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Form **990-PF**

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2017

Department of the Treasury
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year 2017 or tax year beginning **04/01/17**, and ending **03/31/18**

Name of foundation THE MITSUI USA FOUNDATION		A Employer identification number 13-3415220	
Number and street (or P.O. box number if mail is not delivered to street address) 200 PARK AVENUE		Room/suite 	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK NY 10166		B Telephone number (see instructions) 212-878-0901	
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		C If exemption application is pending, check here ☐ 6 D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 15,277,127 (Part I, column (d) must be on cash basis)	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) 04			

Part I Analysis of Revenue and Expenses		(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))			
		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	22,500			
	2 Check ☐ if the foundation is not required to attach Schedule D				
	3 Interest on savings and temporary cash investments	175,600	175,600		
	4 Dividends and interest from securities	200,762	200,762		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	781,005			
	b Gross sales price for all assets on line 6a	10,715,611			
	7 Capital gain net income (from Part IV, line 2)		781,005		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) Stmt 1	81	81		
	12 Total. Add lines 1 through 11	1,179,948	1,157,448	0	
	13 Compensation of officers, directors, trustees, etc	1,000			1,000
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) Stmt 2	17,300	8,650		8,650
	c Other professional fees (attach schedule) Stmt 3	86,257	79,447		6,810
	17 Interest				
	18 Taxes (attach schedule) (see instructions) Stmt 4	19,176	6,426		750
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (att sch) Stmt 5	8,791			8,791
	24 Total operating and administrative expenses. Add lines 13 through 23	132,524	94,523	0	26,001
25 Contributions, gifts, grants paid	682,095			682,095	
26 Total expenses and disbursements. Add lines 24 and 25	814,619	94,523	0	708,096	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	365,329				
b Net investment income (if negative, enter -0-)		1,062,925			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2017)

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Form 990-PF (2017) **THE MITSUI USA FOUNDATION**

13-3415220

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash – non-interest-bearing			14,311	30,549	30,549
	2	Savings and temporary cash investments					
	3	Accounts receivable ▶					
		Less allowance for doubtful accounts ▶					
	4	Pledges receivable ▶					
		Less allowance for doubtful accounts ▶					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶					
		Less allowance for doubtful accounts ▶	0				
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments – U S and state government obligations (attach schedule) Stmt 6	4,267,559	4,864,730	4,209,176		
	b	Investments – corporate stock (attach schedule) See Stmt 7	7,055,891	7,452,417	7,437,522		
	c	Investments – corporate bonds (attach schedule) See Stmt 8	4,027,965	3,494,971	3,015,600		
	11	Investments – land, buildings, and equipment basis ▶					
	Less accumulated depreciation (attach sch) ▶						
12	Investments – mortgage loans						
13	Investments – other (attach schedule) See Statement 9	644,948	533,336	584,280			
14	Land buildings, and equipment basis ▶						
	Less accumulated depreciation (attach sch) ▶						
15	Other assets (describe ▶)						
16	Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)	16,010,674	16,376,003	15,277,127			
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶)					
	23	Total liabilities (add lines 17 through 22)	0	0			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒						
	27	Capital stock, trust principal, or current funds					
	28	Paid-in or capital surplus, or land, bldg, and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds	16,010,674	16,376,003			
	30	Total net assets or fund balances (see instructions)	16,010,674	16,376,003			
	31	Total liabilities and net assets/fund balances (see instructions)	16,010,674	16,376,003			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	16,010,674
2	Enter amount from Part I, line 27a	2	365,329
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	16,376,003
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	16,376,003

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES		P		
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a 10,715,611		9,934,606	781,005	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a			781,005	
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7			2	781,005
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 If (loss), enter -0- in Part I, line 8			3	781,005

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☒ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016			
2015			
2014			
2013			
2012			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	

Form 990-PF (2017) **THE MITSUI USA FOUNDATION****13-3415220**Page **4****Part VI Excise Tax Based on Investment Income** (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	21,259
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0
3	Add lines 1 and 2	3	21,259
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	21,259
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	14,561
b	Exempt foreign organizations – tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	14,561
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	6,698
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2018 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the Instructions for the definition. If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017? See instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

Stmt 10

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Part VII-A Statements Regarding Activities (continued)

- 11** At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions
- 12** Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions
- 13** Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► **N/A**

	Yes	No
11		X
12		X
13	X	

- 14** The books are in care of ► **TADASHI SANO**
200 PARK AVENUE

Telephone no ► **212-878-0901**

Located at ► **NEW YORK**

NY

ZIP+4 ► **10166**

- 15** Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – check here and enter the amount of tax-exempt interest received or accrued during the year

► **15**

- 16** At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?
See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ►

	Yes	No
16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

- 1a** During the year, did the foundation (either directly or indirectly)
- (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No
- (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No
- (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No
- (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No
- (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No
- (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No

- b** If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here

N/A

1b

- c** Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?

