

Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation**  
**or Section 4947(a)(1) Trust Treated as Private Foundation**  
 ▶ Do not enter social security numbers on this form as it may be made public.  
 ▶ Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052  
**2017**  
**Open to Public Inspection**

**For calendar year 2017, or tax year beginning 04-01-2017, and ending 03-31-2018**

Name of foundation THE HORACE SMITH FUND		<b>A Employer identification number</b> 04-2235130	
Number and street (or P O box number if mail is not delivered to street address) 1441 MAIN STREET		<b>B Telephone number (see instructions)</b> (413) 739-4222	
City or town, state or province, country, and ZIP or foreign postal code SPRINGFIELD, MA 01103		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 7,297,294		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
<b>J</b> Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)			

<b>Part I Analysis of Revenue and Expenses</b> <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)	50,050			
	<b>2</b> Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities	137,276	137,276		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	494,949			
	<b>b</b> Gross sales price for all assets on line 6a	3,152,559			
	<b>7</b> Capital gain net income (from Part IV, line 2)		494,949		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)	2,453	93	2,453		
<b>12 Total.</b> Add lines 1 through 11	684,728	632,318	2,453		
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc	80,860	4,043	4,043	72,774
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits	28,006	1,401	1,401	25,206
	<b>16a</b> Legal fees (attach schedule)				
	<b>b</b> Accounting fees (attach schedule)	12,370	6,185		6,185
	<b>c</b> Other professional fees (attach schedule)	42,604	42,604		
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see instructions)	6,808	1,308		5,500
	<b>19</b> Depreciation (attach schedule) and depletion	1,963		1,963	
	<b>20</b> Occupancy	10,427		4,171	6,256
	<b>21</b> Travel, conferences, and meetings				
	<b>22</b> Printing and publications	498			498
	<b>23</b> Other expenses (attach schedule)	15,118		5,719	9,399
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	198,654	55,541	17,297	125,818
	<b>25</b> Contributions, gifts, grants paid	298,937			298,937
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	497,591	55,541	17,297	424,755	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	187,137				
<b>b Net investment income</b> (if negative, enter -0-)		576,777			
<b>c Adjusted net income</b> (if negative, enter -0-)					

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	8,027		
	<b>2</b> Savings and temporary cash investments . . . . .	194,009	134,052	134,052
	<b>3</b> Accounts receivable ▶ <u>4,215</u>			
	Less allowance for doubtful accounts ▶ <u>200</u>	7,479	4,015	4,015
	<b>4</b> Pledges receivable ▶ <u>50,000</u>			
	Less allowance for doubtful accounts ▶ _____		50,000	50,000
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____			
	Less allowance for doubtful accounts ▶ _____			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .	3,892	3,795	3,795
	<b>10a</b> Investments—U S and state government obligations (attach schedule)	1,066,014	1,171,036	1,147,598
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	4,133,520	4,039,441	5,134,578
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	634,961	827,386	806,175
	<b>11</b> Investments—land, buildings, and equipment basis ▶ _____			
Less accumulated depreciation (attach schedule) ▶ _____				
<b>12</b> Investments—mortgage loans . . . . .				
<b>13</b> Investments—other (attach schedule) . . . . .				
<b>14</b> Land, buildings, and equipment basis ▶ <u>54,620</u>				
Less accumulated depreciation (attach schedule) ▶ <u>45,647</u>	1,535	8,973	8,972	
<b>15</b> Other assets (describe ▶ _____)	6,672	8,109	8,109	
<b>16 Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	6,056,109	6,246,807	7,297,294	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .	1,920	6,766	
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .	1,920	6,766	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	<b>24</b> Unrestricted . . . . .	6,054,189	6,240,041	
	<b>25</b> Temporarily restricted . . . . .			
	<b>26</b> Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> <input type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	<b>27</b> Capital stock, trust principal, or current funds . . . . .			
	<b>28</b> Paid-in or capital surplus, or land, bldg , and equipment fund			
<b>29</b> Retained earnings, accumulated income, endowment, or other funds				
<b>30 Total net assets or fund balances</b> (see instructions) . . . . .	6,054,189	6,240,041		
<b>31 Total liabilities and net assets/fund balances</b> (see instructions) .	6,056,109	6,246,807		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	6,054,189
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	187,137
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	6,241,326
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	1,285
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	<b>6</b>	6,240,041

