Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2017

Open to Public Inspection

For calendar year 2017, or tax year beginning 01-01-2017 , and ending 12-31-2017

Name of foundation
The Wallace Alexander Gerbode Foundation

A Employer identification number
94-6065226

Number and street (or P O box number if mail is not delivered to street address)
127 University Avenue

Room/suite

B Telephone number (see instructions)
(510) 982-4788

City or town, state or province, country, and ZIP or foreign postal code
Berkeley, CA 94710

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)*▶$ 36,477,251

J Accounting method ☐ Cash ☑ Accrual
☐ Other (specify) ______
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	570,000			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	806	806		
	4	Dividends and interest from securities	745,693	745,693		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	478,738			
	b	Gross sales price for all assets on line 6a 8,887,691				
	7	Capital gain net income (from Part IV, line 2)		478,738		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	65,883	-169		
	12	**Total.** Add lines 1 through 11	1,861,120	1,225,068		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	181,364	29,018		134,355
	14	Other employee salaries and wages	69,881	11,181		67,167
	15	Pension plans, employee benefits	33,442	5,351		14,042
	16a	Legal fees (attach schedule)	9,448			11,242
	b	Accounting fees (attach schedule)	26,530			1,000
	c	Other professional fees (attach schedule)	259,434	188,526		70,889
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	96,025			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy	655			550
	21	Travel, conferences, and meetings	6,917			9,074
	22	Printing and publications	1,157			871
	23	Other expenses (attach schedule)	23,750	1,047		22,650
	24	**Total operating and administrative expenses.** Add lines 13 through 23	708,603	235,123		331,840
	25	Contributions, gifts, grants paid	429,000			629,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,137,603	235,123		960,840
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	723,517			
	b	**Net investment income** (if negative, enter -0-)		989,945		
	c	**Adjusted net income**(if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

	Line	Description	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing	925,916	516,562	516,562
	2	Savings and temporary cash investments	2,906,635	2,089,304	2,089,304
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable		380,000	380,000
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	101,510	8,375	8,375
	10a	Investments—U S and state government obligations (attach schedule)	891,766	908,681	908,681
	b	Investments—corporate stock (attach schedule)	17,670,531	17,639,316	17,639,316
	c	Investments—corporate bonds (attach schedule)	7,988,994	7,670,453	7,670,453
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,763,257	6,940,054	6,940,054
	14	Land, buildings, and equipment basis ▶ 57,465			
		Less accumulated depreciation (attach schedule) ▶ 57,465			
	15	Other assets (describe ▶)	439,540	324,506	324,506
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	32,688,149	36,477,251	36,477,251
Liabilities	17	Accounts payable and accrued expenses	82,950	135,940	
	18	Grants payable	450,000	250,000	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)	47,170	118,662	
	23	**Total liabilities**(add lines 17 through 22)	580,120	504,602	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶** ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	32,108,029	35,592,649	
	25	Temporarily restricted		380,000	
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	32,108,029	35,972,649	
	31	**Total liabilities and net assets/fund balances** (see instructions)	32,688,149	36,477,251	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	32,108,029
2	Enter amount from Part I, line 27a	2	723,517
3	Other increases not included in line 2 (itemize) ▶	3	4,143,758
4	Add lines 1, 2, and 3	4	36,975,304
5	Decreases not included in line 2 (itemize) ▶	5	1,002,655
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	35,972,649

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	Sales of Publicly Traded Securities	P	2000-01-01	2017-12-31
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	8,887,691		8,408,953	478,738
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				478,738
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	478,738
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	3,179,365	42,317,389	0 07513
2015	2,242,689	63,691,553	0 03521
2014	3,257,517	64,487,765	0 05051
2013	3,205,442	60,871,381	0 05266
2012	3,349,382	56,712,498	0 05906

2	**Total** of line 1, column (d)	2	0 272575
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 054515
4	Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	33,788,905
5	Multiply line 4 by line 3	5	1,842,002
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	9,899
7	Add lines 5 and 6	7	1,851,901
8	Enter qualifying distributions from Part XII, line 4	8	960,840

