

Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2017

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

- ▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2017 or tax year beginning

, and ending

Name of foundation
COHN, SADIE LOUIS CHAR T U W

Number and street (or P O box number if mail is not delivered to street address) Room/suite
Wells Fargo Bank N A Trust Tax Dept - 6325 S RAINBOW BLVD STE 300

City or town, state or province, country, and ZIP or foreign postal code
LAS VEGAS NV 89118

Foreign country name Foreign province/state/country Foreign postal code

A Employer identification number
94-2620089

B Telephone number (see instructions)
888-730-4933

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☒ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ **664,101**

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____ (Part I, column (d) must be on cash basis)

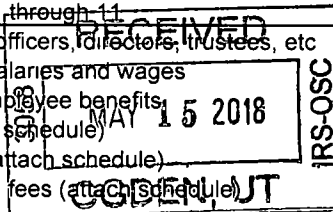
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	11,525	11,408		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	29,061			
	b Gross sales price for all assets on line 6a 215,316				
	7 Capital gain net income (from Part IV, line 2)		29,061		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	40,586	40,469	0	
	13 Compensation of officers, directors, trustees, etc	10,412	5,232		5,180
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	1,132			1,132
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	472	189		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	10			10
	24 Total operating and administrative expenses. Add lines 13 through 23	12,026	5,421	0	6,322
	25 Contributions, gifts, grants paid	27,000			27,000
	26 Total expenses and disbursements. Add lines 24 and 25	39,026	5,421	0	33,322
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	1,560			
	b Net investment income (if negative, enter -0-)		35,048		
	c Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see Instructions.

HTA

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash—non-interest-bearing				
	2	Savings and temporary cash investments	20,672	40,174	40,174	
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U.S. and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)				
	c	Investments—corporate bonds (attach schedule)				
	11	Investments—land, buildings, and equipment basis ▶				
	Less: accumulated depreciation (attach schedule) ▶					
12	Investments—mortgage loans					
13	Investments—other (attach schedule)	553,376	535,131	623,927		
14	Land, buildings, and equipment basis ▶					
	Less: accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	574,048	575,305	664,101		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds	574,048	575,305		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)	574,048	575,305		
	31	Total liabilities and net assets/fund balances (see instructions)	574,048	575,305		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	574,048
2	Enter amount from Part I, line 27a	2	1,560
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	575,608
5	Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	303
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	575,305

Part IV Capital Gains and Losses for Tax on Investment Income

a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See Attached Statement				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	29,061	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8 }		3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2016	35,396	608,573	0.058162
2015	33,337	646,136	0.051594
2014	31,081	676,433	0.045948
2013	34,073	664,300	0.051292
2012	33,606	660,911	0.050848
2 Total of line 1, column (d)			2 0.257844
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 0.051569
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5			4 639,317
5 Multiply line 4 by line 3			5 32,969
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 350
7 Add lines 5 and 6			7 33,319
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			8 33,322

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	350	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0	
3	Add lines 1 and 2	3	350	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	350	
6	Credits/Payments			
a	2017 estimated tax payments and 2016 overpayment credited to 2017	6a	171	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	171	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	179	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0	
11	Enter the amount of line 10 to be Credited to 2018 estimated tax Refunded	11	0	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ (2) On foundation managers ▶ \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		Yes	No
11				X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions			X
12				X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		X	
13	Website address ▶ N/A			
14	The books are in care of ▶ WELLS FARGO BANK N A Telephone no ▶ 888-730-4933			
14	Located at ▶ 6325 S RAINBOW BLVD STE 300 LAS VEGAS NV ZIP+4 ▶ 89118			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		Yes	No
16	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year, did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ▶ <input type="checkbox"/>		1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)		2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017)		3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year, did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions			
	Organizations relying on a current notice regarding disaster assistance, check here	▶ <input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank, N.A. 6325 S RAINBOW BLVD STE 300 LAS VEGAS, NV 89101	TRUSTEE SEE ATTACHED	6,976		
MICHAEL RUBENSTEIN 6325 S RAINBOW BLVD STE 300 LAS VEGAS, NV 89101	CO-TRUSTEE 1 00	3,436		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	NONE	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	NONE	
2		
All other program-related investments See instructions		
3	NONE	
Total. Add lines 1 through 3		0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	629,160
b	Average of monthly cash balances	1b	19,893
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	649,053
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	649,053
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see instructions)	4	9,736
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	639,317
6	Minimum investment return. Enter 5% of line 5	6	31,966