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
<b>1 a</b> PUBLICALLY TRADED SECURITIES	P		
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 3,113,307		2,657,610	455,697
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b>			455,697
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	2	494,949
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	455,697

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	376,482	6,562,003	0.057373
2015	396,484	6,949,059	0.057056
2014	378,709	7,267,276	0.052112
2013	363,617	6,910,251	0.052620
2012	310,503	6,470,460	0.047988

<b>2</b> Total of line 1, column (d)	2	0.267149
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.053430
<b>4</b> Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	7,258,128
<b>5</b> Multiply line 4 by line 3	5	387,802
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	6	5,768
<b>7</b> Add lines 5 and 6	7	393,570
<b>8</b> Enter qualifying distributions from Part XII, line 4	8	424,755

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes fields for exempt foundations, domestic foundations, tax under section 511, subtitle A tax, and credits/payments. Total amount owed is 4,408.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, and state reporting. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). . . . . 11 No
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . . 12 No
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW HORACESMITHFUND ORG 13 Yes
14 The books are in care of THE HORACE SMITH FUND Telephone no (413) 739-4222

Located at 1441 MAIN STREET SPRINGFIELD MA ZIP+4 01103

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . 15

16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes," enter the name of the foreign country 16 Yes No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. 1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? 1c No
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years 20, 20, 20, 20 Yes No
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions) . . . . . 2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017). . . . . 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a No
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? 4b No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

<p><b>5a</b> During the year did the foundation pay or incur any amount to</p>			
<p><b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<p><b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . .</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<p><b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<p><b>(4)</b> Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). . . . .</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<p><b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<p><b>b</b> If any answer is "Yes" to 5a(1)-(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . .</p>		<b>5b</b>	<b>No</b>
<p>Organizations relying on a current notice regarding disaster assistance check here. . . . .</p>	<input type="checkbox"/>		
<p><b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . <i>If "Yes," attach the statement required by Regulations section 53.4945-5(d)</i></p>	<input type="checkbox"/> Yes <input type="checkbox"/> No		
<p><b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<p><b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . <i>If "Yes" to 6b, file Form 8870</i></p>		<b>6b</b>	<b>No</b>
<p><b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . . . .</p>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<p><b>b</b> If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .</p>		<b>7b</b>	

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. . . . . ▶

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
<b>1</b> SCHOLARSHIPS AWARDED TO ELIGIBLE STUDENTS FOR THE SCHOOL YEAR BEGINNING SEPTEMBER 2017, 99 SCHOLARSHIPS OF 2,500 EACH WERE AWARDED SOME RECIPIENTS ONLY ATTENDED COLLEGE FOR ONE HALF OF THE YEAR, SO RECEIVED ONLY 1,250 AND SOME SMALLER SCHOLARSHIPS WERE ALSO AWARDED SCHOLARSHIPS AWARDED - 254,320 OTHER DISBURSEMENTS FOR CHARITABLE PURPOSES - 109,944	109,944
<b>2</b> FELLOWSHIPS AWARDED TO ELIGIBLE STUDENTS FOR THE SCHOOL YEAR BEGINNING SEPTEMBER 2017, 10 FELLOWSHIPS OF 4,000 EACH WERE AWARDED SEVEN RECIPIENTS ATTENDED COLLEGE FOR THE WHOLE YEAR SOME SMALLER AMOUNTS WERE ALSO AWARDED FELLOWSHIPS AWARDED - 44,617 OTHER DISBURSEMENTS FOR CHARITABLE PURPOSES - 16,708	16,708
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments See instructions	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	7,132,050
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	209,704
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	26,904
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	7,368,658
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	7,368,658
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	110,530
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	7,258,128
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	362,906

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	362,906
<b>2a</b>	Tax on investment income for 2017 from Part VI, line 5.	<b>2a</b>	5,768
<b>b</b>	Income tax for 2017 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	5,768
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	357,138
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	
<b>5</b>	Add lines 3 and 4.	<b>5</b>	357,138
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	357,138

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	424,755
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	424,755
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	<b>5</b>	5,768
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	418,987
<b>Note:</b> The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.			

