/14/18	252 37	214 97	37 40
ROCHE	03/14/18	241 91	226 36	15.55
ROYAL DUTCH SHELL	03/14/18	256 65	231.86	24.79
SASOL LTD	03/14/18	35 66	30 03	5 63
SCHNEIDER ELECTRICE	03/14/18	243 17	185 43	57 74
SUMITOMO MITSUI	03/14/18	225 80	184 56	41 24
SYMRISE AG ADR	03/14/18	40 88	27.60	13.28
SYSMEX CORP	03/14/18	125 34	44 82	80 52
TAIWAN SEMICONDUCTOR MFG CO	03/14/18	180 78	60 11	120.67
TENARIS	03/14/18	36 53	28 75	7.78
TIME WARNER INC COMMON	03/14/18	193 61	143.00	50.61
TURKIYE GARANTI BANKASI	03/14/18	39 84	47 19	(7.35)
UNILEVER	03/14/18	107 79	92 98	14.81
UNITED PARCEL SERVICES B	03/14/18	436 13	426 16	9 97
VERIZON COMMUNICATIONS	03/14/18	243 66	268 60	(24.94)
VISA INC	03/14/18	248 12	158.29	89.83
VOLKSWAGAN AG	03/14/18	396 14	475 04	(78.90)
	03/14/18	581 53	537.49	44.04