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	31,966
2a	Tax on investment income for 2017 from Part VI, line 5	2a	350
b	Income tax for 2017 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	350
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	31,616
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	31,616
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	31,616

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	33,322
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	33,322
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	350
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	32,972

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				31,616
2 Undistributed income, if any, as of the end of 2017				
a Enter amount for 2016 only			20,024	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2017				
a From 2012				
b From 2013				
c From 2014				
d From 2015				
e From 2016				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2017 from Part XII, line 4 ▶ \$ 33,322				
a Applied to 2016, but not more than line 2a			20,024	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2017 distributable amount				13,298
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2016 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2017 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018				18,318
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2013				
b Excess from 2014				
c Excess from 2015				
d Excess from 2016				
e Excess from 2017				

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

COMPASS FAMILY SERVICES

Street

49 POWELL ST

City

SAN FRANCISCO

State

CA

Zip Code

94102

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

2,500

Name

ALIVE AND FREE

Street

1060 TENNESSEE ST

City

SAN FRANCISCO

State

CA

Zip Code

94107

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

2,000

Name

YOUTH BOYS AND GIRLS CLUBS OF OAKLAND

Street

3300 HIGH STREET

City

OAKLAND

State

CA

Zip Code

94619

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

3,000

Name

LOAVES & FISHES FAMILY KITCHEN

Street

1609 REGATTA LANE, SUITE D

City

SAN JOSE

State

CA

Zip Code

95112

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

1,000

Name

GIRLVENTURES

Street

3543 19TH ST

City

SAN FRANCISCO

State

CA

Zip Code

94110

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

2,000

Name

BOYS AND GIRL CLUBS OF CONTRA COSTA

Street

1301 ALHAMBRA AVE

City

MARTINEZ

State

CA

Zip Code

94553

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

3,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

JEWISH FAMILY & CHILDREN'S SERVICES

Street

PO BOX 159004, 2150 POST STREET

City

SAN FRANCISCO

State

CA

Zip Code

94115

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

2,500

Name

OKIZU FOUNDATION

Street

16 DIGITAL DR STE 130

City

NOVATO

State

CA

Zip Code

94949

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

2,500

Name

READING PARTNERS

Street

106 LINDEN ST

City

OAKLAND

State

CA

Zip Code

94607

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

1,000

Name

PROVIDENCE BAPTIST CHURCH OF RICHMOND

Street

314 S 12TH ST

City

RICHMOND

State

CA

Zip Code

94804

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

1,000

Name

FIRENDS FOR YOUTH INC

Street

1741 BROADWAY STREET FIRST FLOOR

City

REDWOOD CITY

State

CA

Zip Code

94063

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

2,500

Name

ST ANTHONY FOUNDATION

Street

150 GOLDEN GATE AVE

City

REDWOOD CITY

State

CA

Zip Code

94063

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

1,500

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

EQUESTRIAN CENTER INC

Street

480 RIVERSIDE DR

City

BURBANK

State

CA

Zip Code

91506

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

2,500

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Attached Statement				
Total			3a	27,000
b Approved for future payment NONE				
Total			3b	0

