

Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

2949123701420 8

OMB No 1545-0052

1803 2017

Open to Public Inspection

For calendar year 2017 or tax year beginning

4/1/2017

, and ending

3/31/2018

Name of foundation

GRACE BENNETT JONES TRUST

Number and street (or P O box number if mail is not delivered to street address)

Wells Fargo Bank N A Trust Tax Dept - 6325 S RAINBOW BLVD STE 300

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

LAS VEGAS

NV

89118

Foreign country name

Foreign province/state/county

Foreign postal code

G Check all that apply  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

H Check type of organization  Section 501(c)(3) exempt private foundation

Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 956,459 J Accounting method  Cash  Accrual  
 Other (specify) \_\_\_\_\_ (Part I, column (d) must be on cash basis)

A Employer identification number

51-0191112

B Telephone number (see instructions)

888-730-4933

C If exemption application is pending, check here ► D 1. Foreign organizations, check here ► 2. Foreign organizations meeting the 85% test, check here and attach computation ► E If private foundation status was terminated under section 507(b)(1)(A), check here ► F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► 

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)			
	2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B			
	3 Interest on savings and temporary cash investments			
	4 Dividends and interest from securities	19,773	19,773	
	5a Gross rents			
	b Net rental income or (loss)			
	6a Net gain or (loss) from sale of assets not on line 10	78,981		
	b Gross sales price for all assets on line 6a	326,370		
	7 Capital gain net income (from Part IV, line 2)		78,981	
	8 Net short-term capital gain			
	9 Income modifications			
	10a Gross sales less returns and allowances			
Operating and Administrative Expenses	b Less Cost of goods sold			
	c Gross profit or (loss) (attach schedule)			
	11 Other income (attach schedule)			
	12 Total. Add lines 1 through 11	98,754	98,754	0
	13 Compensation of officers, directors, trustees, etc.	14,450	10,837	3,613
	14 Other employee salaries and wages			
	15 Pension plans, employee benefits			
	16a Legal fees (attach schedule)			
	b Accounting fees (attach schedule)	1,000		1,000
	c Other professional fees (attach schedule)			
	17 Interest			
	18 Taxes (attach schedule) (see instructions)	861	295	
	19 Depreciation (attach schedule) and depletion			
	20 Occupancy			
	21 Travel, conferences, and meetings			
	22 Printing and publications			
	23 Other expenses (attach schedule)			
	24 Total operating and administrative expenses.			
	Add lines 13 through 23	16,311	11,132	0 4,613
	25 Contributions, gifts, grants paid	40,659		40,659
	26 Total expenses and disbursements. Add lines 24 and 25	56,970	11,132	0 45,272
	27 Subtract line 26 from line 12			
	a Excess of revenue over expenses and disbursements	41,784		
	b Net investment income (if negative, enter -0-)		87,622	
	c Adjusted net income (if negative, enter -0-)			0

For Paperwork Reduction Act Notice, see instructions.

HTA

Form 990-PF (2017)

SCANNED SEP 24 2018

ENVELOPE POSTMARK DATE JUL 26 2018

RECEIVED

JUL 31 2018

OGDEN, UT

IRS-OSC

<b>Part II</b> <b>Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	<b>Beginning of year</b>	<b>End of year</b>
			(a) Book Value	(b) Book Value
<b>Assets</b>				
1	Cash—non-interest-bearing			
2	Savings and temporary cash investments		34,656	29,809
3	Accounts receivable ►			
	Less allowance for doubtful accounts ►			
4	Pledges receivable ►			
	Less allowance for doubtful accounts ►			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ►			
	Less allowance for doubtful accounts ►			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S. and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)			
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)		711,859	758,592
14	Land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
15	Other assets (describe ► )			
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)		746,515	788,401
<b>Liabilities</b>				956,459
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ► )		0	0
23	<b>Total liabilities</b> (add lines 17 through 22)			
<b>Net Assets or Fund Balances</b>				
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
27	Capital stock, trust principal, or current funds		746,515	788,401
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	<b>Total net assets or fund balances</b> (see instructions)		746,515	788,401
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)		746,515	788,401

