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Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017, or tax year beginning 02-01-2017 , and ending 01-31-2018

Name of foundation
LOTTIE C HARDY TRUST

A Employer identification number
43-6239401

Number and street (or P O box number if mail is not delivered to street address)
PO BOX 0634

Room/suite

B Telephone number (see instructions)
(314) 418-2643

City or town, state or province, country, and ZIP or foreign postal code
MILWAUKEE, WI 532010634

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 3,424,153

J Accounting method ☐ Cash ☐ Accrual ☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	95,743	84,514		
	5a Gross rents	27,502	27,502		
	b Net rental income or (loss) 18,284				
	6a Net gain or (loss) from sale of assets not on line 10	569,331			
	b Gross sales price for all assets on line 6a 2,248,670				
	7 Capital gain net income (from Part IV, line 2)		569,331		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	692,576	681,347		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	45,155	40,640		4,515
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)	5,280	0	0	5,280
	c Other professional fees (attach schedule)				0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	1,738	1,354		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	9,218	9,218		
	24 **Total operating and administrative expenses.** Add lines 13 through 23	61,391	51,212	0	9,795
	25 Contributions, gifts, grants paid	155,000			155,000
	26 **Total expenses and disbursements.** Add lines 24 and 25	216,391	51,212	0	164,795
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	476,185			
	b **Net investment income** (if negative, enter -0-)		630,135		
	c **Adjusted net income**(if negative, enter -0-)			0	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing		-26	-26
	2 Savings and temporary cash investments	52,273	127,492	127,492
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶		0	0
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)	49,313		
	b Investments—corporate stock (attach schedule)	1,448,634	1,753,868	2,296,530
	c Investments—corporate bonds (attach schedule)	726,492	867,475	861,693
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	25	25	69,232
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)	242,139	242,139	69,232
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,518,876	2,990,973	3,424,153
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	2,518,876	2,990,973	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	2,518,876	2,990,973	
	31 **Total liabilities and net assets/fund balances** (see instructions)	2,518,876	2,990,973	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,518,876
2	Enter amount from Part I, line 27a	2	476,185
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	2,995,061
5	Decreases not included in line 2 (itemize) ▶	5	4,088
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,990,973

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	569,331
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	159,990	3,004,537	0 053249
2015	170,859	3,195,857	0 053463
2014	339,658	3,382,233	0 100424
2013	10,275	3,238,742	0 003173
2012	166,710	3,160,929	0 052741

2	**Total** of line 1, column (d)	2	0 26305
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 05261
4	Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	3,137,685
5	Multiply line 4 by line 3	5	165,074
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	6,301
7	Add lines 5 and 6	7	171,375
8	Enter qualifying distributions from Part XII, line 4	8	164,795

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	12,603
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	12,603
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	12,603
6	Credits/Payments		
a	2017 estimated tax payments and 2016 overpayment credited to 2017 — 6a: 682		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	682
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	11,921
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2018 estimated tax** ▶ 0 **Refunded** ▶	11	0

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ _____ **(2)** On foundation managers ▶ $ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶ N/A

14 The books are in care of ▶ US BANK NA Telephone no ▶ (314) 418-2643

Located at ▶ PO BOX 387 ST LOUIS MO ZIP+4 ▶ 63166

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☑ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☑ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☑ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes	☑ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☑ No		
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?			**5b**	
	Organizations relying on a current notice regarding disaster assistance check here ▶	☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☑ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			**6b**	**No**
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☑ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			**7b**	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
US BANK N A PO BOX 387 ST LOUIS, MO 63166	TRUSTEE 1	45,155		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				

Total number of other employees paid over $50,000 . . . . . ▶ 0

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services . . . . . ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	3,126,600
b	Average of monthly cash balances	1b	58,867
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	3,185,467
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	3,185,467
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	47,782
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,137,685
6	**Minimum investment return.** Enter 5% of line 5	6	156,884

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	156,884
2a	Tax on investment income for 2017 from Part VI, line 5	2a	12,603		
b	Income tax for 2017 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	12,603
3	Distributable amount before adjustments Subtract line 2c from line 1			3	144,281
4	Recoveries of amounts treated as qualifying distributions			4	0
5	Add lines 3 and 4			5	144,281
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	144,281