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
<b>1</b> Distributable amount for 2017 from Part XI, line 7				357,138
<b>2</b> Undistributed income, if any, as of the end of 2017				
<b>a</b> Enter amount for 2016 only. . . . .				
<b>b</b> Total for prior years 20___, 20___, 20___				
<b>3</b> Excess distributions carryover, if any, to 2017				
<b>a</b> From 2012. . . . .				
<b>b</b> From 2013. . . . .				44,133
<b>c</b> From 2014. . . . .				21,090
<b>d</b> From 2015. . . . .				53,017
<b>e</b> From 2016. . . . .				51,066
<b>f</b> Total of lines 3a through e. . . . .	169,306			
<b>4</b> Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ _____ 424,755				
<b>a</b> Applied to 2016, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2017 distributable amount. . . . .				357,138
<b>e</b> Remaining amount distributed out of corpus	67,617			
<b>5</b> Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6 Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	236,923			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions). . . . .				
<b>9 Excess distributions carryover to 2018.</b> Subtract lines 7 and 8 from line 6a . . . . .	236,923			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2013. . . . .				44,133
<b>b</b> Excess from 2014. . . . .				21,090
<b>c</b> Excess from 2015. . . . .				53,017
<b>d</b> Excess from 2016. . . . .				51,066
<b>e</b> Excess from 2017. . . . .				67,617

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling.
b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.
b 85% of line 2a
c Qualifying distributions from Part XII, line 4 for each year listed.
d Amounts included in line 2c not used directly for active conduct of exempt activities
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c
3 Complete 3a, b, or c for the alternative test relied upon
a "Assets" alternative test—enter
(1) Value of all assets
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.
c "Support" alternative test—enter
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).
(3) Largest amount of support from an exempt organization
(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) ) NONE
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
THE HORACE SMITH FUND
1441 MAIN STREET SUITE 620
SPRINGFIELD, MA 01103
(413) 739-4222
b The form in which applications should be submitted and information and materials they should include
THERE ARE THREE ASSISTANCE PROGRAMS OFFERED THROUGH THE HORACE SMITH FUND DETAILED INFORMATION IS AVAILABLE ONLINE AT WWW HORACSMITHFUND ORG STUDENTS MAY APPLY FOR THE WALTER S BARR SCHOLARSHIP AVAILABLE TO HIGH SCHOOL SENIORS WHO WILL BE ENTERING COLLEGE FOR THE FIRST TIME COLLEGE STUDENTS CONTINUING THEIR STUDIES IN COLLEGE MAY APPLY FOR THE WALTER S BARR COLLEGE SCHOLARSHIP STUDENTS CONTINUING THEIR EDUCATION IN GRADUATE SCHOOL MAY APPLY FOR THE WALTER S BARR FELLOWSHIP EACH APPLICATION MAY BE MADE ONLINE AT THE FUND'S WEBSITE OR SUBMITTED IN WRITING EACH APPLICATION MUST BE ACCOMPANIED BY THREE REFERENCES FROM UNRELATED INDIVIDUALS, A FINANCIAL STATEMENT OR A FEDERAL STUDENT AID APPLICATION OTHER REQUIREMENTS ARE SPELLED OUT ON THE WEBSITE
c Any submission deadlines
APPLICATION DEADLINES ARE DECEMBER 20TH FOR SCHOLARSHIPS AND FEBRUARY 1ST FOR THE FELLOWSHIP
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
BARR SCHOLARSHIPS ARE AVAILABLE TO STUDENTS LIVING IN HAMPDEN COUNTY, MASSACHUSETTS SEEKING A COLLEGE EDUCATION APPLICANTS MUST BE GRADUATES OF HAMPDEN COUNTY, MASSACHUSETTS SECONDARY SCHOOLS FELLOWSHIP APPLICANTS MUST BE RESIDENTS OF HAMPDEN COUNTY OR QUALIFIED FORMER RESIDENTS IT IS THE POLICY OF THE FUND TO PROVIDE SUPPLEMENTARY AID WHERE OTHER RESOURCES AVAILABLE TO THE PROSPECTIVE STUDENT ARE NOT ADEQUATE TO CARRY OUT THE PLANNED EDUCATIONAL PROGRAM

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i> SCHOLARSHIP RECIPIENTS 1441 MAIN STREET SPRINGFIELD, MA 01103	NONE	INDIVIDUAL	SCHOLARSHIPS	254,320
FELLOWSHIP RECIPIENTS 1441 MAIN STREET SPRINGFIELD, MA 01103	NONE	INDIVIDUAL	FELLOWSHIPS	44,617
<b>Total . . . . .</b> ▶ <b>3a</b>				298,937
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b> ▶ <b>3b</b>				





**Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
WAYNE L WEBSTER 1441 MAIN STREET SPRINGFIELD, MA 01103	PRESIDENT 1 00	0	0	0
JAMES W BRODERICK JR 1441 MAIN STREET SPRINGFIELD, MA 01103	TREASURER 1 00	0	0	0
PATRICIA A SANTANIELLO 1441 MAIN STREET SPRINGFIELD, MA 01103	ASST TREASU 1 00	1,200	0	0
JOSEPHINE SARNELLI 1441 MAIN STREET SPRINGFIELD, MA 01103	CLERK/ EXEC 10 00	30,121	10,797	0
TERESA REGINA 1441 MAIN STTREET SPRINGFIELD, MA 01103	TRUSTEE 1 00	0	0	0
MICHAEL E TUCKER 1441 MAIN STREET SPRINGFIELD, MA 01103	TRUSTEE 1 00	0	0	0
KATHLEEN BOURQUE 1441 MAIN STREET SPRINGFIELD, MA 01103	TRUSTEE 1 00	0	0	0
ANTHONY GIACOMONI 1441 MAIN STREET SPRINGFIELD, MA 01103	TRUSTEE 1 00	0	0	0
CHRISTINE BLACKE 1441 MAIN STREET SPRINGFIELD, MA 01103	OFFICE ADMIN 37 50	49,539	11,277	0
SYLVESTER THOMAS 1441 MAIN STREET SPRINGFIELD, MA 01103	TRUSTEE 1 00	0	0	0

**TY 2017 Accounting Fees Schedule****Name:** THE HORACE SMITH FUND**EIN:** 04-2235130**Accounting Fees Schedule**

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
PROFESSIONAL SERVICES	12,370	6,185		6,185

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## TY 2017 Depreciation Schedule

Name: THE HORACE SMITH FUND

EIN: 04-2235130

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
COMPUTER-8 PORT ETHERNET HUB	1997-12-15	150	150	S/L	5 0000				
TELEPHONE	1998-08-11	300	300	S/L	7 0000				
PLAIN PAPER FAX	1998-07-09	230	230	S/L	5 0000				
LOAN PROCESSING SOFTWARE	1999-01-31	28,026	28,026	S/L	3 0000				
FIREPROOF FILE CABINET	2005-05-04	670	670	S/L	5 0000				
FIREPROOF FILE CABINET	2005-12-30	480	480	S/L	5 0000				
XEROX PRINTER	2007-05-21	609	609	S/L	5 0000				
SERVER	2010-11-03	3,325	3,325	S/L	5 0000				
SMART UPS	2010-12-08	872	872	S/L	5 0000				
2 COMPUTERS	2011-09-26	1,499	1,499	S/L	5 0000				
LAPTOP	2011-09-26	5,037	5,037	S/L	5 0000				
COMPUTERS	2006-04-21	2,708	2,708	S/L	5 0000				
WEB SITE DESIGN	2011-10-01	4,750	4,750		3 0000				
KONICA	2014-03-13	5,117	3,582	S/L	5 0000	1,023		1,023	
SERVER	2017-05-12	5,444		S/L	5 0000	544		544	
FIREWALL HARDWARE	2017-08-02	1,499		S/L	5 0000	150		150	
2 COMPUTERS	2017-12-07	2,458		S/L	5 0000	246		246	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## TY 2017 Gain/Loss from Sale of Other Assets Schedule

**Name:** THE HORACE SMITH FUND

**EIN:** 04-2235130

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
COMPUTER-8 PORT ETHERNET HUB	1997-12	PURCHASE	2017-05			150				150
SERVER	2010-11	PURCHASE	2017-05			3,325				3,325
SMART UPS	2010-12	PURCHASE	2017-05			872				872
2 COMPUTERS	2011-09	PURCHASE	2017-05			1,499				1,499
COMPUTERS	2006-04	PURCHASE	2017-05			2,708				2,708

**TY 2017 Investments Corporate Bonds Schedule****Name:** THE HORACE SMITH FUND**EIN:** 04-2235130**Investments Corporate Bonds Schedule**

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
CISCO SYSTEMS INC, 4.95%, 02/15/19		
GE CAPITAL CORP, 5.63%, 09/15/17		
ONTARIO PROVINCE OF 1.65% 09/27/2019	54,381	54,346
US BANCORP 1.95% 11/15/18		
TDAM 5-10 YR CORP BOND PORTFOLIO	374,091	360,849
TDAM 1-5 CORP BOND PORTFOLIO	398,914	390,980