**Part III** **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	746,515
2	Enter amount from Part I, line 27a	2	41,784
3	Other increases not included in line 2 (itemize) ► <b>MUTUAL FUND TIMING DIFFERENCE</b>	3	105
4	Add lines 1, 2, and 3	4	788,404
5	Decreases not included in line 2 (itemize) ► <b>ROUNDING</b>	5	3
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	788,401

**Part IV Capital Gains and Losses for Tax on Investment Income**

a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )			(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a See Attached Statement					
a					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	78,981	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions. If (loss), enter -0- in Part I, line 8	{ . . . }		3	0	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  
If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part Yes  No

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	43,943	898,495	0 048907
2015	43,364	906,021	0 047862
2014	66,063	975,048	0 067754
2013	22,673	952,867	0 023795
2012	45,756	903,739	0 050630
2 Total of line 1, column (d)	2	0 238948	
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0 047790	
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	950,091	
5 Multiply line 4 by line 3 . . .	5	45,405	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	876	
7 Add lines 5 and 6 . . .	7	46,281	
8 Enter qualifying distributions from Part XII, line 4	8	45,272	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here ►  and enter "N/A" on line 1  
Date of ruling or determination letter \_\_\_\_\_ (attach copy of letter if necessary—see instructions)

1b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ►  and enter 1% of Part I, line 27b

1c All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)

5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments

6a 2017 estimated tax payments and 2016 overpayment credited to 2017 362

6b Exempt foreign organizations—tax withheld at source

6c Tax paid with application for extension of time to file (Form 8868)

6d Backup withholding erroneously withheld

7 Total credits and payments Add lines 6a through 6d 362

8 Enter any **penalty** for underpayment of estimated tax Check here  if Form 2220 is attached

9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed**

10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**

11 Enter the amount of line 10 to be **Credited to 2018 estimated tax** ► Refunded ► 0

1	1,752
2	0
3	1,752
4	
5	1,752
7	362
8	
9	1,390
10	0
11	0

**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  Yes  No

1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition  
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

1c Did the foundation file **Form 1120-POL** for this year?

1d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year  
(1) On the foundation ► \$ \_\_\_\_\_ (2) On foundation managers ► \$ \_\_\_\_\_

1e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ \_\_\_\_\_

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?  
If "Yes," attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4b If "Yes," has it filed a tax return on **Form 990-T** for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?  
If "Yes," attach the statement required by *General Instruction T*

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either  
• By language in the governing instrument, or  
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered See instructions ► CO  
8b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

1a	X
1b	X
1c	X
2	X
3	X
4a	X
4b	N/A
5	X
6	X
7	X
8b	X
9	X
10	X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	<input type="checkbox"/> 12	<input checked="" type="checkbox"/> X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	<input type="checkbox"/> 13	<input checked="" type="checkbox"/> X
14	The books are in care of ► WELLS FARGO BANK N A Located at ► 6325 S RAINBOW BLVD STE 300 LAS VEGAS NV	Telephone no ► 888-730-4933 ZIP+4 ► 89118	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here and enter the amount of tax-exempt interest received or accrued during the year	<input type="checkbox"/> 15	<input checked="" type="checkbox"/> N/A
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	<input type="checkbox"/> 16	<input checked="" type="checkbox"/> X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year, did the foundation (either directly or indirectly)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
1b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/> 1b	<input checked="" type="checkbox"/> X
1c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	<input type="checkbox"/> 1c	<input checked="" type="checkbox"/> X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	<input type="checkbox"/> 2b	<input checked="" type="checkbox"/> N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)	<input type="checkbox"/> 3b	<input checked="" type="checkbox"/> N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<input type="checkbox"/> 4a	<input checked="" type="checkbox"/> X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	<input type="checkbox"/> 4b	<input checked="" type="checkbox"/> X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a	During the year, did the foundation pay or incur any amount to	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions				
	Organizations relying on a current notice regarding disaster assistance, check here ► <input type="checkbox"/>				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	<input type="checkbox"/> Yes <input type="checkbox"/> No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?				