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions		Amount		Totals													Net Gain or Loss	
Short Term CG Distributions		15,555		Capital Gains/Losses													Net Gain or Loss	
		0		Other sales													0	
Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss				
1 EATON VANCE GLOBAL MAC	277923728	X				10/27/2015	10/19/2017	5,479	5,404					75				
2 EATON VANCE GLOBAL MAC	277923728	X				8/25/2016	10/19/2017	13,340	13,214					126				
3 EATON VANCE GLOBAL MAC	277923728	X				7/25/2017	10/19/2017	979	975					4				
4 FID ADV EMER MKTS INC-CL	315920702	X				10/19/2017	11/29/2017	507	514					-7				
5 FID ADV EMER MKTS INC-CL	315920702	X				7/25/2017	11/29/2017	155	155					0				
6 JP MORGAN MID CAP VALUE	339128100	X				6/20/2014	7/25/2017	386	372					14				
7 EATON VANCE GLOBAL MAC	277923728	X				10/27/2015	1/18/2017	409	406					3				
8 STERLING CAPITAL STRATG	85917K546	X				6/20/2014	1/18/2017	1,653	1,563					90				
9 STERLING CAPITAL STRATG	85917K546	X				6/20/2014	5/24/2017	4,386	4,054					332				
10 STERLING CAPITAL STRATG	85917K546	X				8/25/2016	5/24/2017	4,629	4,308					321				
11 STERLING CAPITAL STRATG	85917K546	X				4/21/2017	5/24/2017	670	660					10				
12 STERLING CAPITAL STRATG	85917K546	X				1/22/2015	5/24/2017	124	108					16				
13 STERLING CAPITAL STRATG	85917K546	X				7/20/2016	11/22/2017	928	766					162				
14 SPDR DJ WILSHIRE INTERNA	78463X863	X				9/7/2011	11/22/2017	274	257					17				
15 HARBOR CAPITAL APROCTN	411511504	X				12/22/2011	10/19/2017	2,737	1,399					1,338				
16 HARBOR CAPITAL APROCTN	411511504	X				7/20/2016	11/22/2017	5,252	4,045					1,207				
17 JOHN HANCOCK II ABSLT RT	47803M168	X				10/19/2017	11/22/2017	657	639					18				
18 JPMORGAN HIGH YIELD FUND	4812C0803	X				3/25/2014	1/18/2017	10,254	11,208					-954				
19 JPMORGAN HIGH YIELD FUND	4812C0803	X				12/19/2014	1/18/2017	3,087	3,158					-71				
20 JPMORGAN HIGH YIELD FUND	4812C0803	X				8/25/2016	1/18/2017	4,771	4,739					32				
21 JPMORGAN HIGH YIELD FUND	4812C0803	X				4/22/2016	1/18/2017	296	283					13				
22 MFS VALUE FUND-CLASS I #	552983694	X				8/25/2016	1/18/2017	7,176	7,055					123				
23 MFS VALUE FUND-CLASS I #	552983694	X				7/20/2016	1/18/2017	1,901	1,866					35				
24 MFS VALUE FUND-CLASS I #	552983694	X				7/20/2016	7/25/2017	2,101	1,905					196				
25 MFS VALUE FUND-CLASS I #	552983694	X				7/20/2016	11/22/2017	2,067	1,833					234				
26 MERGER FUND-INST #301	589509207	X				9/9/2011	1/18/2017	360	360					0				
27 AQR MANAGED FUTURES ST	00203H659	X				10/24/2014	11/22/2017	924	1,049					-125				
28 INV BALANCE RISK COMM S	00888Y508	X				8/25/2016	1/18/2017	6,432	6,367					65				
29 AMER CENT SMALL CAP GRV	025083320	X				10/8/2015	1/18/2017	1,841	1,688					153				
30 AMER CENT SMALL CAP GRV	025083320	X				10/8/2015	5/24/2017	7,483	6,398					1,085				
31 AMER CENT SMALL CAP GRV	025083320	X				8/25/2016	5/24/2017	2,654	2,319					335				
32 AMER CENT SMALL CAP GRV	025083320	X				8/25/2016	11/22/2017	811	649					162				
33 ARTISAN INTERNATIONAL FUND	04314H402	X				9/9/2011	4/21/2017	17,090	12,036					5,054				
34 ARTISAN INTERNATIONAL FUND	04314H402	X				7/20/2016	4/21/2017	4,980	4,931					49				
