

Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

2017

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2017 or tax year beginning 03/01, 2017, and ending 02/28, 2018

Name of foundation: RORABACK LEWIS P TRUST
Employer identification number: 06-6233067
Telephone number: 888-866-3275
Address: P O BOX 1802, PROVIDENCE, RI 02901-1802

G Check all that apply: Initial return, Final return, Address change
H Check type of organization: Section 501(c)(3) exempt private foundation
I Fair market value of all assets at end of year: \$ 31,036,820
J Accounting method: Cash

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12) and Operating and Administrative Expenses (13-26).

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	153,910.	733,286.	733,286.
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)	-		
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶ <u>NONE</u>			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) <u>STMT 6</u>	23,047,708.	23,551,893.	26,651,602.
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule) <u>STMT 8</u>	2,447,704.	2,886,356.	3,651,932.
	14	Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	25,649,322.	27,171,535.	31,036,820.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)			<u>NONE</u>	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	25,649,322.	27,171,535.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see instructions)	25,649,322.	27,171,535.		
31	Total liabilities and net assets/fund balances (see instructions)	25,649,322.	27,171,535.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 25,649,322.
2	Enter amount from Part I, line 27a	2 1,983,091.
3	Other increases not included in line 2 (itemize) ▶ <u>SEE STATEMENT 9</u>	3 116,809.
4	Add lines 1, 2, and 3	4 27,749,222.
5	Decreases not included in line 2 (itemize) ▶ <u>SEE STATEMENT 10</u>	5 577,687.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6 27,171,535.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)

		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a PUBLICLY TRADED SECURITIES				
b OTHER GAINS AND LOSSES				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 7,089,061.		6,299,517.	789,544.	
b 8,096,609.		5,964,214.	2,132,395.	
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a			789,544.	
b			2,132,395.	
c				
d				
e				
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	2,921,939.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) See instructions. If (loss), enter -0- in Part I, line 8	{ }		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	1,238,549.	27,419,264.	0.045171
2015	1,829,092.	28,055,473.	0.065196
2014	1,104,943.	29,468,020.	0.037496
2013	1,362,370.	28,516,988.	0.047774
2012	1,259,740.	27,371,825.	0.046023
2 Total of line 1, column (d)			2 0.241660
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 0.048332
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			4 29,095,645.
5 Multiply line 4 by line 3.			5 1,406,251.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 35,069.
7 Add lines 5 and 6			7 1,441,320.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 1,448,741.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	35,069.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	NONE
3	Add lines 1 and 2	3	35,069.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	NONE
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	35,069.
6	Credits/Payments:		
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	67,919.
b	Exempt foreign organizations - tax withheld at source	6b	NONE
c	Tax paid with application for extension of time to file (Form 8868)	6c	4,000.
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	71,919.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	36,850.
11	Enter the amount of line 10 to be Credited to 2018 estimated tax <input type="checkbox"/> 35,072. Refunded <input type="checkbox"/> 1,778.	11	1,778.

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	X	
14 The books are in care of ▶ <u>US TRUST FIDUCIARY TAX SERVICES</u> Telephone no. ▶ <u>(888) 866-3275</u> Located at ▶ <u>P.O. BOX 1802, PROVIDENCE, RI</u> ZIP+4 ▶ <u>02901-1802</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u>		
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance, check here ▶ <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