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	19,799
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	19,799
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	19,799
6	Credits/Payments				
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	15,000		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	26,700
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	85
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	6,816
11	Enter the amount of line 10 to be **Credited to 2018 estimated tax** ▶ 6,816 **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		No
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the taxable year beginning in 2017 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶http //fdnweb org/gerbode/

14 The books are in care of ▶LeventhalKline Management Telephone no ▶(510) 982-4788

Located at ▶127 University Avenue Berkeley CA ZIP+4 ▶94710

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

			Yes	No
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

				Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.				
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☑ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶	☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?.		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?.	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)		2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2017 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017).*		3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		4b		No

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required** (Continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions)	☑ Yes ☐ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☑ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**		No
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**		No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Olivia Malabuyo 127 University Ave Berkeley, CA 94710	Admin Mgr 40 00	69,881	23,031	

Total number of other employees paid over $50,000. ▶

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
Leventhal Kline Management Inc 127 University Ave Berkeley, CA 94710	Management	60,000
Morgan Stanley 555 California St 14th Floor San Francisco, CA 94104	Investment Mgmt	188,526

Total number of others receiving over $50,000 for professional services. ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations,see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities.		1a	31,103,235
b	Average of monthly cash balances.		1b	2,773,146
c	Fair market value of all other assets (see instructions).		1c	427,076
d	**Total** (add lines 1a, b, and c).		1d	34,303,457
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	
3	Subtract line 2 from line 1d.		3	34,303,457
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	514,552
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	33,788,905
6	**Minimum investment return.** Enter 5% of line 5.		6	1,689,445

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.		1	1,689,445
2a	Tax on investment income for 2017 from Part VI, line 5.	2a 19,799		
b	Income tax for 2017 (This does not include the tax from Part VI).	2b		
c	Add lines 2a and 2b.		2c	19,799
3	Distributable amount before adjustments Subtract line 2c from line 1.		3	1,669,646
4	Recoveries of amounts treated as qualifying distributions.		4	
5	Add lines 3 and 4.		5	1,669,646
6	Deduction from distributable amount (see instructions).		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.		7	1,669,646

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	960,840
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	960,840
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	960,840

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7					1,669,646
2 Undistributed income, if any, as of the end of 2017					
a Enter amount for 2016 only.					
b Total for prior years 20____ , 20____ , 20____					
3 Excess distributions carryover, if any, to 2017					
a From 2012.	485,063				
b From 2013.	213,324				
c From 2014.	174,135				
d From 2015.					
e From 2016.	1,075,344				
f **Total** of lines 3a through e.		1,947,866			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ $ 960,840					
a Applied to 2016, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2017 distributable amount.					960,840
e Remaining amount distributed out of corpus					
5 Excess distributions carryover applied to 2017 *(If an amount appears in column (d), the same amount must be shown in column (a))*		708,806			708,806
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		1,239,060			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions) . . .					
9 **Excess distributions carryover to 2018.** Subtract lines 7 and 8 from line 6a		1,239,060			
10 Analysis of line 9					
a Excess from 2013. . . .					
b Excess from 2014. . . .	163,716				
c Excess from 2015. . . .					
d Excess from 2016. . . .	1,075,344				
e Excess from 2017. . . .					