35 ARTISAN INTERNATIONAL FUND	04314H402	X				1/18/2017	4/21/2017	865	906					-41				
36 ARTISAN MID CAP FUND-INST	04314H600	X				10/8/2015	7/25/2017	2,076	2,171					-95				
37 CREDIT SUISSE COMM RET	22544R305	X				11/10/2015	1/18/2017	885	831					54				
38 BLACKROCK GL US CREDIT	091936732	X				10/27/2015	1/18/2017	976	999					-23				
39 CREDIT SUISSE COMM RET	22544R305	X				9/5/2014	1/18/2017	1,794	2,511					-717				
40 CREDIT SUISSE COMM RET	22544R305	X				1/22/2015	1/18/2017	3,880	4,417					-537				
41 DODGE & COX INTL STOCK	256206103	X				7/20/2016	1/18/2017	1,293	1,208					85				
42 DODGE & COX INTL STOCK	256206103	X				7/20/2016	7/25/2017	1,954	1,495					359				
43 DODGE & COX INTL STOCK	256206103	X				1/22/2016	7/25/2017	53	38					15				
44 DODGE & COX INTL STOCK	256206103	X				1/22/2016	10/19/2017	374	262					112				
45 DODGE & COX INTL STOCK	256206103	X				1/22/2016	11/28/2017	699	491					208				
46 DODGE & COX INCOME FDC	256210105	X				10/11/2013	4/21/2017	928	913					15				
47 DODGE & COX INCOME FDC	256210105	X				10/8/2015	4/21/2017	2,971	2,908					63				
48 DODGE & COX INCOME FDC	256210105	X				10/19/2017	11/22/2017	471	471					0				
49 MERGER FUND-INST #301	589509207	X				9/9/2011	10/19/2017	5,061	4,938					123				
50 MERGER FUND-INST #301	589509207	X				8/25/2016	6/11/2017	6,119	5,894					225				
51 MERGER FUND-INST #301	589509207	X				5/24/2017	10/19/2017	1,825	1,807					18				
52 MET WEST TOTAL RETURN FUND	592905509	X				10/5/2016	11/22/2017	1,358	1,394					-38				
53 ASG GLOBAL ALTERNATIVES	638721885	X				7/12/2013	1/18/2017	198	221					-23				
54 ASG GLOBAL ALTERNATIVES	638721885	X				3/25/2014	1/18/2017	666	723					-57				
55 ASG GLOBAL ALTERNATIVES	638721885	X				6/20/2014	11/28/2017	667	670					-3				
56 NEUBERGER BERMAN LONG	64128R608	X				10/19/2017	11/22/2017	432	427					5				
57 NEUBERGER BERMAN LONG	64128R608	X				10/19/2017	11/22/2017	1,102	1,093					9				
58 OPPENHEIMER DEVELOPING	683974604	X				10/8/2015	4/21/2017	1,895	1,653					242				
59 OPPENHEIMER DEVELOPING	683974604	X				10/8/2015	7/25/2017	3,288	2,588					700				
60 OPPENHEIMER DEVELOPING	683974604	X				10/8/2015	10/19/2017	1,990	1,465					525				
61 OPPENHEIMER DEVELOPING	683974604	X				10/8/2015	11/22/2017	1,817	1,310					507				
62 BOSTON P LONG/SHRT RES-IN	74925K581	X				7/20/2016	10/19/2017	5,161	4,725					436				
63 BOSTON P LONG/SHRT RES-IN	74925K581	X				5/24/2017	10/19/2017	4,830	4,672					158				
64 T ROME PR OVERSEAS STO	77956H435	X				4/21/2017	7/25/2017	1,628	1,471					157				
65 T ROME PR OVERSEAS STO	77956H435	X				4/21/2017	10/19/2017	658	565					93				
66 T ROME PR OVERSEAS STO	77956H435	X				4/21/2017	11/22/2017	671	575					96				
67 T ROME PRICE INST FLOAT	77958B402	X				3/25/2014	1/18/2017	1,862	1,897					-35				
68 T ROME PRICE INST FLOAT	77958B402	X				4/24/2014	1/18/2017	3,316	3,365					-49				
69 T ROME PRICE INST FLOAT	77958B402	X				8/25/2016	1/18/2017	2,000	1,980					20				
70 T ROME PRICE INST FLOAT	77958B402	X				4/22/2016	1/18/2017	458	449					9				
71 T ROME PR REAL ESTATE-I	779919307	X				4/21/2017	11/22/2017	501	489					12				
72 T ROME PR REAL ESTATE-I	779919307	X				8/25/2016	11/22/2017	642	656					-14				