<p>5a During the year, did the foundation pay or incur any amount to:</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/></p> <p>c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>If "Yes," attach the statement required by Regulations section 53.4945-5(d).</p> <p>6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>If "Yes" to 6b, file Form 8870</p> <p>7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>Yes</p> <p>No</p>	<p>5b</p> <p>6b</p> <p>7b</p>
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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BANK OF AMERICA, N A PO BOX 1802, PROVIDENCE, RI 02903	TRUSTEE 1	153,069	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 **NONE**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	28,949,367.
b	Average of monthly cash balances	1b	589,359.
c	Fair market value of all other assets (see instructions).	1c	NONE
d	Total (add lines 1a, b, and c)	1d	29,538,726.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	29,538,726.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	443,081.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	29,095,645.
6	Minimum investment return. Enter 5% of line 5	6	1,454,782.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,454,782.
2a	Tax on investment income for 2017 from Part VI, line 5	2a	35,069.
b	Income tax for 2017. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	35,069.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	1,419,713.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	1,419,713.
6	Deduction from distributable amount (see instructions).	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,419,713.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,448,741.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,448,741.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b See instructions	5	35,069.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	1,413,672.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				1,419,713.
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			380,191.	
b Total for prior years 20____, 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2017				
a From 2012	NONE			
b From 2013	NONE			
c From 2014	NONE			
d From 2015	NONE			
e From 2016	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2017 from Part XII, line 4: ► \$ <u>1,448,741.</u>				
a Applied to 2016, but not more than line 2a			380,191.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2017 distributable amount				1,068,550.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b.		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				351,163.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9				
a Excess from 2013	NONE			
b Excess from 2014	NONE			
c Excess from 2015	NONE			
d Excess from 2016	NONE			
e Excess from 2017	NONE			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets.					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization.					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
UNITED WAY INC. 30 LAUREL ST HARTFORD CT 06106-1361	N/A	PC	UNRESTRICTED GENERAL SUPPORT	138,701.
CONN CHILDRENS MEDICAL CTR 282 WASHINGTON ST HARTFORD CT 06106	N/A	PC	UNRESTRICTED GENERAL SUPPORT	138,701.
SAINT FRANCIS HOSP & MED CENTER 114 WOODLAND ST HARTFORD CT 06105-1208	N/A	PC	UNRESTRICTED GENERAL SUPPORT	624,156.
YOUNG MENS CHRISTIAN ASSN HTPD ATTN JOE WEIST 241 TRUMBULL ST HARTFORD CT 06103-1501	N/A	PC	UNRESTRICTED GENERAL SUPPORT	69,351.
HARTFORD HOSPITAL 80 SEYMOUR ST HARTFORD CT 06102-8000	N/A	PC	UNRESTRICTED GENERAL SUPPORT	346,753.
THE SALVATION ARMY ATTN RICHARD D ALLEN 440 WEST NYACK RD WEST NYACK NY 10994-1739	N/A	PC	UNRESTRICTED GENERAL SUPPORT	69,351.
Total ▶ 3a				1,387,013.
b Approved for future payment				
Total ▶ 3b				

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
USGI REPORTED AS NONQUALIFIED DIVIDENDS	5,188.	5,188.
FOREIGN DIVIDENDS	128,569.	128,569.
NONDIVIDEND DISTRIBUTIONS	4,180.	
DOMESTIC DIVIDENDS	200,820.	200,820.
OTHER INTEREST	50,426.	50,426.
FOREIGN INTEREST	4,896.	4,896.
U.S. GOVERNMENT INTEREST (FEDERAL TAXABLE	16,513.	16,513.
NON-TAXABLE FOREIGN INCOME	-1,286.	
US GOVERNMENT INTEREST REPORTED AS QUALI	1,085.	1,085.
NONQUALIFIED FOREIGN DIVIDENDS	47,442.	47,442.
NONQUALIFIED DOMESTIC DIVIDENDS	222,172.	222,172.
TOTAL	680,005.	677,111.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
EXCISE TAX REFUND	20,810.	53,962.
PARTNERSHIP INCOME		
TOTALS	20,810.	53,962.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE - BOA	1,250.	750.		500.
TOTALS	1,250.	750.	NONE	500.

FORM 990PF, PART I - TAXES

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DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	12,858.	12,858.
EXCISE TAX - PRIOR YEAR	6,636.	
EXCISE TAX ESTIMATES	65,100.	
FOREIGN TAXES ON QUALIFIED FOR	4,317.	4,317.
FOREIGN TAXES ON NONQUALIFIED	2,224.	2,224.
TOTALS	91,135.	19,399.

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RORABACK LEWIS P TRUST

06-6233067

FORM 990PF, PART I - OTHER EXPENSES
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DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
OTHER ALLOCABLE EXPENSE-PRINCI	3,598.	3,598.
OTHER ALLOCABLE EXPENSE-INCOME	3,598.	3,598.
PARTNERSHIP EXPENSES		26,890.
TOTALS	7,196.	34,086.