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	164,795
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	164,795
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	164,795

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				144,281
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only.			0	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2017				
a From 2012. 0				
b From 2013. 0				
c From 2014. 37,897				
d From 2015. 13,538				
e From 2016. 10,951				
f **Total** of lines 3a through e.	62,386			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ $ 164,795				
a Applied to 2016, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2017 distributable amount.				144,281
e Remaining amount distributed out of corpus	20,514			
5 Excess distributions carryover applied to 2017	0			0
(If an amount appears in column (d), the same amount must be shown in column (a).)				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	82,900			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2018.** Subtract lines 7 and 8 from line 6a	82,900			
10 Analysis of line 9				
a Excess from 2013. . . . 0				
b Excess from 2014. . . . 37,897				
c Excess from 2015. . . . 13,538				
d Excess from 2016. . . . 10,951				
e Excess from 2017. . . . 20,514				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WASHINGTON UNIVERSITY SCHOOL OF MEDICINE 7425 FORSYTH BLVD ST LOUIS, MO 63105	NONE	PC	MEDICAL RESEARCH	104,000
SAINT LOUIS UNIVERSITY SCHOOL OF MEDICINE 1402 S GRAND BLVD ST LOUIS, MO 63104	NONE	PC	CANCER RESEARCH	38,000
UNIVERSITY OF MISSOURI 115 BUSINESS LOOP 70W COLUMBIA, MO 65203	NONE	PC	CANCER RESEARCH	13,000
Total . . . . . ▶ **3a**				155,000
b *Approved for future payment*				
Total . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	95,743	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property			16	18,284	
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	569,331	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				683,358	
13 **Total.** Add line 12, columns (b), (d), and (e)				13	683,358

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2018-05-24	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☑	PTIN
JOSEPH J CASTRIANO		2018-05-24		P01251603

Firm's name ▶ PRICEWATERHOUSECOOPERS LLP	Firm's EIN ▶13-4008324
Firm's address ▶ 600 GRANT STREET PITTSBURGH, PA 15219	Phone no (412) 355-6000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
24 ABBOTT LABORATORIES		2008-08-06	2017-02-14
2 38 G N M A #231451 9 000% 2/15/20		1990-03-08	2017-02-15
1 STATE STR CORP		1911-11-11	2017-02-17
2 39 G N M A #231451 9 000% 2/15/20		1990-03-08	2017-03-15
2 41 G N M A #231451 9 000% 2/15/20		1990-03-08	2017-04-15
1 WEATHERFORD INTERNATIONAL PL		1911-11-11	2017-04-18
2 43 G N M A #231451 9 000% 2/15/20		1990-03-08	2017-05-15
2 45 G N M A #231451 9 000% 2/15/20		1990-03-08	2017-06-15
1 39 G N M A #231451 9 000% 2/15/20		1990-03-08	2017-07-15
785 855 TFS MARKET NEUTRAL FUND		2012-08-01	2017-09-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
10		9	1
2		3	-1
37			37
2		3	-1
2		3	-1
58			58
2		3	-1
2		3	-1
1		2	-1
11,112		12,000	-888

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			1
			-1
			37
			-1
			-1
			58
			-1
			-1
			-1
			-888

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
1 BANK OF AMERICA CLASS ACTION PROCEEDS		1911-11-11	2017-12-12
350 JOHNSON & JOHNSON			2018-01-16
700 LOWE'S COS INC			2018-01-16
260 ABBOTT LABORATORIES		2008-08-06	2018-01-17
300 AMERICAN EXPRESS CO		2013-01-11	2018-01-17
435 APPLE COMPUTER INC COM		2008-08-06	2018-01-17
500 BANK OF AMERICA CORP			2018-01-17
50000 CAMPBELL SOUP CO 8 875% 5/01/21		1999-07-29	2018-01-17
750 CISCO SYS INC		2008-08-06	2018-01-17
275 CONOCOPHILLIPS COM		2002-04-12	2018-01-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
35			35
51,404		17,626	33,778
70,333		11,971	58,362
15,316		10,234	5,082
30,158		18,318	11,840
77,341		9,923	67,418
15,600		15,224	376
58,994		59,186	-192
30,824		17,787	13,037
16,483		6,169	10,314