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank, N A 6325 S RAINBOW BLVD STE 300 LAS VEGAS, NV 89118	TRUSTEE SEE ATTACHED	14,450		
-----				
-----				
-----				
-----				

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
-----				
-----				
-----				
-----				
-----				

Total number of other employees paid over \$50,000 ►

0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total number of others receiving over \$50,000 for professional services**

## **Part IX-A      Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	NONE	
2		
3		
4		

**Part IX-B      Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

1	NONE	
2		

All other program-related investments. See instructions.

**3** NONE

**Total.** Add lines 1 through 3

**Form 990-PF (2017)**

**Part X Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes	
a	Average monthly fair market value of securities	1a 930,556
b	Average of monthly cash balances	1b 34,003
c	Fair market value of all other assets (see instructions)	1c
d	<b>Total</b> (add lines 1a, b, and c)	1d 964,559
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e
2	Acquisition indebtedness applicable to line 1 assets	2
3	Subtract line 2 from line 1d	3 964,559
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4 14,468
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5 950,091
6	<b>Minimum investment return.</b> Enter 5% of line 5	6 47,505

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ►  and do not complete this part)

1	Minimum investment return from Part X, line 6	1 47,505
2a	Tax on investment income for 2017 from Part VI, line 5	2a 1,752
b	Income tax for 2017 (This does not include the tax from Part VI)	2b
c	Add lines 2a and 2b	2c 1,752
3	Distributable amount before adjustments Subtract line 2c from line 1	3 45,753
4	Recoveries of amounts treated as qualifying distributions	4
5	Add lines 3 and 4	5 45,753
6	Deduction from distributable amount (see instructions)	6
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7 45,753

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes	
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a 45,272
b	Program-related investments—total from Part IX-B	1b
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3	Amounts set aside for specific charitable projects that satisfy the	
a	Suitability test (prior IRS approval required)	3a
b	Cash distribution test (attach the required schedule)	3b
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4 45,272
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6 45,272

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				45,753
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only				25,369
b Total for prior years 20 20 20				
3 Excess distributions carryover, if any, to 2017				
a From 2012				
b From 2013				
c From 2014				
d From 2015				
e From 2016				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2017 from Part XII, line 4 ► \$ 45,272				
a Applied to 2016, but not more than line 2a				25,369
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2017 distributable amount				
e Remaining amount distributed out of corpus				19,903
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	0			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				0
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions				0
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				25,850
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2013				
b Excess from 2014				
c Excess from 2015				
d Excess from 2016				
e Excess from 2017				

**Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)****N/A**

<b>1a</b>	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling ►				
<b>b</b>	Check box to indicate whether the foundation is a private operating foundation described in section				<input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)
<b>2a</b>	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed				
<b>b</b>	85% of line 2a				0
<b>c</b>	Qualifying distributions from Part XII, line 4 for each year listed				0
<b>d</b>	Amounts included in line 2c not used directly for active conduct of exempt activities				0
<b>e</b>	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				0
<b>3</b>	Complete 3a, b, or c for the alternative test relied upon				0
<b>a</b>	"Assets" alternative test—enter (1) Value of all assets				0
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				0
<b>b</b>	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
<b>c</b>	"Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
	(3) Largest amount of support from an exempt organization				0
	(4) Gross investment income				0

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)****1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>Name and address (home or business)</b>				
<i>a Paid during the year</i>				
See Attached Statement				
<b>Total</b>			► 3a	40,659
<i>b Approved for future payment</i>				
NONE				
<b>Total</b>			► 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue						
a						
b						
c						
d						
e						
f						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities						
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory						
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue a						
b						
c						
d						
e						
12 Subtotal Add columns (b), (d), and (e)			0		98,754	0
13 Total Add line 12, columns (b), (d), and (e)					13	98,754

(See worksheet in line 13 instructions to verify calculations )

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions )
Not Applicable	

**Part XVII** Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of  
(1) Cash  
(2) Other assets

b Other transactions  
(1) Sales of assets to a noncharitable exempt organization  
(2) Purchases of assets from a noncharitable exempt organization  
(3) Rental of facilities, equipment, or other assets  
(4) Reimbursement arrangements  
(5) Loans or loan guarantees  
(6) Performance of services or membership or fundraising solicitations

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? \_\_\_\_\_.