N/A

1c

- 2** Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

- a** At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?

☐ Yes ☒ No

If "Yes," list the years ► 20 , 20 , 20 , 20

- b** Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions)

N/A

2b

- c** If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
► 20 , 20 , 20 , 20

- 3a** Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?

☐ Yes ☒ No

- b** If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)

N/A

3b

- 4a** Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

4a

- b** Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?

4b

X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here		N/A	5b	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)		N/A ☐ Yes ☐ No		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870			6b	X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		N/A	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 11				

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 PER SCHEDULE OF CONTRIBUTIONS ATTACHED (STATEMENT 12)	
	682,095
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	15,238,847
b	Average of monthly cash balances	1b	70,831
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	15,309,678
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	15,309,678
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	229,645
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	15,080,033
6	Minimum investment return. Enter 5% of line 5	6	754,002

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	754,002
2a	Tax on investment income for 2017 from Part VI, line 5	2a	21,259
b	Income tax for 2017 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	21,259
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	732,743
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	732,743
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	732,743

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	708,096
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	708,096
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	708,096

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				732,743
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2017				
a From 2012				17,254
b From 2013				17,144
c From 2014				
d From 2015				
e From 2016				8,196
f Total of lines 3a through e	42,594			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 708,096				
a Applied to 2016, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2017 distributable amount				708,096
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	24,647			24,647
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	17,947			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	17,947			
10 Analysis of line 9				
a Excess from 2013				9,751
b Excess from 2014				
c Excess from 2015				
d Excess from 2016				8,196
e Excess from 2017				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2017	(b) 2016	(c) 2015	(d) 2014	
85% of line 2a				
Qualifying distributions from Part XII, line 4 for each year listed				
Amounts included in line 2c not used directly for active conduct of exempt activities				
Qualifying distributions made directly for active conduct of exempt activities				
Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test – enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test – enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed
N/A

b The form in which applications should be submitted and information and materials they should include
N/A

c Any submission deadlines
N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
N/A

Form 990-PF (2017) **THE MITSUI USA FOUNDATION**

13-3415220

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Part XV **Supplementary Information** *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE ATTACHED SCHEDULE (STATEMENT 12				682,095
Total			► 3a	682,095
b <i>Approved for future payment</i> N/A				
Total			► 3b	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|---|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below?
See instructions ☒ Yes

X Ant G. Gaby
Signature of officer or trustee

~~X~~ 7/23/2018
Date

SECRETARY/EXEC.DIR

Title

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if self-employed

JUSTIN SONG

Firm's name ▶	JUSTIN SONG CPA PLLC
Firm's address ▶	555 8th Ave Ste 1710 New York, NY 10018-4317

PTIN P00369090

Firm's EIN ▶ 20-1849027

Phone no **212-564-9190**

Form **990-PF** (2017)

Federal Statements

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Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
OTHER INVESTMENT INCOME	\$ 81	\$ 81	\$
Total	\$ 81	\$ 81	\$ 0

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INDIRECT ACCOUNTING FEE	\$ 17,300	\$ 8,650	\$	\$ 8,650
Total	\$ 17,300	\$ 8,650	\$ 0	\$ 8,650

Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FUND MANAGEMENT FEE	\$ 79,447	\$ 79,447	\$	\$ 6,810
MANAGEMENT FEE (SCHOLASHIP AMERI	6,810			
Total	\$ 86,257	\$ 79,447	\$ 0	\$ 6,810

Statement 4 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FEDERAL EXCISE TAX	\$ 12,000	\$	\$	\$ 750
NYS FILING FEE	750			
FOREIGN TAX	6,426	6,426		
Total	\$ 19,176	\$ 6,426	\$ 0	\$ 750

Federal Statements

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Statement 5 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses				
ASSOCIATION FEE	4,600			4,600
BANK SERVICE FEE	704			704
PROGRAM MATERIAL	3,487			3,487
Total	8,791	0	0	8,791