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2017	**(b)** 2016	**(c)** 2015	**(d)** 2014	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Stacie Ma'a
127 University Avenue
Berkeley, CA 94710
(510) 982-4788

b The form in which applications should be submitted and information and materials they should include

The Foundation is interested in programs and projects offering potential for significant impact The primary focus is on the San Francisco Bay Area and Hawaii The Foundation's interests generally fall under the following categories arts and culture, environment, population, reproductive rights, citizen participation/building communities/inclusiveness, strength of the philanthropic process and the nonprofit sector, Foundation-initiated special projects Preferred form of contact letter of inquiry with short description of the project Initial contact should not include materials (videotapes, etc) requiring return In the event that materials must be returned, an explicit request for their return and a self-addressed, stamped envelope must be provided The Foundation does not accept unsolicited applications Applications are accepted on an ongoing basis Special awards cycles are usually announced in May

c Any submission deadlines

Accepted on an ongoing basis

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

To qualify for support, an organization must be a tax-exempt public charity, as determined by internal revenue code section 501(c)(3) The Foundation generally does not support direct services, deficit budgets, general operating funds, building or equipment funds, general fundraising campaigns, religious purposes, private schools, publications, scholarships, or grants to individuals

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Grant Statement See Grant Statement See Grant Statement, CA 94710		PC	See Grant Statement	629,000
Total . . . . ▶ **3a**				629,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a PRI Investment Income			14	169	
b PRI Ordinary Income	900099	30,145			35,431
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	806	
4 Dividends and interest from securities. . . .			14	745,693	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	478,738	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a Litigation Settlement			14	476	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		30,145		1,225,544	35,431

13 **Total.** Add line 12, columns (b), (d), and (e). **13** 1,291,120

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
1a	The primary objective of program-related investments is to further the exempt purposes of the Foundation Investment income is not a substantial purpose of the program-related investments

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2018-11-15	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ **Yes** ☑ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Carol Duffield				P01257136
Firm's name ▶ Fontanello Duffield & Otake LLP				Firm's EIN ▶37-1420474
Firm's address ▶ 44 Montgomery Street Suite 1305 San Francisco, CA 94104				Phone no (415) 983-0200

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Sharon Gerbode 127 University Avenue Berkeley, CA 94710	Chair 5 00	0		
Frank A Gerbode 127 University Avenue Berkeley, CA 94710	V Chair/Tres 5 00	0		
Colin Gerbode 127 University Avenue Berkeley, CA 94710	V Chair/Sec 5 00	0		
Stacie Maa 127 University Avenue Berkeley, CA 94710	Pres/Ass't Sec 40 00	157,708	23,656	
Ian Gerbode 127 University Avenue Berkeley, CA 94710	Director 5 00	0		

TY 2017 Accounting Fees Schedule

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 17005038

Software Version: 2017v2.2

Accounting Fees Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Audit and Tax Services	26,530	0	0	1,000

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2017 Expenditure Responsibility Statement

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 17005038

Software Version: 2017v2.2

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
The EACH Foundation	50 California Street 15th Floor San Francisco, CA 94111	2017-12-31	9,757,124	Support tax-exempt public charity groups	1,883,492	No	4/16/18	2017-01-01	All funds expended by grantee were verified to reporting provided No portion of the funds were diverted from the purpose of the grant(s) to the knowledge of the grantor
Marin Idea Fund	509 Bonita Street Sausalito, CA 94965	2017-12-31	9,810,157	Support tax-exempt public charity groups	810,007	No	Pending		Verification pending, reports not received from grantee
Nature Defense Foundation	6110 Maacama Ridge Road Healdsburg, CA 95448	2017-12-31	9,867,800	Support tax-exempt public charity groups	1,774,953	No	10/30/18	2017-01-01	All funds expended by grantee were verified to reporting provided No portion of the funds were diverted from the purpose of the grant(s) to the knowledge of the grantor

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TY 2017 Investments Corporate Bonds Schedule