Yes  No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Sign  
Here

correct, and complete Declaration of  
► A. H. M. S V P

6/19/2018

Date

9/2018 SVP Wells Fargo Bank N A

May the IRS discuss this return  
with the preparer shown below?  
See instructions  Yes  No

Signature of filer or trustee		Date	File #			
<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date	Check <input checked="" type="checkbox"/> if self-employed	PTIN	
	JOSEPH J CASTRIANO	<i>[Signature]</i>	6/19/2018	P01251603		
	Firm's name ► PricewaterhouseCoopers, LLP		Firm's EIN ► 13-4008324			
Firm's address ► 600 GRANT STREET, PITTSBURGH, PA 15219-2777		Phone no	412-355-6000			

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year****Recipient(s) paid during the year****Name**

HUMANE SOCIETY OF BOULDER VALLEY

**Street**

2323 55TH STREET

**City**  
BOULDER VALLEY**State**  
CO**Zip Code**  
80301**Foreign Country****Relationship**

NONE

**Foundation Status**

PC

**Purpose of grant/contribution**

GENERAL SUPPORT GRANT

**Amount**

40,659

**Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount****Name****Street****City****State****Zip Code****Foreign Country****Relationship****Foundation Status****Purpose of grant/contribution****Amount**

**Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory**

Amount	Long Term CG Distributions		Short Term CG Distributions		Capital Gains/Losses		Gross Sales		Cost or Other Basis Expenses Depreciation and Adjustments		Net Gain or Loss				
	3	340	0	0	Other sales	0	326,370	0	247,389	0	78,981	0			
1	VANGUARD SUT CORP BOND	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
2	VANGUARD SUT CORP BOND	92206C409	X				10/8/2014	12/6/2017	5,165	5,218			0	-53	
3	VANGUARD SUT CORP BOND	92206C409	X				12/22/2014	12/6/2017	5,165	5,185			0	-20	
4	VANGUARD SUT CORP BOND	92206C409	X				8/23/2013	12/6/2013	4,689	4,689			0	-22	
5	INV BALANCE RISK COMM S	00888Y508	X				8/23/2013	12/9/2018	20,222	20,252			0	-30	
6	INV BALANCE RISK COMM S	00888Y508	X				5/12/2016	4/26/2017	4,847	5,000			0	-153	
7	ARBITRAGE FUND CLASS I #	03875R205	X				6/7/2016	4/26/2017	9,330	9,921			0	-591	
8	EATON VANCE FLOATING RA	277511491	X				3/9/2012	4/26/2017	10,151	10,900			0	-151	
9	EATON VANCE FLOATING RA	277511491	X				8/23/2013	4/26/2017	9,836	9,985			0	-149	
10	AMERICAN GRW/ED OF AME	399874825	X				12/22/2014	4/26/2017	15,202	14,700			0	-226	
11	AMERICAN GRW/ED OF AME	399874825	X				7/7/2008	8/8/2017	10,000	6,324			0	3,676	
12	AMERICAN GRW/ED OF AME	399874825	X				7/7/2008	12/29/2017	30,000	17,860			0	12,140	
13	ISHARES SELECT DIVIDEND	464287168	X				7/7/2008	12/29/2018	10,000	5,712			0	4,288	
14	ISHARES SELECT DIVIDEND	464287168	X				10/4/2004	4/26/2017	1,389	878			0	511	
15	ISHARES SELECT DIVIDEND	464287168	X				6/9/2004	4/26/2017	23,605	14,058			0	9,947	
16	ISHARES SELECT DIVIDEND	464287168	X				6/9/2004	8/8/2017	22,772	13,507			0	9,265	
17	ISHARES SELECT DIVIDEND	464287168	X				12/22/2008	8/8/2017	7,250	3,186			0	4,064	
18	ISHARES SELECT DIVIDEND	464287168	X				12/22/2008	8/8/2018	6,824	2,737			0	4,022	
19	ISHARES RUSSELL 1000 (VAL)	464287598	X				11/15/2005	12/29/2018	3,157	1,135			0	6,428	
20	ISHARES RUSSELL 1000 (VAL)	464287598	X				1/15/2009	4/26/2017	10,420	3,992			0	6,428	
21	ISHARES RUSSELL 2000 (VAL)	464287655	X				4/16/2009	4/26/2017	25,124	9,634			0	15,490	
22	ISHARES RUSSELL 2000 (ETF)	464287655	X				10/8/2014	4/26/2017	7,056	5,427			0	1,628	
23	ISHARES CORE S&P SMALL-CAP	464287804	X				12/22/2014	4/26/2017	5,645	4,773			0	872	
24	LAUDIUS MONDRIAN INT'L	5185506855	X				4/26/2017	12/6/2017	1,523	1,417			0	106	
25	ASG GLOBAL ALTERNATIVES	6381278895	X				6/17/2016	6/26/2017	5,618	7,330			0	-518	
26	PRINCIPAL MIDCAP FUND #4	742530747	X				9/23/2013	4/26/2017	2,000	2,182			0	991	
27	RIDGEWORTH SEIX HY BD-1	768281645	X				4/29/2010	4/26/2017	18,348	17,357			0	991	
28	RIDGEWORTH SEIX HY BD-1	768281645	X				2/1/2011	4/26/2017	13,166	15,000			0	-1,834	
29	ROYAL PENNSYLVANIA MUNI	880208440	X				7/7/2008	4/26/2017	24,988	21,498			0	-165	
30	TEMPLETON GLOBAL BOND	880208440	X				4/16/2009	4/26/2017	8,000	7,536			0	3,357	