Statement 6 - Form 990-PF, Part II, Line 10a - US and State Government Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
US GOV'T SECURITIES	3,195,162	2,770,686		2,417,220
MORTGAGE BACKED SECURITIES	1,072,397	2,094,044		1,791,956
Total	4,267,559	4,864,730		4,209,176

Statement 7 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
CORPORATE STOCK	7,055,891	7,452,417		7,437,522
Total	7,055,891	7,452,417		7,437,522

Federal Statements

Statement 8 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
CORPORATE BONDS	\$ 4,027,965	\$ 3,494,971		\$ 3,015,600
Total	\$ 4,027,965	\$ 3,494,971		\$ 3,015,600

Statement 9 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
MUTUAL FUNDS	\$ 644,948	\$ 533,336		\$ 584,280
Total	\$ 644,948	\$ 533,336		\$ 584,280

Statement 10 - Form 990-PF, Part VII-A, Line 10 - Substantial Contributors

Name	Address	City, State, Zip
INTERCONTINENTAL TERMINALS CO. LLC	1943 INDEPENDENCE PARKWAY S	LA PORTE TX 77571
MITSUI PLASTICS, INC	10 BANK STREET, SUITE 1010	WHITE PLAINS NY 10606
UNITED GRAIN CORP	900 WASHINGTON STREET, SUITE 700	VANCOUVER WA 98660

THE MITSUI USA FOUNDATION
List of Officers, Directors, Trustees
For fiscal year ended March 31, 2018

Name	Address	Title	Average Hour	Compensation
Waichi Ho	200 Park Avenue, New York NY 10166	Director	1.00	\$ 1,000.00
William J. Milani	200 Park Avenue, New York NY 10166	Director	1.00	\$ -
Anthony Pensabene	200 Park Avenue, New York NY 10166	Treasurer, Director	1.00	\$ -
Tadashi Sano	200 Park Avenue, New York NY 10166	Director, Officer Delegate for the President	1.00	\$ -
Makoto Takasugi	200 Park Avenue, New York NY 10166	Director	1.00	\$ -
Eric B. Campbell	200 Park Avenue, New York NY 10166	Vice President	1.00	\$ -
Janet E. Garland	200 Park Avenue, New York NY 10166	Executive Director, Secretary	1.00	\$ -
Glenn Clarke	200 Park Avenue, New York NY 10166	Director Emeritus	1.00	\$ -