**TY 2017 Investments Corporate Stock Schedule****Name:** THE HORACE SMITH FUND**EIN:** 04-2235130

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
ABBVIE, INC.	33,967	70,041
ADVANCE AUTO PARTS INC		
ALLERGAN PLC	124,277	80,779
ALPHABET INC CL-C	106,151	144,451
AMERICAN INTERNATIONAL GROUP	50,506	55,508
AMERIPRISE FINANCIAL, INC.	36,721	50,300
ANADARKO PETROLEUM CORP	86,022	67,659
APPLE COMPUTER, INC.	94,946	211,403
APPLIED MATERIALS, INC.	40,373	129,571
BANK AMERI CORP	99,750	129,557
BANK OF THE OZARKS	61,029	61,786
BLACKROCK, INC.	36,323	65,006
BOEING COMPANY	31,688	104,922
BROADCOM LTD	64,025	63,626
CARMAX, INC.	21,092	21,060
CENTENE CORP	75,829	82,290
CHUBB LTD	34,723	39,663
CITIZENS FINANCIAL GROUP	38,723	62,130
CME GROUP, INC.		
COCA COLA CO	58,721	59,933
COMCAST CORP NEW CL A	62,855	71,415
COSTCO WHOLESALE CORP	81,570	77,256
DANAHER CORP SHS BEN INT	35,310	85,182
DENTSPLY SIRONA, INC.		
DISCOVER FINANCIAL SERVICES INC	60,122	64,018
DOLLAR GENERAL CORP	60,062	77,647
DOWDUPONT, INC.	58,493	77,790
E.I. DUPONT DE NEMOURS AND CO		
EASTMAN CHEMICAL COMPANY	63,136	71,794
ECOLAB INC	33,843	45,233

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EPOCH US SMALL MID CAP EQUITY FUND	338,550	374,812
EVERSOURCE ENERGY		
FIDELITY NATIONAL INFORMATION SERVIC		
FIRST DATA CORP	42,103	49,760
FORTUNE BRANDS HOME & SECURITY	51,223	48,290
FS NETWORKS INC COM		
GENERAL ELECTRIC CO		
GENUINE PARTS COMPANY		
HARBOR INTL FD INST CL FUND 11	250,000	291,312
HOME DEPOT INC	49,781	96,250
INGERSOLL-RAND PLC	50,252	78,669
INVESCO INTL GROWTH FD INST	250,000	339,986
JACOBS ENGINEERING GROUP INC	42,731	40,222
JOHNSON CONTROLS INTERNATIONAL	100,893	82,814
MARSH & MCLENNAN COMPANIES, INC.		
MARTIN MARIETTA MATERIALS, INC.	53,670	62,190
MARVELL TECHNOLOGY GROUP LTD	76,459	93,660
MCKESSON CORP		
METLIFE, INC.	71,648	69,753
MICROSOFT CORP	68,236	221,786
MOLSON COORS BREWING CO	89,841	76,083
MORGAN STANLEY	89,738	112,776
NIELSON HOLDINGS PLC EUR	46,315	33,697
NORFOLK SOUTHERN CORP	33,868	47,523
OCCIDENTAL PETROLEUM CORP	98,133	79,901
ORACLE CORP		
PEPSICO, INC.		
PFIZER	79,170	76,658
PPL CORP		
PROCTOR & GAMBLE COMPANY		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PTC, INC	80,357	94,392
PVH CORP COM		
ROCKWELL COLLINS, INC.		
SEAGATE TECHNOLOGY PLC		
SIGNATURE BANK	13,387	14,195
STARBUCKS CORP	61,040	61,942
TIME WARNER	41,517	44,453
TJX COMPANIES INC	37,052	62,801
ULTA SALON COSMETICS & FRAGRANCES	9,651	10,214
UNIVERSAL DISPLAY CORP	94,874	56,560
UNITEDHEALTH GROUP, INC.	45,830	130,540
UNIVERSAL HEALTH SERVICES, INC.	44,553	39,075
US BANKCORP DEL		
VISA, INC.	63,933	137,563
WALT DISNEY CO		
WEC ENERGY GROUP INC	44,208	66,462
WHIRLPOOL CORP	40,617	35,215
WILLIS TOWERS WATSON	29,554	35,004