**Part I, Line 16b (990-PF) - Accounting Fees**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1 TAX PREP FEES	1,000			1,000

**Part I, Line 18 (990-PF) - Taxes**

		861	295	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	295	295		
2	PY EXCISE TAX DUE	204			
3	ESTIMATED EXCISE PAYMENTS	362			

**Part II, Line 13 (990-PF) - Investments - Other**

				Book Value End of Year	Book Value End of Year	FMV End of Year
				0	758,592	926,650
1	Asset Description	Basis of Valuation	Beg. of Year			
2	AMEX FINANCIAL SELECT SPDR	-----	-----	0	10,019	11,524
3	AMEX MATERIALS SPDR	-----	-----	0	1,018	1,082
4	AMEX TECHNOLOGY SELECT SPDR	-----	-----	0	19,998	24,205
5	AMEX ENERGY SELECT SPDR	-----	-----	0	1,036	1,011
6	MERGER FUND-INST #301	-----	-----	0	19,080	19,431
7	FID ADV EMER MKTS INC- CL I 607	-----	-----	0	39,341	39,749
8	ISHARES RUSSELL 1000 GROWTH	-----	-----	0	54,989	119,895
9	ISHARES TR SMALLCAP 600 INDEX FD	-----	-----	0	18,492	20,100
10	EATON VANCE GLOBAL MACRO - I	-----	-----	0	29,540	28,515
11	ISHARES MSCI EAFFE	-----	-----	0	26,627	43,898
12	AMEX INDUSTRIAL SPDR	-----	-----	0	5,014	5,572
13	AMEX HEALTH CARE SPDR	-----	-----	0	10,004	10,826
14	AMEX CONSUMER DISCR SPDR	-----	-----	0	5,039	5,672
15	ISHARES TR RUSSELL MIDCAP GROWTH	-----	-----	0	50,106	77,458
16	CONSUMER STAPLES SECTOR SPDR TR	-----	-----	0	3,493	3,316
17	ISHARES IBOXX \$ INV GR CORP BD FD	-----	-----	0	83,914	81,586
18	T ROWE PR REAL ESTATE-I #432	-----	-----	0	15,000	14,055
19	ASG GLOBAL ALTERNATIVES-Y 1993	-----	-----	0	17,818	17,162
20	TEMPLETON GLOBAL BOND FD-ADV 616	-----	-----	0	12,186	12,587
21	ISHARES TR MSCI EMERGING MARKETS	-----	-----	0	6,509	13,036
22	ISHARES DOW JONES SELECT DIVIDEND	-----	-----	0	2,709	7,039
23	VANGUARD REIT VIPER	-----	-----	0	24,576	28,679
24	AQR MANAGED FUTURES STR-I	-----	-----	0	15,195	12,621
25	VANGUARD EMERGING MARKETS ETF	-----	-----	0	8,983	11,087
26	VANGUARD INFLAT-PROT SECS-ADM 511	-----	-----	0	10,476	10,233
27	JPMORGAN HIGH YIELD FUND SS 3580	-----	-----	0	19,533	18,957
28	T ROWE PRICE INST FLOAT RATE 170	-----	-----	0	8,340	8,299
29	OAKMARK INTERNATIONAL-INST #2886	-----	-----	0	11,811	25,103
30	BLACKROCK GL L/S CREDIT-K #1940	-----	-----	0	17,500	17,745
31	OPPENHEIMER DEVELOPING MKT-I 799	-----	-----	0	13,942	16,773
32	VANGUARD BD INDEX FD INC	-----	-----	0	28,748	28,160
33	SPDR DJ WILSHIRE INTERNATIONAL REA	-----	-----	0	26,583	26,653
34	VANGUARD INTERMEDIATE TERM B	-----	-----	0	70,048	67,869
35	ROBECO BP LNGSHRT RES-INS	-----	-----	0	25,335	31,279
36	DIAMOND HILL LONG-SHORT FD CL I 11	-----	-----	0	14,777	22,195
37	NEUBERGER BERMAN LONG SH-INS #183	-----	-----	0	22,500	22,236
38	AMERICAN GRW FD OF AMER CL F2 605	-----	-----	0	8,313	21,042

**Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income**

	Long Term CG Distributions	Short Term CG Distributions	Amount	3,340	0	0	247,389	75,641	0	0	0	0	0	0	0	75,641
<b>Description of Property Sold</b>	<b>CUSIP #</b>	<b>Acquisition Method</b>	<b>Date Acquired</b>	<b>Date Sold</b>	<b>Date</b>	<b>Gross Sales Price</b>	<b>Depreciation Allowed</b>	<b>Adjustments</b>	<b>Cost or Other Basis Plus</b>	<b>Expense of Sale</b>	<b>Gain or Loss</b>	<b>F M V as of 12/31/69</b>	<b>Adjusted Basis as of 12/31/69</b>	<b>Excess of FMV Over Adjusted Basis</b>	<b>Gains Minus Excess FMV Over Adjusted Basis</b>	
1 VANGUARD SIT CORP BOND	92206C409	-	10/8/2014	12/6/2017	-	5,165	-	-	-	-	-53	0	0	0	-53	
2 VANGUARD SIT CORP BOND	92206C409	-	12/22/2014	12/6/2017	-	5,165	-	-	-	-	-20	0	0	0	-20	
3 VANGUARD SIT CORP BOND	92206C409	-	8/23/2013	12/6/2018	-	4,689	-	-	-	-	22	0	0	0	22	
4 VANGUARD SIT CORP BOND	92206C409	-	8/23/2013	12/9/2018	-	0	-	-	-	-	0	0	0	0	0	
5 INV BALANCE RISK COMM S	00888YY508	-	5/12/2016	4/26/2017	-	4,847	-	-	-	-	-30	0	0	0	-153	
6 INV BALANCE RISK COMM S	00888YY508	-	6/21/2016	4/26/2017	-	9,330	-	-	-	-	-153	0	0	0	-153	
7 ARBITRAGE FUND CLASS I #	03875R205	-	3/9/2012	4/26/2017	-	10,151	-	-	-	-	-591	0	0	0	-591	
8 EATON VANCE FLOATING RA	277911491	-	8/23/2013	4/26/2017	-	9,836	-	-	-	-	151	0	0	0	151	
9 EATON VANCE FLOATING RA	277911491	-	12/22/2014	4/26/2017	-	15,202	-	-	-	-	-149	0	0	0	-149	
10 AMERICAN GRWF DF OF AME	399874B25	-	7/17/2008	8/19/2017	-	0	-	-	-	-	0	0	0	0	0	
11 AMERICAN GRWF DF OF AME	399874B25	-	7/17/2008	12/6/2017	-	10,000	-	-	-	-	0	0	0	0	0	
12 AMERICAN GRWF DF OF AME	399874B25	-	7/17/2008	12/9/2018	-	30,000	-	-	-	-	0	0	0	0	0	
13 ISHARES SELECT DIVIDEND	464287168	-	10/4/2004	4/26/2017	-	1,389	-	-	-	-	511	0	0	0	511	
14 ISHARES SELECT DIVIDEND	464287168	-	6/9/2004	4/26/2017	-	23,605	-	-	-	-	9,547	0	0	0	9,547	
15 ISHARES SELECT DIVIDEND	464287168	-	6/9/2004	8/8/2017	-	22,772	-	-	-	-	9,285	0	0	0	9,285	
16 ISHARES SELECT DIVIDEND	464287168	-	12/22/2008	8/8/2017	-	7,250	-	-	-	-	4,064	0	0	0	4,064	