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 17005038

Software Version: 2017v2.2

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
JPMorgan Chase, 6%, 8/1/23	295,639	295,639
JPMorgan Chase, 6%, 1/15/18	650,903	650,903
American Intl Group, 5.85%, 1/16/18	400,500	400,500
Goldman Sachs Group, 5.95%, 1/18/18	651,027	651,027
Wachovia Corp, 5.75%, 2/1/18	351,085	351,085
Oracle Corp, 5.75%, 4/15/18	606,876	606,876
Amgen Inc, 6.15%, 6/1/18	355,855	355,855
UnitedHealth Group, 1.9%, 7/16/18	600,240	600,240
Microsoft Corp, 2.7%, 2/12/25	174,731	174,731
AT&T Inc, 3.4%, 5/15/25	368,681	368,681
Comcast Corp, 3.375%, 8/15/25	282,227	282,227
IBM Corp, 3.45%, 2/19/26	284,193	284,193
Apple Inc, 3.25%, 2/23/26	280,654	280,654
Cisco Systems, 2.95%, 2/28/26	276,298	276,298
Wal-Mart Stores, 5.625%, 4/15/41	512,149	512,149
Verizon Communications, 4.75%, 11/1/41	382,297	382,297
Target Corp, 4.0%, 7/1/42	387,979	387,979
Pepsico Inc, 4.25%, 10/22/44	413,846	413,846
Philip Morris Intl, 4.25%, 11/10/44	395,273	395,273

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TY 2017 Investments Corporate Stock Schedule

Name: The Wallace Alexander Gerbode Foundation
EIN: 94-6065226
Software ID: 17005038
Software Version: 2017v2.2

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Abbvie Inc, 4,757 Shs	460,049	460,049
Alibaba Group, 1,836 Shs	33,893	33,893
Alphabet Inc, 430 Shs	452,962	452,962
Amazon, 700 Shs	818,629	818,629
Amer Intl GP, 6,343 Shs	377,916	377,916
American Tower REIT, 2,222 Shs	317,013	317,013
Apple, 3,799 Shs	642,905	642,905
Baidu, 2,067 Shs	484,112	484,112
Berkshire Hathaway CL-B, 1,574 Shs	311,998	311,998
Blackrock, 914 Shs	469,531	469,531
Bristol Meyers Squibb, 3,843 Shs	235,499	235,499
Cdn Pacific Ry Ltd, 1,000 Shs	182,760	182,760
Celgene, 2,940 Shs	306,818	306,818
China Lodging Grp Ltd, 1,293 Shs	186,748	186,748
China Mobile Ltd, 771 Shs	38,966	38,966
Coca Cola, 6,533 Shs	299,734	299,734
Constellation Brands CL-A, 2,100 Shs	479,997	479,997
Crown Castle Intl, 4,429 Shs	491,663	491,663
CTrip.com Intl, 705 Shs	31,091	31,091
Facebook CL-A, 5,375 Shs	948,472	948,472
Fedex Corp, 2,501 Shs	624,100	624,100
HDFC Bank Ltd, 1,367 Shs	138,983	138,983
Home Depot, 3,200 Shs	606,496	606,496
HSBC Holdings Plc Spon ADR, 2,730 Shs	140,977	140,977
Icici Bank Ltd, 12,945 Shs	125,955	125,955
JP Morgan Chase, 6,000 Shs	641,640	641,640
Lockheed Martin, 1,665 Shs	534,548	534,548
Lyondellbasell CL-A, 4,600 Shs	507,472	507,472
Makemytrip, 1,896 Shs	56,596	56,596
Microsoft Corp, 7,000 Shs	598,780	598,780