THE MITSUI USA FOUNDATION
 EIN 13-3415220
 FORM 990-PF

Name	Address	Status	Purpose of Contribution	Amount (\$)
Akron-Canton Regional Foodbank, OH	350 Opportunity Pkwy, Akron, OH 44307	PC	Direct Distribution Program (Northern OH)	\$ 5,000.00
Alzheimer's Disease and Related Disorders Association	225 North Michigan Avenue, Ste 1700, Chicago, IL 60601	PC	Matching Gifts, Albert Keneitsky Memorial Fund	\$ 75.00
American Cancer Society	2000 Charlotte Avenue, Nashville, TN 37203	PC	Matching Volunteers Making Strides for Breast Cancer	\$ 700.00
American Diabetes Association	333 7th Ave, 10 Fl, New York, NY 10001	PC	Matching Gift, Memory of Hector Mustafich	\$ 50.00
American Diabetes Association	333 7th Ave, 10 Fl, New York, NY 10001	PC	Matching Gift	\$ 125.00
American Forests	1220 L St NW #750, Washington, DC 20005	PC	Matching Gifts, Memory & Living Legacy of Hector Mustafich	\$ 75.00
American Heart Association	122 E 42nd St, #18, New York, NY 10168	PC	Matching Gift, Jump Rope/Hoops Wash Elementary (Whisler xxx)	\$ 50.00
American Heart Association	122 E 42nd St, #18, New York, NY 10168	PC	Matching Gift	\$ 40.00
American Red Cross	520 W 49th St, New York, NY 10019	PC	Matching Gifts, Houston Flood Relief (Hurricane Harvey)	\$ 1,425.00
Assistance League of Los Angeles	826 Cole Ave, Los Angeles, CA 90038	PC	Matching Gift, Operation School Bell	\$ 100.00
Baruch College Fund for Weissman Center for International Business	One Bernard Branch Way, New York, NY 10010	PC	PIA (Practitioners-in-Academia) Fellows	\$ 5,000.00
Baruch College Fund for Weissman Center for International Business	One Bernard Branch Way, New York, NY 10010	PC	Lunchtime Forums	\$ 12,000.00
Baruch College Fund for Weissman Center for International Business	One Bernard Branch Way, New York, NY 10010	PC	MBA Scholarship (New, Co-shared)	\$ 2,500.00
Baruch College Fund for Weissman Center for International Business	One Bernard Branch Way, New York, NY 10010	PC	MBA Scholarship (Renew, Co-shared)	\$ 2,500.00
Baruch College Fund for Weissman Center for International Business	One Bernard Branch Way, New York, NY 10010	PC	MS MRKT/IB Scholarships	\$ 25,000.00
Baruch College Fund for Weissman Center for International Business	One Bernard Branch Way, New York, NY 10010	PC	MS MRKT/IB Scholarships	\$ 25,000.00
Battered Women's Shelter of Summit and Medina Counties, OH	974 East Market Street, Akron, OH 44305	PC	Matching Volunteers	\$ 1,000.00
Berliece Educational Institute International Association	25735 148th Dr, Rosedale, NY 11422-3018	PC	Matching Volunteers	\$ 190.00
Berliece Educational Institute International Association	25735 148th Dr, Rosedale, NY 11422-3018	PC	Matching Gift	\$ 250.00
Berliece Educational Institute International Association	25735 148th Dr, Rosedale, NY 11422-3018	PC	Matching Volunteers	\$ 210.00
Boston College	140 Commonwealth Ave, Chestnut Hill, MA 02467	PC	Matching Gift	\$ 250.00
Boston College	140 Commonwealth Ave, Chestnut Hill, MA 02467	PC	Matching Gift	\$ 250.00
Boston Higashi School, MA	800 N Main St, Randolph, MA 02368	PC	Continuing Adult Education Program (CAEP) (for Autistic individuals)	\$ 4,500.00
Boston Higashi School, MA	800 N Main St, Randolph, MA 02368	PC	CAEP Scholarships (for Autistic individuals)	\$ 10,800.00
Bowery Mission, The	227 Bowery, New York, NY 10002	PC	Matching Volunteers	\$ 500.00