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			35
			33,778
			58,362
			5,082
			11,840
			67,418
			376
			-192
			13,037
			10,314

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
2600 DB X TRACKERS MSCI EAFE HEDGED ETF		2016-09-28	2018-01-17
250 DOWDUPONT INC		2007-04-17	2018-01-17
2995 484 DRIEHAUS ACTIVE INCOME FUND			2018-01-17
150 DUKE ENERGY HOLDING CORP		1999-09-22	2018-01-17
6435 071 PARAMETRIC EMERGING MARKETS INSTL			2018-01-17
350 EMERSON ELEC CO COM		2008-08-06	2018-01-17
243 EXPRESS SCRIPTS HLDGS C		2008-08-06	2018-01-17
212 EXXON MOBIL CORP		2008-08-06	2018-01-17
400 GENERAL ELEC CO			2018-01-17
3703 704 GOLDMAN SACHS COMM STRAT INS		2013-12-04	2018-01-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
85,161		68,601	16,560
19,012		11,418	7,594
29,865		31,200	-1,335
11,767		4,866	6,901
107,144		90,961	16,183
25,637		16,644	8,993
19,611		13,658	5,953
18,666		13,303	5,363
6,937		6,847	90
43,963		84,000	-40,037

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			16,560
			7,594
			-1,335
			6,901
			16,183
			8,993
			5,953
			5,363
			90
			-40,037

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
400 ILLINOIS TOOL WKS INC			2018-01-17
900 INTEL CORP			2018-01-17
500 ISHARES DOW JONES SELECT DIV ETF		2012-10-22	2018-01-17
1890 MFC ISHARES TR MSCI EAFE INDEX FD			2018-01-17
150 MICROSOFT CORP COM		2006-02-02	2018-01-17
3184 08 LOOMIS SAYLES STRATEGIC ALPHA FUND Y		2014-10-15	2018-01-17
1000 NIKE INC CLASS B		2008-08-06	2018-01-17
1770 695 NUVEEN SMALL CAP VALUE FUND CL I		2016-09-28	2018-01-17
5959 729 NUVEEN HIGH INCOME BOND FD CL I			2018-01-17
15476 558 NUVEEN STRATEGIC INCOME I			2018-01-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
68,554		11,678	56,876
39,815		37,961	1,854
50,179		29,065	21,114
139,838		110,068	29,770
13,499		4,152	9,347
31,777		32,000	-223
63,809		15,234	48,575
49,048		40,000	9,048
46,903		55,000	-8,097
163,278		151,440	11,838

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			56,876
			1,854
			21,114
			29,770
			9,347
			-223
			48,575
			9,048
			-8,097
			11,838

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
719 794 NUVEEN REAL ESTATE SECS I		2011-04-27	2018-01-17
14489 139 NUVEEN CORE PLUS BOND I			2018-01-17
10021 632 NUVEEN SHORT TERM BOND FUND CL I			2018-01-17
1375 854 NUVEEN MID CAP GRWTH OPP FD CL I			2018-01-17
175 OCCIDENTAL PETE CORP COM		2010-06-09	2018-01-17
450 ORACLE CORPORATION		2008-08-06	2018-01-17
400 PG E CORP		2010-09-09	2018-01-17
150 PROCTER & GAMBLE CO		2006-02-02	2018-01-17
400 QUALCOMM INC		2001-12-07	2018-01-17
11366 624 T ROWE PRICE INTL GRINC FD		2005-08-02	2018-01-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
14,130		14,412	-282
158,511		156,736	1,775
98,212		99,914	-1,702
64,541		46,679	17,862
13,300		13,649	-349
22,511		10,088	12,423
17,672		19,303	-1,631
13,710		8,981	4,729
27,187		11,788	15,399
180,275		150,000	30,275

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			-282
			1,775
			-1,702
			17,862
			-349
			12,423
			-1,631
			4,729
			15,399
			30,275