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Nestle Spon ADR, 4,000 Shs	343,880	343,880
New Oriental Ed & Tech Grp, 1,378 Shs	129,532	129,532
Nippon Telegraph & Telephone, 743 Shs	35,099	35,099
Oracle, 3,000 Shs	141,840	141,840
Paypal Hldgs, 12,000 Shs	883,440	883,440
Phillips 66 Com, 4,050 Shs	409,657	409,657
PT Telekomunikasi Indonesia, 2,047 Shs	65,954	65,954
Sony Corp Adr 1974, 3,100 Shs	139,345	139,345
Starbucks Corp, 546 Shs	31,357	31,357
Taiwan Smcndctr Mfg, 543 Shs	21,530	21,530
Tata Motors, 842 Shs	27,845	27,845
Tencent Hldgs Ltd, 3,313 Shs	172,011	172,011
Toyota Motor CP Adr, 269 Shs	34,209	34,209
United Technologies Corp, 2,478 Shs	316,118	316,118
Vedanta Ltd, 1,800 Shs	37,494	37,494
Visa Inc, 6,200 Shs	706,924	706,924
Weibo Corporation, 587 Shs	60,731	60,731
Wells Fargo & Co, 6,000 Shs	364,020	364,020
Yum China Hldgs, 2,700 Shs	108,054	108,054
Alexander & Baldwin Inc, 10,227 Shs	283,697	283,697
Matson Inc, 10,227 Shs	305,174	305,174
Alibaba Group, 1,954 Shs	336,928	336,928
Alphabet Inc, 353 Shs	369,379	369,379
Broadcom Ltd, 314 Shs	80,667	80,667
Gilead Science, 4,075 Shs	291,933	291,933
Johnson & Johnson, 1,119 Shs	156,347	156,347
Netflix Inc, 430 Shs	82,543	82,543
Nvidia Corp, 490 Shs	94,815	94,815
Orix Corp, 387 Shs	32,810	32,810
Subaru Corp, 1,869 Shs	29,680	29,680

TY 2017 Investments Government Obligations Schedule

Name:	The Wallace Alexander Gerbode Foundation
EIN:	94-6065226
Software ID:	17005038
Software Version:	2017v2.2
US Government Securities - End of Year Book Value:	908,681
US Government Securities - End of Year Fair Market Value:	908,681
State & Local Government Securities - End of Year Book Value:	
State & Local Government Securities - End of Year Fair Market Value:	

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TY 2017 Investments - Other Schedule

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 17005038

Software Version: 2017v2.2

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Danco Investors Group, LP	FMV	129,372	129,372
iShares MSCI India ETF, 6,605 Shs	FMV	238,242	238,242
Vanguard FTSE Europe ETF, 20,618 Shs	FMV	1,219,555	1,219,555
Wisdomtree Tr Jpn Scap Hdg, 4,091 Shs	FMV	188,595	188,595
Wisdomtree Trust Japn Hedge, 3,029 Shs	FMV	179,711	179,711
Blackrock Corp Hi Yld, 31,351 Shs	FMV	342,980	342,980
DBX ERF Tr Xtrack, 3,334 Shs	FMV	103,454	103,454
iShares MSCI Japan, 2,065 Shs	FMV	68,847	68,847
Wisdomtree Trust India, 6,219 Shs	FMV	173,137	173,137
MS Global Fixed Inc, 180,702.629 Shs	FMV	1,035,426	1,035,426
MSIF Ultra Short Inc, 257,941.359 Shs	FMV	2,581,993	2,581,993
Templeton Global Bd Fd, 57,277.803 Shs	FMV	678,742	678,742

TY 2017 Land, Etc. Schedule

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 17005038

Software Version: 2017v2.2

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Furniture and Fixtures	57,465	57,465		

TY 2017 Legal Fees Schedule

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 17005038

Software Version: 2017v2.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Legal Fees	9,448	0	0	11,242

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TY 2017 Other Assets Schedule

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 17005038

Software Version: 2017v2.2

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Accrued Income	121,352	121,869	121,869
Excise Tax Refund Receivable		78,170	78,170
Investment Distribution Receivable		25,928	25,928
Program-Related Investment	318,188	98,539	98,539

TY 2017 Other Decreases Schedule

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 17005038

Software Version: 2017v2.2

Description	Amount
Spin-off Grants to 3 Foundations	1,002,655

TY 2017 Other Expenses Schedule

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 17005038

Software Version: 2017v2.2

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Bank Service Charges	97			97
Data and List Management	5,000			5,000
Equipment Expenses	1,433			1,429
Insurance	5,743	919		4,824
Moving and Storage	746			697
Payroll Processing	1,784			1,784
Postage	831			873
Software	508			508
Supplies	797	128		699
Taxes and Licenses	4,429			4,305

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Telephone	2,193			2,245
Web Hosting	189			189