Boys & Girls Clubs of Middle Tennessee	1704 Club Pkwy, Nashville, TN 37221	PC	College Tour Program	\$ 8,000.00
Broward Education Foundation (re: Parkland, FL)	600 SE 3rd Ave Fort Lauderdale, FL 33301	PC	Matching Gifts, Marjorie Stoneman Douglas Victims' Fund	\$ 283.00
Broward Education Foundation (re: Parkland, FL)	600 SE 3rd Ave Fort Lauderdale, FL 33301	PC	Marjorie Stoneman Douglas Victims' Fund, One Mitsui	\$ 6,670.00
Bullis-Punnsima Elementary School Foundation	102 West Portola Ave, Los Altos, CA 94022	PC	Matching Gift	\$ 1,000.00
CaringKind	360 Lexington Ave, New York, NY 10017	PC	Matching Gift	\$ 100.00
Catholic Charities of Archdiocese NY	2155 Blackrock Ave, Bronx, NY 10472	PC	Matching Volunteers	\$ 500.00
Center for Food Action in New Jersey	239 Anderson Ave, Fairview, NJ 07022	PC	Matching Volunteers, One Mitsui	\$ 625.00
Central Park Conservancy	14 East 60th St, New York, NY 10022	PC	Matching Volunteers	\$ 700.00
Chantry Navigator	139 Harristown Rd #101, Glen Rock, NJ 07452	PC	Matching Gift	\$ 25.00
City Harvest	6 E 32nd St, New York, NY 10016	PC	Matching Volunteers	\$ 500.00
Civilians-on-Wheels, NYC	355 Lexington Ave, 3 Fl, New York, NY 10017	PC	Buy-A-Block seniors' meal deliveries, 182 St, Washington Heights	\$ 15,000.00
Civic Nauton	727 15th St NW, Washington, DC 20005	PC	Matching Gift	\$ 25.00
Columbia University, CIEB (Center on Japanese Economy & Business)	3022 Broadway, Uns Hall, Rm 2M9, New York, NY 10027	PC	Japan Research Program	\$ 10,000.00
Columbia University, CIEB (Center on Japanese Economy & Business)	3022 Broadway, Uns Hall, Rm 2M9, New York, NY 10027	PC	Symposium	\$ 10,000.00
Columbia University	116th St & Broadway, New York, NY 10027	PC	Matching Gift	\$ 150.00
Cystic Fibrosis Foundation Greater Illinois Chapter	6931 Ardington Rd, 2 Fl, Bethesda, MD 20814	PC	Research & Patient Services	\$ 10,000.00
Dartmouth College, Tuck School of Business NH	100 Tuck Mall, Hanover, NH 03755	PC	Onsite Global Consulting, 4+2 Research Scholars (to Japan) Co-shared	\$ 49,500.00
Delaware University	189 West Main St, Newark, DE 19716	PC	Matching Gift	\$ 200.00
DonorsChoose.org	134 West 37th Street, 11 Fl, New York, NY 10018	PC	Matching Gift	\$ 50.00
Earthjustice	50 California Street, Suite 500, San Francisco, CA 94111	PC	Matching Gift	\$ 50.00
Encanto Elementary E-Team	10613 NE 38th Pl, Kirkland, WA 98033	PC	Matching Gift	\$ 800.00
Encanto Elementary E-Team	16951 Addison St, Encino, CA 91316	PC	Matching Gift	\$ 900.00
Fredericks in General, Nashville General Hospital	1818 Albro Street, Nashville, TN 37208	PC	Matching Volunteers	\$ 500.00
Galveston Bay Foundation, TX	1100 Hercules Ave, Ste 200, Houston, TX 77058	PC	Galveston Bay Salt-marsh Estuary Preservation	\$ 10,000.00
Good Shepherd Shelter of Los Angeles	2561 West Venice Blvd, Los Angeles, CA 90019	PC	Transform program/domestic abuse response	\$ 3,000.00
Good Shepherd Shelter of Los Angeles	2561 West Venice Blvd, Los Angeles, CA 90019	PC	Trauma-informed Early Childhood School	\$ 4,500.00
Good Shepherd Shelter of Los Angeles	2561 West Venice Blvd, Los Angeles, CA 90019	PC	Matching Volunteers	\$ 725.00
Greater Chicago Food Depository	4100 W Ann Lane Pl, Chicago, IL 60632	PC	Matching Volunteers	\$ 500.00
Greater Houston Community Foundation	5120 Woodway, Dr # 6000, Houston, TX 77056	PC	Matching Gifts, Houston Flood Relief (Hurricane Harvey)	\$ 1,265.00