RORABACK LEWIS P TRUST

06-6233067

FORM 990PF, PART II - CORPORATE STOCK

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DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
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464287507 ISHARES CORE S&P MID	354,689.	786,811.	1,153,069.
464287614 ISHARES RUSSELL 1000			
464287655 ISHARES RUSSELL 2000	332,658.	994,148.	1,301,279.
921943858 VANGUARD FTSE DEVELO	800,238.	2,345,900.	2,716,869.
922042858 VANGUARD FTSE EMERGI	775,661.	538,429.	719,578.
922908553 VANGUARD REIT ETF	1,351,657.		
466001864 IVY ASSET STRATEGY F			
693390841 PIMCO HIGH YIELD FD	363,227.	267,963.	277,895.
714199106 PERMANENT PORTFOLIO			
72200Q182 PIMCO ALL ASSET ALL			
202671913 AGGREGATE BOND CTF	3,451,377.	1,844,486.	1,784,727.
207543877 SMALL CAP GROWTH LEA	423,133.	357,296.	473,090.
29099J109 EMERGING MARKETS STO	1,697,475.	959,309.	1,321,265.
302993993 MID CAP VALUE CTF	369,194.	538,657.	607,784.
303995997 SMALL CAP VALUE CTF	462,608.	380,087.	439,163.
323991307 MID CAP GROWTH CTF	438,017.	546,295.	611,654.
45399C107 DIVIDEND INCOME COMM	2,512,501.	1,544,296.	1,669,733.
99Z466163 HIGH QUALITY CORE CO	564,906.		
99Z466197 INTERNATIONAL FOCUSE	1,775,354.	1,645,526.	2,175,073.
99Z501647 STRATEGIC GROWTH COM	2,491,504.	1,118,161.	1,206,851.
73935S105 POWERSHARES DB COMMO	986,635.	1,454,756.	1,469,034.
38145C646 GOLDMAN SACHS STRATE			
880208400 TEMPLETON GLOBAL BD			
922908363 VANGUARD S&P 500 ETF	2,397,542.	2,086,683.	2,719,551.
464287226 ISHARES CORE US AGGR		306,979.	298,742.
00203H446 AQR LONG-SHORT EQUIT		155,657.	159,303.
00203H859 AQR MANAGED FUTURES		174,001.	154,936.
62827P816 CATALYST/MILLBURN HE		409,667.	384,113.
74253Q747 PRINCIPAL MIDCAP BLE		832,011.	858,579.

RORABACK LEWIS P TRUST

06-6233067

FORM 990PF, PART II - CORPORATE STOCK
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DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
09256H286 BLACKROCK STRATEGIC		896,146.	895,548.
00142R539 INVESCO BALANCED-RIS		328,052.	321,807.
94987W737 WELLS FARGO ABSOLUTE		319,933.	321,236.
99Z639934 LARGE CAP CORE CTF		755,752.	727,012.
99Z639942 MID CAP CORE CTF		1,209,206.	1,156,835.
99Z639959 SMALL CAP CORE CTF		755,686.	726,876.
TOTALS	21,548,376.	23,551,893.	26,651,602.

RORABACK LEWIS P TRUST

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FORM 990PF, PART II - OTHER INVESTMENTS
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DESCRIPTION	COST/ FMV C OR F	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
8EQQ69994 GROSVENOR REGISTERED	C	1,518,344.	1,672,651.	1,935,786.
99Z378921 EXCELSIOR PRIVATE MA	C	713,900.	614,075.	1,056,617.
71BB58888 EXCELSIOR PRIVATE MA	C	215,460.	261,630.	321,529.
71F679998 NB CROSSROADS PRIVAT	C		338,000.	338,000.
TOTALS		2,447,704.	2,886,356.	3,651,932.

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

=====

DESCRIPTION -----	AMOUNT -----
ROUNDING	4.
PARTNERSHIP ADJUSTMENT	116,805.

TOTAL	116,809.
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FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

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DESCRIPTION -----	AMOUNT -----
CTF ADJUSTMENT	301,390.
COST BASIS ADJUSTMENT	119,261.
SECURITIES ADJUSTMENT	130,807.
YE SALES ADJUSTMENT	26,229.