TY 2017 Other Income Schedule

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 17005038

Software Version: 2017v2.2

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Litigation Settlement	476		
PRI Investment Income	-169	-169	
PRI Ordinary Income	65,576		

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TY 2017 Other Liabilities Schedule

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 17005038

Software Version: 2017v2.2

Description	Beginning of Year - Book Value	End of Year - Book Value
Deferred Excise Tax Liability	47,170	118,662

TY 2017 Other Professional Fees Schedule

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 17005038

Software Version: 2017v2.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management Fees	188,526	188,526	0	0
IT Support	10,908	0	0	10,889
Management Fees	60,000	0	0	60,000

TY 2017 Taxes Schedule

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 17005038

Software Version: 2017v2.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Excise Tax	92,853			
Federal & State UBIT	3,172			

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	**Schedule of Contributors** ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www irs gov/form990	OMB No 1545-0047 **2017**

Name of the organization	Employer identification number
The Wallace Alexander Gerbode Foundation	94-6065226

Organization type (check one)

Filers of: **Section:**

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☑ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
The Wallace Alexander Gerbode Foundation	94-6065226

Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	The William and Hewlett Foundation 2121 Sand Hill Road Menlo Park, CA94025	$ 570,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization
The Wallace Alexander Gerbode Foundation

Employer identification number
94-6065226

Part II **Noncash Property** (See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization	**Employer identification number**
The Wallace Alexander Gerbode Foundation	94-6065226

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $**

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	**(b) Purpose of gift**	**(c) Use of gift**	**(d) Description of how gift is held**

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	**(b) Purpose of gift**	**(c) Use of gift**	**(d) Description of how gift is held**

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	**(b) Purpose of gift**	**(c) Use of gift**	**(d) Description of how gift is held**

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	**(b) Purpose of gift**	**(c) Use of gift**	**(d) Description of how gift is held**

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

The Wallace Alexander Gerbode Foundation
IRS 990 PF Attachment

2017

Organization Name	Unpaid 12/31/16	Awarded	Refunds	Paid	Cancelled	Reductions	Unpaid 12/31/17
Ashby Village Inc.		25,000		25,000			
1821 Catalina Avenue							
Berkeley, CA 94707							
Berkeley Age-Friendly Continuum Project							
Asian Americans Advancing Justice-Asian Law Caucus		40,000		40,000			
55 Columbus Avenue							
San Francisco, CA 94111							
Program Support							
Common Counsel Foundation		2,500		2,500			
1624 Franklin St #1022							
Oakland, CA 94612							
Bay Area Justice Funders Network							
Commonwealth Club of California		25,000		25,000			
555 Post Street							
San Francisco, CA 94102							
Climate One Project							
Community Initiatives		25,000		25,000			
354 Pine Street #700							
San Francisco, CA 94104-4224							
Renaissance Journalism							
Foundation Center		5,000		5,000			
312 Sutter Street, Suite 606							
San Francisco, CA 94108							
Program Support							
Grantmakers Concerned with Immigrants and Refugees		5,000		5,000			
P O Box 1100							
Sebastopol, CA 95473-1100							
Program Support							
Greenbelt Alliance		40,000		40,000			
312 Sutter Street, Suite 510							
San Francisco, CA 94108							
Program Support							

Grant Statement
Form 990-PF, Part XV, Line 3
Grants and Contributions Paid During the Year

The Wallace Alexander Gerbode Foundation
IRS 990 PF Attachment

2017

Organization Name	Unpaid 12/31/16	Awarded	Refunds	Paid	Cancelled	Reductions	Unpaid 12/31/17
Hawaii Appleseed Center for Law and Economic Justice		35,000		35,000			
119 Merchant Street, Suite 605A							
Honolulu, HI 96813							
Hawaii Budget and Policy Center							
Idris Ackamoor and Cultural Odyssey	50,000			25,000			25,000
762 Fulton Street #306							
San Francisco, CA 94102							
New Composition by Idris Ackamoor							
Intersection for the Arts	25,000			25,000			
901 Mission Street, Suite 306							
San Francisco, CA 94103							
New Work by Playwright Roger Guenveur Smith							
Kitka, Inc.	50,000			25,000			25,000
1201 Martin Luther King Jr Way, #103							
Oakland, CA 94612							
New Composition by Janet Kutulas							
Kulintang Arts, Inc.	50,000			25,000			25,000
474 Faxon Avenue							
San Francisco, CA 94112							
New Composition by Florante Aguilar							
Magic Theatre Inc.	25,000			25,000			
Fort Mason Center, Landmark Bldg D							
2 Marina Boulevard							
San Francisco, CA 94123							
New Work by Playwright Jessica Hagedorn							
Minnesota Public Radio - American Public Media		25,000		25,000			
480 Cedar Street							
Saint Paul, MN 55051							
Japanese American Internment Podcast and Radio Series							
National Center for Family Philanthropy		1,500		1,500			
1667 K Street, NW, Suite 550							
Washington, DC 20006							
Program Support							

The Wallace Alexander Gerbode Foundation
IRS 990 PF Attachment

2017

Organization Name	Unpaid 12/31/16	Awarded	Refunds	Paid	Cancelled	Reductions	Unpaid 12/31/17
Non-Profit Housing Association of Northern California		40,000		40,000			
369 Pine Street, Suite 350							
San Francisco, CA 94104							
Program Support							
Northern California Grantmakers		5,000		5,000			
160 Spear Street, Suite 360							
San Francisco, CA 94105-1543							
Program Support							
ODC	25,000						25,000
351 Shotwell Street							
San Francisco, CA 94110							
New work by Choreographer Brenda Way							
Other Minds	50,000			25,000			25,000
55 Taylor Street							
San Francisco, CA 94102							
New Composition by Brian Baumbusch							
Project Bandaloop	25,000						25,000
2201 Broadway, Suite 210							
Oakland, CA 94612							
New work by Choreographer Amelia Rudolph							
San Francisco Girls Chorus Inc.	50,000			25,000			25,000
44 Page Street, Suite 200							
San Francisco, CA 94102-5989							
New Composition by Fred Frith							
San Jose Stage Company	25,000						25,000
490 South First Street							
San Jose, CA 95113							
New Work by Playwright Luis Valdez							
Shotgun Players	25,000						25,000
1901 Ashby Avenue							
Berkeley, CA 94703							
New Work by Playwright Geetha Reddy							

2017

Organization Name	Unpaid 12/31/16	Awarded	Refunds	Paid	Cancelled	Reductions	Unpaid 12/31/17
Sonoma Ecology Center		25,000		25,000			
P O Box 1486							
Eldridge, CA 95431							
Sustainable Sonoma							
Tides Center		25,000		25,000			
PO Box 29198							
San Francisco, CA 94129-0198							
California Coalition for Reproductive Freedom							
Trust for Public Land		25,000		25,000			
1003 Bishop St , Pauahi Tower, Ste 740							
Honolulu, HI 96813							
Program Support							
Women's Audio Mission	50,000			25,000			25,000
542-544 Natoma Street, #C-1							
San Francisco, CA 94103							
New Composition by the Real Vocal String Quartet							
Women's Foundation of California		40,000		40,000			
300 Frank H Ogawa Plaza, Suite 420							
Oakland, CA 94612							
Alliance for Girls							
Working Group, The		40,000		40,000			
P O Box 70232							
Oakland, CA 94612							
Program Support							
Youth Speaks Inc.	25,000						25,000
1663 Mission Street, Suite 604							
San Francisco, CA 94103							
New Work by Playwright Paul S Flores							
Z Space Studio	25,000						25,000
450 Florida Street							
San Francisco, CA 94110							
New Work by Playwright Kate E Ryan							
Total grants listed: 32	**500,000**	**429,000**		**629,000**			**300,000**