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
634 115 ROWE T PRICE MID-CAP VALUE FD INC		2016-09-28	2018-01-17
300 TARGET CORP		2004-03-11	2018-01-17
300 TEVA PHARMACEUTICAL INDS LTD ADR		2005-08-02	2018-01-17
50000 U S TREASURY BD 6 250% 8/15/23		1995-11-02	2018-01-17
450 VERIZON COMMUNICATIONS			2018-01-17
250 WAL MART STORES INC COM		1995-11-21	2018-01-17
400 WALGREENS BOOTS ALLIANCE INC			2018-01-17
200 MEDTRONIC PLC		2015-01-27	2018-01-17
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
19,930		18,294	1,636
23,061		13,466	9,595
6,420		9,693	-3,273
59,986		49,313	10,673
23,242		16,184	7,058
25,666		3,093	22,573
30,339		15,904	14,435
17,263		15,282	1,981
			20,535

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			1,636
			9,595
			-3,273
			10,673
			7,058
			22,573
			14,435
			1,981

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TY 2017 Accounting Fees Schedule

Name: LOTTIE C HARDY TRUST

EIN: 43-6239401

Accounting Fees Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	5,280			5,280

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TY 2017 Investments Corporate Bonds Schedule

Name: LOTTIE C HARDY TRUST

EIN: 43-6239401

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
46434VBA7 ISHARES IBONDS DEC 2	62,088	61,652
46434VBG4 ISHARES IBONDS DEC 2	61,914	61,529
46434VBD1 ISHARES IBONDS DEC 2	62,100	61,377
87234N765 TCW EMERGING MARKETS	100,000	99,304
68381K606 OPPENHEIMER SENIOR F	132,000	130,906
46435GAA0 ISHARES IBONDS DEC 2	61,965	61,341
808524870 SCHWAB U.S. TIPS ETF	195,471	194,727
46434VAX8 ISHARES IBONDS DEC 2	61,937	61,504
31420B300 FEDERATED INST HI YL	130,000	129,353
LOOMIS SAYLES STRATE		
NUVEEN CORE PLUS BON		
G N M A #231451		
CAMPBELL SOUP CO		
OPPENHEIMER SENIOR F		
NUVEEN SHORT TERM BO		
NUVEEN HIGH INCOME B		
GOLDMAN SACHS COMM S		
NUVEEN STRATEGIC INC		
DRIEHAUS ACTIVE INCO		

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TY 2017 Investments Corporate Stock Schedule

Name: LOTTIE C HARDY TRUST

EIN: 43-6239401

Name of Stock	End of Year Book Value	End of Year Fair Market Value
641233200 NEUBERGER BERMAN GEN	50,000	49,707
852234103 SQUARE INC A	8,044	9,382
74005P104 PRAXAIR INC	7,420	33,913
060505104 BANK OF AMERICA CORP	19,415	44,800
670678507 NUVEEN REAL ESTATE S	73,327	82,937
00206R102 ATT INC	18,551	22,470
921937686 VANGUARD SMALL CAP V	50,000	49,489
718546104 PHILLIPS 66	2,333	17,920
46625H100 J P MORGAN CHASE CO	8,653	46,268
00287Y109 ABBVIE INC	7,471	44,888
46432F842 ISHARES CORE MSCI EA	98,580	98,385
922908553 VANGUARD REIT ETF	82,220	82,231
370334104 GENERAL MILLS INC	13,671	35,094
440452100 HORMEL FOODS CORP	15,752	15,449
701877102 PARSLEY ENERGY INC-C	22,504	18,290
26078J100 DOWDUPONT INC	8,664	18,895
166764100 CHEVRON CORPORATION	9,882	31,338
46434G103 ISHARES CORE MSCI EM	80,229	107,520
00769G543 CAMBIAR INTL EQUITY	195,000	195,917
149498107 CAUSEWAY EMERGING MA	85,000	87,158
713448108 PEPSICO INC	9,345	42,105
742718109 PROCTER GAMBLE CO	8,675	17,268
806857108 SCHLUMBERGER LTD	7,893	25,753
913017109 UNITED TECHNOLOGIES	12,315	44,853
594918104 MICROSOFT CORP	8,778	61,757
68389X105 ORACLE CORPORATION	7,846	18,057
06828M876 BARON EMERGING MARKE	80,000	107,372
77957Y106 T ROWE PRICE MID CAP	61,706	67,267
949746101 WELLS FARGO CO	13,217	39,468
46434V803 ISHARES CURRENCY HED	98,765	96,836