TOTAL	577,687.
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06-6233067

RORABACK LEWIS P TRUST
 Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
OTHER GAINS AND LOSSES					
29584.223 AGGREGATE BOND CTF	08/17/2012	06/16/2017	500,000.00	505,592.00	-5,592.00
8764.796 AGGREGATE BOND CTF	08/17/2012	09/30/2017	147,784.00	149,615.00	-1,831.00
55351.447 AGGREGATE BOND CTF	08/17/2012	01/31/2018	920,185.00	942,698.00	-22,513.00
2974.648 SMALL CAP GROWTH LEADERS CTF	07/31/2016	09/30/2017	83,368.00	78,522.00	4,846.00
102.142 SMALL CAP GROWTH LEADERS CTF	02/19/2016	09/30/2017	2,863.00	2,362.00	501.00
1357.116 SMALL CAP GROWTH LEADERS CTF	08/17/2012	09/30/2017	38,035.00	29,214.00	8,821.00
2577.107 EMERGING MARKETS STOCK COMMON TRUST FUND	06/10/2016	09/30/2017	153,088.00	121,482.00	31,606.00
9869.97 EMERGING MARKETS STOCK COMMON TRUST FUND	03/21/2014	09/30/2017	586,305.00	452,363.00	133,942.00
394.713 EMERGING MARKETS STOCK COMMON TRUST FUND	03/21/2014	11/30/2017	24,453.00	18,702.00	5,751.00
2752.211 EMERGING MARKETS STOCK COMMON TRUST FUND	03/21/2014	01/31/2018	186,310.00	132,944.00	53,366.00
3122.78 SMALL CAP VALUE CTF	07/31/2016	09/30/2017	103,785.00	96,063.00	7,722.00
1837.873 SMALL CAP VALUE CTF	08/17/2012	09/30/2017	61,081.00	48,812.00	12,269.00
10425.348 DIVIDEND INCOME COMMON TRUST FUND	08/17/2012	06/16/2017	638,628.00	573,897.00	64,731.00
3436.496 DIVIDEND INCOME COMMON TRUST FUND	08/17/2012	09/30/2017	216,413.00	193,597.00	22,816.00
428.992 DIVIDEND INCOME COMMON TRUST	08/17/2012	02/28/2018	28,549.00	26,423.00	2,126.00
2984. POWERSHARES DB COMMODITY INDEX	12/10/2014	06/16/2017	41,969.00	49,406.00	-7,437.00
14880. POWERSHARES DB COMMODITY INDEX TRACKING FUND UNIT BEN INT	03/30/2015	06/16/2017	209,283.00	208,512.00	771.00
252. POWERSHARES DB COMMODITY INDEX	03/30/2015	09/29/2017	3,869.00	3,531.00	338.00
2116. POWERSHARES DB COMMODITY INDEX	07/28/2016	09/29/2017	32,484.00	23,173.00	9,311.00
230. POWERSHARES DB COMMODITY INDEX	07/28/2016	09/29/2017	3,538.00	2,519.00	1,019.00
12915.058 HIGH QUALITY CORE COMMON TRUST FUND	08/17/2012	06/16/2017	200,000.00	181,289.00	18,711.00
28742.033 HIGH QUALITY CORE COMMON TRUST FUND	08/17/2012	01/31/2018	516,325.00	428,139.00	88,186.00
5054.44 INTERNATIONAL FOCUSED EQUITY	03/21/2014	01/31/2018	76,501.00	60,851.00	15,650.00
Totals					

JSA
7F0970 1 000

GAINS AND LOSSES FROM PASS-THRU ENTITIES
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NET SHORT-TERM GAIN (LOSS) FROM PARTNERSHIPS, S CORPORATIONS
AND OTHER FIDUCIARIES

COMMON TRUST FUNDS 111,507.00

TOTAL NET SHORT-TERM GAIN OR LOSS (ROUNDED) 111,507.00
=====

NET LONG-TERM GAIN (LOSS) FROM PARTNERSHIPS, S CORPORATIONS
AND OTHER FIDUCIARIES

COMMON TRUST FUNDS 1,351,237.00

PARTNERSHIPS, TRUSTS, S CORPORATIONS -16,980.00

TOTAL NET LONG-TERM GAIN OR LOSS (ROUNDED) 1,334,257.00
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FEDERAL FOOTNOTES

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THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N.A. AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR TIME SPENT BY THE TRUSTEE; RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE. THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK. IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES.