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Form **990-PF**

**Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation**

OMB No 1545-0052

**2017**

Department of the Treasury  
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.  
▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information

Open to Public Inspection

For calendar year 2017 or tax year beginning , 2017, and ending

THE MENTAL INSIGHT FOUNDATION  
538 BROADWAY, SUITE A  
SONOMA, CA 95476

- A Employer identification number  
94-3256579
- B Telephone number (see instructions)  
(707) 938-8248
- C If exemption application is pending, check here ▶
- D 1 Foreign organizations, check here . . . . . ▶   
2 Foreign organizations meeting the 85% test, check here and attach computation . . . . . ▶
- E If private foundation status was terminated under section 507(b)(1)(A), check here . . . . . ▶
- F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . . . ▶

- G Check all that apply:
- |   |  |
|---|--|
| <input type="checkbox"/> Initial return | <input type="checkbox"/> Initial return of a former public charity |
| <input type="checkbox"/> Final return   | <input type="checkbox"/> Amended return                            |
| <input type="checkbox"/> Address change | <input type="checkbox"/> Name change                               |

- H Check type of organization:  Section 501(c)(3) exempt private foundation *of*  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

- I Fair market value of all assets at end of year (from Part II, column (c), line 16)  
▶ \$ 22,568,436.
- J Accounting method:  Cash  Accrual  
 Other (specify)

| Part III | Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).) | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|----------|--|------------------------------------|---------------------------|-------------------------|---|
| 1        | Contributions, gifts, grants, etc., received (attach schedule)   |                                    |                           |                         |   |
| 2        | Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B  |                                    |                           |                         |   |
| 3        | Interest on savings and temporary cash investments   | 156,067.                           | 156,067.                  | N/A                     |   |
| 4        | Dividends and interest from securities . . . . .   | 407,514.                           | 407,514.                  |                         |   |
| 5a       | Gross rents . . . . .  |                                    |                           |                         |   |
| b        | Net rental income or (loss)  |                                    |                           |                         |   |
| 6a       | Net gain or (loss) from sale of assets not on line 10  | 1,568,916.                         |                           |                         |   |
| b        | Gross sales price for all assets on line 6a 10,985,366.  |                                    |                           |                         |   |
| 7        | Capital gain net income (from Part IV, line 2) . . . . .   |                                    | 1,568,916.                |                         |   |
| 8        | Net short-term capital gain . . . . .  |                                    |                           |                         |   |
| 9        | Income modifications . . . . .   |                                    |                           |                         |   |
| 10a      | Gross sales less returns and allowances . . . . .  |                                    |                           |                         |   |
| b        | Less: Cost of goods sold . . . . .   |                                    |                           |                         |   |
| c        | Gross profit or (loss) (attach schedule) . . . . .   |                                    |                           |                         |   |
| 11       | Other income (attach schedule) . . . . .   |                                    |                           |                         |   |
|          | <b>SEE STATEMENT 1</b>   | 331,022.                           | 23,894.                   |                         |   |
| 12       | <b>Total.</b> Add lines 1 through 11 . . . . .   | 2,463,519.                         | 2,156,391.                |                         |   |
| 13       | Compensation of officers, directors, trustees, etc . . . . .   | 79,968.                            | 7,997.                    |                         | 71,971.   |
| 14       | Other employee salaries and wages . . . . .  |                                    |                           |                         |   |
| 15       | Pension plans, employee benefits . . . . .   |                                    |                           |                         |   |
| 16a      | Legal fees (attach schedule) <b>SEE ST 2</b>   | 188.                               |                           |                         | 188.  |
| b        | Accounting fees (attach sch) <b>SEE ST 3</b>   | 74,335.                            | 29,191.                   |                         | 45,144.   |
|          | Other professional fees (attach sch) . . . . .   |                                    |                           |                         |   |
| 17       | Interest . . . . .   |                                    |                           |                         |   |
| 18       | Taxes (attach schedule) <b>SEE STM 4</b>   | 241,425.                           | 18,813.                   |                         |   |
| 19       | Depreciation (attach schedule) and depletion . . . . .   |                                    |                           |                         |   |
| 20       | Occupancy . . . . .  |                                    |                           |                         |   |
| 21       | Travel, conferences, and meetings . . . . .  | 1,300.                             |                           |                         | 1,300.  |
| 22       | Printing and publications . . . . .  |                                    |                           |                         |   |
| 23       | Other expenses (attach schedule) . . . . .   |                                    |                           |                         |   |
|          | <b>SEE STATEMENT 5</b>   | 627,420.                           | 237,065.                  |                         | 390,355.  |
| 24       | <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .  | 1,024,636.                         | 293,066.                  |                         | 508,958.  |
| 25       | Contributions, gifts, grants paid . . . . . <b>PART XV.</b>  | 2,940,443.                         |                           |                         | 2,940,443.  |
| 26       | <b>Total expenses and disbursements.</b> Add lines 24 and 25 . . . . .   | 3,965,079.                         | 293,066.                  |                         | 3,449,401.  |
| 27       | Subtract line 26 from line 12:   |                                    |                           |                         |   |
| a        | Excess of revenue over expenses and disbursements . . . . .  | -1,501,560.                        |                           |                         |   |
| b        | Net investment income (if negative, enter -0-). . . . .  |                                    | 1,863,325.                |                         |   |
| c        | Adjusted net income (if negative, enter -0-). . . . .  |                                    |                           |                         |   |

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| Part III Balance Sheets   |  | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) |                |                       |
|---|--|--|----------------|-----------------------|
|   |  | Beginning of year  | End of year    |                       |
|   |  | (a) Book Value   | (b) Book Value | (c) Fair Market Value |
| ASSETS  | 1 Cash — non-interest-bearing  |  |                |                       |
|   | 2 Savings and temporary cash investments   | 884,110.   | 718,742.       | 718,742.              |
|   | 3 Accounts receivable  |  |                |                       |
|   | Less: allowance for doubtful accounts  |  |                |                       |
|   | 4 Pledges receivable   |  |                |                       |
|   | Less: allowance for doubtful accounts  |  |                |                       |
|   | 5 Grants receivable  |  |                |                       |
|   | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)    |  |                |                       |
|   | 7 Other notes and loans receivable (attach sch.)   |  |                |                       |
|   | Less: allowance for doubtful accounts  |  |                |                       |
|   | 8 Inventories for sale or use  |  |                |                       |
|   | 9 Prepaid expenses and deferred charges  |  |                |                       |
|   | 10a Investments — U.S. and state government obligations (attach schedule)  |  |                |                       |
|   | b Investments — corporate stock (attach schedule)  | 18,660,015.  | 19,510,674.    | 19,510,674.           |
|   | c Investments — corporate bonds (attach schedule)  |  |                |                       |
|   | 11 Investments — land, buildings, and equipment: basis   |  |                |                       |
| Less: accumulated depreciation (attach schedule)  |  |  |                |                       |
| 12 Investments — mortgage loans   |  |  |                |                       |
| 13 Investments — other (attach schedule)  | 2,519,633.   | 2,169,757.   | 2,169,757.     |                       |
| 14 Land, buildings, and equipment: basis  |  |  |                |                       |
| Less: accumulated depreciation (attach schedule)  |  |  |                |                       |
| 15 Other assets (describe ▶ SEE STATEMENT 6)  | 968,294.   | 169,263.   | 169,263.       |                       |
| 16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I). | 23,032,052.  | 22,568,436.  | 22,568,436.    |                       |
| LIABILITIES   | 17 Accounts payable and accrued expenses   | 84,600.  | 58,652.        |                       |
|   | 18 Grants payable  |  |                |                       |
|   | 19 Deferred revenue  |  |                |                       |
|   | 20 Loans from officers, directors, trustees, & other disqualified persons  |  |                |                       |
|   | 21 Mortgages and other notes payable (attach schedule)   |  |                |                       |
|   | 22 Other liabilities (describe ▶ SEE STATEMENT 7)  | 1,080,000.   | 1,340,000.     |                       |
|   | 23 Total liabilities (add lines 17 through 22)   | 1,164,600.   | 1,398,652.     |                       |
| NET ASSETS OR FUND BALANCES   | Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31. <input type="checkbox"/> |  |                |                       |
|   | 24 Unrestricted  |  |                |                       |
|   | 25 Temporarily restricted  |  |                |                       |
|   | 26 Permanently restricted  |  |                |                       |
|   | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>    |  |                |                       |
|   | 27 Capital stock, trust principal, or current funds  | 6,250,000.   | 6,250,000.     |                       |
|   | 28 Paid-in or capital surplus, or land, bldg., and equipment fund  |  |                |                       |
|   | 29 Retained earnings, accumulated income, endowment, or other funds  | 15,617,452.  | 14,919,784.    |                       |
| 30 Total net assets or fund balances (see instructions)   | 21,867,452.  | 21,169,784.  |                |                       |
| 31 Total liabilities and net assets/fund balances (see instructions)                              | 23,032,052.  | 22,568,436.  |                |                       |

Part III Analysis of Changes in Net Assets or Fund Balances

|  |   |             |
|--|---|-------------|
| 1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 21,867,452. |
| 2 Enter amount from Part I, line 27a   | 2 | -1,501,560. |
| 3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8   | 3 | 1,343,824.  |
| 4 Add lines 1, 2, and 3  | 4 | 21,709,716. |
| 5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 9   | 5 | 539,932.    |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30  | 6 | 21,169,784. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company) | (b) How acquired<br>P — Purchase<br>D — Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| 1 a SEE STATEMENT 10  |  |                                      |                                  |
| b   |  |                                      |                                  |
| c   |  |                                      |                                  |
| d   |  |                                      |                                  |
| e   |  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) ((e) plus (f) minus (g)) |
|-----------------------|---|--|---|
| a                     |   |  |   |
| b                     |   |  |   |
| c                     |   |  |   |
| d                     |   |  |   |
| e                     |   |  |   |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                   |  | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|-----------------------------------|--|---|
| (i) FMV as of 12/31/69  | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any |   |
| a   |                                   |  |   |
| b   |                                   |  |   |
| c   |                                   |  |   |
| d   |                                   |  |   |
| e   |                                   |  |   |

|   |          |            |
|---|----------|------------|
| 2 Capital gain net income or (net capital loss). <span style="float: right;">[ If gain, also enter in Part I, line 7<br/>If (loss), enter -0- in Part I, line 7 ]</span>  | <b>2</b> | 1,568,916. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 <span style="float: right;">]</span> | <b>3</b> | 178,736.   |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If 'Yes,' the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years<br>Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---------------------------------------|---|---|
| 2016  | 3,254,108.                            | 24,225,709.                               | 0.134325  |
| 2015  | 2,531,404.                            | 25,635,337.                               | 0.098747  |
| 2014  | 2,589,572.                            | 25,385,514.                               | 0.102010  |
| 2013  | 1,818,413.                            | 17,839,858.                               | 0.101930  |
| 2012  | 2,062,343.                            | 17,119,465.                               | 0.120468  |

|  |          |             |
|--|----------|-------------|
| 2 Total of line 1, column (d)  | <b>2</b> | 0.557480    |
| 3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years | <b>3</b> | 0.111496    |
| 4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5   | <b>4</b> | 23,181,182. |
| 5 Multiply line 4 by line 3  | <b>5</b> | 2,584,609.  |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)   | <b>6</b> | 18,633.     |
| 7 Add lines 5 and 6  | <b>7</b> | 2,603,242.  |
| 8 Enter qualifying distributions from Part XII, line 4   | <b>8</b> | 3,449,401.  |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)**

|   |     |         |          |
|---|-----|---------|----------|
| 1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary — see instructions) |     |         |          |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b   |     | 1       | 18,633.  |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)   |     |         |          |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)  |     | 2       | 0.       |
| 3 Add lines 1 and 2   |     | 3       | 18,633.  |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)  |     | 4       | 0.       |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-   |     | 5       | 18,633.  |
| 6 Credits/Payments:   |     |         |          |
| a 2017 estimated tax prmts and 2016 overpayment credited to 2017  | 6 a | 43,270. |          |
| b Exempt foreign organizations — tax withheld at source   | 6 b |         |          |
| c Tax paid with application for extension of time to file (Form 8868)   | 6 c | 35,000. |          |
| d Backup withholding erroneously withheld   | 6 d |         |          |
| 7 Total credits and payments. Add lines 6a through 6d   | 7   | 78,270. |          |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached  | 8   |         |          |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed   | 9   | 0.      |          |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid  | 10  | 59,637. |          |
| 11 Enter the amount of line 10 to be: Credited to 2018 estimated tax  | 11  | 59,637. | Refunded |

**Part VII Statements Regarding Activities**

|  | Yes | No |
|--|-----|----|
| 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?   |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.<br>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |     | X  |
| c Did the foundation file Form 1120-POL for this year?   |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.   |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.   |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities.   |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes   |     | X  |
| 4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?  | X   |    |
| b If 'Yes,' has it filed a tax return on Form 990-T for this year?   | X   |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T.   |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?             | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col. (c), and Part XV.   | X   |    |
| 8 a Enter the states to which the foundation reports or with which it is registered. See instructions.<br>CA   |     |    |
| b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation  | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If 'Yes,' complete Part XIV  |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses  |     | X  |

**Part VII A** Statements Regarding Activities (continued)

|    |   |                                  |     |         |
|----|---|----------------------------------|-----|---------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule. See instructions  | 11                               | Yes | No<br>X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement. See instructions   | 12                               |     | X       |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address .. <u>N/A</u>  | 13                               | X   |         |
| 14 | The books are in care of <u>VIRGINIA HUBBELL</u> Telephone no. <u>(707) 938-8248</u><br>Located at <u>538 BROADWAY, SUITE A SONOMA CA</u> ZIP + 4 <u>95476</u>  |                                  |     |         |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here<br>and enter the amount of tax-exempt interest received or accrued during the year .. <u>15</u>   | N/A <input type="checkbox"/> N/A |     |         |
| 16 | At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country <u></u> | 16                               | Yes | No<br>X |

**Part VII B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

|     |   | Yes | No  |
|-----|---|-----|-----|
| 1 a | During the year, did the foundation (either directly or indirectly):  |     |     |
| (1) | Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |     |
| (2) | Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |     |
| (3) | Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |     |
| (4) | Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |     |
| (5) | Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |     |     |
| (6) | Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |     |
| b   | If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions.<br>Organizations relying on a current notice regarding disaster assistance, check here .. <input type="checkbox"/>  | 1 b | N/A |
| c   | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017? ..  | 1 c | X   |
| 2   | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):  |     |     |
| a   | At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>   |     |     |
| b   | Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)  | 2 b | N/A |
| c   | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.<br><u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>   |     |     |
| 3 a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |     |
| b   | If 'Yes,' did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) | 3 b | N/A |
| 4 a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ..  | 4 a | X   |
| b   | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017? ..  | 4 b | X   |

**Part VII B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5 a During the year, did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No
- (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.  Yes  No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

|     | Yes | No |
|-----|-----|----|
| (1) |     |    |
| (2) |     |    |
| (3) |     |    |
| (4) |     |    |
| (5) |     |    |

b If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

Organizations relying on a current notice regarding disaster assistance, check here

5 b N/A

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

If 'Yes,' attach the statement required by Regulations section 53.4945–5(d). N/A

|   | Yes | No |
|---|-----|----|
| c |     |    |

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No

If 'Yes' to 6b, file Form 8870.

6 b X

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? N/A

|     | Yes | No |
|-----|-----|----|
| 7 a |     |    |
| 7 b |     |    |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| SEE STATEMENT 11     |   | 79,968.                                   | 0.  | 0.                                    |
| -----                |   |   |   |                                       |
| -----                |   |   |   |                                       |
| -----                |   |   |   |                                       |
| -----                |   |   |   |                                       |
| -----                |   |   |   |                                       |
| -----                |   |   |   |                                       |
| -----                |   |   |   |                                       |

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE  |   |                  |   |                                       |
| -----   |   |                  |   |                                       |
| -----   |   |                  |   |                                       |
| -----   |   |                  |   |                                       |
| -----   |   |                  |   |                                       |
| -----   |   |                  |   |                                       |
| -----   |   |                  |   |                                       |
| -----   |   |                  |   |                                       |

Total number of other employees paid over \$50,000  0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services. See instructions. If none, enter 'NONE.'

| (a) Name and address of each person paid more than \$50,000              | (b) Type of service | (c) Compensation |
|--|---------------------|------------------|
| VIRGINIA HUBBELL ASSOCIATES<br>538 BROADWAY, SUITE A<br>SONOMA, CA 95476 | FOUNDATION MGMT.    | 386,040.         |
| -----  |                     |                  |
| -----  |                     |                  |
| -----  |                     |                  |
| -----  |                     |                  |
| -----  |                     |                  |
| -----  |                     |                  |

Total number of others receiving over \$50,000 for professional services 0

**Part IX A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|                          | Expenses |
|--------------------------|----------|
| 1 NONE<br>-----<br>----- |          |
| 2<br>-----<br>-----      |          |
| 3<br>-----<br>-----      |          |
| 4<br>-----<br>-----      |          |

**Part IX B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

|   | Amount |
|---|--------|
| 1 N/A<br>-----<br>-----   |        |
| 2<br>-----<br>-----   |        |
| All other program-related investments. See instructions.<br>3<br>-----<br>----- |        |

Total. Add lines 1 through 3 0.

BAA

**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|  |            |             |
|--|------------|-------------|
| <b>1</b> Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:         |            |             |
| <b>a</b> Average monthly fair market value of securities . . . . .   | <b>1 a</b> | 20,874,066. |
| <b>b</b> Average of monthly cash balances . . . . .  | <b>1 b</b> | 749,338.    |
| <b>c</b> Fair market value of all other assets (see instructions) . . . . .  | <b>1 c</b> | 1,910,791.  |
| <b>d Total</b> (add lines 1a, b, and c) . . . . .  | <b>1 d</b> | 23,534,195. |
| <b>e</b> Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . . | <b>1 e</b> | 0.          |
| <b>2</b> Acquisition indebtedness applicable to line 1 assets . . . . .  | <b>2</b>   | 0.          |
| <b>3</b> Subtract line 2 from line 1d. . . . .   | <b>3</b>   | 23,534,195. |
| <b>4</b> Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions) . . . . . | <b>4</b>   | 353,013.    |
| <b>5</b> Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4. . . . .       | <b>5</b>   | 23,181,182. |
| <b>6</b> Minimum investment return. Enter 5% of line 5. . . . .  | <b>6</b>   | 1,159,059.  |

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part.)

|   |            |            |
|---|------------|------------|
| <b>1</b> Minimum investment return from Part X, line 6 . . . . .  | <b>1</b>   | 1,159,059. |
| <b>2a</b> Tax on investment income for 2017 from Part VI, line 5 . . . . .  | <b>2 a</b> | 18,633.    |
| <b>b</b> Income tax for 2017. (This does not include the tax from Part VI.) . . . . .                                 | <b>2 b</b> |            |
| <b>c</b> Add lines 2a and 2b . . . . .  | <b>2 c</b> | 18,633.    |
| <b>3</b> Distributable amount before adjustments. Subtract line 2c from line 1. . . . .                               | <b>3</b>   | 1,140,426. |
| <b>4</b> Recoveries of amounts treated as qualifying distributions. . . . .   | <b>4</b>   |            |
| <b>5</b> Add lines 3 and 4 . . . . .  | <b>5</b>   | 1,140,426. |
| <b>6</b> Deduction from distributable amount (see instructions) . . . . .   | <b>6</b>   |            |
| <b>7</b> Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 . . . . . | <b>7</b>   | 1,140,426. |

**Part XII** Qualifying Distributions (see instructions)

|  |            |            |
|--|------------|------------|
| <b>1</b> Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:  |            |            |
| <b>a</b> Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26 . . . . .   | <b>1 a</b> | 3,449,401. |
| <b>b</b> Program-related investments — total from Part IX-B . . . . .  | <b>1 b</b> |            |
| <b>2</b> Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .   | <b>2</b>   |            |
| <b>3</b> Amounts set aside for specific charitable projects that satisfy the:  |            |            |
| <b>a</b> Suitability test (prior IRS approval required) . . . . .  | <b>3 a</b> |            |
| <b>b</b> Cash distribution test (attach the required schedule) . . . . .   | <b>3 b</b> |            |
| <b>4</b> Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .  | <b>4</b>   | 3,449,401. |
| <b>5</b> Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions . . . . . | <b>5</b>   | 18,633.    |
| <b>6</b> Adjusted qualifying distributions. Subtract line 5 from line 4 . . . . .  | <b>6</b>   | 3,430,768. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

|  | (a)<br>Corpus | (b)<br>Years prior to 2016 | (c)<br>2016 | (d)<br>2017 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2017 from Part XI, line 7.  |               |                            |             | 1,140,426.  |
| 2 Undistributed income, if any, as of the end of 2017:   |               |                            |             |             |
| a Enter amount for 2016 only   |               |                            | 0.          |             |
| b Total for prior years: 20__, 20__, 20__  |               | 0.                         |             |             |
| 3 Excess distributions carryover, if any, to 2017:   |               |                            |             |             |
| a From 2012  | 1,217,016.    |                            |             |             |
| b From 2013  | 938,016.      |                            |             |             |
| c From 2014  | 1,339,552.    |                            |             |             |
| d From 2015  | 1,609,918.    |                            |             |             |
| e From 2016  | 2,045,833.    |                            |             |             |
| f Total of lines 3a through e  | 7,150,335.    |                            |             |             |
| 4 Qualifying distributions for 2017 from Part XII, line 4: \$ 3,449,401.   |               |                            |             |             |
| a Applied to 2016, but not more than line 2a   |               |                            | 0.          |             |
| b Applied to undistributed income of prior years (Election required - see instructions)  |               | 0.                         |             |             |
| c Treated as distributions out of corpus (Election required - see instructions)  | 0.            |                            |             |             |
| d Applied to 2017 distributable amount   |               |                            |             | 1,140,426.  |
| e Remaining amount distributed out of corpus.  | 2,308,975.    |                            |             |             |
| 5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a).)  | 0.            |                            |             | 0.          |
| 6 Enter the net total of each column as indicated below:   |               |                            |             |             |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5  | 9,459,310.    |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b   |               | 0.                         |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| d Subtract line 6c from line 6b. Taxable amount - see instructions   |               | 0.                         |             |             |
| e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount - see instructions  |               |                            | 0.          |             |
| f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018  |               |                            |             | 0.          |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)       | 0.            |                            |             |             |
| 8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)  | 1,217,016.    |                            |             |             |
| 9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a  | 8,242,294.    |                            |             |             |
| 10 Analysis of line 9:   |               |                            |             |             |
| a Excess from 2013   | 938,016.      |                            |             |             |
| b Excess from 2014   | 1,339,552.    |                            |             |             |
| c Excess from 2015   | 1,609,918.    |                            |             |             |
| d Excess from 2016   | 2,045,833.    |                            |             |             |
| e Excess from 2017   | 2,308,975.    |                            |             |             |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

| Tax year   | Prior 3 years |          |          | (e) Total |
|--|---------------|----------|----------|-----------|
|  | (a) 2017      | (b) 2016 | (c) 2015 |           |
| b 85% of line 2a.  |               |          |          |           |
| c Qualifying distributions from Part XII, line 4 for each year listed . . . . .  |               |          |          |           |
| d Amounts included in line 2c not used directly for active conduct of exempt activities. . . . .   |               |          |          |           |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c                                    |               |          |          |           |
| 3 Complete 3a, b, or c for the alternative test relied upon:   |               |          |          |           |
| a 'Assets' alternative test - enter:   |               |          |          |           |
| (1) Value of all assets  |               |          |          |           |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i)  |               |          |          |           |
| b 'Endowment' alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed                               |               |          |          |           |
| c 'Support' alternative test - enter:  |               |          |          |           |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). |               |          |          |           |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).                                      |               |          |          |           |
| (3) Largest amount of support from an exempt organization . . . . .  |               |          |          |           |
| (4) Gross investment income  |               |          |          |           |

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**  
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)  
 NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.  
 NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**  
 Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 12

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT FOR LINE 2A

c Any submission deadlines:

SEE STATEMENT FOR LINE 2A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT FOR LINE 2A

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient   | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount            |
|---|---|--------------------------------|----------------------------------|-------------------|
| Name and address (home or business)               |   |                                |                                  |                   |
| a Paid during the year<br>SEE STATEMENT 13        |   |                                |                                  |                   |
| <b>Total</b>                                      |   |                                | ▶ <b>3a</b>                      | <b>2,940,443.</b> |
| b Approved for future payment<br>SEE STATEMENT 14 |   |                                |                                  |                   |
| <b>Total</b>                                      |   |                                | ▶ <b>3b</b>                      | <b>1,340,000.</b> |





**STATEMENT 1  
FORM 990-PF, PART I, LINE 11  
OTHER INCOME**

|                                     | (A)<br>REVENUE<br>PER BOOKS | (B) NET<br>INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------------------------|-----------------------------|---------------------------------|-------------------------------|
| FEDERAL TAX REFUND . . . . .        | \$ 193,270.                 |                                 |                               |
| STATE TAX REFUND . . . . .          | 74,633.                     |                                 |                               |
| KIMPTON HOTEL GROUP, LLC . . . . .  | 38,076.                     | \$ 2,363.                       |                               |
| WASH SALES . . . . .                | 16,951.                     | 16,951.                         |                               |
| PRIVATE EQUITY CORE FD. 3 . . . . . | 6,104.                      | 4,200.                          |                               |
| PRIVATE EQUITY CORE FD. 5 . . . . . | 1,965.                      | 357.                            |                               |
| MAVERON EQUITY PTNRS. . . . .       | 23.                         | 23.                             |                               |
| <b>TOTAL</b>                        | <b>\$ 331,022.</b>          | <b>\$ 23,894.</b>               | <b>\$ 0.</b>                  |

**STATEMENT 2  
FORM 990-PF, PART I, LINE 16A  
LEGAL FEES**

|                      | (A)<br>EXPENSES<br>PER BOOKS | (B) NET<br>INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|----------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| LEGAL FEES . . . . . | \$ 188.                      |                                 |                               | \$ 188.                       |
| <b>TOTAL</b>         | <b>\$ 188.</b>               | <b>\$ 0.</b>                    |                               | <b>\$ 188.</b>                |

**STATEMENT 3  
FORM 990-PF, PART I, LINE 16B  
ACCOUNTING FEES**

|                      | (A)<br>EXPENSES<br>PER BOOKS | (B) NET<br>INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|----------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| ACCOUNTING . . . . . | \$ 74,335.                   | \$ 29,191.                      |                               | \$ 45,144.                    |
| <b>TOTAL</b>         | <b>\$ 74,335.</b>            | <b>\$ 29,191.</b>               |                               | <b>\$ 45,144.</b>             |

**STATEMENT 4  
FORM 990-PF, PART I, LINE 18  
TAXES**

|                             | (A)<br>EXPENSES<br>PER BOOKS | (B) NET<br>INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-----------------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| FEDERAL TAX . . . . .       | \$ 193,270.                  |                                 |                               |                               |
| STATE TAX . . . . .         | 29,292.                      |                                 |                               |                               |
| FOREIGN TAXES . . . . .     | 18,813.                      | \$ 18,813.                      |                               |                               |
| KGH COMPOSITE TAX . . . . . | 50.                          |                                 |                               |                               |
| <b>TOTAL</b>                | <b>\$ 241,425.</b>           | <b>\$ 18,813.</b>               |                               | <b>\$ 0.</b>                  |

## THE MENTAL INSIGHT FOUNDATION

94-3256579

**STATEMENT 5**  
**FORM 990-PF, PART I, LINE 23**  
**OTHER EXPENSES**

|                                       | (A)<br>EXPENSES<br>PER BOOKS | (B) NET<br>INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|---------------------------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| NT PRIVATE EQUITY FUND III DEDUCTIONS |                              |                                 |                               |                               |
|                                       | \$ 14,318.                   | \$ 14,318.                      |                               |                               |
| MAVERON EQUITY PARTNER DEDUCTIONS     | 32.                          | 32.                             |                               |                               |
| KGH LLC, DEDUCTIONS..                 | 1,109.                       | 1,109.                          |                               |                               |
| NT PRIVATE EQUITY FUND V DEDUCTIONS   |                              |                                 |                               |                               |
|                                       | 23,370.                      | 23,370.                         |                               |                               |
| UBS MANAGEMENT FEES..                 | 198,230.                     | 198,230.                        |                               |                               |
| FOUNDATION ADMINISTRATION.....        | 386,040.                     |                                 |                               | \$ 386,040.                   |
| ADMINISTRATIVE .....                  | 328.                         |                                 |                               | 328.                          |
| INSURANCE .....                       | 3,987.                       |                                 |                               | 3,987.                        |
| BANK CHARGES .....                    | 6.                           | 6.                              |                               |                               |
| <b>TOTAL</b>                          | <b>\$ 627,420.</b>           | <b>\$ 237,065.</b>              |                               | <b>\$ 390,355.</b>            |

**STATEMENT 6**  
**FORM 990-PF, PART II, LINE 15**  
**OTHER ASSETS**

|                          | BOOK VALUE         | FAIR MARKET<br>VALUE |
|--------------------------|--------------------|----------------------|
| PREPAID INCOME TAX ..... | \$ 169,263.        | \$ 169,263.          |
| <b>TOTAL</b>             | <b>\$ 169,263.</b> | <b>\$ 169,263.</b>   |

**STATEMENT 7**  
**FORM 990-PF, PART II, LINE 22**  
**OTHER LIABILITIES**

|                        |                      |
|------------------------|----------------------|
| DONATION PAYABLE ..... | \$ 1,340,000.        |
| <b>TOTAL</b>           | <b>\$ 1,340,000.</b> |

**STATEMENT 8**  
**FORM 990-PF, PART III, LINE 3**  
**OTHER INCREASES**

|  |                      |
|--|----------------------|
| OTHER K-1 INVEMENT DIFFERENCES..                   | \$ 117,677.          |
| FEDERAL TAX BOOK TO TAX .....                      | 181,128.             |
| STATE TAX BOOK TO TAX .....                        | 27,740.              |
| KGH, LLC COMPOSITE TAX .....                       | 50.                  |
| KGH, LLC BOOK TO TAX .....                         | 1,613.               |
| MAVERON EQUITY PARTNERS .....                      | 572.                 |
| UBS 10127 BOOK TO TAX..                            | 8,257.               |
| UBS 14446 BOOK TO TAX .....                        | 184.                 |
| UBS 10234 BOOK TO TAX .....                        | 2.                   |
| UBS 10127 BOOK TO TAX .....                        | 512.                 |
| NET UNREALIZED GAINS OR LOSSES ON INVESTMENTS..... | 1,006,089.           |
| <b>TOTAL</b>                                       | <b>\$ 1,343,824.</b> |

**STATEMENT 9  
FORM 990-PF, PART III, LINE 5  
OTHER DECREASES**

|                        |                    |
|------------------------|--------------------|
| UBS 01171 BOOK TO TAX  | \$ 470.            |
| UBS 12511 BOOK TO TAX  | 1,659.             |
| MA INTEREST PER TAX    | 18.                |
| IRS INTEREST PER TAX   | 9,882.             |
| FEDERAL REFUND PER TAX | 193,270.           |
| STATE REFUND PER TAX   | 74,633.            |
| GRANTS PAID BOOK-TAX   | 260,000.           |
| <b>TOTAL</b>           | <b>\$ 539,932.</b> |

**STATEMENT 10  
FORM 990-PF, PART IV, LINE 1  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| ITEM | (A) DESCRIPTION                          | (B) HOW ACQUIRED | (C) DATE ACQUIRED | (D) DATE SOLD |
|------|--|------------------|-------------------|---------------|
| 1    | UBS 10236                                | PURCHASED        | VARIOUS           | VARIOUS       |
| 2    | UBS 10236                                | PURCHASED        | VARIOUS           | VARIOUS       |
| 3    | UBS 01171                                | PURCHASED        | VARIOUS           | VARIOUS       |
| 4    | UBS 01171                                | PURCHASED        | VARIOUS           | VARIOUS       |
| 5    | UBS 10234                                | PURCHASED        | VARIOUS           | VARIOUS       |
| 6    | UBS 10234                                | PURCHASED        | VARIOUS           | VARIOUS       |
| 7    | UBS 10127                                | PURCHASED        | VARIOUS           | VARIOUS       |
| 8    | UBS 10127                                | PURCHASED        | VARIOUS           | VARIOUS       |
| 9    | UBS 10235                                | PURCHASED        | VARIOUS           | VARIOUS       |
| 10   | UBS 10235                                | PURCHASED        | VARIOUS           | VARIOUS       |
| 11   | UBS 10128                                | PURCHASED        | VARIOUS           | VARIOUS       |
| 12   | UBS 12511                                | PURCHASED        | VARIOUS           | VARIOUS       |
| 13   | UBS 12511                                | PURCHASED        | VARIOUS           | VARIOUS       |
| 14   | UBS 12511                                | PURCHASED        | VARIOUS           | VARIOUS       |
| 15   | UBS 14446                                | PURCHASED        | VARIOUS           | VARIOUS       |
| 16   | PRIVATE EQUITY CORE FUND III, LP PER K-1 | PURCHASED        | VARIOUS           | VARIOUS       |
| 17   | PRIVATE EQUITY CORE FUND III, LP PER K-1 | PURCHASED        | VARIOUS           | VARIOUS       |
| 18   | MAVERON EQUITY PARTNERS 2000 PER K-1     | PURCHASED        | VARIOUS           | VARIOUS       |
| 19   | KIMPTON GROUP HOLDING, LLC PER K-1       | PURCHASED        | VARIOUS           | VARIOUS       |
| 20   | PRIVATE EQUITY CORE FUND V, LP PER K-1   | PURCHASED        | VARIOUS           | VARIOUS       |
| 21   | PRIVATE EQUITY CORE FUND V, LP PER K-1   | PURCHASED        | VARIOUS           | VARIOUS       |
| 22   | CAPITAL GAIN DIVIDENDS                   |                  |                   |               |

| ITEM | (E) GROSS SALES | (F) DEPREC. ALLOWED | (G) COST BASIS | (H) GAIN (LOSS) | (I) FMV 12/31/69 | (J) ADJ. BAS. 12/31/69 | (K) EXCESS (I) - (J) | (L) GAIN (LOSS) |
|------|-----------------|---------------------|----------------|-----------------|------------------|------------------------|----------------------|-----------------|
| 1    | 288,267.        |                     | 245,780.       | 42,487.         |                  |                        |                      | \$ 42,487.      |
| 2    | 507,784.        |                     | 417,154.       | 90,630.         |                  |                        |                      | 90,630.         |
| 3    | 426,356.        |                     | 389,887.       | 36,469.         |                  |                        |                      | 36,469.         |
| 4    | 2493689.        |                     | 1785958.       | 707,731.        |                  |                        |                      | 707,731.        |
| 5    | 85,410.         |                     | 80,189.        | 5,221.          |                  |                        |                      | 5,221.          |
| 6    | 3637644.        |                     | 3317792.       | 319,852.        |                  |                        |                      | 319,852.        |
| 7    | 862,760.        |                     | 800,398.       | 62,362.         |                  |                        |                      | 62,362.         |
| 8    | 348,300.        |                     | 314,149.       | 34,151.         |                  |                        |                      | 34,151.         |
| 9    | 223,827.        |                     | 224,076.       | -249.           |                  |                        |                      | -249.           |
| 10   | 447,185.        |                     | 445,452.       | 1,733.          |                  |                        |                      | 1,733.          |
| 11   | 600,000.        |                     | 599,619.       | 381.            |                  |                        |                      | 381.            |
| 12   | 457,402.        |                     | 427,921.       | 29,481.         |                  |                        |                      | 29,481.         |
| 13   | 424,621.        |                     | 349,630.       | 74,991.         |                  |                        |                      | 74,991.         |
| 14   | 806.            |                     | 0.             | 806.            |                  |                        |                      | 806.            |

## THE MENTAL INSIGHT FOUNDATION

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STATEMENT 10 (CONTINUED)  
FORM 990-PF, PART IV, LINE 1  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| ITEM | (E)<br>GROSS<br>SALES | (F)<br>DEPREC.<br>ALLOWED | (G)<br>COST<br>BASIS | (H)<br>GAIN<br>(LOSS) | (I)<br>FMV<br>12/31/69 | (J)<br>ADJ. BAS.<br>12/31/69 | (K)<br>EXCESS<br>(I) - (J) | (L)<br>GAIN<br>(LOSS) |
|------|-----------------------|---------------------------|----------------------|-----------------------|------------------------|------------------------------|----------------------------|-----------------------|
| 15   | 17,536.               |                           | 17,904.              | -368.                 |                        |                              |                            | \$ -368.              |
| 16   | 2,484.                |                           | 0.                   | 2,484.                |                        |                              |                            | 2,484.                |
| 17   | 74,023.               |                           | 0.                   | 74,023.               |                        |                              |                            | 74,023.               |
| 18   | 0.                    |                           | 541.                 | -541.                 |                        |                              |                            | -541.                 |
| 19   | 54,869.               |                           | 0.                   | 54,869.               |                        |                              |                            | 54,869.               |
| 20   | 468.                  |                           | 0.                   | 468.                  |                        |                              |                            | 468.                  |
| 21   | 25,342.               |                           | 0.                   | 25,342.               |                        |                              |                            | 25,342.               |
| 22   |                       |                           |                      |                       |                        |                              |                            | 6,593.                |
|      |                       |                           |                      |                       |                        |                              |                            | TOTAL \$ 1568916.     |

STATEMENT 11  
FORM 990-PF, PART VIII, LINE 1  
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

| NAME AND ADDRESS  | TITLE AND<br>AVERAGE HOURS<br>PER WEEK DEVOTED | COMPEN-<br>SATION | CONTRI-<br>BUTION TO<br>EBP & DC | EXPENSE<br>ACCOUNT/<br>OTHER |
|---|--|-------------------|----------------------------------|------------------------------|
| DAVID HERSKOVITS<br>43 S. PORTLAND AVE<br>BROOKLYN, NY 11217            | PRESIDENT<br>1.00                              | \$ 0.             | \$ 0.                            | \$ 0.                        |
| ROBERT P. BUNJE<br>349 SAILFISH ISLE<br>FOSTER CITY, CA 94404           | TREASURER<br>8.00                              | 39,984.           | 0.                               | 0.                           |
| ISABELLE KIMPTON<br>101 CEDAR DRIVE #24<br>INCLINE VILLAGE, NV 89451    | SECRETARY<br>1.00                              | 0.                | 0.                               | 0.                           |
| BARRY BUNSHOFT<br>1 MARKET ST., 20TH FLOOR<br>SAN FRANCISCO, CA 94105   | DIRECTOR<br>8.00                               | 39,984.           | 0.                               | 0.                           |
| JENNIFER CATHERINE EGAN<br>43 SOUTH PORTLAND AVE.<br>BROOKLYN, NY 11217 | DIRECTOR<br>1.00                               | 0.                | 0.                               | 0.                           |
| LAURA KIMPTON<br>218 LINNIE CANAL<br>VENICE, CA 90291                   | DIRECTOR<br>1.00                               | 0.                | 0.                               | 0.                           |
| KAY KIMPTON WALKER<br>2620 JACKSON<br>SAN FRANCISCO, CA 94115           | CHAIR-AUDIT COM<br>1.00                        | 0.                | 0.                               | 0.                           |
|   | TOTAL  | \$ 79,968.        | \$ 0.                            | \$ 0.                        |

## THE MENTAL INSIGHT FOUNDATION

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**STATEMENT 12**  
**FORM 990-PF, PART XV, LINE 2A-D**  
**APPLICATION SUBMISSION INFORMATION**

NAME OF GRANT PROGRAM:  
 NAME: BOARD OF DIRECTORS  
 CARE OF: MENTAL INSIGHT FOUNDATION  
 STREET ADDRESS: 538 BROADWAY, SUITE A  
 CITY, STATE, ZIP CODE: SONOMA, CA 95476  
 TELEPHONE: 707 938-8248  
 E-MAIL ADDRESS:  
 FORM AND CONTENT: WRITTEN APPLICATION  
 SUBMISSION DEADLINES: NONE  
 RESTRICTIONS ON AWARDS: SEE STATEMENT

**STATEMENT 13**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

| <u>NAME AND ADDRESS</u>  | <u>DONEE<br/>RELATIONSHIP</u> | <u>FOUND-<br/>ATION<br/>STATUS</u> | <u>PURPOSE OF<br/>GRANT</u> | <u>AMOUNT</u> |
|--|-------------------------------|------------------------------------|-----------------------------|---------------|
| HOFFMAN INSTITUTE<br>223 SAN ANSELMO STE 4<br>SAN ANSELMO CA 94901                   | NONE                          | PC                                 | CHARITABLE                  | \$ 50,000.    |
| S.F. ART INSTITUTE<br>135 VAN NESS AVE<br>SAN FRANCISCO CA 94102                     | NONE                          | PC                                 | CHARITABLE                  | 15,000.       |
| AMAZON WATCH<br>1 HAIGHT ST. STE B<br>SAN FRANCISCO CA 94102                         | NONE                          | PC                                 | CHARITABLE                  | 30,000.       |
| MARIN HUMANE SOCIETY<br>171 BEL MARIN KEYS BLVD.<br>NOVATO CA 94949                  | NONE                          | PC                                 | CHARITABLE                  | 25,000.       |
| SERVICE WOMEN'S ACTION<br>NETWORK<br>1779 MASSACHUSETTS AVE.<br>WASHINGTON DC 20036  | NONE                          | PC                                 | CHARITABLE                  | 30,000.       |
| PIE HOLE-FRACTURED ATLAS<br>248 WEST 35TH STREET, 10TH<br>FLOOR<br>NEW YORK NY 10001 | NONE                          | PC                                 | CHARITABLE                  | 1,000.        |
| COALITION FOR HOMELESS,<br>INC.<br>89 CHAMBERS ST.<br>NEW YORK NY 10038              | NONE                          | PC                                 | CHARITABLE                  | 50,000.       |

## THE MENTAL INSIGHT FOUNDATION

94-3256579

STATEMENT 13 (CONTINUED)  
 FORM 990-PF, PART XV, LINE 3A  
 RECIPIENT PAID DURING THE YEAR

| NAME AND ADDRESS   | DONEE<br>RELATIONSHIP | FOUND-<br>ATION<br>STATUS | PURPOSE OF<br>GRANT | AMOUNT     |
|--|-----------------------|---------------------------|---------------------|------------|
| CENTER FOR MIND BODY<br>MEDICINE<br>5225 CONNECTICUT AVE NW<br>#414<br>WASHINGTON DC 20015         | NONE                  | PC                        | CHARITABLE          | \$ 15,000. |
| SAN FRANCISCO PUBLIC HEALTH<br>FOUNDATION<br>375 LAGUNA HONDA BLVD. B303<br>SAN FRANCISCO CA 94116 | NONE                  | PC                        | CHARITABLE          | 30,000.    |
| THEATER ET AL INC<br>5-39 49TH AVE<br>LONG ISLAND NY 11101   | NONE                  | PC                        | CHARITABLE          | 50,000.    |
| BRIDGE OVER TROUBLED WATERS<br>47 WEST STREET<br>BOSTON MA 02111                                   | NONE                  | PC                        | CHARITABLE          | 15,000.    |
| AT THE CROSSROADS<br>333 VALENCIA ST., SUITE 320<br>SAN FRANCISCO CA 94103                         | NONE                  | PC                        | CHARITABLE          | 50,000.    |
| EARTH ISLAND INSTITUTE<br>300 BROADWAY, SUITE 28<br>SAN FRANCISCO CA 94133                         | NONE                  | PC                        | CHARITABLE          | 80,000.    |
| FRIENDS OF PALM SPRINGS<br>ANIMAL SHELTER<br>4575 E. MESQUITE AVE.<br>PALM SPRINGS CA 92264        | NONE                  | PC                        | CHARITABLE          | 70,000.    |
| FARM SANCTUARY INC.<br>P.O. BOX 150<br>WATKINS GLEN NY 14891                                       | NONE                  | PC                        | CHARITABLE          | 15,000.    |
| FOOD RUNNERS<br>2579 WASHINGTON STREET<br>SAN FRANCISCO CA 94115                                   | NONE                  | PC                        | CHARITABLE          | 25,000.    |
| HOSPITALITY HOMES<br>P.O. BOX 15265<br>BOSTON MA 02215   | NONE                  | PC                        | CHARITABLE          | 35,000.    |
| SISTER SYLVESTER-FRACTURED<br>ATLAS<br>15 MAIDEN LANE, FLOOR 18<br>NEW YORK NY 10038               | NONE                  | PC                        | CHARITABLE          | 1,000.     |
| SWORDS TO PLOWSHARES<br>1060 HOWARD STREET<br>SAN FRANCISCO CA 94103                               | NONE                  | PC                        | CHARITABLE          | 30,000.    |

## THE MENTAL INSIGHT FOUNDATION

94-3256579

STATEMENT 13 (CONTINUED)  
 FORM 990-PF, PART XV, LINE 3A  
 RECIPIENT PAID DURING THE YEAR

| NAME AND ADDRESS   | DONEE<br>RELATIONSHIP | FOUND-<br>ATION<br>STATUS | PURPOSE OF<br>GRANT | AMOUNT     |
|--|-----------------------|---------------------------|---------------------|------------|
| HALLECK CREEK RANCH<br>174 OLD RANCHERIA RD<br>NICASIO CA 94946                                | NONE                  | PC                        | CHARITABLE          | \$ 15,000. |
| FOREVER FREE HORSE RESCUE<br>78450 AVENUE 41<br>BERMUDA DUNES CA 92203                         | NONE                  | PC                        | CHARITABLE          | 20,000.    |
| SKY'S THE LIMIT FUND<br>510A VALLEY WAY<br>MILPITAS CA 95035                                   | NONE                  | PC                        | CHARITABLE          | 15,000.    |
| BUSHWICK STARR, INC.<br>207 STARR STREET<br>BROOKLYN NY 11237                                  | NONE                  | PC                        | CHARITABLE          | 15,000.    |
| MULTIDISCIPLINARY<br>ASSOCIATION<br>1215 MISSION STREET<br>SANTA CRUZ CA 95060                 | NONE                  | PC                        | CHARITABLE          | 100,000.   |
| BRIDGE FUND OF NEW YORK<br>CITY, INC.<br>271 MADISON AVENUE, SUITE<br>907<br>NEW YORK NY 10016 | NONE                  | PC                        | CHARITABLE          | 50,000.    |
| OCEAN FOUNDATION<br>1320 19TH ST, NW 5TH FLOOR<br>WASHINGTON DC 20036                          | NONE                  | PC                        | CHARITABLE          | 30,000.    |
| RIVERKEEPER<br>20 SECOR ROAD<br>OSSINING NY 10562  | NONE                  | PC                        | CHARITABLE          | 50,000.    |
| CENTER FOR WELLNESS AND<br>ACHIEVEMENT<br>401 VAN NESS STE. 319<br>SAN FRANCISCO CA 94102      | NONE                  | PC                        | CHARITABLE          | 15,000.    |
| FARMER-VETERAN COALITION<br>508 2ND ST. #206<br>DAVIS CA 95616                                 | NONE                  | PC                        | CHARITABLE          | 30,000.    |
| ANIMAL RESCUE CORPS<br>1380 MONROE ST. NW #326<br>WASHINGTON DC 20010                          | NONE                  | PC                        | CHARITABLE          | 80,000.    |
| GIVE BACK YOGA FOUNDATION<br>900 BASELINE ROAD 13B<br>BOULDER CO 80302                         | NONE                  | PC                        | CHARITABLE          | 30,000.    |

## THE MENTAL INSIGHT FOUNDATION

94-3256579

STATEMENT 13 (CONTINUED)  
 FORM 990-PF, PART XV, LINE 3A  
 RECIPIENT PAID DURING THE YEAR

| NAME AND ADDRESS   | DONEE<br>RELATIONSHIP | FOUND-<br>ATION<br>STATUS | PURPOSE OF<br>GRANT | AMOUNT     |
|--|-----------------------|---------------------------|---------------------|------------|
| GROCERYSHIPS<br>1101 W. 23RD ST.<br>LOS ANGELES CA 90007                                       | NONE                  | PC                        | CHARITABLE          | \$ 30,000. |
| HAMILTON FAMILY CENTER<br>1631 HAYES ST.<br>SAN FRANCISCO CA 94117                             | NONE                  | PC                        | CHARITABLE          | 30,000.    |
| HOME FOR CONTEMP. THEATRE &<br>ART<br>145 6TH AVE.<br>NEW YORK NY 10013                        | NONE                  | PC                        | CHARITABLE          | 77,500.    |
| HOMELESS CHILDREN'S NEWTORK<br>3450 3RD ST. #1C<br>SAN FRANCISCO CA 94124                      | NONE                  | PC                        | CHARITABLE          | 30,000.    |
| HOMELESS PRENATAL PROGRAM<br>2500 18TH STREET<br>SAN FRANCISCO CA 94110                        | NONE                  | PC                        | CHARITABLE          | 15,000.    |
| INQUIRING SYSTEMS, INC.<br>21885 BONNESS RD.<br>SONOMA CA 95476                                | NONE                  | PC                        | CHARITABLE          | 150,000.   |
| INSTITUTE FOR INTEGRATIVE<br>HEALTH<br>1407 FLEET ST.<br>BALTIMORE MD 21231                    | NONE                  | PC                        | CHARITABLE          | 100,000.   |
| IRAQ & AFGANISTAN VETS OF<br>AMERICA<br>114 W. 41ST STREET, 19TH<br>FLOOR<br>NEW YORK NY 10036 | NONE                  | PC                        | CHARITABLE          | 50,000.    |
| JERICO PROJECT<br>51 GOLDEN ASTER CT.<br>BRISBANE CA 94005                                     | NONE                  | PC                        | CHARITABLE          | 17,045.    |
| PROJECT AVARY<br>1623 5TH AVE.<br>SAN RAFAEL CA 94901  | NONE                  | PC                        | CHARITABLE          | 25,000.    |
| PROJECT BREAD<br>145 BORDER ST.<br>BOSTON MA 02128   | NONE                  | PC                        | CHARITABLE          | 30,000.    |
| RAW ART WORKS<br>37 CENTRAL SQUARE #2<br>LYNN MA 01901   | NONE                  | PC                        | CHARITABLE          | 30,000.    |

## THE MENTAL INSIGHT FOUNDATION

94-3256579

STATEMENT 13 (CONTINUED)  
 FORM 990-PF, PART XV, LINE 3A  
 RECIPIENT PAID DURING THE YEAR

| NAME AND ADDRESS   | DONEE<br>RELATIONSHIP | FOUND-<br>ATION<br>STATUS | PURPOSE OF<br>GRANT | AMOUNT     |
|--|-----------------------|---------------------------|---------------------|------------|
| REDFORD CENTER<br>P.O. BOX 29144<br>SAN FRANCISCO CA 94129                               | NONE                  | PC                        | CHARITABLE          | \$ 30,000. |
| SPIRIT ROCK MEDITATION<br>CENTER<br>5000 SIR FRANCIS DRAKE<br>BLVD.<br>WOODACRE CA 94973 | NONE                  | PC                        | CHARITABLE          | 50,000.    |
| UCSF FOUNDATION<br>1545 DIVISADERO ST.<br>SAN FRANCISCO CA 94115                         | NONE                  | PC                        | CHARITABLE          | 80,000.    |
| WOMEN'S LUNCH PLACE<br>67 NEWBURY STREET<br>BOSTON MA 02116                              | NONE                  | PC                        | CHARITABLE          | 15,000.    |
| AWEN GROVE<br>67 ROCCA DRIVE<br>FAIRFAX CA 94930   | NONE                  | PC                        | CHARITABLE          | 15,000.    |
| BOULDER CREST RETREAT<br>18370 BLUEMONT VILLAGE LN.<br>BLUEMONT VA 20135                 | NONE                  | PC                        | CHARITABLE          | 80,000.    |
| CARBON CYCLE INSTITUTE<br>245 KENTUCKY ST., STE. D<br>PETALUMA CA 94952                  | NONE                  | PC                        | CHARITABLE          | 50,000.    |
| A HOST OF PEOPLE - MIAMI<br>FUND<br>40 NW 3RD STREET, STE. 305<br>MIAMI FL 33128         | NONE                  | PC                        | CHARITABLE          | 2,000.     |
| ALLIANCE OF RESIDENT<br>THEATRES/NY<br>520 EIGHTH AVE. #119<br>NEW YORK NY 10018         | NONE                  | PC                        | CHARITABLE          | 10,000.    |
| ANIMAL LEGAL DEFENSE FUND<br>525 E COTATI AVE.<br>COTATI CA 94931                        | NONE                  | PC                        | CHARITABLE          | 50,000.    |
| BAY CHAMBER CONCERTS<br>18 CENTRAL ST.<br>ROCKPORT ME 04856                              | NONE                  | PC                        | CHARITABLE          | 1,000.     |
| BURAN THEATRE-FRAC.ATLAS<br>248 W. 35TH ST.<br>NEW YORK NY 10001                         | NONE                  | PC                        | CHARITABLE          | 3,000.     |

## THE MENTAL INSIGHT FOUNDATION

94-3256579

STATEMENT 13 (CONTINUED)  
 FORM 990-PF, PART XV, LINE 3A  
 RECIPIENT PAID DURING THE YEAR

| NAME AND ADDRESS   | DONEE<br>RELATIONSHIP | FOUND-<br>ATION<br>STATUS | PURPOSE OF<br>GRANT | AMOUNT     |
|--|-----------------------|---------------------------|---------------------|------------|
| CANAL ALLIANCE<br>91 LARKSPUR ST.<br>SAN RAFAEL CA 94901                         | NONE                  | PC                        | CHARITABLE          | \$ 15,000. |
| CLEAN OCEAN ACTION, INC.<br>18 HARTSHORNE DR., SUITE 2<br>HIGHLANDS NJ 07732     | NONE                  | PC                        | CHARITABLE          | 30,000.    |
| CLUBBED THUMB, INC.<br>440 LAFAYETTE ST., 4TH<br>FLOOR<br>NEW YORK NY 10003      | NONE                  | PC                        | CHARITABLE          | 29,000.    |
| COLLECTED OBJECTS, INC.<br>100 NEWELL ST. APT. 2R<br>BROOKLYN NY 11222           | NONE                  | PC                        | CHARITABLE          | 4,000.     |
| CREATIVE GROWTH ART CENTER<br>355 24TH ST.<br>OAKLAND CA 94612                   | NONE                  | PC                        | CHARITABLE          | 30,000.    |
| CROSSING JAMAICA AVENUE,<br>INC.<br>1205 LEXINGTON AVE. #2C<br>NEW YORK NY 10028 | NONE                  | PC                        | CHARITABLE          | 2,000.     |
| DANIEL FISH<br>PRODUCTIONS-FRACT.ATLAS<br>248 W. 35TH ST.<br>NEW YORK NY 10001   | NONE                  | PC                        | CHARITABLE          | 4,000.     |
| GLOBAL FOOTPRINT NETWORK<br>426 17TH ST. #700<br>OAKLAND CA 94607                | NONE                  | PC                        | CHARITABLE          | 50,000.    |
| GREAT SMALL WORKS, INC.<br>315 WEST 86TH ST., SUITE 4E<br>NEW YORK NY 10024      | NONE                  | PC                        | CHARITABLE          | 2,000.     |
| HEALTH CARE WITHOUT WALLS<br>148 LINDEN ST. #208<br>WELLESLEY MA 02482           | NONE                  | PC                        | CHARITABLE          | 15,000.    |
| INSTITUTE OF NOETIC<br>SCIENCES<br>101 SAN ANTONIO RD.<br>PETALUMA CA 94952      | NONE                  | PC                        | CHARITABLE          | 59,398.    |
| JACK ARTS, INC.<br>248 W. 35TH ST.<br>NEW YORK NY 10001                          | NONE                  | PC                        | CHARITABLE          | 5,500.     |

## THE MENTAL INSIGHT FOUNDATION

94-3256579

STATEMENT 13 (CONTINUED)  
 FORM 990-PF, PART XV, LINE 3A  
 RECIPIENT PAID DURING THE YEAR

| NAME AND ADDRESS   | DONEE<br>RELATIONSHIP | FOUND-<br>ATION<br>STATUS | PURPOSE OF<br>GRANT | AMOUNT     |
|--|-----------------------|---------------------------|---------------------|------------|
| LAVA MAE<br>1015 FILLMORE ST. #379<br>SAN FRANCISCO CA 94115                       | NONE                  | PC                        | CHARITABLE          | \$ 15,000. |
| LITTLE LORD-FRACTURED ATLAS<br>248 W. 35TH ST.<br>NEW YORK NY 10001                | NONE                  | PC                        | CHARITABLE          | 5,000.     |
| MASSACHUSETTS COALITION FOR<br>HOMELESS<br>73 BUFFUM ST.<br>LYNN MA 01902          | NONE                  | PC                        | CHARITABLE          | 120,000.   |
| MCLEAN HOSPITAL CORPORATION<br>115 MILL ST.<br>BELMONT MA 02478                    | NONE                  | PC                        | CHARITABLE          | 30,000.    |
| MY STUFF BAGS FOUNDATION<br>5347 STERLING CENTER DR.<br>WESTLAKE VILLAGE CA 91361  | NONE                  | PC                        | CHARITABLE          | 15,000.    |
| NEW SALOON-FRACT. ATLAS<br>248 W. 35TH ST.<br>NEW YORK NY 10001                    | NONE                  | PC                        | CHARITABLE          | 1,000.     |
| NEW YIDDISH REPERTORY<br>THEATER, INC.<br>33 51 STREET B2<br>WEEHAWKEN NJ 07086    | NONE                  | PC                        | CHARITABLE          | 5,000.     |
| OCEAN RESEARCH &<br>CONSERVATION ASSOC.<br>1420 SEAWAY DR.<br>FORT PIERCE FL 34949 | NONE                  | PC                        | CHARITABLE          | 15,000.    |
| PACIFIC ENVIRONMENT &<br>RESOURCE CENTER<br>473 PINE ST.<br>SAN FRANCISCO CA 94104 | NONE                  | PC                        | CHARITABLE          | 15,000.    |
| PECULIAR WORKS LABORATORY<br>595 BROADWAY 2ND FL<br>NEW YORK NY 10012              | NONE                  | PC                        | CHARITABLE          | 2,000.     |
| PENNY GEORGE INSTITUTE FND.<br>3915 GOLDEN VALLEY ROAD<br>MINNEAPOLIS MN 55422     | NONE                  | PC                        | CHARITABLE          | 35,000.    |
| POLYBE + SEATS-FRAC.ATLAS<br>248 W. 35TH ST.<br>NEW YORK NY 10001                  | NONE                  | PC                        | CHARITABLE          | 2,000.     |

## THE MENTAL INSIGHT FOUNDATION

94-3256579

STATEMENT 13 (CONTINUED)  
 FORM 990-PF, PART XV, LINE 3A  
 RECIPIENT PAID DURING THE YEAR

| NAME AND ADDRESS   | DONEE<br>RELATIONSHIP | FOUND-<br>ATION<br>STATUS | PURPOSE OF<br>GRANT | AMOUNT     |
|--|-----------------------|---------------------------|---------------------|------------|
| REGENERATION PROJECT<br>369 PINE ST. #700<br>SAN FRANCISCO CA 94104                          | NONE                  | PC                        | CHARITABLE          | \$ 50,000. |
| SIGNAL-RETURN, INC.<br>1345 DIVISION ST. #102<br>DETROIT MI 48207                            | NONE                  | PC                        | CHARITABLE          | 15,000.    |
| STAND<br>1410 DANZIG PLAZA<br>CONCORD CA 94520   | NONE                  | PC                        | CHARITABLE          | 50,000.    |
| TALKING BAND, INC.<br>93 MERCER ST.<br>NEW YORK NY 10012                                     | NONE                  | PC                        | CHARITABLE          | 6,000.     |
| THEATER RECONSTRUCTION<br>ENSEMBLE, INC.<br>138 S. OXFORD ST.<br>BROOKLYN NY 11217           | NONE                  | PC                        | CHARITABLE          | 5,000.     |
| TITLE - POINT<br>PRODUCTIONS-FRACTURED ATLA<br>248 W. 35TH ST.<br>NEW YORK NY 10001          | NONE                  | PC                        | CHARITABLE          | 4,000.     |
| UNIV. SETTLEMENT SOCIETY OF<br>NY, INC.<br>184 ELDRIDGE ST.<br>NEW YORK NY 10002             | NONE                  | PC                        | CHARITABLE          | 15,000.    |
| 5 GYRES INSTITUTE<br>5792 W. JEFFERSON BLVD.<br>LOS ANGELES CA 90016                         | NONE                  | PC                        | CHARITABLE          | 15,000.    |
| ANIMAL SAMARITANS<br>42150 JACKSON ST. #106<br>INDIO CA 92203                                | NONE                  | PC                        | CHARITABLE          | 15,000.    |
| BREATHE NETWORK<br>P.O. BOX 86691<br>PORTLAND OR 97286                                       | NONE                  | PC                        | CHARITABLE          | 5,000.     |
| CA INSTITUTE YIDDISH-YUNG<br>YIDDISH<br>333 WASHINGTON BLVD. #118<br>MARINA DEL REY CA 90292 | NONE                  | PC                        | CHARITABLE          | 6,000.     |
| EXHALE TO INHALE, INC.<br>1732 1ST AVE. #21133<br>NEW YORK NY 10128                          | NONE                  | PC                        | CHARITABLE          | 15,000.    |

## THE MENTAL INSIGHT FOUNDATION

94-3256579

STATEMENT 13 (CONTINUED)  
 FORM 990-PF, PART XV, LINE 3A  
 RECIPIENT PAID DURING THE YEAR

| NAME AND ADDRESS   | DONEE<br>RELATIONSHIP | FOUND-<br>ATION<br>STATUS | PURPOSE OF<br>GRANT | AMOUNT     |
|--|-----------------------|---------------------------|---------------------|------------|
| EYAK PRESERVATION COUNCIL<br>PO BOX 460<br>CORDOVA AK 99574                                    | NONE                  | PC                        | CHARITABLE          | \$ 10,000. |
| RADY & BLOOM - FRAC. ATLAS<br>248 W. 35TH ST.<br>NEW YORK NY 10001                             | NONE                  | PC                        | CHARITABLE          | 1,000.     |
| FRIENDS OF THE CHILDREN,<br>INC.<br>245 RUSSELL ST. STE. 22<br>HADLEY MA 01035                 | NONE                  | PC                        | CHARITABLE          | 15,000.    |
| GIRLS WRITE NOW, INC.<br>247 W. 37TH STREET, STE.<br>1000<br>NEW YORK NY 10018                 | NONE                  | PC                        | CHARITABLE          | 15,000.    |
| GOD'S LOVE WE DELIVER<br>166 AVENUE OF THE AMERICAS<br>NEW YORK NY 10013                       | NONE                  | PC                        | CHARITABLE          | 15,000.    |
| IMMEDIATE MEDIUM, INC.<br>525 GRAND ST.<br>BROOKLYN NY 11211                                   | NONE                  | PC                        | CHARITABLE          | 1,000.     |
| JUSTICE RESOURCE INSTITUTE,<br>INC.<br>160 GOULD STREET STE. 300<br>NEEDHAM MA 02494           | NONE                  | PC                        | CHARITABLE          | 15,000.    |
| KETAMINE RESEARCH<br>FOUNDATION<br>6 CREST ROAD<br>SAN ANSELMO CA 94960                        | NONE                  | PC                        | CHARITABLE          | 25,000.    |
| MACCABEE FITNESS HEALTH &<br>WELLNESS FUND<br>6917 N. 71ST AVE. APT. 1117<br>GLENDALE AZ 85303 | NONE                  | PC                        | CHARITABLE          | 15,000.    |
| MINDFUL SCHOOLS<br>1260 45TH ST.<br>EMERYVILLE CA 94608  | NONE                  | PC                        | CHARITABLE          | 15,000.    |
| NATIONAL ASIAN AMERICAN<br>THEATRE, CO.<br>520 8TH AVE. SUITE 308<br>NEW YORK NY 10018         | NONE                  | PC                        | CHARITABLE          | 30,000.    |

## THE MENTAL INSIGHT FOUNDATION

94-3256579

**STATEMENT 13 (CONTINUED)**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

| <u>NAME AND ADDRESS</u>   | <u>DONEE<br/>RELATIONSHIP</u> | <u>FOUND-<br/>ATION<br/>STATUS</u> | <u>PURPOSE OF<br/>GRANT</u> | <u>AMOUNT</u>        |
|---|-------------------------------|------------------------------------|-----------------------------|----------------------|
| ORGANIC SEED ALLIANCE<br>PO BOX 722<br>PORT TOWNSEND WA 98368                 | NONE                          | PC                                 | CHARITABLE                  | \$ 15,000.           |
| OTIS COLLEGE OF ART &<br>DESIGN<br>9045 LINCOLN BLVD.<br>LOS ANGELES CA 90045 | NONE                          | PC                                 | CHARITABLE                  | 15,000.              |
| PERFORMANCE ZONE, INC.<br>75 MAIDEN LANE SUITE 906<br>NEW YORK NY 10038       | NONE                          | PC                                 | CHARITABLE                  | 4,000.               |
| SOHO THINK TANK, INC.<br>154 CHRISTOPHER ST., STE.<br>1E<br>NEW YORK NY 10014 | NONE                          | PC                                 | CHARITABLE                  | 5,000.               |
| <b>TOTAL</b>  |                               |                                    |                             | <u>\$ 2,940,443.</u> |

**STATEMENT 14**  
**FORM 990-PF, PART XV, LINE 3B**  
**RECIPIENT APPROVED FOR FUTURE PAYMENT**

| <u>NAME AND ADDRESS</u>  | <u>DONEE<br/>RELATIONSHIP</u> | <u>FOUND-<br/>ATION<br/>STATUS</u> | <u>PURPOSE OF<br/>GRANT</u> | <u>AMOUNT</u> |
|--|-------------------------------|------------------------------------|-----------------------------|---------------|
| AT THE CROSSROADS<br>333 VALENCIA ST., SUITE 320<br>SAN FRANCISCO CA 94103 | NONE                          | PC                                 | CHARITABLE                  | \$ 50,000.    |
| COALITION FOR HOMELESS,<br>INC.<br>89 CHAMBERS ST.<br>NEW YORK NY 10038    | NONE                          | PC                                 | CHARITABLE                  | 50,000.       |
| HOFFMAN INSTITUTE<br>223 SAN ANSELMO STE 4<br>SAN ANSELMO CA 94901         | NONE                          | PC                                 | CHARITABLE                  | 50,000.       |
| MARIN HUMANE SOCIETY<br>171 BEL MARIN KEYS BLVD.<br>NOVATO CA 94949        | NONE                          | PC                                 | CHARITABLE                  | 25,000.       |
| MASSACHUSETTS COALITION FOR<br>HOMELESS<br>73 BUFFUM ST.<br>LYNN MA 01902  | NONE                          | PC                                 | CHARITABLE                  | 100,000.      |

## THE MENTAL INSIGHT FOUNDATION

94-3256579

STATEMENT 14 (CONTINUED)  
 FORM 990-PF, PART XV, LINE 3B  
 RECIPIENT APPROVED FOR FUTURE PAYMENT

| NAME AND ADDRESS  | DONEE<br>RELATIONSHIP | FOUND-<br>ATION<br>STATUS | PURPOSE OF<br>GRANT | AMOUNT    |
|---|-----------------------|---------------------------|---------------------|-----------|
| PROJECT AVARY<br>1623 5TH AVE.<br>SAN RAFAEL CA 94901                                       | NONE                  | PC                        | CHARITABLE          | \$ 25,000 |
| RAW ART WORKS<br>37 CENTRAL SQUARE #2<br>LYNN MA 01901                                      | NONE                  | PC                        | CHARITABLE          | 30,000    |
| SPIRIT ROCK MEDITATION<br>CENTER<br>5000 SIR FRANCIS DRAKE<br>BLVD.<br>WOODACRE CA 94973    | NONE                  | PC                        | CHARITABLE          | 50,000    |
| STAND<br>1410 DANZIG PLAZA<br>CONCORD CA 94520  | NONE                  | PC                        | CHARITABLE          | 50,000    |
| SWORDS TO PLOWSHARES<br>1060 HOWARD STREET<br>SAN FRANCISCO CA 94103                        | NONE                  | PC                        | CHARITABLE          | 30,000    |
| UCSF FOUNDATION<br>1545 DIVISADERO ST.<br>SAN FRANCISCO CA 94115                            | NONE                  | PC                        | CHARITABLE          | 80,000    |
| INQUIRING SYSTEMS, INC.<br>101 BROOKWOOD AVE. STE. 204<br>SANTA ROSA CA 95404               | NONE                  |                           |                     | 300,000   |
| ANIMAL LEGAL DEFENSE FUND<br>525 E COTATI AVE.<br>COTATI CA 94931                           | NONE                  | PC                        | CHARITABLE          | 100,000   |
| FRIENDS OF PALM SPRINGS<br>ANIMAL SHELTER<br>4575 E. MESQUITE AVE.<br>PALM SPRINGS CA 92264 | NONE                  | PC                        | CHARITABLE          | 100,000   |
| HOME FOR CONTEMP. THEATRE &<br>ART<br>145 6TH AVE.<br>NEW YORK NY 10013                     | NONE                  | PC                        | CHARITABLE          | 100,000   |
| MULTIDISCIPLINARY<br>ASSOCIATION<br>1215 MISSION STREET<br>SANTA CRUZ CA 95060              | NONE                  | PC                        | CHARITABLE          | 200,000   |

TOTAL \$ 1,340,000

## THE MENTAL INSIGHT FOUNDATION

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STATEMENT 15  
FORM 990-PF, PART XVI-A, LINE 11  
OTHER REVENUE

| OTHER REVENUE             | (A)<br>BUSI-<br>NESS<br>CODE | (B)<br>UNRELATED<br>BUSINESS<br>AMOUNT | (C)<br>EXCLU-<br>SION<br>CODE | (D)<br>EXCLUDED<br>AMOUNT | (E)<br>RELATED OR<br>EXEMPT<br>FUNCTION |
|---------------------------|------------------------------|--|-------------------------------|---------------------------|---|
| KIMPTON HOTEL GROUP, LLC  | 721110                       | \$ 35,713.                             | 18                            | \$ 2,363.                 |   |
| PRIVATE EQUITY CORE FD. 3 | 900099                       | 1,904.                                 | 18                            | 4,200.                    |   |
| PRIVATE EQUITY CORE FD. 5 | 900099                       | 1,608.                                 | 18                            | 357.                      |   |
| MAVERON EQUITY PTNRS.     |                              |  | 18                            | 23.                       |   |
| WASH SALES                |                              |  | 18                            | 16,951.                   |   |
| FEDERAL TAX REFUND        |                              |  | 18                            | 193,270.                  |   |
| STATE TAX REFUND          | 900099                       | 74,633.                                | 18                            |                           |   |
| TOTAL                     |                              | <u>\$ 113,858.</u>                     |                               | <u>\$ 217,164.</u>        | <u>\$ 0.</u>                            |

## THE MENTAL INSIGHT FOUNDATION

94-3256579

PART XV, LINE 2.D

DISTRIBUTIONS IN THE FORM OF GRANTS OR LOANS ONLY TO ORGANIZATIONS (I.E. THE FOUNDATION HAS NO PLANS TO MAKE GRANTS OR AWARD SCHOLARSHIPS TO INDIVIDUALS) EXEMPT FROM FEDERAL INCOME TAX UNDER IRC SECTION 501(C)(3) AND CLASSIFIED AS PUBLIC CHARITIES UNDER SECTION 509(A)(1), (2), OR (3) AND OTHER ORGANIZATIONS EXCLUSIVELY FOR CHARITABLE, SCIENTIFIC OR EDUCATIONAL PURPOSES.

THE SPECIFIC CHARITABLE FOCUS OF THE FOUNDATION'S GRANTS INITIALLY WILL BE ASSISTING INDIVIDUALS TO DEVELOP HEALTHIER MENTAL ATTITUDES, AND PROMOTING MEANINGFUL INSIGHTS INTO THE HUMAN MIND AND THE NATURE OF HUMAN RELATIONSHIPS. THE FOUNDATION'S EARLY GRANTS WILL ATTEMPT TO ADDRESS THE LACK OF RESEARCH WITH RESPECT TO THE THERAPEUTIC EFFECTS OF MEDITATION. IN ADDITION TO FUNDING THESE TREATMENTS, THE FOUNDATION WILL MAKE GRANTS TO RESEARCHERS WHO WILL STUDY THE EFFECTS ON PARTICIPANTS' BRAINWAVES. SPECIAL EMPHASIS WILL BE GIVEN TO THE STUDY OF DEPRESSIVE STATES, ALTERNATIVES TO MEDICATION, AND THE IMPACT OF MEDITATION ON STRESSFUL LIVES.