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions		Amount	Totals										Gross Sales		Cost or Other Basis, Expenses Depreciation and Adjustments		Net Gain or Loss	
Short Term CG Distributions		15,555	Capital Gains/Losses Other sales										215,316		186,255		29,061	
		0	0										0		0		0	
	Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss			
73	T ROWE PR REAL ESTATE-I	779919307	X				1/18/2017	11/22/2017	295	288				0	7			
74	SPDR DJ WILSHIRE INTERNA	78463X863	X				10/19/2017	11/22/2017	470	466				0	4			
75	JP MORGAN MID CAP VALUE	339128100	X				1/22/2015	7/25/2017	47	45				0	2			
76	JP MORGAN MID CAP VALUE	339128100	X				1/22/2015	11/22/2017	573	533				0	40			
77	JP MORGAN MID CAP VALUE	339128100	X				7/20/2016	11/22/2017	228	210				0	18			
78	HARBOR CAPITAL APRCTION	411511504	X				8/25/2016	1/18/2017	4,974	5,125				-151				
79	HARBOR CAPITAL APRCTION	411511504	X				10/8/2015	1/18/2017	382	403				0	-21			
80	HARBOR CAPITAL APRCTION	411511504	X				10/8/2015	4/21/2017	2,324	2,297				0	27			
81	HARBOR CAPITAL APRCTION	411511504	X				10/8/2015	7/25/2017	5,478	4,850				0	628			
82	HARBOR CAPITAL APRCTION	411511504	X				7/20/2016	7/25/2017	833	707				0	128			

Part I, Line 16b (990-PF) - Accounting Fees

		1,132	0	0	1,132
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	1,132			1,132

Part I, Line 18 (990-PF) - Taxes

		472	189	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	189	189		
2	PY EXCISE TAX DUE	112			
3	ESTIMATED EXCISE PAYMENTS	171			

Part I, Line 23 (990-PF) - Other Expenses

		10	0	0	10
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	STATE AG FEES	10	0		10

Part II, Line 13 (990-PF) - Investments - Other

Asset Description		Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
1			0	535,131	623,927
2	DODGE & COX INCOME FD COM 147		0	26,216	26,565
3	HARBOR CAPITAL APRCTION-INST 2012		0	41,460	64,516
4	ARTISAN MID CAP FUND-INSTL 1333		0	26,405	27,072
5	MET WEST TOTAL RETURN BOND CL I 51		0	58,038	57,442
6	FID ADV EMER MKTS INC- CL I 607		0	36,935	38,876
7	DODGE & COX INTL STOCK FD 1048		0	14,545	22,524
8	AMER CENT SMALL CAP GRWTH INST 334		0	7,433	9,943
9	MFS VALUE FUND-CLASS I 893		0	52,051	72,280
10	JP MORGAN MID CAP VALUE-I 758		0	20,002	29,644
11	STERLING CAPITAL STRATTON SMALL CA		0	7,834	9,072
12	T ROWE PR OVERSEAS STOCK-I #521		0	19,654	22,761
13	T ROWE PR REAL ESTATE-I #432		0	34,371	35,104
14	ASG GLOBAL ALTERNATIVES-Y 1993		0	11,840	13,134
15	VANGUARD REIT VIPER		0	6,397	9,792
16	AQR MANAGED FUTURES STR-I		0	21,502	19,730
17	VANGUARD INFLAT-PROT SECS-ADM 511		0	4,266	4,212
18	JPMORGAN HIGH YIELD FUND SS 3580		0	8,812	9,186
19	T ROWE PRICE INST FLOAT RATE 170		0	3,945	3,983
20	EATON VANCE GLOB MACRO ADV-I 208		0	20,142	19,466
21	BLACKROCK GL L/S CREDIT-K #1940		0	22,769	22,994
22	OPPENHEIMER DEVELOPING MKT-I 799		0	28,402	38,961
23	JOHN HANCOCK II-CURR STR-I 3643		0	12,794	12,861
24	SPDR DJ WILSHIRE INTERNATIONAL REA		0	18,284	20,326
25	NEUBERGER BERMAN LONG SH-INS #183		0	31,034	33,483

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 5 - Decreases not included in Part III, Line 2