Name of Stock	End of Year Book Value	End of Year Fair Market Value
88579Y101 3M CO	15,089	50,100
74925K581 ROBECO BOSTON PARTNE	77,000	82,243
779556109 ROWE T PRICE MID-CAP	135,000	136,743
64128R608 NEUBERGER BERMAN LON	82,000	82,165
315920686 FIDELITY ADV DIVERS	195,000	196,399
002824100 ABBOTT LABORATORIES	3,484	12,494
037833100 APPLE INC	6,045	44,369
023135106 AMAZON.COM INC	48,016	53,683
247361702 DELTA AIR LINES INC	26,978	25,547
APPLE INC		
ABBOTT LABORATORIES		
TARGET CORP		
TFS MARKET NEUTRAL F		
PRAXAIR INC		
NUVEEN REAL ESTATE S		
NUVEEN SMALL CAP VAL		
EMERSON ELECTRIC CO		
CONOCOPHILLIPS		
BANK OF AMERICA CORP		
ATT INC		
WALGREENS BOOTS ALLI		
PHILLIPS 66		
PG E CORP		
NUVEEN MID CAP GRWTH		
J P MORGAN CHASE CO		
GENERAL ELECTRIC CO		
EXXON MOBIL CORP		
AMERICAN EXPRESS CO		
ABBVIE INC		
VERIZON COMMUNICATIO		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
INTEL CORP		
GENERAL MILLS INC		
EXPRESS SCRIPTS HLDG		
DUKE ENERGY HOLDING		
UNITED TECHNOLOGIES		
SCHLUMBERGER LTD		
PROCTER GAMBLE CO		
PEPSICO INC		
ORACLE CORPORATION		
OCCIDENTAL PETROLEUM		
NIKE INC		
MICROSOFT CORP		
LOWES CO INC		
JOHNSON JOHNSON		
ISHARES CORE MSCI EM		
ILLINOIS TOOL WORKSI		
PARAMETRIC EMERGING		
CHEVRON CORPORATION		
TEVA PHARMACEUTICAL		
T ROWE PRICE MID CAP		
ISHARES MSCI EAFE ET		
ISHARES DOW JONES SE		
BARON EMERGING MARKE		
WELLS FARGO CO		
WAL MART STORES INC		
3M CO		
T ROWE PRICE INTL GR		
DOW CHEM CO		
DB X TRACKERS MSCI E		
MEDTRONIC PLC		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
QUALCOMM INC		
CISCO SYSTEMS INC		

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TY 2017 Investments Government Obligations Schedule

Name: LOTTIE C HARDY TRUST

EIN: 43-6239401

US Government Securities - End of Year Book Value:

US Government Securities - End of Year Fair Market Value:

State & Local Government Securities - End of Year Book Value:

State & Local Government Securities - End of Year Fair Market Value:

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TY 2017 Investments - Other Schedule

Name: LOTTIE C HARDY TRUST

EIN: 43-6239401

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
99MIN6431 VARIOUS MINERAL INTE	AT COST	25	69,232

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TY 2017 Other Assets Schedule

Name: LOTTIE C HARDY TRUST

EIN: 43-6239401

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
MINERAL INTEREST	242,139	242,139	69,232

TY 2017 Other Decreases Schedule

Name: LOTTIE C HARDY TRUST

EIN: 43-6239401

Description	Amount
PY ST JUDE/ABBOTT CORP ACTION ADJ	3,983
COST BASIS ADJUSTMENT	105

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TY 2017 Other Expenses Schedule

Name: LOTTIE C HARDY TRUST

EIN: 43-6239401

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Rent and Royalty Expense	9,218			

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TY 2017 Taxes Schedule

Name: LOTTIE C HARDY TRUST

EIN: 43-6239401

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL ESTIMATES PAID	384	0		0
FOREIGN TAXES	1,078	1,078		0
FOREIGN TAXES ON NONQUALIFIED	276	276		0