Name	Address	Status	Purpose of Contribution	Amount (\$)
Habitat for Humanity of Houston	3750 N McCarty, Houston, TX 77029	PC	Matching Gifts, Houston Flood Relief (Hurricane Harvey)	\$ 150 00
Habitat for Humanity of Summit County, OH	2101 Roming Rd, Akron, OH 44320	PC	Neighborhood Building, Adopt-A-House	\$ 5,000 00
Hamilton-Madison House, NY	253 South Street, 2 Fl, New York, NY 10002	PC	Japanese Mental Health Clinic	\$ 10,000 00
Hamilton-Madison House, NY	253 South Street, 2 Fl, New York, NY 10002	PC	Seniors' Hot Lunch Program (Lower East side Manhattan)	\$ 10,000 00
Hermosa Valley View PTO	1645 Valley Drive, Hermosa Beach, CA 90254	PC	Matching Gift	\$ 30 00
Houston Food Bank	535 Portwall Street, Houston, TX 77029	PC	Kids' Backpack Buddy, Club	\$ 7,500 00
Houston Food Bank	535 Portwall Street, Houston, TX 77029	PC	Matching Volunteers, Houston Flood Relief (Hurricane Harvey)	\$ 5,750 00
Houston Food Bank	535 Portwall Street, Houston, TX 77029	PC	Matching Gifts, Houston Flood Relief (Hurricane Harvey)	\$ 1,285 00
Humane Rescue Alliance, DC	1201 New York Ave NE, Washington, DC 20002	PC	Matching Volunteers	\$ 500 00
Humane Society of Houston	900 Portway Drive, Houston, TX 77024	PC	Matching Gifts, Houston Flood Relief (Hurricane Harvey)	\$ 700 00
International Community School PTSA 2889	11133 NE 65 St, Kirkland, WA 98033	PC	Matching Gift	\$ 200 00
International House, NY	500 Riverside Dr, New York, NY 10027	PC	Graduate Scholars, residential support	\$ 25,000 00
International Rescue Committee	265 West 38 St, New York, NY 10018	PC	Matching Gift	\$ 35 00
International Student Conference/Japan America Student Conference, DC	1211 Connecticut Avenue NW, Ste 420, Washington, D C 20036	PC	Orientation/panel discussion activity	\$ 5,000 00
Isabella Foundation	515 Audubon Avenue, New York, NY 10040	PC	Matching Volunteers, Dementia Care Program	\$ 500 00
Japan America Society of Tennessee (JAST)	PO Box 330003, Nashville, TN 37203	PC	SU TN Scholars	\$ 20,000 00
Japan America Society of Tennessee (JAST)	PO Box 330003, Nashville, TN 37203	PC	Regional Outreach including Japanese Speech Contest	\$ 10,000 00
Japan Day, Inc	1650 Broadway, Ste 1210, New York, NY 10019	PC	Matching Volunteers	\$ 500 00
Japan-America Society of Houston	4543 Post Oak Pl #220, Houston, TX 77027	PC	Matching Volunteers	\$ 1,000 00
Japanese American Association of New York	49 West 45 St, 11 Fl, New York, NY 10036	PC	Matching Volunteer	\$ 3,375 00
Japanese American Association of New York	49 West 45 St, 11 Fl, New York, NY 10036	PC	Seniors CW Fellow/Com on Aging, Com St Luncheons/Apple Kids	\$ 28,000 00
Japanese American Social Services Inc (JASSI)	100 Gold Street, #A New York, NY 10038	PC	Outreach & Emergency Assistance	\$ 15,000 00
Japanese Medical Society of America, NY (JMSA)	100 Park Avenue, Ste 1600, New York, NY 10017	PC	Medical Scholars Community Projects	\$ 15,000 00
JCAW Foundation, Inc	1819 L Street NW, B2, Washington DC, 20036	PC	Matching Volunteers	\$ 500 00
JDRF (Juvenile Diabetes Research Foundation)	26 Broadway, 14th Floor, New York, NY 10004	PC	Matching Gift	\$ 150 00
Jewish Child Care Association of NY	858 E 29th Street, Brooklyn NY 11210	PC	Matching Volunteers	\$ 500 00
John Carroll University, East Asian Studies Program, OH	1 John Carroll Blvd, University Heights, OH 44118	PC	Distinguished Lecture, Co-shared	\$ 4,000 00
Khan Academy	1937 Landings Drive, Mountain View, CA 94043	PC	Matching Gift	\$ 35 00
Kimochi Inc., San Mateo, CA	1715 Buchanan Street, San Francisco, CA 94115	PC	Cultural Recreational Activity Program, Residential Senior Services	\$ 7,500 00
Learning Park	2020 124th Ave NE, C201/202 Bellevue, WA 98005	PC	Matching Volunteers	\$ 40 00
Los Angeles Conservancy Corps	605 W Olympic Blvd., Suite 450, Los Angeles, CA 90015	PC	Matching Volunteers The SEA Lab	\$ 750 00
Los Angeles Regional Food Bank	1734 East 41 St, Los Angeles, CA 90058	PC	Matching Gift	\$ 100 00
Los Angeles Regional Food Bank	1734 East 41 St, Los Angeles, CA 90058	PC	Matching Volunteers	\$ 725 00
March of Dimes TX Chapter Dallas	12660 Cort Road, Suite 200, Dallas, TX 75251	PC	Matching Volunteers, March for Babies	\$ 775 00
March of Dimes TX Chapter Dallas	12660 Cort Road, Suite 200, Dallas, TX 75251	PC	Matching Volunteers, March for Babies	\$ 175 00
March of Dimes TX Chapter Houston Div	3000 Wesleyan St, #100, Houston, TX 77027	PC	Matching Volunteers, March for Babies	\$ 1,675 00
March of Dimes Music City Chapter	1101 Kermit Dr Suite 201, Nashville, TN 37214	PC	Matching Volunteers, March for Babies	\$ 500 00
March of Dimes Music City Chapter	1101 Kermit Dr Suite 201, Nashville, TN 37214	PC	Matching Volunteers, March for Babies	\$ 500 00
March of Dimes New York	515 Madison Ave, 20 Fl, New York, NY 10022	PC	Matching Gift, March for Babies	\$ 525 00
March of Dimes Northeast Ohio Div	3131 South Dixie Dr, Moraine, OH 45439	PC	Matching Volunteers, March for Babies	\$ 350 00
March of Dimes Seattle WA	1904 3rd Ave, #230 Seattle, WA 98101	PC	Matching Volunteers March for Babies	\$ 200 00
March of Dimes Seattle WA	1904 3rd Ave, #230 Seattle, WA 98101	PC	Matching Volunteers, March for Babies	\$ 25 00
March of Dimes TX Chpt HOU Div	3000 Wesleyan St, #100, Houston TX 77027	PC	Matching Volunteers, March for Babies	\$ 500 00
March of Dimes TX Chpt HOU Div	3000 Wesleyan St, #100, Houston TX 77027	PC	Matching Volunteers, March for Babies	\$ 500 00
Medals for Mettle	1400 N Ritter Avenue, Suite 221, Indianapolis, IN 46219	PC	Matching Volunteers	\$ 1,525 00
Medals for Mettle	1400 N Ritter Avenue, Suite 221, Indianapolis, IN 46219	PC	Matching Volunteers	\$ 500 00
Memorial Sloan Kettering Cancer Center	16 E 60th St, New York, NY 10022	PC	Matching Volunteers	\$ 500 00
Midon & Friends Foundation	352 Seventh Ave, Suite #301, New York, NY 10001	PC	Matching Gift, MSKCC-Cycle for Survival	\$ 50 00
Midon & Friends Foundation	352 Seventh Ave, Suite #301, New York, NY 10001	PC	School Making Music, PS 72 East Harlem	\$ 10,000 00
Montrose Grace Place	2515 Waugh Dr, Houston, TX 77006	PC	Community Making Music, YM/YWHA, Washington Heights	\$ 5,000 00
Minor City Blight Busters MI	18220 Lahser Rd, Detroit, MI 48219	PC	Matching Volunteers	\$ 60 00
New York Cares	65 Broadway, New York, NY 10006	PC	Farm City Detroit	\$ 6,250 00
NY U Polytechnic School of Engineering	6 MetroTech Center, Brooklyn, NY 11201	PC	Matching Volunteers	\$ 500 00
Our Kids Nashville	1804 Hayes St, Nashville, TN 37203	PC	STEM Learning Fellows / Scholars, MS 88 Brooklyn	\$ 26,500 00
Our Kids Nashville	1804 Hayes St, Nashville TN 37203	PC	Matching Volunteers	\$ 500 00
Pachamama Alliance	Presidio Bldg #1009 PO Box 29191, San Francisco, CA 94129	PC	Soup Sunday fundraiser, kids sexual abuse response	\$ 5,000 00
		PC	Matching Gift	\$ 100 00

Name	Address	Status	Purpose of Contribution	Amount (\$)
Palos Verdes Peninsula Foundation	300 Paseo Del Mar, Palos Verdes Estates, CA 90274	PC	Matching Gift	\$ 500.00
Pike Market Senior Center & Downtown Food Bank, WA	85 Pike St. #200, Seattle, WA 98101	PC	Healthy Protein/Nutritional Security Program	\$ 7,500.00
Research Foundation of CUNY (The City University of New York)	230 West 41 St. #7, New York, NY 10016	PC	CUNY EDGE (former COPE) Scholarships	\$ 28,310.00
Richfield Parks & Recreation, OH	3333 Brecksville Road, Richfield, OH 44286	PC	Matching Volunteers	\$ 500.00
Ronald McDonald House NYC	405 East 73rd Street, New York, NY 10021	PC	Matching Volunteers	\$ 500.00
Ronald McDonald House NYC	405 East 73rd Street, New York, NY 10021	PC	Matching Volunteers, Co-shared	\$ 250.00
Ronald McDonald House NYC	405 East 73rd Street, New York, NY 10021	PC	Matching Volunteers	\$ 500.00
Room in the Inn	705 Drexel St. Nashville, TN 37203	PC	Matching Gift	\$ 100.00
Scholarship America, MN	c/o 1st National Bank MN, POB 240, St Peter, MN 56082	PC	Sons' & Daughters' Scholarships	\$ 52,500.00
Scholarship America, MN	c/o 1st National Bank MN, POB 240, St Peter, MN 56082	PC	Sons' & Daughters' Scholarships, Co-shared	\$ 22,500.00
Second Harvest Food Bank of Middle Tennessee	331 Great Circle Rd. Nashville, TN 37228	PC	Kids' Backpacks Program	\$ 5,000.00
Sharefest Community Development	3480 Torrance Blvd. #110, Torrance, CA 90503	PC	Matching Volunteers, Workday	\$ 500.00
Sharefest Community Development	3480 Torrance Blvd. #110, Torrance, CA 90503	PC	Youth Development Academy	\$ 12,500.00
Sharefest Community Development	3480 Torrance Blvd. #110, Torrance, CA 90503	PC	Matching Gift	\$ 100.00
Shri Devi Mandir Global Humanitarian Foundation	9230 173rd St. Jamaica, NY 11433	PC	Matching Gift	\$ 30.00
South Suburban Humane Society	1103 West End Ave, Chicago Heights, IL 60411	PC	Matching Volunteers	\$ 420.00
Special Olympics Illinois	605 E. Willow St. Normal, IL 61761	PC	Matching Volunteers	\$ 500.00
Special Olympics New York	211 E 43 St, Ste 802, New York, NY 10017	PC	Healthy Athletes/Communities	\$ 20,000.00
Special Olympics New York	211 E 43 St, Ste 802, New York, NY 10017	PC	Sponsor an Athlete	\$ 4,000.00
Special Olympics New York	211 E 43 St, Ste 802, New York, NY 10017	PC	Fundraiser Sponsor Charity Ride	\$ 3,500.00
Special Olympics New York	211 E 43 St, Ste 802, New York, NY 10017	PC	Matching Gifts	\$ 300.00
St Jude's Research Hospital for Children	14 Pennsylvania Plaza, #1615, New York, NY 10122	PC	Recognition of outgoing President Neil Johnson	\$ 1,000.00
St Jude's Research Hospital for Children	14 Pennsylvania Plaza, #1615, New York, NY 10122	PC	Matching Volunteers, One Mitsui	\$ 500.00
St Jude's Research Hospital for Children	14 Pennsylvania Plaza, #1615, New York, NY 10122	PC	Matching Volunteer, One Mitsui	\$ 375.00
Susan G Komen Orange County	2817 McGaw Ave. Irvine, CA 92614	PC	Matching Gift	\$ 25.00
Trustees of Princeton University	One Nassau Hall, Princeton, NJ 08544	PC	Matching Volunteers	\$ 1,000.00
University of Delaware	185 West Main St. Newark, DE 19716	PC	Matching Gift	\$ 250.00
University of TN Knoxville	Knoxville, TN, 37996	PC	SU TN Scholar via JAST	\$ 200.00
US Fund for UNICEF (United Nations International Children's Emergency Fund)	125 Maiden Ln, New York, NY 10038	PC	Matching Gift	\$ 2,500.00
US Fund for UNICEF (United Nations International Children's Emergency Fund)	125 Maiden Ln, New York, NY 10038	PC	Matching Gift	\$ 150.00
Walsh University, OH	2020 East Maple Street, North Canton, OH 44720	PC	Summer High School Academy courses	\$ 452.00
Washington Center, The	2020 East Maple Street, North Canton, OH 44720	PC	Global Learning Center initiative	\$ 4,000.00
Western Kentucky University Foundation	1333 16 St, NW Washington, DC 20036	PC	Scholars International Affairs Interns	\$ 4,000.00
WestSide Baby	292 Alumni Ave. Suite 305, Bowling Green, KY 42101	PC	Matching Gift	\$ 7,500.00
WIN (Women in Need) Shelters	10002 14 Ave Southwest, Seattle, WA 98146	PC	Draper Bank Program	\$ 100.00
YCS (Youth Consultation Services) Foundation	115 West 31st Street, 7th Floor, New York, NY 10001	PC	Matching Volunteers	\$ 10,000.00
Yu-Ai Kan Japanese American Community Senior Service of San Jose	235 Main St. Hackensack, NJ 07601	PC	Matching Volunteers, One Mitsui	\$ 1,900.00
	588 North 4 St, San Jose, CA 95112	PC	Seniors' Services (Transportation, Nutrition, Caregivers Support)	\$ 800.00
				\$ 12,500.00
				\$ 682,095.00

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

OMB No 1545-0047

2017

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Go to www.irs.gov/Form990 for the latest information.**

Name of the organization

Employer identification number

THE MITSUI USA FOUNDATION

13-3415220

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 $\frac{1}{3}$ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2017)

Name of organization

THE MITSUI USA FOUNDATION

Employer identification number

13-3415220

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	INTERCONTINENTAL TERMINALS CO, LLC 1943 INDEPENDENCE PARKWAY S LA PORTE TX 77571	\$ 12,500	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	MITSUI PLASTICS, INC. 10 BANK STREET, SUITE 1010 WHITE PLAINS NY 10606	\$ 5,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
3	UNITED GRAIN CORP 900 WASHINGTON STREET, SUITE 700 VANCOUVER WA 98660	\$ 5,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)