1	MUTUAL FUND TIMING DIFFERENCE	1	15
2	PY RETURN OF CAPITAL ADJUSTMENT	2	285
3	COST BASIS ADJUSTMENT	3	3
4	Total	4	303

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions										Amount				
Short Term CG Distributions										15,555	0			
	Description of Property Sold	CUSIP #	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adjusted Basis	Gain/Minus Excess FMV Over Adj Basis or Losses
1	EATON VANCE GLOBAL MAC	277923728		10/27/2015	10/19/2017	5,479		0	5,404	75	75	0	0	13,506
2	EATON VANCE GLOBAL MAC	277923728		8/25/2016	10/19/2017	13,340		0	13,214	126	126	0	0	126
3	EATON VANCE GLOBAL MAC	277923728		7/25/2017	10/19/2017	979		0	975	4	4	0	0	4
4	FID ADV EMER MKTS INC-CL	315820702		10/19/2017	11/29/2017	507		0	514	-7	-7	0	0	-7
5	FID ADV EMER MKTS INC-CL	315820702		7/25/2017	11/29/2017	155		0	155	0	0	0	0	0
6	JP MORGAN MID CAP VALUE	339128100		6/20/2014	7/25/2017	386		0	372	14	14	0	0	14
7	EATON VANCE GLOBAL MAC	277923728		10/27/2015	1/19/2017	409		0	406	3	3	0	0	3
8	STERLING CAPITAL STRATC	85917K546		6/20/2014	1/18/2017	1,653		0	1,563	90	90	0	0	90
9	STERLING CAPITAL STRATC	85917K546		6/20/2014	5/24/2017	4,366		0	4,054	332	332	0	0	332
10	STERLING CAPITAL STRATC	85917K546		8/25/2016	5/24/2017	4,629		0	4,308	321	321	0	0	321
11	STERLING CAPITAL STRATC	85917K546		4/21/2017	5/24/2017	670		0	660	10	10	0	0	10
12	STERLING CAPITAL STRATC	85917K546		1/22/2015	5/24/2017	124		0	108	16	16	0	0	16
13	SPDR DJ WILSHIRE INTERNA	78463X863		7/20/2016	11/22/2017	928		0	766	162	162	0	0	162
14	SPDR DJ WILSHIRE INTERNA	78463X863		9/7/2011	11/22/2017	274		0	257	17	17	0	0	17
15	HARBOR CAPITAL APRCION	411511504		12/2/2011	10/19/2017	2,737		0	1,399	1,338	1,338	0	0	1,338
16	HARBOR CAPITAL APRCION	411511504		7/20/2016	11/22/2017	5,252		0	4,045	1,207	1,207	0	0	1,207
17	JOHN HANCOCK II-ABSLT RT	47803M168		10/19/2017	11/22/2017	657		0	639	18	18	0	0	18
18	JP MORGAN HIGH YIELD FUN	4812C0803		3/25/2014	1/18/2017	10,254		0	11,208	-954	-954	0	0	-954
19	JP MORGAN HIGH YIELD FUN	4812C0803		12/19/2014	1/18/2017	3,087		0	3,158	-71	-71	0	0	-71
20	JP MORGAN HIGH YIELD FUN	4812C0803		8/25/2016	1/18/2017	4,771		0	4,739	32	32	0	0	32
21	JP MORGAN HIGH YIELD FUN	4812C0803		4/22/2016	1/18/2017	296		0	283	13	13	0	0	13
22	MFS VALUE FUND-CLASS I #	552983684		8/25/2016	1/18/2017	7,178		0	7,055	123	123	0	0	123
23	MFS VALUE FUND-CLASS I #	552983684		7/20/2016	1/18/2017	1,901		0	1,866	35	35	0	0	35
24	MFS VALUE FUND-CLASS I #	552983684		7/20/2016	7/25/2017	2,101		0	1,905	196	196	0	0	196
25	MFS VALUE FUND-CLASS I #	552983684		7/20/2016	11/22/2017	2,067		0	1,833	234	234	0	0	234
26	MERGER FUND-INST #301	589509207		9/9/2011	1/18/2017	360		0	360	0	0	0	0	0
27	AOR MANAGED FUTURES ST	00203H858		10/24/2014	11/22/2017	924		0	1,049	-125	-125	0	0	-125
28	INV BALANCE RISK COMM ST	00888Y508		8/25/2016	1/18/2017	6,432		0	6,367	65	65	0	0	65
29	AMER CENT SMALL CAP GRV	025083320		10/8/2015	1/18/2017	1,841		0	1,688	153	153	0	0	153
30	AMER CENT SMALL CAP GRV	025083320		10/8/2015	5/24/2017	7,483		0	6,398	1,085	1,085	0	0	1,085
31	AMER CENT SMALL CAP GRV	025083320		8/25/2016	5/24/2017	2,654		0	2,319	335	335	0	0	335
32	AMER CENT SMALL CAP GRV	025083320		8/25/2016	11/22/2017	811		0	649	162	162	0	0	162
33	ARTISAN INTERNATIONAL FC	04314H402		9/9/2011	4/21/2017	17,090		0	12,036	5,054	5,054	0	0	5,054
34	ARTISAN INTERNATIONAL FC	04314H402		7/20/2016	4/21/2017	4,980		0	4,931	49	49	0	0	49
35	ARTISAN INTERNATIONAL FC	04314H402		1/18/2017	4/21/2017	965		0	906	59	59	0	0	59