**TY 2017 Investments Government Obligations Schedule****Name:** THE HORACE SMITH FUND**EIN:** 04-2235130**US Government Securities - End  
of Year Book Value:**

1,171,036

**US Government Securities - End  
of Year Fair Market Value:**

1,147,598

**State & Local Government  
Securities - End of Year Book  
Value:****State & Local Government  
Securities - End of Year Fair  
Market Value:**

**TY 2017 Land, Etc.  
Schedule****Name:** THE HORACE SMITH FUND**EIN:** 04-2235130

<b>Category / Item</b>	<b>Cost / Other Basis</b>	<b>Accumulated Depreciation</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
LAND BUILDINGS AND EQUIPMENT	54,620	45,647	8,973	8,972

**TY 2017 Other Assets Schedule****Name:** THE HORACE SMITH FUND**EIN:** 04-2235130**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INTEREST - INVESTMENTS	5,971	8,109	8,109
REFUNDABLE EXCISE TAX	701		

**TY 2017 Other Decreases Schedule****Name:** THE HORACE SMITH FUND**EIN:** 04-2235130

Description	Amount
PRINCIPAL PAYMENTS RECEIVED	1
ON STUDENT LOAN ACCOUNTS	1,284

**TY 2017 Other Expenses Schedule****Name:** THE HORACE SMITH FUND**EIN:** 04-2235130**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
COMPUTER EXPENSES	4,244		1,698	2,546
DUES	355			355
INSURANCE	2,520		1,008	1,512
MEALS AND ENTERTAINMENT	588			588
MISCELLANEOUS	3,959		1,582	2,377
OFFICE EXPENSE	407		163	244
PARKING	440		440	
POSTAGE	474			474
TELEPHONE	2,071		828	1,243

## Other Expenses Schedule

<b>Description</b>	<b>Revenue and Expenses per Books</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
BANK SERVICE CHARGES	60			60

**TY 2017 Other Income Schedule****Name:** THE HORACE SMITH FUND**EIN:** 04-2235130**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
STUDENT LOANS INTEREST	93	93	93
STUDENT LOANS	1,285		1,285
MISCELLANEOUS INCOME	1,075		1,075

**TY 2017 Other Professional Fees Schedule****Name:** THE HORACE SMITH FUND**EIN:** 04-2235130

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
AGENT FEES - BANKNORTH	42,604	42,604		

**TY 2017 Taxes Schedule****Name:** THE HORACE SMITH FUND**EIN:** 04-2235130

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FOREIGN EXCISE TAXES	1,308	1,308		
FEDERAL EXCISE TAXES	5,500			5,500

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)  
Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**  
▶ Attach to Form 990, 990-EZ, or 990-PF  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at  
[www.irs.gov/form990](http://www.irs.gov/form990)

OMB No 1545-0047  
**2017**

**Name of the organization**  
THE HORACE SMITH FUND

**Employer identification number**  
04-2235130

**Organization type** (check one)

**Filers of:**

**Section:**

Form 990 or 990-EZ

- 501(c)( ) (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

**General Rule**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

**Special Rules**

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup> 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

<b>Name of organization</b> THE HORACE SMITH FUND	<b>Employer identification number</b> 04-2235130
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**Part I** **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ESTATE OF JEAN M DENTON BERTHIAUME AND BERTHIAUME ATTORNEYS 4 ELM STREET NORTH BROOKFIELD, MA 01535	\$ 50,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )

<b>Name of organization</b> THE HORACE SMITH FUND	<b>Employer identification number</b> 04-2235130
--	---

**Part II** **Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
	_____ _____ _____	_____ \$ _____	_____
	_____ _____ _____	_____ \$ _____	_____
	_____ _____ _____	_____ \$ _____	_____
	_____ _____ _____	_____ \$ _____	_____
	_____ _____ _____	_____ \$ _____	_____
	_____ _____ _____	_____ \$ _____	_____
	_____ _____ _____	_____ \$ _____	_____
	_____ _____ _____	_____ \$ _____	_____
	_____ _____ _____	_____ \$ _____	_____

<b>Name of organization</b> THE HORACE SMITH FUND	<b>Employer identification number</b> 04-2235130
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**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____

(e) Transfer of gift Transferee's name, address, and ZIP 4	Relationship of transferor to transferee
_____	_____
_____	_____