17 ISHARES SELECT DIVIDEND	464287168	-	12/22/2008	12/9/2018	-	6,824	-	-	-	-	2,737	0	0	0	4,087	
18 ISHARES SELECT DIVIDEND	464287168	-	1/15/2009	12/9/2018	-	3,157	-	-	-	-	1,185	0	0	0	2,022	
19 ISHARES RUSSELL 1000 VALL	464287598	-	1/15/2009	4/26/2017	-	10,420	-	-	-	-	3,982	0	0	0	6,428	
20 ISHARES RUSSELL 1000 VALL	464287598	-	4/16/2009	4/26/2017	-	25,124	-	-	-	-	9,634	0	0	0	15,490	
21 ISHARES RUSSELL 2000 ETF	464287655	-	10/8/2014	4/26/2017	-	7,056	-	-	-	-	5,427	0	0	0	1,629	
22 ISHARES RUSSELL 2000 ETF	464287655	-	12/22/2014	4/26/2017	-	5,845	-	-	-	-	1,629	0	0	0	1,629	
23 ISHARES CORE S&P SMALL	464297804	-	4/26/2017	12/6/2017	-	0	-	-	-	-	872	0	0	0	872	
24 LAUDUS MONDRIAN INT GVA	518855Q65	-	6/17/2016	4/26/2017	-	6,812	-	-	-	-	106	0	0	0	106	
25 ASG GLOBAL ALTERNATIVES	638772T85	-	8/23/2013	4/26/2017	-	2,000	-	-	-	-	-518	0	0	0	-518	
26 PRINCIPAL MIDCAP FD-IN #4	74253Q747	-	7/20/2015	4/26/2017	-	18,348	-	-	-	-	182	0	0	0	-182	
27 RIDGEWORTH SEIX HY BD-1	76628T645	-	4/29/2010	4/26/2017	-	13,166	-	-	-	-	991	0	0	0	991	
28 RIDGEWORTH SEIX HY BD-1	76628T645	-	2/11/2011	4/26/2017	-	24,98	-	-	-	-	-1834	0	0	0	-1834	
29 ROYCE PENNSYLVANIA MUL	780965840	-	7/17/2008	4/26/2017	-	0	-	-	-	-	-165	0	0	0	-165	
30 TEMPLETON GLOBAL BOND	880208400	-	4/16/2009	4/26/2017	-	8,000	-	-	-	-	3,357	0	0	0	3,357	
						8,536	-	-	-	-	464	0	0	0	464	

**Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers**

**Part VI, Line 6a (990-PF) - Estimated Tax Payments**

- 1 Credit from prior year return
- 2 First quarter estimated tax payment
- 3 Second quarter estimated tax payment
- 4 Third quarter estimated tax payment
- 5 Fourth quarter estimated tax payment
- 6 Other payments
- 7 Total

	Date	Amount
1		0
2		
3		
4		
5	3/26/2018	362
6		0
7		362

**Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income**

1	Distributable amounts for 2016 that remained undistributed at the beginning of the 2017 tax year	1	25,369
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
<b>10</b>	<b>Total</b>	<b>10</b>	<b>25,369</b>