36	ARTISAN MID CAP FUND-INS	04314H600		10/8/2015	7/25/2017	2,076		0	2,171	-95	-95	0	0	-95
37	CREDIT SUISSE COMM RET	22544R305		11/10/2015	1/18/2017	885		0	831	54	54	0	0	54
38	BLACKROCK GL US CREDIT	091936732		10/27/2015	1/18/2017	976		0	999	-23	-23	0	0	-23
39	CREDIT SUISSE COMM RET	22544R305		9/6/2014	1/18/2017	1,794		0	2,511	-717	-717	0	0	-717
40	CREDIT SUISSE COMM RET	22544R305		1/22/2015	1/18/2017	3,880		0	4,417	-537	-537	0	0	-537
41	DODGE & COX INTL STOCK	256206103		7/20/2016	1/18/2017	1,293		0	1,208	85	85	0	0	85
42	DODGE & COX INTL STOCK	256206103		7/20/2016	7/25/2017	1,854		0	1,495	359	359	0	0	359
43	DODGE & COX INTL STOCK	256206103		1/22/2016	7/25/2017	53		0	38	15	15	0	0	15
44	DODGE & COX INTL STOCK	256206103		1/22/2016	10/19/2017	374		0	262	112	112	0	0	112
45	DODGE & COX INTL STOCK	256206103		1/22/2016	11/28/2017	699		0	491	208	208	0	0	208
46	DODGE & COX INCOME FC	256210105		10/1/2013	4/21/2017	928		0	913	15	15	0	0	15
47	DODGE & COX INCOME FC	256210105		10/8/2015	4/21/2017	2,971		0	2,908	63	63	0	0	63
48	DODGE & COX INCOME FC	256210105		10/19/2017	11/22/2017	471		0	471	0	0	0	0	0
49	MERGER FUND-INST #301	589509207		9/9/2011	10/19/2017	5,061		0	4,938	123	123	0	0	123
50	MERGER FUND-INST #301	589509207		8/25/2016	10/19/2017	6,119		0	5,894	225	225	0	0	225
51	MERGER FUND-INST #301	589509207		5/24/2017	10/19/2017	1,825		0	1,807	18	18	0	0	18
52	MET WEST TOTAL RETURN B	592905509		10/5/2016	11/22/2017	1,356		0	1,394	-38	-38	0	0	-38
53	ASS GLOBAL ALTERNATIVES	638721885		7/12/2013	1/18/2017	198		0	221	-23	-23	0	0	-23
54	ASS GLOBAL ALTERNATIVES	638721885		3/25/2014	1/18/2017	966		0	723	-57	-57	0	0	-57
55	ASS GLOBAL ALTERNATIVES	638721885		5/5/2014	11/28/2017	667		0	670	-3	-3	0	0	-3
56	NEUBERGER BERMAN LONG	64128R608		6/20/2014	1/18/2017	432		0	427	5	5	0	0	5
57	NEUBERGER BERMAN LONG	64128R608		10/19/2017	11/22/2017	1,102		0	1,093	9	9	0	0	9
58	OPPENHEIMER DEVELOPING	683974604		10/8/2015	4/21/2017	1,895		0	1,653	242	242	0	0	242
59	OPPENHEIMER DEVELOPING	683974604		10/8/2015	7/25/2017	3,288		0	2,988	700	700	0	0	700
60	OPPENHEIMER DEVELOPING	683974604		10/8/2015	10/19/2017	1,990		0	1,465	525	525	0	0	525
61	OPPENHEIMER DEVELOPING	683974604		10/8/2015	11/22/2017	1,817		0	1,310	507	507	0	0	507
62	BOSTON P LINGSHIRT RES-IN	74925K581		7/20/2016	10/19/2017	5,161		0	4,725	436	436	0	0	436
63	BOSTON P LINGSHIRT RES-IN	74925K581		5/24/2017	10/19/2017	4,830		0	4,672	158	158	0	0	158
64	T ROWE PR OVERSEAS STO	77956H435		4/21/2017	7/25/2017	1,628		0	1,471	157	157	0	0	157
65	T ROWE PR OVERSEAS STO	77956H435		4/21/2017	10/19/2017	658		0	565	93	93	0	0	93
66	T ROWE PR OVERSEAS STO	77956H435		4/21/2017	11/22/2017	671		0	575	96	96	0	0	96
67	T ROWE PRICE INST FLOAT	77958B402		3/25/2014	1/18/2017	1,862		0	1,897	-35	-35	0	0	-35
68	T ROWE PRICE INST FLOAT	77958B402		4/24/2014	1/18/2017	3,316		0	3,365	-49	-49	0	0	-49
69	T ROWE PRICE INST FLOAT	77958B402		8/25/2016	1/18/2017	2,000		0	1,980	20	20	0	0	20

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

[illegible]

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date	Amount
1 Credit from prior year return		0
2 First quarter estimated tax payment	5/10/2017	171
3 Second quarter estimated tax payment		
4 Third quarter estimated tax payment		
5 Fourth quarter estimated tax payment		
6 Other payments		0
7 Total		171

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2016 that remained undistributed at the beginning of the 2017 tax year	1	<u>20,024</u>
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	<u>20,024</u>